

# The Renaissance

## 14th to 17th Century

**Dean Ballard**



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## Chapter 1

# Introduction

The **Renaissance** is a term used to describe a period in European history marking the transition from the Middle Ages to modernity and covering the 15th and 16th centuries. It occurred after the Crisis of the Late Middle Ages and was associated with great social change. In addition to the standard periodization, proponents of a "long Renaissance" may put its beginning in the 14th century and its end in the 17th century. The traditional view focuses more on the early modern aspects of the Renaissance and argues that it was a break from the past, but many historians today focus more on its medieval aspects and argue that it was an extension of the Middle Ages. The intellectual basis of the Renaissance was its version of humanism, derived from the concept of Roman *humanitas* and the rediscovery of classical Greek philosophy, such as that of Protagoras, who said that "man is the measure of all things". This new thinking became manifest in art, architecture, politics, science and literature. Early examples were the development of perspective in oil painting and the revived knowledge of how to make concrete. Although the invention of metal movable type sped the dissemination of ideas from the later 15th century, the changes of the Renaissance were not uniform across Europe: the first traces appear in Italy as early as the late 13th century, in particular with the writings of Dante and the paintings of Giotto.

As a cultural movement, the Renaissance encompassed innovative flowering of Latin and vernacular literatures, beginning with the 14th-century resurgence of learning based



on classical sources, which contemporaries credited to Petrarch; the development of linear perspective and other techniques of rendering a more natural reality in painting; and gradual but widespread educational reform. In politics, the Renaissance contributed to the development of the customs and conventions of diplomacy, and in science to an increased reliance on observation and inductive reasoning. Although the Renaissance saw revolutions in many intellectual and social scientific pursuits, as well as the introduction of modern banking and the field of accounting, it is perhaps best known for its artistic developments and the contributions of such polymaths as Leonardo da Vinci and Michelangelo, who inspired the term "Renaissance man".

The Renaissance began in the Republic of Florence, one of the many states of Italy. Various theories have been proposed to account for its origins and characteristics, focusing on a variety of factors including the social and civic peculiarities of Florence at the time: its political structure, the patronage of its dominant family, the Medici, and the migration of Greek scholars and their texts to Italy following the Fall of Constantinople to the Ottoman Turks. Other major centres were northern Italian city-states such as Venice, Genoa, Milan, Bologna, and Rome during the Renaissance Papacy or Belgian cities such as Bruges, Ghent, Brussels, Leuven, or Antwerp.

The Renaissance has a long and complex historiography, and, in line with general scepticism of discrete periodizations, there has been much debate among historians reacting to the 19th-century glorification of the "Renaissance" and individual cultural heroes as "Renaissance men", questioning the usefulness of *Renaissance* as a term and as a historical

delineation. Some observers have called into question whether the Renaissance was a cultural "advance" from the Middle Ages, instead seeing it as a period of pessimism and nostalgia for classical antiquity, while social and economic historians, especially of the *longue durée*, have instead focused on the continuity between the two eras, which are linked, as Panofsky observed, "by a thousand ties".

The term *rinascita* ('rebirth') first appeared in Giorgio Vasari's *Lives of the Artists* (c. 1550), anglicized as the *Renaissance* in the 1830s. The word has also been extended to other historical and cultural movements, such as the Carolingian Renaissance (8th and 9th centuries), Ottonian Renaissance (10th and 11th century), and the Renaissance of the 12th century.

## • **Overview**

The Renaissance was a cultural movement that profoundly affected European intellectual life in the early modern period. Beginning in Italy, and spreading to the rest of Europe by the 16th century, its influence was felt in art, architecture, philosophy, literature, music, science, technology, politics, religion, and other aspects of intellectual inquiry. Renaissance scholars employed the humanist method in study, and searched for realism and human emotion in art.

Renaissance humanists such as Poggio Bracciolini sought out in Europe's monastic libraries the Latin literary, historical, and oratorical texts of antiquity, while the Fall of Constantinople (1453) generated a wave of émigré Greek scholars bringing precious manuscripts in ancient Greek, many of which had fallen into obscurity in the West. It is in

their new focus on literary and historical texts that Renaissance scholars differed so markedly from the medieval scholars of the Renaissance of the 12th century, who had focused on studying Greek and Arabic works of natural sciences, philosophy and mathematics, rather than on such cultural texts.

In the revival of neoplatonism Renaissance humanists did not reject Christianity; quite the contrary, many of the greatest works of the Renaissance were devoted to it, and the Church patronized many works of Renaissance art. However, a subtle shift took place in the way that intellectuals approached religion that was reflected in many other areas of cultural life. In addition, many Greek Christian works, including the Greek New Testament, were brought back from Byzantium to Western Europe and engaged Western scholars for the first time since late antiquity. This new engagement with Greek Christian works, and particularly the return to the original Greek of the New Testament promoted by humanists Lorenzo Valla and Erasmus, would help pave the way for the Protestant Reformation.

Well after the first artistic return to classicism had been exemplified in the sculpture of Nicola Pisano, Florentine painters led by Masaccio strove to portray the human form realistically, developing techniques to render perspective and light more naturally. Political philosophers, most famously Niccolò Machiavelli, sought to describe political life as it really was, that is to understand it rationally. A critical contribution to Italian Renaissance humanism, Giovanni Pico della Mirandola wrote the famous text *De hominis dignitate* (*Oration on the Dignity of Man*, 1486), which consists of a series of

theses on philosophy, natural thought, faith and magic defended against any opponent on the grounds of reason. In addition to studying classical Latin and Greek, Renaissance authors also began increasingly to use vernacular languages; combined with the introduction of the printing press, this would allow many more people access to books, especially the Bible.

In all, the Renaissance could be viewed as an attempt by intellectuals to study and improve the secular and worldly, both through the revival of ideas from antiquity, and through novel approaches to thought. Some scholars, such as Rodney Stark, play down the Renaissance in favour of the earlier innovations of the Italian city-states in the High Middle Ages, which married responsive government, Christianity and the birth of capitalism. This analysis argues that, whereas the great European states (France and Spain) were absolutist monarchies, and others were under direct Church control, the independent city republics of Italy took over the principles of capitalism invented on monastic estates and set off a vast unprecedented commercial revolution that preceded and financed the Renaissance.

## • **Origins**

Many argue that the ideas characterizing the Renaissance had their origin in late 13th-century Florence, in particular with the writings of Dante Alighieri (1265–1321) and Petrarch (1304–1374), as well as the paintings of Giotto di Bondone (1267–1337). Some writers date the Renaissance quite precisely; one proposed starting point is 1401, when the rival geniuses Lorenzo Ghiberti and Filippo Brunelleschi competed

for the contract to build the bronze doors for the Baptistery of the Florence Cathedral (Ghiberti then won). Others see more general competition between artists and polymaths such as Brunelleschi, Ghiberti, Donatello, and Masaccio for artistic commissions as sparking the creativity of the Renaissance. Yet it remains much debated why the Renaissance began in Italy, and why it began when it did. Accordingly, several theories have been put forward to explain its origins.

During the Renaissance, money and art went hand in hand. Artists depended entirely on patrons while the patrons needed money to foster artistic talent. Wealth was brought to Italy in the 14th, 15th, and 16th centuries by expanding trade into Asia and Europe. Silver mining in Tyrol increased the flow of money. Luxuries from the Muslim world, brought home during the Crusades, increased the prosperity of Genoa and Venice.

Jules Michelet defined the 16th-century Renaissance in France as a period in Europe's cultural history that represented a break from the Middle Ages, creating a modern understanding of humanity and its place in the world.

- **Latin and Greek phases of Renaissance humanism**

In stark contrast to the High Middle Ages, when Latin scholars focused almost entirely on studying Greek and Arabic works of natural science, philosophy and mathematics, Renaissance scholars were most interested in recovering and studying Latin and Greek literary, historical, and oratorical texts. Broadly speaking, this began in the 14th century with a Latin phase, when Renaissance scholars such as Petrarch, Coluccio Salutati

(1331–1406), Niccolò de' Niccoli (1364–1437) and Poggio Bracciolini (1380–1459) scoured the libraries of Europe in search of works by such Latin authors as Cicero, Lucretius, Livy and Seneca. By the early 15th century, the bulk of the surviving such Latin literature had been recovered; the Greek phase of Renaissance humanism was under way, as Western European scholars turned to recovering ancient Greek literary, historical, oratorical and theological texts.

Unlike with Latin texts, which had been preserved and studied in Western Europe since late antiquity, the study of ancient Greek texts was very limited in medieval Western Europe. Ancient Greek works on science, maths and philosophy had been studied since the High Middle Ages in Western Europe and in the Islamic Golden Age (normally in translation), but Greek literary, oratorical and historical works (such as Homer, the Greek dramatists, Demosthenes and Thucydides) were not studied in either the Latin or medieval Islamic worlds; in the Middle Ages these sorts of texts were only studied by Byzantine scholars. Some argue that the Timurid Renaissance in Samarkand and Herat, whose magnificence toned with Florence as the center of a cultural rebirth, were linked to the Ottoman Empire, whose conquests led to the migration of Greek scholars to Italian cities. One of the greatest achievements of Renaissance scholars was to bring this entire class of Greek cultural works back into Western Europe for the first time since late antiquity.

Muslim logicians, most notably Avicenna and Averroes, had inherited Greek ideas after they had invaded and conquered Egypt and the Levant. Their translations and commentaries on these ideas worked their way through the Arab West into Iberia

and Sicily, which became important centers for this transmission of ideas. From the 11th to the 13th century, many schools dedicated to the translation of philosophical and scientific works from Classical Arabic to Medieval Latin were established in Iberia, most notably the Toledo School of Translators. This work of translation from Islamic culture, though largely unplanned and disorganized, constituted one of the greatest transmissions of ideas in history. The movement to reintegrate the regular study of Greek literary, historical, oratorical and theological texts back into the Western European curriculum is usually dated to the 1396 invitation from Coluccio Salutati to the Byzantine diplomat and scholar Manuel Chrysoloras (c. 1355–1415) to teach Greek in Florence. This legacy was continued by a number of expatriate Greek scholars, from Basilios Bessarion to Leo Allatius.

- **Social and political structures in Italy**

The unique political structures of late Middle Ages Italy have led some to theorize that its unusual social climate allowed the emergence of a rare cultural efflorescence. Italy did not exist as a political entity in the early modern period. Instead, it was divided into smaller city states and territories: the Kingdom of Naples controlled the south, the Republic of Florence and the Papal States at the center, the Milanese and the Genoese to the north and west respectively, and the Venetians to the east. Fifteenth-century Italy was one of the most urbanised areas in Europe. Many of its cities stood among the ruins of ancient Roman buildings; it seems likely that the classical nature of the Renaissance was linked to its origin in the Roman Empire's heartland.

Historian and political philosopher Quentin Skinner points out that Otto of Freising (c. 1114–1158), a German bishop visiting north Italy during the 12th century, noticed a widespread new form of political and social organization, observing that Italy appeared to have exited from feudalism so that its society was based on merchants and commerce. Linked to this was anti-monarchical thinking, represented in the famous early Renaissance fresco cycle *The Allegory of Good and Bad Government* by Ambrogio Lorenzetti (painted 1338–1340), whose strong message is about the virtues of fairness, justice, republicanism and good administration. Holding both Church and Empire at bay, these city republics were devoted to notions of liberty. Skinner reports that there were many defences of liberty such as the Matteo Palmieri (1406–1475) celebration of Florentine genius not only in art, sculpture and architecture, but "the remarkable efflorescence of moral, social and political philosophy that occurred in Florence at the same time".

Even cities and states beyond central Italy, such as the Republic of Florence at this time, were also notable for their merchant Republics, especially the Republic of Venice. Although in practice these were oligarchical, and bore little resemblance to a modern democracy, they did have democratic features and were responsive states, with forms of participation in governance and belief in liberty. The relative political freedom they afforded was conducive to academic and artistic advancement. Likewise, the position of Italian cities such as Venice as great trading centres made them intellectual crossroads. Merchants brought with them ideas from far corners of the globe, particularly the Levant. Venice was Europe's gateway to trade with the East, and a producer of fine glass, while Florence was a capital of textiles. The wealth such



business brought to Italy meant large public and private artistic projects could be commissioned and individuals had more leisure time for study.

- **Black Death**

One theory that has been advanced is that the devastation in Florence caused by the Black Death, which hit Europe between 1348 and 1350, resulted in a shift in the world view of people in 14th century Italy. Italy was particularly badly hit by the plague, and it has been speculated that the resulting familiarity with death caused thinkers to dwell more on their lives on Earth, rather than on spirituality and the afterlife. It has also been argued that the Black Death prompted a new wave of piety, manifested in the sponsorship of religious works of art. However, this does not fully explain why the Renaissance occurred specifically in Italy in the 14th century. The Black Death was a pandemic that affected all of Europe in the ways described, not only Italy. The Renaissance's emergence in Italy was most likely the result of the complex interaction of the above factors.

The plague was carried by fleas on sailing vessels returning from the ports of Asia, spreading quickly due to lack of proper sanitation: the population of England, then about 4.2 million, lost 1.4 million people to the bubonic plague. Florence's population was nearly halved in the year 1347. As a result of the decimation in the populace the value of the working class increased, and commoners came to enjoy more freedom. To answer the increased need for labor, workers traveled in search of the most favorable position economically.

The demographic decline due to the plague had economic consequences: the prices of food dropped and land values declined by 30–40% in most parts of Europe between 1350 and 1400. Landholders faced a great loss, but for ordinary men and women it was a windfall. The survivors of the plague found not only that the prices of food were cheaper but also that lands were more abundant, and many of them inherited property from their dead relatives.

The spread of disease was significantly more rampant in areas of poverty. Epidemics ravaged cities, particularly children. Plagues were easily spread by lice, unsanitary drinking water, armies, or by poor sanitation. Children were hit the hardest because many diseases, such as typhus and congenital syphilis, target the immune system, leaving young children without a fighting chance. Children in city dwellings were more affected by the spread of disease than the children of the wealthy.

The Black Death caused greater upheaval to Florence's social and political structure than later epidemics. Despite a significant number of deaths among members of the ruling classes, the government of Florence continued to function during this period. Formal meetings of elected representatives were suspended during the height of the epidemic due to the chaotic conditions in the city, but a small group of officials was appointed to conduct the affairs of the city, which ensured continuity of government.

- **Cultural conditions in Florence**

It has long been a matter of debate why the Renaissance began in Florence, and not elsewhere in Italy. Scholars have noted

several features unique to Florentine cultural life that may have caused such a cultural movement. Many have emphasized the role played by the Medici, a banking family and later ducal ruling house, in patronizing and stimulating the arts. Lorenzo de' Medici (1449–1492) was the catalyst for an enormous amount of arts patronage, encouraging his countrymen to commission works from the leading artists of Florence, including Leonardo da Vinci, Sandro Botticelli, and Michelangelo Buonarroti. Works by Neri di Bicci, Botticelli, da Vinci, and Filippino Lippi had been commissioned additionally by the Convent of San Donato in Scopeto in Florence.

The Renaissance was certainly underway before Lorenzo de' Medici came to power – indeed, before the Medici family itself achieved hegemony in Florentine society. Some historians have postulated that Florence was the birthplace of the Renaissance as a result of luck, i.e., because "Great Men" were born there by chance: Leonardo da Vinci, Botticelli and Michelangelo were all born in Tuscany. Arguing that such chance seems improbable, other historians have contended that these "Great Men" were only able to rise to prominence because of the prevailing cultural conditions at the time.

## **Characteristics**

- Humanism

In some ways, Renaissance humanism was not a philosophy but a method of learning. In contrast to the medieval scholastic mode, which focused on resolving contradictions between authors, Renaissance humanists would study ancient texts in the original and appraise them through a combination

of reasoning and empirical evidence. Humanist education was based on the programme of *Studia Humanitatis*, the study of five humanities: poetry, grammar, history, moral philosophy, and rhetoric. Although historians have sometimes struggled to define humanism precisely, most have settled on "a middle of the road definition... the movement to recover, interpret, and assimilate the language, literature, learning and values of ancient Greece and Rome". Above all, humanists asserted "the genius of man ... the unique and extraordinary ability of the human mind".

Humanist scholars shaped the intellectual landscape throughout the early modern period. Political philosophers such as Niccolò Machiavelli and Thomas More revived the ideas of Greek and Roman thinkers and applied them in critiques of contemporary government, following the Islamic steps of Ibn Khaldun. Pico della Mirandola wrote the "manifesto" of the Renaissance, the *Oration on the Dignity of Man*, a vibrant defence of thinking. Matteo Palmieri (1406–1475), another humanist, is most known for his work *Della vita civile* ("On Civic Life"; printed 1528), which advocated civic humanism, and for his influence in refining the Tuscan vernacular to the same level as Latin. Palmieri drew on Roman philosophers and theorists, especially Cicero, who, like Palmieri, lived an active public life as a citizen and official, as well as a theorist and philosopher and also Quintilian. Perhaps the most succinct expression of his perspective on humanism is in a 1465 poetic work *La città di vita*, but an earlier work, *Della vita civile*, is more wide-ranging. Composed as a series of dialogues set in a country house in the Mugello countryside outside Florence during the plague of 1430, Palmieri expounds on the qualities of the ideal citizen. The dialogues include ideas about how

children develop mentally and physically, how citizens can conduct themselves morally, how citizens and states can ensure probity in public life, and an important debate on the difference between that which is pragmatically useful and that which is honest.

The humanists believed that it is important to transcend to the afterlife with a perfect mind and body, which could be attained with education. The purpose of humanism was to create a universal man whose person combined intellectual and physical excellence and who was capable of functioning honorably in virtually any situation. This ideology was referred to as the *uomo universale*, an ancient Greco-Roman ideal. Education during the Renaissance was mainly composed of ancient literature and history as it was thought that the classics provided moral instruction and an intensive understanding of human behavior.

- **Humanism and libraries**

A unique characteristic of some Renaissance libraries is that they were open to the public. These libraries were places where ideas were exchanged and where scholarship and reading were considered both pleasurable and beneficial to the mind and soul. As freethinking was a hallmark of the age, many libraries contained a wide range of writers. Classical texts could be found alongside humanist writings. These informal associations of intellectuals profoundly influenced Renaissance culture. Some of the richest "bibliophiles" built libraries as temples to books and knowledge. A number of libraries appeared as manifestations of immense wealth joined with a love of books. In some cases, cultivated library builders were

also committed to offering others the opportunity to use their collections. Prominent aristocrats and princes of the Church created great libraries for the use of their courts, called "court libraries", and were housed in lavishly designed monumental buildings decorated with ornate woodwork, and the walls adorned with frescoes (Murray, Stuart A.P.)

- **Art**

Main articles: Renaissance art, Italian Renaissance painting, Themes in Italian Renaissance painting, Early Netherlandish painting, and Renaissance architecture

See also: Islamic influences on Western art

Renaissance art marks a cultural rebirth at the close of the Middle Ages and rise of the Modern world. One of the distinguishing features of Renaissance art was its development of highly realistic linear perspective. Giotto di Bondone (1267–1337) is credited with first treating a painting as a window into space, but it was not until the demonstrations of architect Filippo Brunelleschi (1377–1446) and the subsequent writings of Leon Battista Alberti (1404–1472) that perspective was formalized as an artistic technique.

The development of perspective was part of a wider trend towards realism in the arts. Painters developed other techniques, studying light, shadow, and, famously in the case of Leonardo da Vinci, human anatomy. Underlying these changes in artistic method was a renewed desire to depict the beauty of nature and to unravel the axioms of aesthetics, with the works of Leonardo, Michelangelo and Raphael representing artistic pinnacles that were much imitated by other artists.

Other notable artists include Sandro Botticelli, working for the Medici in Florence, Donatello, another Florentine, and Titian in Venice, among others.

In the Netherlands, a particularly vibrant artistic culture developed. The work of Hugo van der Goes and Jan van Eyck was particularly influential on the development of painting in Italy, both technically with the introduction of oil paint and canvas, and stylistically in terms of naturalism in representation. Later, the work of Pieter Brueghel the Elder would inspire artists to depict themes of everyday life.

In architecture, Filippo Brunelleschi was foremost in studying the remains of ancient classical buildings. With rediscovered knowledge from the 1st-century writer Vitruvius and the flourishing discipline of mathematics, Brunelleschi formulated the Renaissance style that emulated and improved on classical forms. His major feat of engineering was building the dome of the Florence Cathedral. Another building demonstrating this style is the church of St. Andrew in Mantua, built by Alberti. The outstanding architectural work of the High Renaissance was the rebuilding of St. Peter's Basilica, combining the skills of Bramante, Michelangelo, Raphael, Sangallo and Maderno.

During the Renaissance, architects aimed to use columns, pilasters, and entablatures as an integrated system. The Roman orders types of columns are used: Tuscan and Composite. These can either be structural, supporting an arcade or architrave, or purely decorative, set against a wall in the form of pilasters. One of the first buildings to use pilasters as an integrated system was in the Old Sacristy (1421–1440) by Brunelleschi. Arches, semi-circular or (in the Mannerist

style) segmental, are often used in arcades, supported on piers or columns with capitals. There may be a section of entablature between the capital and the springing of the arch. Alberti was one of the first to use the arch on a monumental. Renaissance vaults do not have ribs; they are semi-circular or segmental and on a square plan, unlike the Gothic vault, which is frequently rectangular.

Renaissance artists were not pagans, although they admired antiquity and kept some ideas and symbols of the medieval past. Nicola Pisano (c. 1220 – c. 1278) imitated classical forms by portraying scenes from the Bible. His *Annunciation*, from the Baptistery at Pisa, demonstrates that classical models influenced Italian art before the Renaissance took root as a literary movement

- **Science**

Applied innovation extended to commerce. At the end of the 15th century Luca Pacioli published the first work on bookkeeping, making him the founder of accounting.

The rediscovery of ancient texts and the invention of the printing press in about 1440 democratized learning and allowed a faster propagation of more widely distributed ideas. In the first period of the Italian Renaissance, humanists favoured the study of humanities over natural philosophy or applied mathematics, and their reverence for classical sources further enshrined the Aristotelian and Ptolemaic views of the universe. Writing around 1450, Nicholas Cusanus anticipated the heliocentric worldview of Copernicus, but in a philosophical fashion.



Science and art were intermingled in the early Renaissance, with polymath artists such as Leonardo da Vinci making observational drawings of anatomy and nature. Da Vinci set up controlled experiments in water flow, medical dissection, and systematic study of movement and aerodynamics, and he devised principles of research method that led Fritjof Capra to classify him as the "father of modern science". Other examples of Da Vinci's contribution during this period include machines designed to saw marbles and lift monoliths, and new discoveries in acoustics, botany, geology, anatomy, and mechanics.

A suitable environment had developed to question classical scientific doctrine. The discovery in 1492 of the New World by Christopher Columbus challenged the classical worldview. The works of Ptolemy (in geography) and Galen (in medicine) were found to not always match everyday observations. As the Protestant Reformation and Counter-Reformation clashed, the Northern Renaissance showed a decisive shift in focus from Aristotelean natural philosophy to chemistry and the biological sciences (botany, anatomy, and medicine). The willingness to question previously held truths and search for new answers resulted in a period of major scientific advancements.

Some view this as a "scientific revolution", heralding the beginning of the modern age, others as an acceleration of a continuous process stretching from the ancient world to the present day. Significant scientific advances were made during this time by Galileo Galilei, Tycho Brahe, and Johannes Kepler. Copernicus, in *De revolutionibus orbium coelestium* (*On the Revolutions of the Heavenly Spheres*), posited that the Earth moved around the Sun. *De humani corporis fabrica* (*On the*

*Workings of the Human Body*) by Andreas Vesalius, gave a new confidence to the role of dissection, observation, and the mechanistic view of anatomy.

Another important development was in the *process* for discovery, the scientific method, focusing on empirical evidence and the importance of mathematics, while discarding much of Aristotelian science. Early and influential proponents of these ideas included Copernicus, Galileo, and Francis Bacon. The new scientific method led to great contributions in the fields of astronomy, physics, biology, and anatomy.

- **Navigation and geography**

During the Renaissance, extending from 1450 to 1650, every continent was visited and mostly mapped by Europeans, except the south polar continent now known as Antarctica. This development is depicted in the large world map *Nova Totius Terrarum Orbis Tabula* made by the Dutch cartographer Joan Blaeu in 1648 to commemorate the Peace of Westphalia.

In 1492, Christopher Columbus sailed across the Atlantic Ocean from Spain seeking a direct route to India of the Delhi Sultanate. He accidentally stumbled upon the Americas, but believed he had reached the East Indies.

In 1606, the Dutch navigator Willem Janszoon sailed from the East Indies in the VOC ship *Duyfken* and landed in Australia. He charted about 300 km of the west coast of Cape York Peninsula in Queensland. More than thirty Dutch expeditions followed, mapping sections of the north, west, and south coasts. In 1642–1643, Abel Tasman circumnavigated the

continent, proving that it was not joined to the imagined south polar continent.

By 1650, Dutch cartographers had mapped most of the coastline of the continent, which they named New Holland, except the east coast which was charted in 1770 by Captain Cook.

The long-imagined south polar continent was eventually sighted in 1820. Throughout the Renaissance it had been known as Terra Australis, or 'Australia' for short. However, after that name was transferred to New Holland in the nineteenth century, the new name of 'Antarctica' was bestowed on the south polar continent.

- **Music**

From this changing society emerged a common, unifying musical language, in particular the polyphonic style of the Franco-Flemish school. The development of printing made distribution of music possible on a wide scale. Demand for music as entertainment and as an activity for educated amateurs increased with the emergence of a bourgeois class. Dissemination of chansons, motets, and masses throughout Europe coincided with the unification of polyphonic practice into the fluid style that culminated in the second half of the sixteenth century in the work of composers such as Palestrina, Lassus, Victoria, and William Byrd.

- **Religion**

The new ideals of humanism, although more secular in some aspects, developed against a Christian backdrop, especially in

the Northern Renaissance. Much, if not most, of the new art was commissioned by or in dedication to the Church. However, the Renaissance had a profound effect on contemporary theology, particularly in the way people perceived the relationship between man and God. Many of the period's foremost theologians were followers of the humanist method, including Erasmus, Zwingli, Thomas More, Martin Luther, and John Calvin.

The Renaissance began in times of religious turmoil. The late Middle Ages was a period of political intrigue surrounding the Papacy, culminating in the Western Schism, in which three men simultaneously claimed to be true Bishop of Rome. While the schism was resolved by the Council of Constance (1414), a resulting reform movement known as Conciliarism sought to limit the power of the pope.

Although the papacy eventually emerged supreme in ecclesiastical matters by the Fifth Council of the Lateran (1511), it was dogged by continued accusations of corruption, most famously in the person of Pope Alexander VI, who was accused variously of simony, nepotism, and fathering four children (most of whom were married off, presumably for the consolidation of power) while a cardinal.

Churchmen such as Erasmus and Luther proposed reform to the Church, often based on humanist textual criticism of the New Testament. In October 1517 Luther published the 95 Theses, challenging papal authority and criticizing its perceived corruption, particularly with regard to instances of sold indulgences. The 95 Theses led to the Reformation, a break with the Roman Catholic Church that previously claimed

hegemony in Western Europe. Humanism and the Renaissance therefore played a direct role in sparking the Reformation, as well as in many other contemporaneous religious debates and conflicts.

Pope Paul III came to the papal throne (1534–1549) after the sack of Rome in 1527, with uncertainties prevalent in the Catholic Church following the Protestant Reformation. Nicolaus Copernicus dedicated *De revolutionibus orbium coelestium* (On the Revolutions of the Celestial Spheres) to Paul III, who became the grandfather of Alessandro Farnese (cardinal), who had paintings by Titian,

Michelangelo, and Raphael, as well as an important collection of drawings, and who commissioned the masterpiece of Giulio Clovio, arguably the last major illuminated manuscript, the *Farnese Hours*.

- **Self-awareness**

By the 15th century, writers, artists, and architects in Italy were well aware of the transformations that were taking place and were using phrases such as *modi antichi* (in the antique manner) or *alle romana et alla antica* (in the manner of the Romans and the ancients) to describe their work. In the 1330s Petrarch referred to pre-Christian times as *antiqua* (ancient) and to the Christian period as *nova* (new). From Petrarch's Italian perspective, this new period (which included his own time) was an age of national eclipse.

Leonardo Bruni was the first to use tripartite periodization in his *History of the Florentine People* (1442). Bruni's first two periods were based on those of Petrarch, but he added a third

period because he believed that Italy was no longer in a state of decline. Flavio Biondo used a similar framework in *Decades of History from the Deterioration of the Roman Empire* (1439–1453).

Humanist historians argued that contemporary scholarship restored direct links to the classical period, thus bypassing the Medieval period, which they then named for the first time the "Middle Ages".

The term first appears in Latin in 1469 as *media tempestas* (middle times). The term *rinascita* (rebirth) first appeared, however, in its broad sense in Giorgio Vasari's *Lives of the Artists*, 1550, revised 1568. Vasari divides the age into three phases: the first phase contains Cimabue, Giotto, and Arnolfo di Cambio; the second phase contains Masaccio, Brunelleschi, and Donatello; the third centers on Leonardo da Vinci and culminates with Michelangelo. It was not just the growing awareness of classical antiquity that drove this development, according to Vasari, but also the growing desire to study and imitate nature.

## • **Spread**

In the 15th century, the Renaissance spread rapidly from its birthplace in Florence to the rest of Italy and soon to the rest of Europe. The invention of the printing press by German printer Johannes Gutenberg allowed the rapid transmission of these new ideas. As it spread, its ideas diversified and changed, being adapted to local culture. In the 20th century, scholars began to break the Renaissance into regional and national movements.

- **England**

In England, the sixteenth century marked the beginning of the English Renaissance with the work of writers William Shakespeare (1564 –1616), Christopher Marlowe (1564 – 1593), Edmund Spenser (1552/1553 – 1599), Sir Thomas More (1478 – 1535), Francis Bacon (1561 – 1626), Sir Philip Sidney (1554 – 1586), architects (such as Inigo Jones (1573 – 1652), who introduced Italianate architecture to England), and composers such as Thomas Tallis (1505 – 1585), John Taverner (c. 1490 – 1545), and William Byrd (c.1539/40 or 1543 – 1623).

- **France**

The word "Renaissance" is borrowed from the French language, where it means "re-birth". It was first used in the eighteenth century and was later popularized by French historian Jules Michelet (1798–1874) in his 1855 work, *Histoire de France* (History of France).

In 1495 the Italian Renaissance arrived in France, imported by King Charles VIII after his invasion of Italy. A factor that promoted the spread of secularism was the inability of the Church to offer assistance against the Black Death. Francis I imported Italian art and artists, including Leonardo da Vinci, and built ornate palaces at great expense. Writers such as François Rabelais, Pierre de Ronsard, Joachim du Bellay, and Michel de Montaigne, painters such as Jean Clouet, and musicians such as Jean Mouton also borrowed from the spirit of the Renaissance.

In 1533, a fourteen-year-old Caterina de' Medici (1519–1589), born in Florence to Lorenzo de' Medici, Duke of Urbino and

Madeleine de la Tour d'Auvergne, married Henry II of France, second son of King Francis I and Queen Claude. Though she became famous and infamous for her role in France's religious wars, she made a direct contribution in bringing arts, sciences, and music (including the origins of ballet) to the French court from her native Florence.

- **Germany**

In the second half of the 15th century, the Renaissance spirit spread to Germany and the Low Countries, where the development of the printing press (ca. 1450) and Renaissance artists such as Albrecht Dürer (1471–1528) predated the influence from Italy. In the early Protestant areas of the country humanism became closely linked to the turmoil of the Protestant Reformation, and the art and writing of the German Renaissance frequently reflected this dispute. However, the Gothic style and medieval scholastic philosophy remained exclusively until the turn of the 16th century. Emperor Maximilian I of Habsburg (ruling 1493–1519) was the first truly Renaissance monarch of the Holy Roman Empire.

- **Hungary**

After Italy, Hungary was the first European country where the Renaissance appeared. The Renaissance style came directly from Italy during the Quattrocento to Hungary first in the Central European region, thanks to the development of early Hungarian-Italian relationships—not only in dynastic connections, but also in cultural, humanistic and commercial relations—growing in strength from the 14th century. The relationship between Hungarian and Italian Gothic styles was a



second reason—exaggerated breakthrough of walls is avoided, preferring clean and light structures. Large-scale building schemes provided ample and long term work for the artists, for example, the building of the Friss (New) Castle in Buda, the castles of Visegrád, Tata, and Várpalota. In Sigismund's court there were patrons such as Pipo Spano, a descendant of the Scolari family of Florence, who invited Manetto Ammanatini and Masolino da Pannicale to Hungary.

The new Italian trend combined with existing national traditions to create a particular local Renaissance art. Acceptance of Renaissance art was furthered by the continuous arrival of humanist thought in the country. Many young Hungarians studying at Italian universities came closer to the Florentine humanist center, so a direct connection with Florence evolved. The growing number of Italian traders moving to Hungary, specially to Buda, helped this process. New thoughts were carried by the humanist prelates, among them Vitéz János, archbishop of Esztergom, one of the founders of Hungarian humanism. During the long reign of emperor Sigismund of Luxemburg the Royal Castle of Buda became probably the largest Gothic palace of the late Middle Ages. King Matthias Corvinus (r. 1458–1490) rebuilt the palace in early Renaissance style and further expanded it.

After the marriage in 1476 of King Matthias to Beatrice of Naples, Buda became one of the most important artistic centres of the Renaissance north of the Alps. The most important humanists living in Matthias' court were Antonio Bonfini and the famous Hungarian poet Janus Pannonius. András Hess set up a printing press in Buda in 1472. Matthias Corvinus's library, the Bibliotheca Corviniana, was Europe's

greatest collections of secular books: historical chronicles, philosophic and scientific works in the 15th century. His library was second only in size to the Vatican Library. (However, the Vatican Library mainly contained Bibles and religious materials.) In 1489, Bartolomeo della Fonte of Florence wrote that Lorenzo de' Medici founded his own Greek-Latin library encouraged by the example of the Hungarian king. Corvinus's library is part of UNESCO World Heritage.

Matthias started at least two major building projects. The works in Buda and Visegrád began in about 1479. Two new wings and a hanging garden were built at the royal castle of Buda, and the palace at Visegrád was rebuilt in Renaissance style. Matthias appointed the Italian Chimenti Camicia and the Dalmatian Giovanni Dalmata to direct these projects. Matthias commissioned the leading Italian artists of his age to embellish his palaces: for instance, the sculptor Benedetto da Majano and the painters Filippino Lippi and Andrea Mantegna worked for him. A copy of Mantegna's portrait of Matthias survived. Matthias also hired the Italian military engineer Aristotele Fioravanti to direct the rebuilding of the forts along the southern frontier. He had new monasteries built in Late Gothic style for the Franciscans in Kolozsvár, Szeged and Hunyad, and for the Paulines in Fejéregyháza. In the spring of 1485, Leonardo da Vinci travelled to Hungary on behalf of Sforza to meet king Matthias Corvinus, and was commissioned by him to paint a Madonna.

Matthias enjoyed the company of Humanists and had lively discussions on various topics with them. The fame of his magnanimity encouraged many scholars—mostly Italian—to settle in Buda. Antonio Bonfini, Pietro Ranzano, Bartolomeo

Fonzio, and Francesco Bandini spent many years in Matthias's court. This circle of educated men introduced the ideas of Neoplatonism to Hungary. Like all intellectuals of his age, Matthias was convinced that the movements and combinations of the stars and planets exercised influence on individuals' life and on the history of nations. Galeotto Marzio described him as "king and astrologer", and Antonio Bonfini said Matthias "never did anything without consulting the stars".

Upon his request, the famous astronomers of the age, Johannes Regiomontanus and Marcin Bylica, set up an observatory in Buda and installed it with astrolabes and celestial globes. Regiomontanus dedicated his book on navigation that was used by Christopher Columbus to Matthias.

Other important figures of Hungarian Renaissance include Bálint Balassi (poet), Sebestyén Tinódi Lantos (poet), Bálint Bakfark (composer and lutenist), and Master MS (fresco painter).

- **Renaissance in the Low countries**

Culture in the Netherlands at the end of the 15th century was influenced by the Italian Renaissance through trade via Bruges, which made Flanders wealthy. Its nobles commissioned artists who became known across Europe. In science, the anatomist Andreas Vesalius led the way; in cartography, Gerardus Mercator's map assisted explorers and navigators. In art, Dutch and Flemish Renaissance painting ranged from the strange work of Hieronymus Bosch to the everyday life depictions of Pieter Brueghel the Elder.

- **Northern Europe**

The Renaissance in Northern Europe has been termed the "Northern Renaissance". While Renaissance ideas were moving north from Italy, there was a simultaneous southward spread of some areas of innovation, particularly in music. The music of the 15th-century Burgundian School defined the beginning of the Renaissance in music, and the polyphony of the Netherlanders, as it moved with the musicians themselves into Italy, formed the core of the first true international style in music since the standardization of Gregorian Chant in the 9th century. The culmination of the Netherlandish school was in the music of the Italian composer Palestrina. At the end of the 16th century Italy again became a center of musical innovation, with the development of the polychoral style of the Venetian School, which spread northward into Germany around 1600.

The paintings of the Italian Renaissance differed from those of the Northern Renaissance. Italian Renaissance artists were among the first to paint secular scenes, breaking away from the purely religious art of medieval painters. Northern Renaissance artists initially remained focused on religious subjects, such as the contemporary religious upheaval portrayed by Albrecht Dürer. Later, the works of Pieter Bruegel influenced artists to paint scenes of daily life rather than religious or classical themes. It was also during the Northern Renaissance that Flemish brothers Hubert and Jan van Eyck perfected the oil painting technique, which enabled artists to produce strong colors on a hard surface that could survive for centuries. A feature of the Northern Renaissance was its use of the vernacular in place of Latin or Greek, which allowed

greater freedom of expression. This movement had started in Italy with the decisive influence of Dante Alighieri on the development of vernacular languages; in fact the focus on writing in Italian has neglected a major source of Florentine ideas expressed in Latin. The spread of the printing press technology boosted the Renaissance in Northern Europe as elsewhere, with Venice becoming a world center of printing.

- **Poland**

An early Italian humanist who came to Poland in the mid-15th century was Filippo Buonaccorsi. Many Italian artists came to Poland with Bona Sforza of Milan, when she married King Sigismund I the Old in 1518. This was supported by temporarily strengthened monarchies in both areas, as well as by newly established universities. The Polish Renaissance lasted from the late 15th to the late 16th century and was the Golden Age of Polish culture. Ruled by the Jagiellon dynasty, the Kingdom of Poland (from 1569 known as the Polish-Lithuanian Commonwealth) actively participated in the broad European Renaissance.

The multi-national Polish state experienced a substantial period of cultural growth thanks in part to a century without major wars – aside from conflicts in the sparsely populated eastern and southern borderlands. The Reformation spread peacefully throughout the country (giving rise to the Polish Brethren), while living conditions improved, cities grew, and exports of agricultural products enriched the population, especially the nobility (*szlachta*) who gained dominance in the new political system of Golden Liberty. The Polish Renaissance architecture has three periods of development.

The greatest monument of this style in the territory of the former Duchy of Pomerania is the Ducal Castle in Szczecin.

- **Portugal**

Although Italian Renaissance had a modest impact in Portuguese arts, Portugal was influential in broadening the European worldview, stimulating humanist inquiry. Renaissance arrived through the influence of wealthy Italian and Flemish merchants who invested in the profitable commerce overseas. As the pioneer headquarters of European exploration, Lisbon flourished in the late 15th century, attracting experts who made several breakthroughs in mathematics, astronomy and naval technology, including Pedro Nunes, João de Castro, Abraham Zacuto and Martin Behaim. Cartographers Pedro Reinel, Lopo Homem, Estêvão Gomes and Diogo Ribeiro made crucial advances in mapping the world. Apothecary Tomé Pires and physicians Garcia de Orta and Cristóvão da Costa collected and published works on plants and medicines, soon translated by Flemish pioneer botanist Carolus Clusius.

In architecture, the huge profits of the spice trade financed a sumptuous composite style in the first decades of the 16th century, the Manueline, incorporating maritime elements. The primary painters were Nuno Gonçalves, Gregório Lopes and Vasco Fernandes. In music, Pedro de Escobar and Duarte Lobo produced four songbooks, including the *Cancioneiro de Elvas*. In literature, Sá de Miranda introduced Italian forms of verse. Bernardim Ribeiro developed pastoral romance, plays by Gil Vicente fused it with popular culture, reporting the changing times, and Luís de Camões inscribed the Portuguese feats

overseas in the epic poem *Os Lusíadas*. Travel literature especially flourished: João de Barros, Castanheda, António Galvão, Gaspar Correia, Duarte Barbosa, and Fernão Mendes Pinto, among others, described new lands and were translated and spread with the new printing press. After joining the Portuguese exploration of Brazil in 1500, Amerigo Vespucci coined the term New World, in his letters to Lorenzo di Pierfrancesco de' Medici.

The intense international exchange produced several cosmopolitan humanist scholars, including Francisco de Holanda, André de Resende and Damião de Góis, a friend of Erasmus who wrote with rare independence on the reign of King Manuel I. Diogo and André de Gouveia made relevant teaching reforms via France. Foreign news and products in the Portuguese factory in Antwerp attracted the interest of Thomas More and Albrecht Dürer to the wider world. There, profits and know-how helped nurture the Dutch Renaissance and Golden Age, especially after the arrival of the wealthy cultured Jewish community expelled from Portugal.

- **Russia**

There was no Renaissance in Russia in the original sense of the term. Renaissance trends from Italy and Central Europe influenced Russia in many ways. Their influence was rather limited, however, due to the large distances between Russia and the main European cultural centers and the strong adherence of Russians to their Orthodox traditions and Byzantine legacy.

Prince Ivan III introduced Renaissance architecture to Russia by inviting a number of architects from Italy, who brought new

construction techniques and some Renaissance style elements with them, while in general following the traditional designs of Russian architecture. In 1475 the Bolognese architect Aristotele Fioravanti came to rebuild the Cathedral of the Dormition in the Moscow Kremlin, which had been damaged in an earthquake. Fioravanti was given the 12th-century Vladimir Cathedral as a model, and he produced a design combining traditional Russian style with a Renaissance sense of spaciousness, proportion and symmetry.

In 1485 Ivan III commissioned the building of the royal residence, Terem Palace, within the Kremlin, with Aloisio da Milano as the architect of the first three floors. He and other Italian architects also contributed to the construction of the Kremlin walls and towers. The small banquet hall of the Russian Tsars, called the Palace of Facets because of its faceted upper story, is the work of two Italians, Marco Ruffo and Pietro Solario, and shows a more Italian style. In 1505, an Italian known in Russia as Aleviz Novyi or Aleviz Fryazin arrived in Moscow. He may have been the Venetian sculptor, Alevisio Lamberti da Montagne. He built twelve churches for Ivan III, including the Cathedral of the Archangel, a building remarkable for the successful blending of Russian tradition, Orthodox requirements and Renaissance style. It is believed that the Cathedral of the Metropolitan Peter in Vysokopetrovsky Monastery, another work of Aleviz Novyi, later served as an inspiration for the so-called *octagon-on-tetragon* architectural form in the Moscow Baroque of the late 17th century.

Between the early 16th and the late 17th centuries, an original tradition of stone tented roof architecture developed in Russia.



It was quite unique and different from the contemporary Renaissance architecture elsewhere in Europe, though some research terms the style 'Russian Gothic' and compares it with the European Gothic architecture of the earlier period. The Italians, with their advanced technology, may have influenced the invention of the stone tented roof (the wooden tents were known in Russia and Europe long before). According to one hypothesis, an Italian architect called Petrok Maly may have been an author of the Ascension Church in Kolomenskoye, one of the earliest and most prominent tented roof churches.

By the 17th century the influence of Renaissance painting resulted in Russian icons becoming slightly more realistic, while still following most of the old icon painting canons, as seen in the works of Bogdan Saltanov, Simon Ushakov, Gury Nikitin, Karp Zolotaryov, and other Russian artists of the era. Gradually the new type of secular portrait painting appeared, called *parsúna* (from "persona" – person), which was transitional style between abstract iconographics and real paintings.

In the mid 16th-century Russians adopted printing from Central Europe, with Ivan Fyodorov being the first known Russian printer. In the 17th century printing became widespread, and woodcuts became especially popular. That led to the development of a special form of folk art known as lubok printing, which persisted in Russia well into the 19th century.

A number of technologies from the European Renaissance period were adopted by Russia rather early and subsequently perfected to become a part of a strong domestic tradition. Mostly these were military technologies, such as cannoncasting

adopted by at least the 15th century. The Tsar Cannon, which is the world's largest bombard by caliber, is a masterpiece of Russian cannon making. It was cast in 1586 by Andrey Chokhov and is notable for its rich, decorative relief. Another technology, that according to one hypothesis originally was brought from Europe by the Italians, resulted in the development of vodka, the national beverage of Russia. As early as 1386 Genoese ambassadors brought the first aqua vitae ("water of life") to Moscow and presented it to Grand Duke Dmitry Donskoy. The Genoese likely developed this beverage with the help of the alchemists of Provence, who used an Arab-invented distillation apparatus to convert grape must into alcohol. A Moscovite monk called Isidore used this technology to produce the first original Russian vodka c. 1430.

- **Spain**

The Renaissance arrived in the Iberian peninsula through the Mediterranean possessions of the Aragonese Crown and the city of Valencia. Many early Spanish Renaissance writers come from the Kingdom of Aragon, including Ausiàs March and Joanot Martorell. In the Kingdom of Castile, the early Renaissance was heavily influenced by the Italian humanism, starting with writers and poets such as the Marquis of Santillana, who introduced the new Italian poetry to Spain in the early 15th century. Other writers, such as Jorge Manrique, Fernando de Rojas, Juan del Encina, Juan Boscán Almogáver, and Garcilaso de la Vega, kept a close resemblance to the Italian canon. Miguel de Cervantes's masterpiece *Don Quixote* is credited as the first Western novel. Renaissance humanism flourished in the early 16th century, with influential writers

such as philosopher Juan Luis Vives, grammarian Antonio de Nebrija and natural historian Pedro de Mexía.

Later Spanish Renaissance tended towards religious themes and mysticism, with poets such as fray Luis de León, Teresa of Ávila, and John of the Cross, and treated issues related to the exploration of the New World, with chroniclers and writers such as Inca Garcilaso de la Vega and Bartolomé de las Casas, giving rise to a body of work, now known as Spanish Renaissance literature.

The late Renaissance in Spain produced artists such as El Greco and composers such as Tomás Luis de Victoria and Antonio de Cabezón.

### **Further countries**

- Renaissance in Croatia
- Renaissance in Scotland

### **Historiography**

- Conception

The Italian artist and critic Giorgio Vasari (1511–1574) first used the term *rinascita* in his book *The Lives of the Artists* (published 1550). In the book Vasari attempted to define what he described as a break with the barbarities of Gothic art: the arts (he held) had fallen into decay with the collapse of the Roman Empire and only the Tuscan artists, beginning with Cimabue (1240–1301) and Giotto (1267–1337) began to reverse this decline in the arts. Vasari saw ancient art as central to the rebirth of Italian art.

However, only in the 19th century did the French word *renaissance* achieve popularity in describing the self-conscious cultural movement based on revival of Roman models that began in the late 13th century. French historian Jules Michelet (1798–1874) defined "The Renaissance" in his 1855 work *Histoire de France* as an entire historical period, whereas previously it had been used in a more limited sense. For Michelet, the Renaissance was more a development in science than in art and culture. He asserted that it spanned the period from Columbus to Copernicus to Galileo; that is, from the end of the 15th century to the middle of the 17th century. Moreover, Michelet distinguished between what he called, "the bizarre and monstrous" quality of the Middle Ages and the democratic values that he, as a vocal Republican, chose to see in its character. A French nationalist, Michelet also sought to claim the Renaissance as a French movement.

The Swiss historian Jacob Burckhardt (1818–1897) in his *The Civilization of the Renaissance in Italy* (1860), by contrast, defined the Renaissance as the period between Giotto and Michelangelo in Italy, that is, the 14th to mid-16th centuries. He saw in the Renaissance the emergence of the modern spirit of individuality, which the Middle Ages had stifled. His book was widely read and became influential in the development of the modern interpretation of the Italian Renaissance. However, Buckhardt has been accused of setting forth a linear Whiggish view of history in seeing the Renaissance as the origin of the modern world.

More recently, some historians have been much less keen to define the Renaissance as a historical age, or even as a

coherent cultural movement. The historian Randolph Starn, of the University of California Berkeley, stated in 1998:

Rather than a period with definitive beginnings and endings and consistent content in between, the Renaissance can be (and occasionally has been) seen as a movement of practices and ideas to which specific groups and identifiable persons variously responded in different times and places. It would be in this sense a network of diverse, sometimes converging, sometimes conflicting cultures, not a single, time-bound culture.

- **Debates about progress**

There is debate about the extent to which the Renaissance improved on the culture of the Middle Ages. Both Michelet and Burckhardt were keen to describe the progress made in the Renaissance towards the modern age. Burckhardt likened the change to a veil being removed from man's eyes, allowing him to see clearly.

In the Middle Ages both sides of human consciousness – that which was turned within as that which was turned without – lay dreaming or half awake beneath a common veil. The veil was woven of faith, illusion, and childish prepossession, through which the world and history were seen clad in strange hues.

—□ *Jacob Burckhardt, The Civilization of the Renaissance in Italy*

On the other hand, many historians now point out that most of the negative social factors popularly associated with the

medieval period—poverty, warfare, religious and political persecution, for example—seem to have worsened in this era, which saw the rise of Machiavellian politics, the Wars of Religion, the corrupt BorgiaPopes, and the intensified witch hunts of the 16th century. Many people who lived during the Renaissance did not view it as the "golden age" imagined by certain 19th-century authors, but were concerned by these social maladies. Significantly, though, the artists, writers, and patrons involved in the cultural movements in question believed they were living in a new era that was a clean break from the Middle Ages. Some Marxist historians prefer to describe the Renaissance in material terms, holding the view that the changes in art, literature, and philosophy were part of a general economic trend from feudalism towards capitalism, resulting in a bourgeois class with leisure time to devote to the arts.

Johan Huizinga (1872–1945) acknowledged the existence of the Renaissance but questioned whether it was a positive change. In his book *The Autumn of the Middle Ages*, he argued that the Renaissance was a period of decline from the High Middle Ages, destroying much that was important. The Latin language, for instance, had evolved greatly from the classical period and was still a living language used in the church and elsewhere. The Renaissance obsession with classical purity halted its further evolution and saw Latin revert to its classical form. Robert S. Lopez has contended that it was a period of deep economic recession. Meanwhile, George Sarton and Lynn Thorndike have both argued that scientific progress was perhaps less original than has traditionally been supposed. Finally, Joan Kelly argued that the Renaissance led to greater gender dichotomy, lessening the agency women had had during the Middle Ages.

Some historians have begun to consider the word *Renaissance* to be unnecessarily loaded, implying an unambiguously positive rebirth from the supposedly more primitive "Dark Ages", the Middle Ages. Most historians now prefer to use the term "early modern" for this period, a more neutral designation that highlights the period as a transitional one between the Middle Ages and the modern era. Others such as Roger Osborne have come to consider the Italian Renaissance as a repository of the myths and ideals of western history in general, and instead of rebirth of ancient ideas as a period of great innovation.

The art historian Erwin Panofsky observed of this resistance to the concept of "Renaissance":

It is perhaps no accident that the factuality of the Italian Renaissance has been most vigorously questioned by those who are not obliged to take a professional interest in the aesthetic aspects of civilization – historians of economic and social developments, political and religious situations, and, most particularly, natural science – but only exceptionally by students of literature and hardly ever by historians of Art.

## • **Other Renaissances**

The term *Renaissance* has also been used to define periods outside of the 15th and 16th centuries. Charles H. Haskins (1870–1937), for example, made a case for a Renaissance of the 12th century. Other historians have argued for a Carolingian Renaissance in the 8th and 9th centuries, Ottonian Renaissance in the 10th century and for the Timurid

Renaissance of the 14th century. The Islamic Golden Age has been also sometimes termed with the Islamic Renaissance.

Other periods of cultural rebirth have also been termed "renaissances", such as the Bengal Renaissance, Tamil Renaissance, Nepal Bhasa renaissance, al-Nahda or the Harlem Renaissance. The term can also be used in cinema. In animation, the Disney Renaissance is a period that spanned the years from 1989 to 1999 which saw the studio return to the level of quality not witnessed since their Golden Age or Animation. The San Francisco Renaissance was a vibrant period of exploratory poetry and fiction writing in that city in the mid-20th century.



## Chapter 2

# Italian Renaissance

- The **Italian Renaissance** was a period in Italian history that covered the 14th through the 17th centuries. The period is known for the development of a culture that spread across Europe and marked the transition from the Middle Ages to modernity. Proponents of a "long Renaissance" argue that it started around the year 1300 and lasted until about 1600. In some fields, a Proto-Renaissance, beginning around 1250, is typically accepted. The French word *renaissance* (*rinascimento* in Italian) means "rebirth", and defines the period as one of cultural revival and renewed interest in classical antiquity after the centuries during what Renaissance humanists labeled as the "Dark Ages". The Renaissance author Giorgio Vasari used the term "Rebirth" in his *Lives of the Most Excellent Painters, Sculptors, and Architects* in 1550, but the concept became widespread only in the 19th century, after the work of scholars such as Jules Michelet and Jacob Burckhardt.

The Renaissance began in Tuscany in Central Italy and centred in the city of Florence. The Florentine Republic, one of the several city-states of the peninsula, rose to economic and political prominence by providing credit for European monarchs and by laying down the groundwork and foundation in capitalism and in banking. Renaissance culture later spread to Venice, heart of a Mediterranean empire and in control of the trade routes with the east since its participation in the

crusades and following the journeys of Marco Polo between 1271 and 1295. Thus Italy renewed contact with antiquity which provided humanist scholars with new texts. Finally the Renaissance had a significant effect on the Papal States and on Rome, largely rebuilt by humanist and Renaissance popes such as Alexander VI (r. 1492–1503) and Julius II (r. 1503–1513), who frequently became involved in Italian politics, in arbitrating disputes between competing colonial powers and in opposing the Protestant Reformation which started c. 1517.

The Italian Renaissance has a reputation for its achievements in painting, architecture, sculpture, literature, music, philosophy, science, technology, and exploration. Italy became the recognized European leader in all these areas by the late 15th century, during the era of the Peace of Lodi (1454–1494) agreed between Italian states.

The Italian Renaissance peaked in the mid-16th century as domestic disputes and foreign invasions plunged the region into the turmoil of the Italian Wars (1494–1559). However, the ideas and ideals of the Italian Renaissance spread into the rest of Europe, setting off the Northern Renaissance from the late 15th century. Italian explorers from the maritime republics served under the auspices of European monarchs, ushering in the Age of Discovery.

The most famous among them include Christopher Columbus (who sailed for Spain), Giovanni da Verrazzano (for France), Amerigo Vespucci (for Portugal), and John Cabot (for England). Italian scientists such as Falloppio, Tartaglia, Galileo and Torricelli played key roles in the scientific revolution, and foreigners such as Copernicus and Vesalius worked in Italian

universities. Historiographers have proposed various events and dates of the 17th century, such as the conclusion of the European Wars of Religion in 1648, as marking the end of the Renaissance.

Accounts of Renaissance literature usually begin with the three great Italian writers of the 14th century: Dante Alighieri (*Divine Comedy*), Petrarch (*Canzoniere*), and Boccaccio (*Decameron*). Famous vernacular poets of the Renaissance include the epic authors Luigi Pulci (author of *Morgante*), Matteo Maria Boiardo (*Orlando Innamorato*), Ludovico Ariosto (*Orlando Furioso*), and Torquato Tasso (*Jerusalem Delivered*, 1581). 15th-century writers such as the poet Poliziano (1454-1494) and the Platonist philosopher Marsilio Ficino (1433-1499) made extensive translations from both Latin and Greek. In the early-16th century, Baldassare Castiglione laid out his vision of the ideal gentleman and lady in *The Book of the Courtier* (1528), while Niccolò Machiavelli (1469-1527) cast a jaundiced eye on "*la verità effettuale della cosa*"—the effectual truth of things—in *The Prince*, composed, in humanistic style, chiefly of parallel ancient and modern examples of virtù. Historians of the period include Machiavelli himself, his friend and critic Francesco Guicciardini (1483-1540) and Giovanni Botero (*The Reason of State*, 1589). The Aldine Press, founded in 1494 by the printer Aldo Manuzio, active in Venice, developed Italic type and pocket editions that one could carry in one's pocket; it became the first to publish printed editions of books in Ancient Greek. Venice also became the birthplace of the *Commedia dell'Arte*.

Italian Renaissance art exercised a dominant influence on subsequent European painting and sculpture for centuries

afterwards, with artists such as Leonardo da Vinci (1452-1519), Michelangelo (1475-1564), Raphael (1483-1520), Donatello (c. 1386-1466), Giotto di Bondone (c. 1267-1337), Masaccio (1401-1428), Fra Angelico (c. 1395-1455), Piero della Francesca (c. 1415-1492), Domenico Ghirlandaio (1448-1494), Perugino (c. 1446-1523), Botticelli (c. 1445-1510), and Titian (c. 1488-1576). Italian Renaissance architecture had a similar Europe-wide impact, as practised by Brunelleschi (1377-1446), Leon Battista Alberti (1404-1472), Andrea Palladio (1508-1580), and Bramante (1444-1514). Their works include the Florence Cathedral (built from 1296 to 1436), St. Peter's Basilica (built 1506-1626) in Rome, and the Tempio Malatestiano (reconstructed from c. 1450) in Rimini, as well as several private residences. The musical era of the Italian Renaissance featured composers such as Giovanni Pierluigi da Palestrina (c. 1525-1594), the Roman School and later the Venetian School, and the birth of opera through figures like Claudio Monteverdi (1567-1643) in Florence. In philosophy, thinkers such as Galileo, Machiavelli, Giordano Bruno (1548-1600) and Pico della Mirandola (1463-1494) emphasized naturalism and humanism, thus rejecting dogma and scholasticism.

## **Origins and background**

### **Northern and Central Italy in the Late Middle Ages**

By the Late Middle Ages (circa 1300 onward), Latium, the former heartland of the Roman Empire, and southern Italy were generally poorer than the North. Rome became known as the city of the Vatican and the catholic church was part of the

Papal States were loosely administered, and vulnerable to external interference, particularly by France, and later Spain. The Papacy was affronted when the Avignon Papacy was created in southern France as a consequence of pressure from King Philip the Fair of France. In the south, Sicily had for some time been under foreign domination, by the Arabs and then the Normans. Sicily was occupied during that time by the Emirate of Sicily and later, for two centuries, the Norman Kingdom and the Hohenstaufen Kingdom, but had declined by the late Middle Ages.

In contrast, Northern and Central Italy had become far more prosperous, and it has been calculated that the region was among the richest of Europe. The Crusades had built lasting trade links to the Levant, and the Fourth Crusade had done much to destroy the Byzantine Roman Empire as a commercial rival to the Venetians and Genoese. With navigation, sea-going vessels and seaports, the main trade routes from the East now bypassed the Byzantine Empire or the Arab lands and onward to the ports of Genoa, Pisa, and Venice. Luxury goods bought in the Levant, such as spices, dyes, and silks were imported to Italy and then resold throughout Europe. Moreover, the inland city-states profited from the rich agricultural land of the Po valley. From France, Germany, and the Low Countries, through the medium of the Champagne fairs, land and river trade routes brought goods such as wool, wheat, and precious metals into the region. The extensive trade that stretched from the Far East, Egypt to the Baltic generated substantial surpluses that allowed significant investment in mining and agriculture. By the 14th century the city of Venice had become an emporium for lands as far as Cyprus; it boasted a Naval fleet of over 5000 ships thanks to its arsenal, a vast complex of shipyards that

was the first European facility to mass-produce commercial and military vessels. Genoa as well had become a maritime power, the level of development, stimulated by trade, allowed it to prosper. In particular, Florence became one of the wealthiest of the cities of Northern Italy, with mainly due to its woolen textile production, developed under the supervision of its dominant trade guild, the *Arte della Lana*. Wool was imported from Northern Europe (and in the 16th century from Spain) and together with dyes from the east were used to make high quality textiles.

The Italian trade routes that covered the Mediterranean and beyond were also major conduits of culture and knowledge. The ancient classics brought to Italy by those who migrated during and following the Ottoman conquest of the Byzantine Empire in the 15th century were important in sparking the new linguistic studies of the Renaissance, in newly created academies in Florence and Venice. Humanist scholars searched monastic libraries for ancient manuscripts and recovered Tacitus and other Latin authors. The rediscovery of Vitruvius meant that the architectural principles of Antiquity could be observed once more, and Renaissance artists were encouraged, in the atmosphere of humanist optimism, to excel the achievements of the Ancients, like Apelles, of whom they read.

## **Religious background**

After the destruction of the Roman Empire in the fifth century AD, the Roman Catholic Church rose to power in Europe. As the gatekeepers, their ruling power applied from the king to the common people. In the Middle Ages, the Church was considered to be conveying the will of God, and it regulated the

standard of behavior in life. A lack of literacy required most people to rely on the priest's explanation of the Bible and laws.

In the eleventh century, the Church persecuted many groups including pagans, Jews, and lepers in order to eliminate irregularities in society and strengthen its power. In response to the Laity's challenge to Church authority, bishops played an important role, as they gradually lost control of secular authority, and in order to regain the power of discourse, they adopted extreme control methods, such as persecuting infidels.

The Roman Church collected wealth from believers in the Middle Ages, such as the sale of indulgences. The Church accumulated wealth but did not pay taxes, making the Church's wealth even more than some kings.

### **Thirteenth-century**

In the 13th century, much of Europe experienced strong economic growth. The trade routes of the Italian states linked with those of established Mediterranean ports and eventually the Hanseatic League of the Baltic and northern regions of Europe to create a network economy in Europe for the first time since the 4th century. The city-states of Italy expanded greatly during this period and grew in power to become de facto fully independent of the Holy Roman Empire; apart from the Kingdom of Naples, outside powers kept their armies out of Italy.

During this period, the modern commercial infrastructure developed, with double-entry book-keeping, joint stock companies, an international banking system, a systematized foreign exchange market, insurance, and government debt.

Florence became the centre of this financial industry and the gold florin became the main currency of international trade.

The new mercantile governing class, who gained their position through financial skill, adapted to their purposes the feudal aristocratic model that had dominated Europe in the Middle Ages. A feature of the High Middle Ages in Northern Italy was the rise of the urban communes which had broken from the control by bishops and local counts. In much of the region, the landed nobility was poorer than the urban patriarchs in the High Medieval money economy whose inflationary rise left land-holding aristocrats impoverished. The increase in trade during the early Renaissance enhanced these characteristics. The decline of feudalism and the rise of cities influenced each other; for example, the demand for luxury goods led to an increase in trade, which led to greater numbers of tradesmen becoming wealthy, who, in turn, demanded more luxury goods. This atmosphere of assumed luxury of the time created a need for the creation of visual symbols of wealth, an important way to show a family's affluence and taste.

This change also gave the merchants almost complete control of the governments of the Italian city-states, again enhancing trade. One of the most important effects of this political control was security. Those that grew extremely wealthy in a feudal state ran constant risk of running afoul of the monarchy and having their lands confiscated, as famously occurred to Jacques Coeur in France. The northern states also kept many medieval laws that severely hampered commerce, such as those against usury, and prohibitions on trading with non-Christians. In the city-states of Italy, these laws were repealed or rewritten.



## Fourteenth-century collapse

- The 14th century saw a series of catastrophes that caused the European economy to go into recession. The Medieval Warm Period was ending as the transition to the Little Ice Age began. This change in climate saw agricultural output decline significantly, leading to repeated famines, exacerbated by the rapid population growth of the earlier era. The Hundred Years' War between England and France disrupted trade throughout northwest Europe, most notably when, in 1345, King Edward III of England repudiated his debts, contributing to the collapse of the two largest Florentine banks, those of the Bardi and Peruzzi. In the east, war was also disrupting trade routes, as the Ottoman Empire began to expand throughout the region. Most devastating, though, was the Black Death that decimated the populations of the densely populated cities of Northern Italy and returned at intervals thereafter. Florence, for instance, which had a pre-plague population of 45,000 decreased over the next 47 years by 25–50%. Widespread disorder followed, including a revolt of Florentine textile workers, the *ciompi*, in 1378.

It was during this period of instability that authors such as Dante and Petrarch lived, and the first stirrings of Renaissance art were to be seen, notably in the realism of Giotto. Paradoxically, some of these disasters would help establish the Renaissance. The Black Death wiped out a third of Europe's population. The resulting labour shortage increased wages and

the reduced population was therefore much wealthier, better fed, and, significantly, had more surplus money to spend on luxury goods. As incidences of the plague began to decline in the early 15th century, Europe's devastated population once again began to grow. The new demand for products and services also helped create a growing class of bankers, merchants, and skilled artisans. The horrors of the Black Death and the seeming inability of the Church to provide relief would contribute to a decline of church influence. Additionally, the collapse of the Bardi and Peruzzi banks would open the way for the Medici to rise to prominence in Florence. Roberto Sabatino Lopez argues that the economic collapse was a crucial cause of the Renaissance.

According to this view, in a more prosperous era, businessmen would have quickly reinvested their earnings in order to make more money in a climate favourable to investment. However, in the leaner years of the 14th century, the wealthy found few promising investment opportunities for their earnings and instead chose to spend more on culture and art.

Unlike with Roman texts, which had been preserved and studied in Western Europe since late antiquity, the study of ancient Greek texts was very limited in medieval Italy. Ancient Greek works on science, maths and philosophy had been studied since the High Middle Ages in Western Europe and in the Islamic Golden Age (normally in translation), but Greek literary, oratorical and historical works (such as Homer, the Greek dramatists, Demosthenes and Thucydides) were not studied in either the Latin or medieval Muslim worlds; in the Middle Ages these sorts of texts were only studied by Byzantine scholars. Some argue that the Timurid Renaissance in

Samarkand was linked with the Ottoman Empire, whose conquests led to the migration of Greek scholars to Italy. One of the greatest achievements of Italian Renaissance scholars was to bring this entire class of Greek cultural works back into Western Europe for the first time since late antiquity.

Another popular explanation for the Italian Renaissance is the thesis, first advanced by historian Hans Baron, that states that the primary impetus of the early Renaissance was the long-running series of wars between Florence and Milan. By the late 14th century,

Milan had become a centralized monarchy under the control of the Visconti family. Giangaleazzo Visconti, who ruled the city from 1378 to 1402, was renowned both for his cruelty and for his abilities, and set about building an empire in Northern Italy. He launched a long series of wars, with Milan steadily conquering neighbouring states and defeating the various coalitions led by Florence that sought in vain to halt the advance. This culminated in the 1402 siege of Florence, when it looked as though the city was doomed to fall, before Giangaleazzo suddenly died and his empire collapsed.

Baron's thesis suggests that during these long wars, the leading figures of Florence rallied the people by presenting the war as one between the free republic and a despotic monarchy, between the ideals of the Greek and Roman Republics and those of the Roman Empire and Medieval kingdoms. For Baron, the most important figure in crafting this ideology was Leonardo Bruni. This time of crisis in Florence was the period when the most influential figures of the early Renaissance were coming of age, such as Ghiberti, Donatello, Masolino, and

Brunelleschi. Inculcated with this republican ideology they later went on to advocate republican ideas that were to have an enormous impact on the Renaissance.

## **Development**

### **International relationships**

Northern Italy and upper Central Italy were divided into a number of warring city-states, the most powerful being Milan, Florence, Pisa, Siena, Genoa, Ferrara, Mantua, Verona and Venice. High Medieval Northern Italy was further divided by the long-running battle for supremacy between the forces of the Papacy and of the Holy Roman Empire: each city aligned itself with one faction or the other, yet was divided internally between the two warring parties, Guelfs and Ghibellines. Warfare between the states was common, invasion from outside Italy confined to intermittent sorties of Holy Roman Emperors. Renaissance politics developed from this background. Since the 13th century, as armies became primarily composed of mercenaries, prosperous city-states could field considerable forces, despite their low populations. In the course of the 15th century, the most powerful city-states annexed their smaller neighbors. Florence took Pisa in 1406, Venice captured Padua and Verona, while the Duchy of Milan annexed a number of nearby areas including Pavia and Parma.

The first part of the Renaissance saw almost constant warfare on land and sea as the city-states vied for preeminence. On land, these wars were primarily fought by armies of mercenaries known as *condottieri*, bands of soldiers drawn

from around Europe, but especially Germany and Switzerland, led largely by Italian captains. The mercenaries were not willing to risk their lives unduly, and war became one largely of sieges and maneuvering, occasioning few pitched battles. It was also in the interest of mercenaries on both sides to prolong any conflict, to continue their employment. Mercenaries were also a constant threat to their employers; if not paid, they often turned on their patron. If it became obvious that a state was entirely dependent on mercenaries, the temptation was great for the mercenaries to take over the running of it themselves—this occurred on a number of occasions. Neutrality was maintained with France, which found itself surrounded by enemies when Spain disputed Charles VIII's claim to the Kingdom of Naples. Peace with France ended when Charles VIII invaded Italy to take Naples.

At sea, Italian city-states sent many fleets out to do battle. The main contenders were Pisa, Genoa, and Venice, but after a long conflict the Genoese succeeded in reducing Pisa. Venice proved to be a more powerful adversary, and with the decline of Genoese power during the 15th century Venice became pre-eminent on the seas. In response to threats from the landward side, from the early 15th century Venice developed an increased interest in controlling the *terrafirma* as the Venetian Renaissance opened.

On land, decades of fighting saw Florence, Milan, and Venice emerge as the dominant players, and these three powers finally set aside their differences and agreed to the Peace of Lodi in 1454, which saw relative calm brought to the region for the first time in centuries. This peace would hold for the next forty years, and Venice's unquestioned hegemony over the sea also

led to unprecedented peace for much of the rest of the 15th century. In the beginning of the 15th century, adventurer and traders such as Niccolò Da Conti (1395–1469) traveled as far as Southeast Asia and back, bringing fresh knowledge on the state of the world, presaging further European voyages of exploration in the years to come.

## **Florence under the Medici**

- Until the late 14th century, prior to the Medici, Florence's leading family were the House of Albizzi. In 1293 the Ordinances of Justice were enacted which effectively became the constitution of the republic of Florence throughout the Italian Renaissance. The city's numerous luxurious palazzi were becoming surrounded by townhouses, built by the ever prospering merchant class. In 1298, one of the leading banking families of Europe, the Bonsignoris, were bankrupted and so the city of Siena lost her status as the banking center of Europe to Florence.

The main challengers of the Albizzi family were the Medicis, first under Giovanni de' Medici, later under his son Cosimo de' Medici. The Medici controlled the Medici bank—then Europe's largest bank—and an array of other enterprises in Florence and elsewhere. In 1433, the Albizzi managed to have Cosimo exiled. The next year, however, saw a pro-Medici Signoriaelected and Cosimo returned. The Medici became the town's leading family, a position they would hold for the next three centuries. Florence organized the trade routes for commodities between England and the Netherlands, France,

and Italy. By the middle of the century, the city had become the banking capital of Europe, and thereby obtained vast riches. In 1439, Byzantine Emperor John VIII Palaiologos attended a council in Florence in an attempt to unify the Eastern and Western Churches. This brought books and, especially after the fall of the Byzantine Empire in 1453, an influx of scholars to the city. Ancient Greece began to be studied with renewed interest, especially the Neoplatonic school of thought, which was the subject of an academy established by the Medici.

Florence remained a republic until 1532 (see Duchy of Florence), traditionally marking the end of the High Renaissance in Florence, but the instruments of republican government were firmly under the control of the Medici and their allies, save during the intervals after 1494 and 1527. Cosimo and Lorenzo de' Medici rarely held official posts, but were the unquestioned leaders. Cosimo was highly popular among the citizenry, mainly for bringing an era of stability and prosperity to the town. One of his most important accomplishments was negotiating the Peace of Lodi with Francesco Sforza ending the decades of war with Milan and bringing stability to much of Northern Italy. Cosimo was also an important patron of the arts, directly and indirectly, by the influential example he set.

Cosimo was succeeded by his sickly son Piero de' Medici, who died after five years in charge of the city. In 1469 the reins of power passed to Cosimo's 21-year-old grandson Lorenzo, who would become known as "Lorenzo the Magnificent." Lorenzo was the first of the family to be educated from an early age in the humanist tradition and is best known as one of the

Renaissance's most important patrons of the arts. Under Lorenzo, the Medici rule was formalized with the creation of a new Council of Seventy, which Lorenzo headed. The republican institutions continued, but they lost all power. Lorenzo was less successful than his illustrious forebears in business, and the Medici commercial empire was slowly eroded. Lorenzo continued the alliance with Milan, but relations with the papacy soured, and in 1478, Papal agents allied with the Pazzi family in an attempt to assassinate Lorenzo. Although the Pazzi conspiracy failed, Lorenzo's young brother, Giuliano, was killed, and the failed assassination led to a war with the Papacy and was used as justification to further centralize power in Lorenzo's hands.

## **Spread**

Renaissance ideals first spread from Florence to the neighbouring states of Tuscany such as Siena and Lucca. The Tuscan culture soon became the model for all the states of Northern Italy, and the Tuscan dialect came to predominate throughout the region, especially in literature. In 1447 Francesco Sforza came to power in Milan and rapidly transformed that still medieval city into a major centre of art and learning that drew Leone Battista Alberti. Venice, one of the wealthiest cities due to its control of the Adriatic Sea, also became a centre for Renaissance culture, especially Venetian Renaissance architecture. Smaller courts brought Renaissance patronage to lesser cities, which developed their characteristic arts: Ferrara, Mantua under the Gonzaga, and Urbino under Federico da Montefeltro. In Naples, the Renaissance was ushered in under the patronage of Alfonso I, who conquered Naples in 1443 and encouraged artists like Francesco Laurana



and Antonello da Messina and writers like the poet Jacopo Sannazaro and the humanist scholar Angelo Poliziano.

In 1417 the Papacy returned to Rome, but that once-imperial city remained poor and largely in ruins through the first years of the Renaissance. The great transformation began under Pope Nicholas V, who became pontiff in 1447. He launched a dramatic rebuilding effort that would eventually see much of the city renewed. The humanist scholar Aeneas Silvius Piccolomini became Pope Pius II in 1458. As the papacy fell under the control of the wealthy families, such as the Medici and the Borgias the spirit of Renaissance art and philosophy came to dominate the Vatican. Pope Sixtus IV continued Nicholas' work, most famously ordering the construction of the Sistine Chapel. The popes also became increasingly secular rulers as the Papal States were forged into a centralized power by a series of "warrior popes".

The nature of the Renaissance also changed in the late 15th century. The Renaissance ideal was fully adopted by the ruling classes and the aristocracy. In the early Renaissance artists were seen as craftsmen with little prestige or recognition. By the later Renaissance the top figures wielded great influence and could charge great fees. A flourishing trade in Renaissance art developed. While in the early Renaissance many of the leading artists were of lower- or middle-class origins, increasingly they became aristocrats.

### **Wider population**

As a cultural movement, the Italian Renaissance affected only a small part of the population. Italy was the most urbanized

region of Europe, but three quarters of the people were still rural peasants. For this section of the population, life remained essentially unchanged from the Middle Ages. Classic feudalism had never been prominent in Northern Italy, and most peasants worked on private farms or as sharecroppers. Some scholars see a trend towards refeudalization in the later Renaissance as the urban elites turned themselves into landed aristocrats.

The situation differed in the cities. These were dominated by a commercial elite; as exclusive as the aristocracy of any Medieval kingdom. This group became the main patrons of and audience for Renaissance culture. Below them there was a large class of artisans and guild members who lived comfortable lives and had significant power in the republican governments. This was in sharp contrast to the rest of Europe where artisans were firmly in the lower class. Literate and educated, this group did participate in the Renaissance culture. The largest section of the urban population was the urban poor of semi-skilled workers and the unemployed. Like the peasants, the Renaissance had little effect on them. Historians debate how easy it was to move between these groups during the Italian Renaissance. Examples of individuals who rose from humble beginnings can be instanced, but Burke notes two major studies in this area that have found that the data do not clearly demonstrate an increase in social mobility. Most historians feel that early in the Renaissance social mobility was quite high, but that it faded over the course of the 15th century. Inequality in society was very high. An upper-class figure would control hundreds of times more income than a servant or labourer. Some historians see this unequal

distribution of wealth as important to the Renaissance, as art patronage relies on the very wealthy.

The Renaissance was not a period of great social or economic change, only of cultural and ideological development. It only touched a small fraction of the population, and in modern times this has led many historians, such as any that follow historical materialism, to reduce the importance of the Renaissance in human history. These historians tend to think in terms of "Early Modern Europe" instead. Roger Osborne argues that "The Renaissance is a difficult concept for historians because the history of Europe quite suddenly turns into a history of Italian painting, sculpture and architecture."

## **Renaissance end**

The end of the Italian Renaissance is as imprecisely marked as its starting point. For many, the rise to power in Florence of the austere monk Girolamo Savonarola in 1494–1498 marks the end of the city's flourishing; for others, the triumphant return of the Medici family to power in 1512 marks the beginning of the late phase in the Renaissance arts called Mannerism. Other accounts trace the end of the Italian Renaissance to the French invasions of the early 16th century and the subsequent conflict between France and Spanish rulers for control of Italian territory. Savonarola rode to power on a widespread backlash over the secularism and indulgence of the Renaissance. His brief rule saw many works of art destroyed in the "Bonfire of the Vanities" in the centre of Florence. With the Medici returned to power, now as Grand Dukes of Tuscany, the counter movement in the church continued. In 1542 the Sacred Congregation of the Inquisition

was formed and a few years later the *Index Librorum Prohibitorum* banned a wide array of Renaissance works of literature, which marks the end of the illuminated manuscript together with Giulio Clovio, who is considered the greatest illuminator of the Italian High Renaissance, and arguably the last very notable artist in the long tradition of the illuminated manuscript, before some modern revivals.

Under the suppression of the Catholic Church and the ravages of war, humanism became "akin to heresy".

Equally important was the end of stability with a series of foreign invasions of Italy known as the Italian Wars that would continue for several decades. These began with the 1494 invasion by France that wreaked widespread devastation on Northern Italy and ended the independence of many of the city-states.

Most damaging was the 6 May 1527, Spanish and German troops' sacking Rome that for two decades all but ended the role of the Papacy as the largest patron of Renaissance art and architecture.

While the Italian Renaissance was fading, the Northern Renaissance adopted many of its ideals and transformed its styles. A number of Italy's greatest artists chose to emigrate. The most notable example was Leonardo da Vinci, who left for France in 1516, but teams of lesser artists invited to transform the Château de Fontainebleau created the School of Fontainebleau that infused the style of the Italian Renaissance in France. From Fontainebleau, the new styles, transformed by Mannerism, brought the Renaissance to the Low Countries and thence throughout Northern Europe.

This spread north was also representative of a larger trend. No longer was the Mediterranean Europe's most important trade route. In 1498, Vasco da Gama reached India, and from that date the primary route of goods from the Orient was through the Atlantic ports of Lisbon, Seville, Nantes, Bristol, and London.

## **Culture**

### **Literature and poetry**

- The thirteenth-century Italian literary revolution helped set the stage for the Renaissance. Prior to the Renaissance, the Italian language was not the literary language in Italy. It was only in the 13th century that Italian authors began writing in their native language rather than Latin, French, or Provençal. The 1250s saw a major change in Italian poetry as the *Dolce Stil Novo* (*Sweet New Style*, which emphasized Platonic rather than courtly love) came into its own, pioneered by poets like Guittone d'Arezzo and Guido Guinizelli. Especially in poetry, major changes in Italian literature had been taking place decades before the Renaissance truly began.

With the printing of books initiated in Venice by Aldus Manutius, an increasing number of works began to be published in the Italian language in addition to the flood of Latin and Greek texts that constituted the mainstream of the Italian Renaissance. The source for these works expanded beyond works of theology and towards the pre-Christian eras of

Imperial Rome and Ancient Greece. This is not to say that no religious works were published in this period: Dante Alighieri's *The Divine Comedy* reflects a distinctly medieval world view. Christianity remained a major influence for artists and authors, with the classics coming into their own as a second primary influence.

In the early Italian Renaissance, much of the focus was on translating and studying classic works from Latin and Greek. Renaissance authors were not content to rest on the laurels of ancient authors, however.

Many authors attempted to integrate the methods and styles of the ancient Greeks into their own works. Among the most emulated Romans are Cicero, Horace, Sallust, and Virgil. Among the Greeks, Aristotle, Homer, and Plato were now being read in the original for the first time since the 4th century, though Greek compositions were few.

The literature and poetry of the Renaissance was largely influenced by the developing science and philosophy. The humanist Francesco Petrarch, a key figure in the renewed sense of scholarship, was also an accomplished poet, publishing several important works of poetry. He wrote poetry in Latin, notably the Punic War epic *Africa*, but is today remembered for his works in the Italian vernacular, especially the *Canzoniere*, a collection of love sonnets dedicated to his unrequited love Laura. He was the foremost writer of Petrarchan sonnets, and translations of his work into English by Thomas Wyatt established the sonnet form in that country, where it was employed by William Shakespeare and countless other poets.

Petrarch's disciple, Giovanni Boccaccio, became a major author in his own right. His major work was the *Decameron*, a collection of 100 stories told by ten storytellers who have fled to the outskirts of Florence to escape the black plague over ten nights. The *Decameron* in particular and Boccaccio's work in general were a major source of inspiration and plots for many English authors in the Renaissance, including Geoffrey Chaucer and William Shakespeare.

Aside from Christianity, classical antiquity, and scholarship, a fourth influence on Renaissance literature was politics. The political philosopher Niccolò Machiavelli's most famous works are *Discourses on Livy*, *Florentine Histories* and finally *The Prince*, which has become so well known in modern societies that the word *Machiavellian* has come to refer to the cunning and ruthless actions advocated by the book. Along with many other Renaissance works, *The Prince* remains a relevant and influential work of literature today.

There were many Italian Renaissance humanists who also praised and affirmed the beauty of the body in poetry and literature. In Baldassare Rasinus's panegyric for Francesco Sforza, Rasinus considered that beautiful people usually have virtue. In northern Italy, humanists had discussions about the connection between physical beauty and inner virtues. In Renaissance Italy, virtue and beauty were often linked together to praise men.

## **Philosophy**

One role of Petrarch is as the founder of a new method of scholarship, Renaissance humanism.

Petrarch encouraged the study of the Latin classics and carried his copy of Homer about, at a loss to find someone to teach him to read Greek. An essential step in the classic humanist education being propounded by scholars like Pico della Mirandola was the hunting down of lost or forgotten manuscripts that were known only by reputation. These endeavors were greatly aided by the wealth of Italian patricians, merchant-princes and despots, who would spend substantial sums building libraries. Discovering the past had become fashionable and it was a passionate affair pervading the upper reaches of society. *I go*, said Cyriac of Ancona, *I go to awake the dead*. As the Greek works were acquired, manuscripts found, libraries and museums formed, the age of the printing press was dawning. The works of Antiquity were translated from Greek and Latin into the contemporary modern languages throughout Europe, finding a receptive middle-class audience, which might be, like Shakespeare, "with little Latin and less Greek". While concern for philosophy, art, and literature all increased greatly in the Renaissance, the period is usually seen as one of scientific backwardness. The reverence for classical sources further enshrined the Aristotelian and Ptolemaic views of the universe. Humanism stressed that nature came to be viewed as an animate spiritual creation that was not governed by laws or mathematics. At the same time philosophy lost much of its rigour as the rules of logic and deduction were seen as secondary to intuition and emotion.

## **Science and technology**

During the Renaissance, great advances occurred in geography, astronomy, chemistry, physics, mathematics, manufacturing,



anatomy and engineering. The collection of ancient scientific texts began in earnest at the start of the 15th century and continued up to the Fall of Constantinople in 1453, and the invention of printing democratized learning and allowed a faster propagation of new ideas. Although humanists often favored human-centered subjects like politics and history over study of natural philosophy or applied mathematics, many others went beyond these interests and had a positive influence on mathematics and science by rediscovering lost or obscure texts and by emphasizing the study of original languages and the correct reading of texts.

Italian universities such as Padua, Bologna and Pisa were scientific centres of renown and with many northern European students, the science of the Renaissance spread to Northern Europe and flourished there as well. Figures such as Copernicus, Francis Bacon, Descartes, and Galileo made contributions to scientific thought and experimentation, paving the way for the scientific revolution that later flourished in Northern Europe. Bodies were also stolen from gallows and examined by many like Andreas Vesalius, a professor of anatomy. This allowed him to create more accurate skeleton models by making more than 200 corrections to the works of Galen who dissected animals.

## **Mathematics**

Major developments in mathematics include the spread of algebra throughout Europe, especially Italy. Luca Pacioli published a book on mathematics at the end of the fifteenth century, in which he first published positive and negative signs. Basic mathematical symbols were introduced by Simon

Stevin in the 16th and early 17th centuries. Symbolic algebra was established by the French mathematician François Viète in the 16th century. He published "Introduction to Analytical Methods" in 1591, systematically sorting out algebra, and for the first time consciously used letters to represent unknown and known numbers. In his other book "On the Recognition and Correction of Equations," Viète improved the solution of the third degree and fourth degree equations, and also established the relationship between the roots and coefficients of quadratic and cubic equations, which is called "Viète's formulas" now. Trigonometry also achieved greater development during the Renaissance. The German mathematician Regiomontanus's "On Triangles of All Kinds" was Europe's first trigonometric work independent of astronomy. The book systematically elaborated plane triangles and spherical triangles, as well as a very precise table of trigonometric functions.

## **Painting and sculpture**

In painting, the Late Medieval painter Giotto di Bondone, or Giotto, helped shape the artistic concepts that later defined much of the Renaissance art. The key ideas that he explored – classicism, the illusion of three-dimensional space and a realistic emotional context – inspired other artists such as Masaccio, Michelangelo and Leonardo da Vinci. He was not the only Medieval artist to develop these ideas, however; the artists Pietro Cavallini and Cimabue both influenced Giotto's use of statuesque figures and expressive storylines.

The frescos of Florentine artist Masaccio are generally considered to be among the earliest examples of Italian

Renaissance art. Masaccio incorporated the ideas of Giotto, Donatello and Brunelleschi into his paintings, creating mathematically precise scenes that give the impression of three-dimensional space. The *Holy Trinity* fresco in the Florentine church of Santa Maria Novella, for example, looks as if it is receding at a dramatic angle into the dark background, while single-source lighting and foreshortening appear to push the figure of Christ into the viewer's space.

While mathematical precision and classical idealism fascinated painters in Rome and Florence, many Northern artists in the regions of Venice, Milan and Parma preferred highly illusionistic scenes of the natural world. The period also saw the first secular (non-religious) themes. There has been much debate as to the degree of secularism in the Renaissance, which had been emphasized by early 20th-century writers like Jacob Burckhardt based on, among other things, the presence of a relatively small number of mythological paintings. Those of Botticelli, notably *The Birth of Venus* and *Primavera*, are now among the best known, although he was deeply religious (becoming a follower of Savonarola) and the great majority of his output was of traditional religious paintings or portraits.

In sculpture, the Florentine artist Donato di Niccolò di Betto Bardi, or Donatello, was among the earliest sculptors to translate classical references into marble and bronze. His second sculpture of *David* was the first free-standing bronze nude created in Europe since the Roman Empire.

The period known as the High Renaissance of painting was the culmination of the varied means of expression and various advances in painting technique, such as linear perspective, the

realistic depiction of both physical and psychological features, and the manipulation of light and darkness, including tone contrast, *sfumato* (softening the transition between colours) and *chiaroscuro* (contrast between light and dark), in a single unifying style which expressed total compositional order, balance and harmony. In particular, the individual parts of the painting had a complex but balanced and well-knit relationship to the whole. The most famous painters from this phase are Leonardo da Vinci, Raphael, and Michelangelo and their images, including Leonardo's *The Last Supper* and *Mona Lisa*, Raphael's *The School of Athens* and Michelangelo's *Sistine Chapel Ceiling* are the masterpieces of the period and among the most widely known works of art in the world.

High Renaissance painting evolved into Mannerism, especially in Florence. Mannerist artists, who consciously rebelled against the principles of High Renaissance, tend to represent elongated figures in illogical spaces. Modern scholarship has recognized the capacity of Mannerist art to convey strong (often religious) emotion where the High Renaissance failed to do so. Some of the main artists of this period are Pontormo, Bronzino, Rosso Fiorentino, Parmigianino and Raphael's pupil Giulio Romano.

## **Architecture**

In Florence, the Renaissance style was introduced with a revolutionary but incomplete monument by Leone Battista Alberti. Some of the earliest buildings showing Renaissance characteristics are Filippo Brunelleschi's church of San Lorenzo and the Pazzi Chapel. The interior of *Santo Spirito* expresses a new sense of light, clarity and spaciousness, which

is typical of the early Italian Renaissance. Its architecture reflects the philosophy of Renaissance humanism, the enlightenment and clarity of mind as opposed to the darkness and spirituality of the Middle Ages. The revival of classical antiquity can best be illustrated by the Palazzo Rucellai. Here the pilasters follow the superposition of classical orders, with Doric capitals on the ground floor, Ionic capitals on the *piano nobile* and Corinthian capitals on the uppermost floor. Soon, Renaissance architects favored grand, large domes over tall and imposing spires, doing away with the Gothic style of the predating ages.

In Mantua, Alberti ushered in the new antique style, though his culminating work, Sant'Andrea, was not begun until 1472, after the architect's death.

The High Renaissance, as we call the style today, was introduced to Rome with Donato Bramante's Tempietto at San Pietro in Montorio (1502) and his original centrally planned St. Peter's Basilica (1506), which was the most notable architectural commission of the era, influenced by almost all notable Renaissance artists, including Michelangelo and Giacomo della Porta. The beginning of the late Renaissance in 1550 was marked by the development of a new column order by Andrea Palladio. Giant order columns that were two or more stories tall decorated the facades.

During the Italian Renaissance, mathematics was developed and spread widely. As a result, some Renaissance architects used mathematical knowledge like calculation in their drawings, such as Baldassarre Peruzzi.

## **Music**

- In Italy during the 14th century there was an explosion of musical activity that corresponded in scope and level of innovation to the activity in the other arts. Although musicologists typically group the music of the Trecento (music of the 14th century) with the late medieval period, it included features which align with the early Renaissance in important ways: an increasing emphasis on secular sources, styles and forms; a spreading of culture away from ecclesiastical institutions to the nobility, and even to the common people; and a quick development of entirely new techniques. The principal forms were the Trecento madrigal, the caccia, and the ballata. Overall, the musical style of the period is sometimes labelled as the "Italian ars nova." From the early 15th century to the middle of the 16th century, the center of innovation in religious music was in the Low Countries, and a flood of talented composers came to Italy from this region. Many of them sang in either the papal choir in Rome or the choirs at the numerous chapels of the aristocracy, in Rome, Venice, Florence, Milan, Ferrara and elsewhere; and they brought their polyphonic style with them, influencing many native Italian composers during their stay.

The predominant forms of sacred music during the period were the mass and the motet. By far the most famous composer of church music in 16th-century Italy was Palestrina, the most prominent member of the Roman School, whose style of

smooth, emotionally cool polyphony was to become the defining sound of the late 16th century, at least for generations of 19th- and 20th-century musicologists. Other Italian composers of the late 16th century focused on composing the main secular form of the era, the madrigal; for almost a hundred years these secular songs for multiple singers were distributed all over Europe.

Composers of madrigals included Jacques Arcadelt, at the beginning of the age, Cipriano de Rore, in the middle of the century, and Luca Marenzio, Philippe de Monte, Carlo Gesualdo, and Claudio Monteverdi at the end of the era. Italy was also a centre of innovation in instrumental music.

By the early 16th century keyboard improvisation came to be greatly valued, and numerous composers of virtuoso keyboard music appeared. Many familiar instruments were invented and perfected in late Renaissance Italy, such as the violin, the earliest forms of which came into use in the 1550s.

By the late 16th century Italy was the musical centre of Europe. Almost all of the innovations which were to define the transition to the Baroque period originated in northern Italy in the last few decades of the century. In Venice, the polychoral productions of the Venetian School, and associated instrumental music, moved north into Germany; in Florence, the Florentine Camerata developed monody, the important precursor to opera, which itself first appeared around 1600; and the avant-garde, manneristic style of the Ferrara school, which migrated to Naples and elsewhere through the music of Carlo Gesualdo, was to be the final statement of the polyphonic vocal music of the Renaissance.

# Historiography

Any unified theory of a renaissance, or cultural overhaul, during the European early modern period is overwhelmed by a massive volume of differing historiographical approaches. Historians like Jacob Burckhardt (1818–1897) have often romanticized the enlightened vision that Italian Renaissance writers have promulgated concerning their own narrative of denouncing the fruitlessness of the Middle Ages. By promoting the Renaissance as the definitive end to the "stagnant" Middle Ages, the Renaissance has acquired the powerful and enduring association with progress and prosperity for which Burckhardt's *The Civilization of the Renaissance in Italy* is most responsible. Modern scholars have objected to this prevailing narrative, citing the medieval period's own vibrancy and key continuities that link, rather than divide, the Middle Ages and the Renaissance.

Elizabeth Lehfeldt (2005) points to the Black Death as a turning point in Europe that set in motion several movements that were gaining massive traction in the years before, and has accounted for many subsequent events and trends in Western civilization, such as the Reformation.

Rather than see this as a distinct cutoff between eras of history, the rejuvenated approach to studying the Renaissance aims to look at this as a catalyst that accelerated trends in art and science that were already well developed. For example, Danse Macabre, the artistic movement using death as the focal point, is often credited as a Renaissance trend, yet Lehfeldt argues that the emergence of Gothic art during medieval times



was morphed into Danse Macabre after the Black Death swept over Europe.

Recent historians who take a more revisionist perspective, such as Charles Haskins (1860–1933), identify the hubris and nationalism of Italian politicians, thinkers, and writers as the cause for the distortion of the attitude towards the early modern period.

In *The Renaissance of the Twelfth Century* (1927), Haskins asserts that it is human nature to draw stark divides in history in order to better understand the past. However, it is essential to understand history as continuous and constantly building off of the past. Haskins was one of the leading scholars in this school of thought, and it was his (along with several others) belief that the building blocks for the Italian Renaissance were all laid during the Middle Ages, calling on the rise of towns and bureaucratic states in the late 11th century as proof of the significance of this "pre-renaissance." The flow of history that he describes paints the Renaissance as continuation of the Middle Ages that may not have been as positive of a change as popularly imagined. Many historians after Burckhardt have argued that the regression of the Latin language, economic recession, and social inequality during the Renaissance have been intentionally glossed over by previous historians in order to promote the mysticism of the era.

Burckhardt famously described the Middle Ages as a period that was "seen clad in strange hues", promoting the idea that this era was inherently dark, confusing, and unprogressive. The term *middle ages* was first referred to by humanists such as Petrarch and Biondo, during the late 15th century,

describing it as a period connecting an important beginning and an important end, and as a placeholder for the history that exists between both sides of the period. This period was eventually referred to as the "dark" ages in the 19th century by English historians, which has further tainted the narrative of medieval times in favor of promoting a positive feeling of the individualism and humanism that spurred from the Renaissance.

## Chapter 3

# Romanesque Architecture

**Romanesque architecture** is an architectural style of medieval Europe characterized by semi-circular arches. There is no consensus for the beginning date of the Romanesque style, with proposals ranging from the 6th to the 11th century, this later date being the most commonly held. In the 12th century it developed into the Gothic style, marked by pointed arches. Examples of Romanesque architecture can be found across the continent, making it the first pan-European architectural style since Imperial Roman architecture. The Romanesque style in England is traditionally referred to as Norman architecture.

Combining features of ancient Roman and Byzantine buildings and other local traditions, Romanesque architecture is known by its massive quality, thick walls, round arches, sturdy pillars, barrel vaults, large towers and decorative arcading. Each building has clearly defined forms, frequently of very regular, symmetrical plan; the overall appearance is one of simplicity when compared with the Gothic buildings that were to follow. The style can be identified right across Europe, despite regional characteristics and different materials.

Many castles were built during this period, but they are greatly outnumbered by churches. The most significant are the great abbey churches, many of which are still standing, more or less complete and frequently in use. The enormous quantity of churches built in the Romanesque period was succeeded by the still busier period of Gothic architecture, which partly or entirely rebuilt most Romanesque churches in prosperous

areas like England and Portugal. The largest groups of Romanesque survivors are in areas that were less prosperous in subsequent periods, including parts of southern France, rural Spain and rural Italy. Survivals of unfortified Romanesque secular houses and palaces, and the domestic quarters of monasteries are far rarer, but these used and adapted the features found in church buildings, on a domestic scale.

## **Definition**

According to the *Oxford English Dictionary*, the word "Romanesque" means "descended from Roman" and was first used in English to designate what are now called Romance languages (first cited 1715). The French term "*romane*" was first used in the architectural sense by archaeologist Charles de Gerville in a letter of 18 December 1818 to Auguste Le Prévost to describe what Gerville sees as a *debased Roman architecture*. In 1824 Gerville's friend Arcisse de Caumont adopted the label "*roman*" to describe the "degraded" European architecture from the 5th to the 13th centuries, in his *Essai sur l'architecture religieuse du moyen-âge, particulièrement en Normandie*, at a time when the actual dates of many of the buildings so described had not been ascertained:

The name Roman (esque) we give to this architecture, which should be universal as it is the same everywhere with slight local differences, also has the merit of indicating its origin and is not new since it is used already to describe the language of the same period. Romance language is degenerated Latin language. Romanesque architecture is debased Roman architecture.

The first use in a published work is in William Gunn's *An Inquiry into the Origin and Influence of Gothic Architecture* (London 1819). The word was used by Gunn to describe the style that was identifiably Medieval and prefigured the Gothic, yet maintained the rounded Roman arch and thus appeared to be a continuation of the Roman tradition of building.

The term is now used for the more restricted period from the late 10th to 12th centuries. The term "Pre-romanesque" is sometimes applied to architecture in Germany of the Carolingian and Ottonian periods and Visigothic, Mozarab and Asturian constructions between the 8th and the 10th centuries in the Iberian Peninsula while "First Romanesque" is applied to buildings in north of Italy and Spain and parts of France that have Romanesque features but pre-date the influence of the Abbey of Cluny.

## **Scope**

Buildings of every type were constructed in the Romanesque style, with evidence remaining of simple domestic buildings, elegant town houses, grand palaces, commercial premises, civic buildings, castles, city walls, bridges, village churches, abbey churches, abbey complexes and large cathedrals. Of these types of buildings, domestic and commercial buildings are the most rare, with only a handful of survivors in the United Kingdom, several clusters in France, isolated buildings across Europe and by far the largest number, often unidentified and altered over the centuries, in Italy. Many castles exist, the foundations of which date from the Romanesque period. Most have been substantially altered, and many are in ruins.

By far the greatest number of surviving Romanesque buildings are churches. These range from tiny chapels to large cathedrals. Although many have been extended and altered in different styles, a large number remain either substantially intact or sympathetically restored, demonstrating the form, character and decoration of Romanesque church architecture.

## **History**

### **Origins**

Romanesque architecture was the first distinctive style to spread across Europe since the Roman Empire. With the decline of Rome, Roman building methods survived to an extent in Western Europe, where successive Merovingian, Carolingian and Ottonian architects continued to build large stone buildings such as monastery churches and palaces. In the more northern countries, Roman building styles and techniques had never been adopted except for official buildings, while in Scandinavia they were unknown. Although the round arch continued in use, the engineering skills required to vault large spaces and build large domes were lost. There was a loss of stylistic continuity, particularly apparent in the decline of the formal vocabulary of the Classical Orders. In Rome several great Constantinian basilicas continued in use as an inspiration to later builders. Some traditions of Roman architecture also survived in Byzantine architecture with the 6th-century octagonal Byzantine Basilica of San Vitale in Ravenna being the inspiration for the greatest building of the Dark Ages in Europe, the Emperor Charlemagne's Palatine Chapel, Aachen, Germany, built around the year AD 800.

Dating shortly after the Palatine Chapel is a remarkable 9th-century Swiss manuscript known as the Plan of Saint Gall and showing a very detailed plan of a monastic complex, with all its various monastic buildings and their functions labelled. The largest building is the church, the plan of which is distinctly Germanic, having an apse at both ends, an arrangement not generally seen elsewhere. Another feature of the church is its regular proportion, the square plan of the crossing tower providing a module for the rest of the plan. These features can both be seen at the Proto-Romanesque St. Michael's Church, Hildesheim, 1001–1030.

Architecture of a Romanesque style also developed simultaneously in the north of Italy, parts of France and in the Iberian Peninsula in the 10th century and prior to the later influence of the Abbey of Cluny. The style, sometimes called First Romanesque or Lombard Romanesque, is characterised by thick walls, lack of sculpture and the presence of rhythmic ornamental arches known as a Lombard band.

## **Politics**

Charlemagne was crowned by Pope Leo III in Old St. Peter's Basilica on Christmas Day of 800, with an aim to re-establishing the old Roman Empire. Charlemagne's political successors continued to rule much of Europe, with a gradual emergence of the separate political states that were eventually to become welded into nations, either by allegiance or defeat, into the Kingdom of Germany giving rise to the Holy Roman Empire. The invasion of England by William, Duke of Normandy, in 1066, saw the building of both castles and churches that reinforced the Norman presence. Several

significant churches that were built at this time were founded by rulers as seats of temporal and religious power, or places of coronation and burial. These include the Abbaye-Saint-Denis, Speyer Cathedral and Westminster Abbey (where little of the Norman church now remains).

At a time when the remaining architectural structures of the Roman Empire were falling into decay and much of its learning and technology lost, the building of masonry domes and the carving of decorative architectural details continued unabated, though greatly evolved in style since the fall of Rome, in the enduring Byzantine Empire.

The domed churches of Constantinople and Eastern Europe were to greatly affect the architecture of certain towns, particularly through trade and through the Crusades. The most notable single building that demonstrates this is St Mark's Basilica, Venice, but there are many lesser-known examples, particularly in France, such as the church of Saint-Front, Périgueux and Angoulême Cathedral.

Much of Europe was affected by feudalism in which peasants held tenure from local rulers over the land that they farmed in exchange for military service. The result of this was that they could be called upon, not only for local and regional spats, but to follow their lord to travel across Europe to the Crusades, if they were required to do so. The Crusades, 1095–1270, brought about a very large movement of people and, with them, ideas and trade skills, particularly those involved in the building of fortifications and the metal working needed for the provision of arms, which was also applied to the fitting and decoration of buildings. The continual movement of people,



rulers, nobles, bishops, abbots, craftsmen and peasants, was an important factor in creating a homogeneity in building methods and a recognizable *Romanesque style*, despite regional differences.

Life became generally less secure after the Carolingian period. This resulted in the building of castles at strategic points, many of them being constructed as strongholds of the Normans, descendants of the Vikings who invaded northern France under Rollo in 911. Political struggles also resulted in the fortification of many towns, or the rebuilding and strengthening of walls that remained from the Roman period. One of the most notable surviving fortifications is that of the city of Carcassonne. The enclosure of towns brought about a lack of living space within the walls, and resulted in a style of town house that was tall and narrow, often surrounding communal courtyards, as at San Gimignano in Tuscany.

In Germany, the Holy Roman Emperors built a number of residences, fortified, but essentially palaces rather than castles, at strategic points and on trade routes. The Imperial Palace of Goslar (heavily restored in the 19th century) was built in the early 11th century by Otto III and Henry III, while the ruined Palace at Gelnhausen was received by Frederick Barbarossa prior to 1170.

The movement of people and armies also brought about the building of bridges, some of which have survived, including the 12th-century bridge at Besalú, Catalonia, the 11th-century Puente de la Reina, Navarre and the Pont-Saint-Bénézet, Avignon.

## **Religion**

Across Europe, the late 11th and 12th centuries saw an unprecedented growth in the number of churches. A great number of these buildings, both large and small, remain, some almost intact and in others altered almost beyond recognition in later centuries.

They include many very well known churches such as Santa Maria in Cosmedin in Rome, the Baptistery in Florence and San Zeno Maggiore in Verona. In France, the famous abbeys of Aux Dames and Les Hommes at Caen and Mont Saint-Michel date from this period, as well as the abbeys of the pilgrimage route to Santiago de Compostela. Many cathedrals owe their foundation to this date, with others beginning as abbey churches, and later becoming cathedrals.

In England, of the cathedrals of ancient foundation, all were begun in this period with the exception of Salisbury, where the monks relocated from the Norman church at Old Sarum, and several, such as Canterbury, which were rebuilt on the site of Saxon churches.

In Spain, the most famous church of the period is Santiago de Compostela. In Germany, the Rhine and its tributaries were the location of many Romanesque abbeys, notably Mainz, Worms, Speyer and Bamberg. In Cologne, then the largest city north of the Alps, a very important group of large city churches survives largely intact. As monasticism spread across Europe, Romanesque churches sprang up in Scotland, Scandinavia, Poland, Hungary, Sicily, Serbia and Tunisia. Several important Romanesque churches were built in the Crusader kingdoms.

## **Monasticism**

The system of monasticism in which the religious become members of an order, with common ties and a common rule, living in a mutually dependent community, rather than as a group of hermits living in proximity but essentially separate, was established by the monk Benedict in the 6th century. The Benedictine monasteries spread from Italy throughout Europe, being always by far the most numerous in England. They were followed by the Cluniac order, the Cistercians, Carthusians and Augustinian Canons. During the Crusades, the military orders of the Knights Hospitaller and the Knights Templar were founded.

The monasteries, which sometimes also functioned as cathedrals, and the cathedrals that had bodies of secular clergy often living in community, were a major source of power in Europe. Bishops and the abbots of important monasteries lived and functioned like princes. The monasteries were the major seats of learning of all sorts. Benedict had ordered that all the arts were to be taught and practiced in the monasteries. Within the monasteries books were transcribed by hand, and few people outside the monasteries could read or write.

In France, Burgundy was the centre of monasticism. The enormous and powerful monastery at Cluny was to have lasting effect on the layout of other monasteries and the design of their churches. Unfortunately, very little of the abbey church at Cluny remains; the "Cluny II" rebuilding of 963 onwards has completely vanished, but we have a good idea of the design of "Cluny III" from 1088 to 1130, which until the Renaissance remained the largest building in Europe. However, the church

of St. Sernin at Toulouse, 1080–1120, has remained intact and demonstrates the regularity of Romanesque design with its modular form, its massive appearance and the repetition of the simple arched window motif.

## **Pilgrimage and Crusade**

One of the effects of the Crusades, which were intended to wrest the Holy Places of the Levant from Islamic control, was to excite a great deal of religious fervour, which in turn inspired great building programs. The Nobility of Europe, upon safe return, thanked God by the building of a new church or the enhancement of an old one. Likewise, those who did not return from the Crusades could be suitably commemorated by their family in a work of stone and mortar.

The Crusades resulted in the transfer of, among other things, a great number of Holy Relics of saints and apostles. Many churches, like Saint-Front, Périgueux, had their own home grown saint while others, most notably Santiago de Compostela, claimed the remains and the patronage of a powerful saint, in this case one of the Twelve Apostles. Santiago de Compostela, located in the Kingdom of Galicia (present day Galicia, Spain) became one of the most important pilgrimage destinations in Europe. Most of the pilgrims travelled the Way of St. James on foot, many of them barefooted as a sign of penance. They moved along one of the four main routes that passed through France, congregating for the journey at Jumièges, Paris, Vézelay, Cluny, Arles and St. Gall in Switzerland. They crossed two passes in the Pyrenees and converged into a single stream to traverse north-western Spain. Along the route they were urged on by those pilgrims

returning from the journey. On each of the routes abbeys such as those at Moissac, Toulouse, Roncesvalles, Conques, Limoges and Burgos catered for the flow of people and grew wealthy from the passing trade. Saint-Benoît-du-Sault, in the Berry province, is typical of the churches that were founded on the pilgrim route.

## **Characteristics**

The general impression given by Romanesque architecture, in both ecclesiastical and secular buildings, is one of massive solidity and strength. In contrast with both the preceding Roman and later Gothic architecture, in which the load-bearing structural members are, or appear to be, columns, pilasters and arches, Romanesque architecture, in common with Byzantine architecture, relies upon its walls, or sections of walls called piers.

Romanesque architecture is often divided into two periods known as the "First Romanesque" style and the "Romanesque" style. The difference is chiefly a matter of the expertise with which the buildings were constructed. The First Romanesque employed rubble walls, smaller windows and unvaulted roofs. A greater refinement marks the Second Romanesque, along with increased use of the vault and dressed stone.

### **Walls**

The walls of Romanesque buildings are often of massive thickness with few and comparatively small openings. They are often double shells, filled with rubble.

The building material differs greatly across Europe, depending upon the local stone and building traditions. In Italy, Poland, much of Germany and parts of the Netherlands, brick is generally used. Other areas saw extensive use of limestone, granite and flint. The building stone was often used in comparatively small and irregular pieces, bedded in thick mortar. Smooth ashlar masonry was not a distinguishing feature of the style, particularly in the earlier part of the period, but occurred chiefly where easily worked limestone was available.

## **Buttresses**

Because of the massive nature of Romanesque walls, buttresses are not a highly significant feature, as they are in Gothic architecture. Romanesque buttresses are generally of flat square profile and do not project a great deal beyond the wall. In the case of aisled churches, barrel vaults, or half-barrel vaults over the aisles helped to buttress the nave, if it was vaulted.

In the cases where half-barrel vaults were used, they effectively became like flying buttresses. Often aisles extended through two storeys, rather than the one usual in Gothic architecture, so as to better support the weight of a vaulted nave. In the case of Durham Cathedral, flying buttresses have been employed, but are hidden inside the triforium gallery.

## **Arches and openings**

The arches used in Romanesque architecture are nearly always semicircular, for openings such as doors and windows, for

vaults and for arcades. Wide doorways are usually surmounted by a semi-circular arch, except where a door with a lintel is set into a large arched recess and surmounted by a semi-circular "lunette" with decorative carving. These doors sometimes have a carved central jamb.

Narrow doors and small windows might be surmounted by a solid stone lintel. Larger openings are nearly always arched. A characteristic feature of Romanesque architecture, both ecclesiastic and domestic, is the pairing of two arched windows or arcade openings, separated by a pillar or colonette and often set within a larger arch. Ocular windows are common in Italy, particularly in the facade gable and are also seen in Germany. Later Romanesque churches may have wheel windows or rose windows with plate tracery.

There are a very small number of buildings in the Romanesque style, such as Autun Cathedral in France and Monreale Cathedral in Sicily in which pointed arches have been used extensively, apparently for stylistic reasons. It is believed that in these cases there is a direct imitation of Islamic architecture. At other late Romanesque churches such as Durham Cathedral, and Cefalù Cathedral, the pointed arch was introduced as a structural device in ribbed vaulting. Its increasing application was fundamental to the development of Gothic architecture.

## **Arcades**

An arcade is a row of arches, supported on piers or columns. They occur in the interior of large churches, separating the nave from the aisles, and in large secular interiors spaces,

such as the great hall of a castle, supporting the timbers of a roof or upper floor. Arcades also occur in cloisters and atriums, enclosing an open space.

Arcades can occur in storeys or stages. While the arcade of a cloister is typically of a single stage, the arcade that divides the nave and aisles in a church is typically of two stages, with a third stage of window openings known as the clerestory rising above them. Arcading on a large scale generally fulfils a structural purpose, but it is also used, generally on a smaller scale, as a decorative feature, both internally and externally where it is frequently "blind arcading" with only a wall or a narrow passage behind it.

## **Piers**

In Romanesque architecture, piers were often employed to support arches. They were built of masonry and square or rectangular in section, generally having a horizontal moulding representing a capital at the springing of the arch. Sometimes piers have vertical shafts attached to them, and may also have horizontal mouldings at the level of the base.

Although basically rectangular, piers can often be of highly complex form, with half-segments of large hollow-core columns on the inner surface supporting the arch, or a clustered group of smaller shafts leading into the mouldings of the arch.

Piers that occur at the intersection of two large arches, such as those under the crossing of the nave and transept, are commonly cruciform in shape, each arch having its own supporting rectangular pier at right angles to the other.



## **Columns**

Columns are an important structural feature of Romanesque architecture. Colonnettes and attached shafts are also used structurally and for decoration. Monolithic columns cut from a single piece of stone were frequently used in Italy, as they had been in Roman and Early Christian architecture. They were also used, particularly in Germany, when they alternated between more massive piers.

Arcades of columns cut from single pieces are also common in structures that do not bear massive weights of masonry, such as cloisters, where they are sometimes paired.

## **Salvaged columns**

In Italy, during this period, a great number of antique Roman columns were salvaged and reused in the interiors and on the porticos of churches. The most durable of these columns are of marble and have the stone horizontally bedded. The majority are vertically bedded and are sometimes of a variety of colours. They may have retained their original Roman capitals, generally of the Corinthian or Roman Composite style.

Some buildings, like Santa Maria in Cosmedin (illustrated above) and the atrium at San Clemente in Rome, may have an odd assortment of columns in which large capitals are placed on short columns and small capitals are placed on taller columns to even the height. Architectural compromises of this type are seen where materials have been salvaged from a number of buildings. Salvaged columns were also used to a lesser extent in France.

## **Drum columns**

In most parts of Europe, Romanesque columns were massive, as they supported thick upper walls with small windows, and sometimes heavy vaults. The most common method of construction was to build them out of stone cylinders called drums, as in the crypt at Speyer Cathedral.

## **Hollow core columns**

Where really massive columns were called for, such as those at Durham Cathedral, they were constructed of ashlar masonry and the hollow core was filled with rubble. These huge untapered columns are sometimes ornamented with incised decorations.

## **Alternation**

A common characteristic of Romanesque buildings, occurring both in churches and in the arcades that separate large interior spaces of castles, is the alternation of piers and columns.

The most simple form that this takes is to have a column between each adjoining pier. Sometimes the columns are in multiples of two or three. At St. Michael's, Hildesheim, an A B B A alternation occurs in the nave while an A B A alternation can be seen in the transepts.

At Jumièges there are tall drum columns between piers each of which has a half-column supporting the arch. There are many variations on this theme, most notably at Durham Cathedral

where the mouldings and shafts of the piers are of exceptional richness and the huge masonry columns are deeply incised with geometric patterns.

Often the arrangement was made more complex by the complexity of the piers themselves, so that it was not piers and columns that alternated, but rather, piers of entirely different form from each other, such as those of Sant' Ambrogio, Milan, where the nature of the vault dictated that the alternate piers bore a great deal more weight than the intermediate ones and are thus very much larger.

## **Capitals**

The foliate Corinthian style provided the inspiration for many Romanesque capitals, and the accuracy with which they were carved depended very much on the availability of original models, those in Italian churches such as Pisa Cathedral or church of Sant'Alessandro in Lucca and southern France being much closer to the Classical than those in England.

The Corinthian capital is essentially round at the bottom where it sits on a circular column and square at the top, where it supports the wall or arch. This form of capital was maintained in the general proportions and outline of the Romanesque capital. This was achieved most simply by cutting a rectangular block and taking the four lower corners off at an angle so that the block was square at the top, but octagonal at the bottom, as can be seen at St. Michael's Hildesheim. This shape lent itself to a wide variety of superficial treatments, sometimes foliate in imitation of the source, but often figurative. In Northern Europe the foliate capitals generally

bear far more resemblance to the intricacies of manuscript illumination than to Classical sources. In parts of France and Italy there are strong links to the pierced capitals of Byzantine architecture. It is in the figurative capitals that the greatest originality is shown. While some are dependent on manuscripts illustrations of Biblical scenes and depictions of beasts and monsters, others are lively scenes of the legends of local saints.

The capitals, while retaining the form of a square top and a round bottom, were often compressed into little more than a bulging cushion-shape. This is particularly the case on large masonry columns, or on large columns that alternate with piers as at Durham.(See illustrated above)

## **Vaults and roofs**

The majority of buildings have wooden roofs, generally of a simple *truss, tie beam* or *king post* form. In the case of trussed rafter roofs, they are sometimes lined with wooden ceilings in three sections like those that survive at Ely and Peterborough cathedrals in England. In churches, typically the aisles are vaulted, but the nave is roofed with timber, as is the case at both Peterborough and Ely. In Italy where open wooden roofs are common, and tie beams frequently occur in conjunction with vaults, the timbers have often been decorated as at San Miniato al Monte, Florence.

Vaults of stone or brick took on several different forms and showed marked development during the period, evolving into the pointed ribbed arch characteristic of Gothic architecture.

## **Barrel vault**

The simplest type of vaulted roof is the barrel vault in which a single arched surface extends from wall to wall, the length of the space to be vaulted, for example, the nave of a church. An important example, which retains Medieval paintings, is the vault of Saint-Savin-sur-Gartempe, France, of the early 12th century. However, the barrel vault generally required the support of solid walls, or walls in which the windows were very small.

## **Groin vault**

Groin vaults occur in early Romanesque buildings, notably at Speyer Cathedral where the high vault of about 1060 is the first employment in Romanesque architecture of this type of vault for a wide nave. In later buildings employing ribbed vaultings, groin vaults are most frequently used for the less visible and smaller vaults, particularly in crypts and aisles. A groin vault is almost always square in plan and is constructed of two barrel vaults intersecting at right angles. Unlike a ribbed vault, the entire arch is a structural member. Groin vaults are frequently separated by transverse arched ribs of low profile as at Speyer and Santiago de Compostela. At Sainte Marie Madeleine, Vézelay, the ribs are square in section, strongly projecting and polychrome.

## **Ribbed vault**

Ribbed vaults came into general use in the 12th century. In ribbed vaults, not only are there ribs spanning the vaulted area transversely, but each vaulted bay has diagonal ribs,

following the same course as the groins in a groin vault. However, whereas in a groin vault, the vault itself is the structural member, in a ribbed vault, it is the ribs that are the structural members, and the spaces between them can be filled with lighter, non-structural material.

Because Romanesque arches are nearly always semi-circular, the structural and design problem inherent in the ribbed vault is that the diagonal span is larger and therefore higher than the transverse span. The Romanesque builders used a number of solutions to this problem. One was to have the centre point where the diagonal ribs met as the highest point, with the infill of all the surfaces sloping upwards towards it, in a domical manner. This solution was employed in Italy at San Michele, Pavia, and Sant' Ambrogio, Milan.

The solution employed in England was to stilt the transverse ribs, maintaining a horizontal central line to the roof like that of a barrel vault. The diagonal ribs could also be depressed, a solution used on the sexpartite vaults at both the Saint-Étienne, (Abbaye-aux-Hommes) and Sainte-Trinité, (Abbaye-aux-Dames) at Caen, France, in the late 11th and early 12th centuries.

### **Pointed arched vault**

The problems encountered in the structure and appearance of vaults was solved late in the Romanesque period with the introduction of pointed arched ribs which allowed the height of both diagonal and transverse ribs to be varied in proportion to each other. Pointed ribs made their first appearance in the transverse ribs of the vaults at Durham Cathedral in northern

England, dating from 1128. Durham is a cathedral of massive Romanesque proportions and appearance, yet its builders introduced several structural features that were new to architectural design and were later to be hallmark features of the Gothic.

Another Gothic structural feature employed at Durham is the flying buttress. However, these are hidden beneath the roofs of the aisles.

The earliest pointed vault in France is that of the narthex of La Madeleine, Vézelay, dating from 1130. They were subsequently employed with the development of the Gothic style at the east end of the Basilica of St Denis in Paris in 1140.

An early ribbed vault in the Romanesque architecture of Sicily is that of the chancel at the Cathedral of Cefalù.

## **Domes**

- Domes in Romanesque architecture are generally found within crossing towers at the intersection of a church's nave and transept, which conceal the domes externally. Called a *tiburio*, this tower-like structure often has a blind arcade near the roof. Romanesque domes are typically octagonal in plan and use corner squinches to translate a square bay into a suitable octagonal base. Octagonal cloister vaults appear "in connection with basilicas almost throughout Europe" between 1050 and 1100. The precise form differs from region to region.

# **Ecclesiastical architecture**

## **Plan**

Many parish churches, abbey churches and cathedrals are in the Romanesque style, or were originally built in the Romanesque style and have subsequently undergone changes. The simplest Romanesque churches are aisleless halls with a projecting apse at the chancel end, or sometimes, particularly in England, a projecting rectangular chancel with a chancel arch that might be decorated with mouldings. More ambitious churches have aisles separated from the nave by arcades.

Abbey and cathedral churches generally follow the Latin Cross plan. In England, the extension eastward may be long, while in Italy it is often short or non-existent, the church being of T plan, sometimes with apses on the transept ends as well as to the east. In France the church of St Front, Périgueux, appears to have been modelled on St. Mark's Basilica, Venice, or the Byzantine Church of the Holy Apostles and is of a Greek cross plan with five domes. In the same region, Angoulême Cathedral is an aisleless church of the Latin cross plan, more usual in France, but is also roofed with domes. In Germany, Romanesque churches are often of distinctive form, having apses at both east and west ends, the main entrance being central to one side. It is probable that this form came about to accommodate a baptistery at the west end.

NOTE: The plans below do not show the buildings in their current states.



The Abbey Church of St. Gall, Switzerland, shows the plan that was to become common throughout Germanic Europe. It is a Latin Cross with a comparatively long nave and short transepts and eastern end, which is apsidal. The nave is aisled, but the chancel and transepts are not. It has an apsidal west end, which was to become a feature of Churches of Germany, such as Worms Cathedral. Speyer Cathedral, Germany, also has aisleless transept and chancel. It has a markedly modular look. A typical Germanic characteristic is the presence of towers framing the chancel and the west end. There is marked emphasis on the western entrance, called *Westwerk*, which is seen in several other churches. Each vault compartment covers two narrow bays of the nave

At Autun Cathedral, France, the pattern of the nave bays and aisles extends beyond the crossing and into the chancel, each aisle terminating in an apse. Each nave bay is separated at the vault by a transverse rib. Each transept projects to the width of two nave bays. The entrance has a narthex which screens the main portal. This type of entrance was to be elaborated in the Gothic period on the transepts at Chartres. Angoulême Cathedral, France, is one of several instances in which the Byzantine churches of Constantinople seem to have been influential in the design in which the main spaces are roofed by domes. This structure has necessitated the use of very thick walls, and massive piers from which the domes spring. There are radiating chapels around the apse, which is a typically French feature and was to evolve into the chevet.

As was typically the case in England, Ely Cathedral was a Benedictine monastery, serving both monastic and secular function. To facilitate this, the chancel or "presbytery" is

longer than usually found in Europe, as are the aisled transepts which contained chapels. In England, emphasis was placed on the orientation of the chapels to the east. The very large piers at the crossing signify that there was once a tower. The western end having two round towers flanking a tall central tower was unique in Britain. Ely Cathedral was never vaulted and retains a wooden ceiling over the nave.

The cathedral of Santiago de Compostela shares many features with Ely, but is typically Spanish in its expansive appearance. Santiago held the body of St. James and was the most significant pilgrimage site in Europe. The narthex, the aisles, the large aisled transepts and numerous projecting chapels reflect this. The chancel is short, compared to that of Ely, and the altar set so as to provide clear view to a vast congregation simultaneously.

The basilica Saint-Sernin of Toulouse is a typical example of a pilgrimage church. It is very large and its interior plan made it possible to direct traffic. With double side aisles and with an aisled transept and an ambulatory surrounding the apse, pilgrims could make the circuit around the church and were able to stop for meditation and prayer at the apsidal chapels of the transept and the radiating chapels of the choir.

Modena Cathedral shows a typically Italian Romanesque plan, often architecturally termed a "basilica", because of its similarity in plan to a Roman basilicas.

## **Section**

In section, the typical aisled church or cathedral has a nave with a single aisle on either side. The nave and aisles are

separated by an arcade carried on piers or on columns. The roof of the aisle and the outer walls help to buttress the upper walls and vault of the nave, if present. Above the aisle roof are a row of windows known as the clerestory, which give light to the nave.

During the Romanesque period there was a development from this two-stage elevation to a three-stage elevation in which there is a gallery, known as a *triforium*, between the arcade and the clerestory. This varies from a simple blind arcade decorating the walls, to a narrow arcaded passage, to a fully developed second story with a row of windows lighting the gallery.

### **Church and cathedral east ends**

The eastern end of a Romanesque church is almost always semi-circular, with either a high chancel surrounded by an ambulatory as in France, or a square end from which an apse projects as in Germany and Italy. Where square ends exist in English churches, they are probably influenced by Anglo Saxon churches. Peterborough and Norwich Cathedrals have retained round east ends in the French style. However, in France, simple churches without apses and with no decorative features were built by the Cistercians who also founded many houses in England, frequently in remote areas.

### **Church and cathedral façades and external decoration**

Romanesque church facades, generally to the west end of the building, are usually symmetrical, have a large central portal made significant by its mouldings or porch, and an

arrangement of arched-topped windows. In Italy there is often a single central ocular or wheel window. The common decorative feature is arcading.

Smaller churches often have a single tower that is usually placed to the western end in France or England, either centrally or to one side, while larger churches and cathedrals often have two.

In France, Saint-Étienne, Caen, presents the model of a large French Romanesque facade. It is a symmetrical arrangement of nave flanked by two tall towers each with two buttresses of low flat profile that divide the facade into three vertical units. The lowest stage is marked by large doors, each set within an arch in each of the three vertical sections. The wider central section has two tiers of three identical windows, while in the outer sections there are two tiers of single windows, giving emphasis to the mass of the towers. The towers rise above the facade through three further tiers, the lowest of tall blind arcading, the next of arcading pierced by two narrow windows and the third of two large windows, divided into two lights by a colonnette.

This facade can be seen as the foundation for many other buildings, including both French and English Gothic churches. While the form is typical of northern France, its various components were common to many Romanesque churches of the period across Europe. Similar facades are found in Portugal. In England, Southwell Cathedral has maintained this form, despite the insertion of a huge Gothic window between the towers. Lincoln and Durham must once have looked like

this. In Germany, Limburg Cathedral has a rich variety of openings and arcades in horizontal storeys of varying heights.

The churches of San Zeno Maggiore, Verona, and San Michele, Pavia, present two types of facade that are typical of Italian Romanesque, that which reveals the architectural form of the building, and that which screens it. At San Zeno, the components of nave and aisles are made clear by the vertical shafts that rise to the level of the central gable and by the varying roof levels. At San Miniato al Monte the definition of the architectural parts is made even clearer by the polychrome marble, a feature of many Italian Medieval facades, particularly in Tuscany. At San Michele the vertical definition is present as at San Zeno, but the rooflines are screened behind a single large gable decorated with stepped arcading. At Santa Maria della Pieve, Arezzo, this screening is carried even further, as the roofline is horizontal and the arcading rises in many different levels while the colonettes that support them have a great diversity of decoration.

In the Rhineland and Netherlands the Carolingian form of west end known as the westwerk prevailed. Towers and apse of the western end are often incorporated into a multi-storey structure that bears little structural or visual relationship to the building behind it. These westwerks take a great variety of forms as may be seen at Maria Laach Abbey, St Gertrude, Nivelles, and St Serviatius, Maastricht.

## **Church towers**

Towers were an important feature of Romanesque churches and a great number of them are still standing. They take a variety

of forms: square, circular and octagonal, and are positioned differently in relation to the church building in different countries. In northern France, two large towers, such as those at Caen, were to become an integral part of the facade of any large abbey or cathedral. In central and southern France this is more variable and large churches may have one tower or a central tower. Large churches of Spain and Portugal usually have two towers.

Many abbeys of France, such as that at Cluny, had many towers of varied forms. This is also common in Germany, where the apses were sometimes framed with circular towers and the crossing surmounted by an octagonal tower as at Worms Cathedral. Large paired towers of square plan could also occur on the transept ends, such as those at Tournai Cathedral in Belgium. In Germany, where four towers frequently occur, they often have spires that may be four or eight sided, or the distinctive *Rhenish helm* shape seen on the cathedrals of Limburg or Speyer. It is also common to see bell or onion-shaped spires of the Baroque period surmounting Romanesque towers in central and Eastern Europe.

In England, for large abbeys and cathedral buildings, three towers were favoured, with the central tower being the tallest. This was often not achieved, through the slow process of the building stages, and in many cases the upper parts of the tower were not completed until centuries later as at Durham and Lincoln. Large Norman towers exist at the cathedrals of Durham, Exeter, Southwell, Norwich and Tewkesbury Abbey. Such towers were often topped during the late Medieval period with a Gothic spire of wooden construction covered with lead, copper or shingles. In the case of Norwich Cathedral, the huge,

ornate, 12th-century crossing-tower received a 15th-century masonry spire rising to a height of 320 feet and remaining to this day.

In Italy towers are almost always free standing and the position is often dictated by the landform of the site, rather than aesthetics. This is the case in nearly all Italian churches both large and small, except in Sicily where a number of churches were founded by the Norman rulers and are more French in appearance.

As a general rule, large Romanesque towers are square with corner buttresses of low profile, rising without diminishing through the various stages. Towers are usually marked into clearly defined stages by horizontal courses. As the towers rise, the number and size of openings increases as can be seen on the right tower of the transept of Tournai Cathedral where two narrow slits in the fourth level from the top becomes a single window, then two windows, then three windows at the uppermost level. This sort of arrangement is particularly noticeable on the towers of Italian churches, which are usually built of brick and may have no other ornament. Two fine examples occur at Lucca, at the church of San Frediano and at the *Duomo*. It is also seen in Spain.

In Italy there are a number of large free-standing towers that are circular, the most famous of these being the Leaning Tower of Pisa. In other countries where circular towers occur, such as Germany, they are usually paired and often flank an apse. Circular towers are uncommon in England, but occur throughout the Early Medieval period in Ireland.

Polygonal towers were often used on crossings and occur in France, Germany, Italy and Spain such as that of the Old Cathedral, Salamanca, which is covered by a dome supported on a ribbed vault.

Smaller churches sometimes had bell-gables instead of towers, a feature which, according to some authors, is characteristic of the simplicity of much architecture in the Romanesque style.

## **Portals**

Romanesque churches generally have a single portal centrally placed on the west front, the focus of decoration for the facade of the building. Some churches such as Saint-Étienne, Caen, (11th century) and Pisa Cathedral (late 12th century) had three western portals, in the manner of Early Christian basilicas. Many churches, both large and small, had lateral entrances that were commonly used by worshippers.

Romanesque doorways have a character form, with the jambs having a series of receding planes, into each of which is set a circular shaft, all surmounted by a continuous abacus. The semi-circular arch which rises from the abacus has the same series planes and circular mouldings as the jambs. There are typically four planes containing three shafts, but there may be as many as twelve shafts, symbolic of the apostles.

The opening of the portal may be arched, or may be set with a lintel supporting a tympanum, generally carved, but in Italy sometimes decorated with mosaic or fresco. A carved tympanum generally constitutes the major sculptural work of a Romanesque church. The subject of the carving on a major portal may be Christ in Majesty or the Last Judgement. Lateral



doors may include other subjects such as the Birth of Christ. The portal may be protected by a porch, with simple open porches being typical of Italy, and more elaborate structures typical of France and Spain.

## **Interiors**

The structure of large churches differed regionally and developed across the centuries. The use of piers of rectangular plan to support arcades was common, as at Mainz Cathedral and St Gertrude Nivelles, and remained usual in smaller churches across Europe, with the arcades often taking the form of openings through the surface of a wall. In Italy, where there was a strong tradition of using marble columns, complete with capital, base and abacus, this remained prevalent, often reusing existent ancient columns, as at San Miniato al Monte. A number of 11th-century churches have naves distinguished by huge circular columns with no clerestory, or a very small one as at St Philibert, Tournus. In England stout columns of large diameter supported decorated arches, gallery and clerestory, as at the nave of Malmesbury Abbey (see "Piers and columns", above). By the early 12th century composite piers had evolved, in which the attached shafts swept upward to a ribbed vault or were continued into the mouldings of the arcade, as at Vézelay Abbey, Saint-Étienne, Caen, and Peterborough Cathedral.

The nature of the internal roofing varied greatly, from open timber roofs, and wooden ceilings of different types, which remained common in smaller churches, to simple barrel vaults and groin vaults and increasingly to the use of ribbed vaults in the late 11th and 12th centuries, which were to become a

common feature of larger abbey churches and cathedrals. A number of Romanesque churches are roofed with a series of Domes. At Fontevrault Abbey the nave is covered by four domes, while at the Church of Saint Front, Périgueux, the church is of Greek cross plan, with a central dome surrounded by four smaller domes over the nave, chancel and transepts.

Internal decoration varied across Europe. Where wide expanses of wall existed, they were often plastered and painted. Wooden ceilings and timber beams were decorated. In Italy walls were sometimes faced with polychrome marble. Where buildings were constructed of stone that was suitable for carving, many decorative details occur, including ornate capitals and mouldings.

The apsidal east end was often a focus of decoration, with both architectonic forms such as arcading and pictorial features such as carved figures, murals and occasionally mosaics. Stained glass came into increasing use from the 11th century. In many churches the eastern end has been rebuilt in a later style.

Of England's Norman cathedrals, no eastern end remains unchanged. In France the eastern terminals of the important abbeys of Caen, Vézelay and, most significantly, the Basilica of St Denis were completely rebuilt in the Gothic style. In Germany, major reconstructions of the 19th century sought to return many Romanesque buildings to their original form. Examples of simple Romanesque apses can be seen in the images of St Gertrude, Nivelles; St Philibert, Tournus, and San Miniato al Monte.

## **Other structures**

Among the structures associated with church buildings are crypts, porches, chapter houses, cloisters and baptisteries.

**Crypts** are often present as an underlying structure to a substantial church, and are generally a completely discrete space, but occasionally, as in some Italian churches, may be a sunken space under a raised chancel and open, via steps, to the body of the nave. Romanesque crypts have survived in many instances, such as Canterbury Cathedral, when the church itself has been rebuilt. The usual construction of a Romanesque crypt is with many short stout columns carrying groin vaults, as at Worcester Cathedral.

**Porches** sometimes occur as part of the original design of a facade. This is very much the case in Italy, where they are usually only one bay deep and are supported on two columns, often resting on couchant lions, as at St Zeno, Verona. See above. Elsewhere, porches of various dates have been added to the facade or side entrance of existent churches and may be quite a substantial structure, with several bays of vaulting supported on an open or partially open arcade, and forming a sort of narthex as at the Church of St Maria, Laach. See above. In Spain, Romanesque churches often have large lateral porches, like loggias.

**Chapter houses** often occur adjacent to monastic or cathedral churches. Few have survived intact from the Romanesque period. Early chapter houses were rectangular in shape, with the larger ones sometimes having groin or ribbed vaults supported on columns. Later Romanesque chapter houses

sometimes had an apsidal eastern end. The chapter house at Durham Cathedral is a wide space with a ribbed vault, restored as originally constructed in 1130. The circular chapter house at Worcester Cathedral, built by Bishop Wulfstan (1062–95), was the first circular chapter house in Europe and was much imitated in England.

**Cloisters** are generally part of any monastic complex and also occur at cathedral and collegiate churches. They were essential to the communal way of life, a place for both working during daylight hours and relaxing during inclement weather. They usually abut the church building and are enclosed with windowless walls on the outside and an open arcade on the inside, looking over a courtyard or "cloister garth". They may be vaulted or have timber roofs. The arcades are often richly decorated and are home to some of the most fanciful carved capitals of the Romanesque period with those of Santo Domingo de Silos in Spain and the Abbey of St Pierre Moissac, being examples. Many Romanesque cloisters have survived in Spain, France, Italy and Germany, along with some of their associated buildings.

**Baptisteries** often occur in Italy as a free standing structure, associated with a cathedral. They are generally octagonal or circular and domed. The interior may be arcaded on several levels as at Pisa Cathedral. Other notable Romanesque baptisteries are that at Parma Cathedral remarkable for its galleried exterior, and the polychrome Baptistery of San Giovanni of Florence Cathedral, with vault mosaics of the 13th century including Christ in Majesty, possibly the work of the almost legendary Coppo di Marcovaldo.

# **Decoration**

## **Architectural embellishment**

Arcading is the single most significant decorative feature of Romanesque architecture. It occurs in a variety of forms, from the Lombard band, which is a row of small arches that appear to support a roofline or course, to shallow blind arcading that is often a feature of English architecture and is seen in great variety at Ely Cathedral, to the open dwarf gallery, first used at Speyer Cathedral and widely adopted in Italy as seen on both Pisa Cathedral and its famous Leaning Tower. Arcades could be used to great effect, both externally and internally, as exemplified by the church of Santa Maria della Pieve, in Arezzo.

## **Architectural sculpture**

The Romanesque period produced a profusion of sculptural ornamentation. This most frequently took a purely geometric form and was particularly applied to mouldings, both straight courses and the curved moldings of arches. In La Madeleine, Vezelay, for example, the polychrome ribs of the vault are all edged with narrow filets of pierced stone. Similar decoration occurs around the arches of the nave and along the horizontal course separating arcade and clerestory. Combined with the pierced carving of the capitals, this gives a delicacy and refinement to the interior.

In England, such decoration could be discrete, as at Hereford and Peterborough cathedrals, or have a sense of massive

energy as at Durham where the diagonal ribs of the vaults are all outlined with chevrons, the mouldings of the nave arcade are carved with several layers of the same and the huge columns are deeply incised with a variety of geometric patterns creating an impression of directional movement. These features combine to create one of the richest and most dynamic interiors of the Romanesque period.

Although much sculptural ornament was sometimes applied to the interiors of churches, the focus of such decoration was generally the west front, and in particular, the portals. Chevrons and other geometric ornaments, referred to by 19th-century writers as "barbaric ornament", are most frequently found on the mouldings of the central door. Stylized foliage often appears, sometimes deeply carved and curling outward after the manner of the acanthus leaves on Corinthian capitals, but also carved in shallow relief and spiral patterns, imitating the intricacies of manuscript illuminations. In general, the style of ornament was more classical in Italy, such as that seen around the door of San Giusto in Lucca, and more "barbaric" in England, Germany and Scandinavia, such as that seen at Lincoln and Speyer Cathedrals. France produced a great range of ornament, with particularly fine interwoven and spiralling vines in the "manuscript" style occurring at Saint-Sernin, Toulouse.

### **Figurative sculpture**

With the fall of the Roman Empire, the tradition of carving large works in stone and sculpting figures in bronze died out. The best-known surviving large sculptural work of Proto-Romanesque Europe is the life-size wooden Crucifix

commissioned by Archbishop Gero of Cologne in about 960–65. During the 11th and 12th centuries, figurative sculpture flourished in a distinctly Romanesque style that can be recognised across Europe, although the most spectacular sculptural projects are concentrated in South-Western France, Northern Spain and Italy.

Major figurative decoration occurs particularly around the portals of cathedrals and churches, ornamenting the tympanum, lintels, jambs and central posts. The tympanum is typically decorated with the imagery of Christ in Majesty with the symbols of the Four Evangelists, drawn directly from the gilt covers of medieval Gospel Books. This style of doorway occurs in many places and continued into the Gothic period. A rare survival in England is that of the "Prior's Door" at Ely Cathedral. In France, many have survived, with impressive examples at the Abbey of Saint-Pierre, Moissac, the Abbey of Sainte-Marie, Souillac, and Abbey of la Madaleine, Vézelay – all daughter houses of Cluny, with extensive other sculpture remaining in cloisters and other buildings. Nearby, Autun Cathedral has a Last Judgement of great rarity in that it has uniquely been signed by its creator Giselbertus (who was perhaps the patron rather than the sculptor). The same artist is thought to have worked at la Madeleine Vezelay which uniquely has two elaborately carved tympanum, the early inner one representing the Last Judgement and that on the outer portal of the narthex representing Jesus sending forth the Apostles to preach to the nations.

It is a feature of Romanesque art, both in manuscript illumination and sculptural decoration, that figures are contorted to fit the space that they occupy. Among the many

examples that exist, one of the finest is the figure of the Prophet Jeremiah from the pillar of the portal of the Abbey of Saint-Pierre, Moissac, France, from about 1130. A significant motif of Romanesque design is the spiral, a form applied to both plant motifs and drapery in Romanesque sculpture. An outstanding example of its use in drapery is that of the central figure of Christ on the outer portal at La Madaleine, Vezelay.

Many of the smaller sculptural works, particularly capitals, are Biblical in subject and include scenes of Creation and the Fall of Man, episodes from the life of Christ and those Old Testament scenes that prefigure his Death and Resurrection, such as Jonah and the Whale and Daniel in the lions' den. Many Nativity scenes occur, the theme of the Three Kings being particularly popular. The cloisters of Santo Domingo de Silos Abbey in Northern Spain, and Moissac are fine examples surviving complete.

## **Murals**

The large wall surfaces and plain curving vaults of the Romanesque period lent themselves to mural decoration. Unfortunately, many of these early wall paintings have been destroyed by damp or the walls have been replastered and painted over. In most of Northern Europe such pictures were systematically destroyed in bouts of Reformation iconoclasm. In other countries they have suffered from war, neglect and changing fashion.

A classic scheme for the full painted decoration of a church, derived from earlier examples often in mosaic, had, as its focal point in the semi-dome of the apse, Christ in Majesty or Christ



the Redeemer enthroned within a mandorla and framed by the four winged beasts, symbols of the Four Evangelists, comparing directly with examples from the gilt covers or the illuminations of Gospel Books of the period. If the Virgin Mary was the dedicatee of the church, she might replace Christ here. On the apse walls below would be saints and apostles, perhaps including narrative scenes, for example of the saint to whom the church was dedicated.

On the sanctuary arch were figures of apostles, prophets or the twenty-four "elders of the Apocalypse", looking in towards a bust of Christ, or his symbol the Lamb, at the top of the arch. The north wall of the nave would contain narrative scenes from the Old Testament, and the south wall from the New Testament. On the rear west wall would be a Doom painting or Last Judgement, with an enthroned and judging Christ at the top.

One of the most intact schemes to exist is that at Saint-Savin-sur-Gartempe in France. (See picture above under "Vault") The long barrel vault of the nave provides an excellent surface for fresco, and is decorated with scenes of the Old Testament, showing the Creation, the Fall of Man and other stories including a lively depiction of Noah's Ark complete with a fearsome figurehead and numerous windows through which can be seen the Noah and his family on the upper deck, birds on the middle deck, while on the lower are the pairs of animals. Another scene shows with great vigour the swamping of Pharaoh's army by the Red Sea. The scheme extends to other parts of the church, with the martyrdom of the local saints shown in the crypt, and Apocalypse in the narthex and Christ in Majesty. The range of colours employed is limited to light

blue-green, yellow ochre, reddish brown and black. Similar paintings exist in Serbia, Spain, Germany, Italy and elsewhere in France.

## **Stained glass**

The oldest-known fragments of medieval pictorial stained glass appear to date from the 10th century. The earliest intact figures are five prophet windows at Augsburg, dating from the late 11th century. The figures, though stiff and formalised, demonstrate considerable proficiency in design, both pictorially and in the functional use of the glass, indicating that their maker was well accustomed to the medium. At Canterbury and Chartres Cathedrals, a number of panels of the 12th century have survived, including, at Canterbury, a figure of Adam digging, and another of his son Seth from a series of Ancestors of Christ. Adam represents a highly naturalistic and lively portrayal, while in the figure of Seth, the robes have been used to great decorative effect, similar to the best stone carving of the period.

Many of the magnificent stained glass windows of France, including the famous windows of Chartres, date from the 13th century. Far fewer large windows remain intact from the 12th century. One such is the Crucifixion of Poitiers, a remarkable composition that rises through three stages, the lowest with a quatrefoil depicting the Martyrdom of St Peter, the largest central stage dominated by the crucifixion and the upper stage showing the Ascension of Christ in a mandorla. The figure of the crucified Christ is already showing the Gothic curve. The window is described by George Seddon as being of "unforgettable beauty".

## **Transitional style and the continued use of Romanesque forms**

During the 12th century, features that were to become typical of Gothic architecture began to appear. It is not uncommon, for example, for a part of building that has been constructed over a lengthy period extending into the 12th century, to have very similar arcading of both semi-circular and pointed shape, or windows that are identical in height and width, but in which the later ones are pointed. This can be seen on the towers of Tournai Cathedral and on the western towers and facade at Ely Cathedral. Other variations that appear to hover between Romanesque and Gothic occur, such as the facade designed by Abbot Suger at the Abbey of Saint-Denis, which retains much that is Romanesque in its appearance, and the Facade of Laon Cathedral, which, despite its Gothic form, has round arches.

Abbot Suger's innovative choir of the Abbey of Saint-Denis, 1140–44, led to the adoption of the Gothic style by Paris and its surrounding area, but other parts of France were slower to take it up, and provincial churches continued to be built in the heavy manner and rubble stone of the Romanesque, even when the openings were treated with the fashionable pointed arch.

In England, the Romanesque groundplan, which in that country commonly had a very long nave, continued to affect the style of building of cathedrals and those large abbey churches which were also to become cathedrals at the dissolution of the monasteries in the 16th century. Despite the fact that English cathedrals were built or rebuilt in many stages, substantial areas of Norman building can be seen in many of them, particularly in the nave arcades. In the case of

Winchester Cathedral, the Gothic arches were literally carved out of the existent Norman piers. Other cathedrals have sections of their building which are clearly an intermediate stage between Norman and Gothic, such as the western towers of Ely Cathedral and part of the nave at Worcester Cathedral. The first truly Gothic building in England is the long eastern end of Canterbury Cathedral commenced in 1175.

In Italy, although many churches such as Florence Cathedral and Santa Maria Novella were built in the Gothic style, or utilising the pointed arch and window tracery, Romanesque features derived from the Roman architectural heritage, such as sturdy columns with capitals of a modified Corinthian form, continued to be used. The pointed vault was utilised where convenient, but it is commonly interspersed with semicircular arches and vaults wherever they conveniently fit. The facades of Gothic churches in Italy are not always easily distinguishable from the Romanesque. Germany was not quick to adopt the Gothic style, and when it did so in the 1230s, the buildings were often modelled very directly upon French cathedrals, as Cologne Cathedral was modelled on Amiens. The smaller churches and abbeys continued to be constructed in a more provincial Romanesque manner, the date only being registered by the pointed window openings.

## **Romanesque castles, houses and other buildings**

The Romanesque period was a time of great development in the design and construction of defensive architecture. After churches and the monastic buildings with which they are often

associated, castles are the most numerous type of building of the period. While most are in ruins through the action of war and politics, others, like William the Conqueror's White Tower within the Tower of London have remained almost intact.

In some regions, particularly Germany, large palaces were built for rulers and bishops. Local lords built great halls in the countryside, while rich merchants built grand town houses. In Italy, city councils constructed town halls, while wealthy cities of Northern Europe protected their trading interests with warehouses and commercial premises. All over Europe, dwellers of the town and country built houses to live in, some of which, sturdily constructed in stone, have remained to this day with sufficient of their form and details intact to give a picture of the style of domestic architecture that was in fashion at the time.

Examples of all these types of buildings can be found scattered across Europe, sometimes as isolated survivals like the two merchants' houses on opposite sides of Steep Hill in Lincoln, England, and sometimes giving form to a whole medieval city like San Gimignano in Tuscany, Italy. These buildings are the subject of a separate article.

## **Romanesque Revival**

During the 19th century, when Gothic Revival architecture was fashionable, buildings were occasionally designed in the Romanesque style. There are a number of Romanesque Revival churches, dating from as early as the 1830s and continuing into the 20th century where the massive and "brutal" quality of the Romanesque style was appreciated and designed in brick.

The Natural History Museum, London, designed by Alfred Waterhouse, 1879, on the other hand, is a Romanesque revival building that makes full use of the decorative potential of Romanesque arcading and architectural sculpture. The Romanesque appearance has been achieved while freely adapting an overall style to suit the function of the building. The columns of the foyer, for example, give an impression of incised geometric design similar to those of Durham Cathedral. However, the sources of the incised patterns are the trunks of palms, cycads and tropical tree ferns. The animal motifs, of which there are many, include rare and exotic species.

The type of modern buildings for which the Romanesque style was most frequently adapted was the warehouse, where a lack of large windows and an appearance of great strength and stability were desirable features.

These buildings, generally of brick, frequently have flattened buttresses rising to wide arches at the upper levels after the manner of some Italian Romanesque facades. This style was adapted to suit commercial buildings by opening the spaces between the arches into large windows, the brick walls becoming a shell to a building that was essentially of modern steel-frame construction, the architect Henry Hobson Richardson giving his name to the style, Richardsonian Romanesque. Good examples of the style are Marshall Field's Wholesale Store, Chicago, by H.H. Richardson, 1885, and the Chadwick Lead Works in Boston, United States, by William Preston, 1887. The style also lent itself to the building of cloth mills, steelworks and powerstations.

## Chapter 4

# Johannes Gutenberg

**Johannes Gensfleisch zur Laden zum Gutenberg**(c. 1400 – February 3, 1468) was a German inventor, printer, publisher, and goldsmith who introduced printing to Europe with his mechanical movable-type printing press. His work started the Printing Revolution in Europe and is regarded as a milestone of the second millennium, ushering in the modern period of human history. It played a key role in the development of the Renaissance, Reformation, Age of Enlightenment, and Scientific Revolution, as well as laying the material basis for the modern knowledge-based economy and the spread of learning to the masses.

While not the first to use movable type in the world, in 1439 Gutenberg was the first European to do so. His many contributions to printing include: the invention of a process for mass-producing movable type; the use of oil-based ink for printing books; adjustable molds; mechanical movable type; and the use of a wooden printing press similar to the agricultural screw presses of the period. His truly epochal invention was the combination of these elements into a practical system that allowed the mass production of printed books and was economically viable for printers and readers alike. Gutenberg's method for making type is traditionally considered to have included a type metal alloy and a hand mould for casting type. The alloy was a mixture of lead, tin, and antimony that melted at a relatively low temperature for faster and more economical casting, cast well, and created a durable type.

The use of movable type was a marked improvement on the handwritten manuscript, which was the existing method of book production in Europe, and upon woodblock printing, and revolutionized European book-making. Gutenberg's printing technology spread rapidly throughout Europe and later the world. His major work, the Gutenberg Bible (also known as the 42-line Bible), was the first printed version of the Bible and has been acclaimed for its high aesthetic and technical quality. In Renaissance Europe, the arrival of mechanical movable type printing introduced the era of mass communication which permanently altered the structure of society. The relatively unrestricted circulation of information—including revolutionary ideas—transcended borders, captured the masses in the Reformation, and threatened the power of political and religious authorities; the sharp increase in literacy broke the monopoly of the literate elite on education and learning and bolstered the emerging middle class. Across Europe, the increasing cultural self-awareness of its people led to the rise of proto-nationalism, accelerated by the flowering of the European vernacular languages to the detriment of Latin's status as *lingua franca*. In the 19th century, the replacement of the hand-operated Gutenberg-style press by steam-powered rotary presses allowed printing on an industrial scale, while Western-style printing was adopted all over the world, becoming practically the sole medium for modern bulk printing.

An overview of the wide acclaim of Gutenberg's accomplishments is found in several sources: In 1999, the A&E Network ranked Gutenberg no. 1 on their "People of the Millennium" countdown. In 1997, Time-Life magazine picked Gutenberg's invention as the most important of the second



millennium. Four prominent US journalists did the same in their 1998 resume, ranking his impact high in shaping the millennium. The *Catholic Encyclopedia* describes Gutenberg's invention as having made a practically unparalleled cultural impact in the Christian era.

## **Early life**

Gutenberg was born in the German city of Mainz, Rhine-Main area, the youngest son of the patrician merchant Friele Gensfleisch zur Laden, and his second wife, Else Wyrich, who was the daughter of a shopkeeper. It is assumed that he was baptized in the area close to his birthplace of St. Christoph. According to some accounts, Friele was a goldsmith for the bishop at Mainz, but most likely, he was involved in the cloth trade. Gutenberg's year of birth is not precisely known, but it was sometime between the years of 1394 and 1404. In the 1890s the city of Mainz declared his official and symbolic date of birth to be June 24, 1400.

John Lienhard, technology historian, says "Most of Gutenberg's early life is a mystery. His father worked with the ecclesiastic mint. Gutenberg grew up knowing the trade of goldsmithing." This is supported by historian Heinrich Wallau, who adds, "In the 14th and 15th centuries his [ancestors] claimed a hereditary position as ... retainers of the household of the master of the archiepiscopal mint. In this capacity, they doubtless acquired considerable knowledge and technical skill in metal working. They supplied the mint with the metal to be coined, changed the various species of coins, and had a seat at the assizes in forgery cases."

Wallau adds, "His surname was derived from the house inhabited by his father and his paternal ancestors 'zu Laden, zu Gutenberg'. The house of Gänsfleisch was one of the patrician families of the town, tracing its lineage back to the thirteenth century." Patricians (the wealthy and political elite) in Mainz were often named after houses they owned. Around 1427, the name *zu Gutenberg*, after the family house in Mainz, is documented to have been used for the first time.

In 1411, there was an uprising in Mainz against the patricians, and more than a hundred families were forced to leave. As a result, the Gutenbergs are thought to have moved to Eltville am Rhein (Alta Villa), where his mother had an inherited estate.

According to historian Heinrich Wallau, "All that is known of his youth is that he was not in Mainz in 1430. It is presumed that he migrated for political reasons to Strasbourg, where the family probably had connections." He is assumed to have studied at the University of Erfurt, where there is a record of the enrolment of a student called Johannes de Altavilla in 1418—Altavilla is the Latin form of Eltville am Rhein.

Nothing is now known of Gutenberg's life for the next fifteen years, but in March 1434, a letter by him indicates that he was living in Strasbourg, where he had some relatives on his mother's side. He also appears to have been a goldsmith member enrolled in the Strasbourg militia. In 1437, there is evidence that he was instructing a wealthy tradesman on polishing gems, but where he had acquired this knowledge is unknown. In 1436/37 his name also comes up in court in connection with a broken promise of marriage to a woman from

Strasbourg, Ennelin. Whether the marriage actually took place is not recorded. Following his father's death in 1419, he is mentioned in the inheritance proceedings.

## **Printing press**

Around 1439, Gutenberg was involved in a financial misadventure making polished metal mirrors (which were believed to capture holy light from religious relics) for sale to pilgrims to Aachen: in 1439 the city was planning to exhibit its collection of relics from Emperor Charlemagne but the event was delayed by one year due to a severe flood and the capital already spent could not be repaid.

Until at least 1444 Gutenberg lived in Strasbourg, most likely in the St. Arbogast parish. It was in Strasbourg in 1440 that he is said to have perfected and unveiled the secret of printing based on his research, mysteriously entitled *Aventur und Kunst* (enterprise and art). It is not clear what work he was engaged in, or whether some early trials with printing from movable type were conducted there. After this, there is a gap of four years in the record. In 1448, he was back in Mainz, where he took out a loan from his brother-in-law Arnold Gelthus, quite possibly for a printing press or related paraphernalia. By this date, Gutenberg may have been familiar with intaglio printing; it is claimed that he had worked on copper engravings with an artist known as the Master of Playing Cards.

By 1450, the press was in operation, and a German poem had been printed, possibly the first item to be printed there. Gutenberg was able to convince the wealthy moneylender Johann Fust for a loan of 800 guilders. Peter Schöffer, who

became Fust's son-in-law, also joined the enterprise. Schöffer had worked as a scribe in Paris and is believed to have designed some of the first typefaces.

Gutenberg's workshop was set up at Humberchthof, a property belonging to a distant relative. It is not clear when Gutenberg conceived the Bible project, but for this he borrowed another 800 guilders from Fust, and work commenced in 1452. At the same time, the press was also printing other, more lucrative texts (possibly Latin grammars). There is also some speculation that there were two presses: one for the pedestrian texts and one for the Bible. One of the profit-making enterprises of the new press was the printing of thousands of indulgences for the church, documented from 1454 to 1455.

In 1455 Gutenberg completed his *42-line Bible*, known as the Gutenberg Bible. About 180 copies were printed, most on paper and some on vellum.

### **Court case**

Some time in 1456, there was a dispute between Gutenberg and Fust, and Fust demanded his money back, accusing Gutenberg of misusing the funds. Gutenberg's two rounds of financing from Fust, a total of 1,600 guilders at 6% interest, now amounted to 2,026 guilders. Fust sued at the archbishop's court.

A November 1455 legal document records that there was a partnership for a "project of the books," the funds for which Gutenberg had used for other purposes, according to Fust. The court decided in favor of Fust, giving him control over the Bible printing workshop and half of all printed Bibles.

Thus Gutenberg was effectively bankrupt, but it appears he retained (or restarted) a small printing shop, and participated in the printing of a Bible in the town of Bamberg around 1459, for which he seems at least to have supplied the type. But since his printed books never carry his name or a date, it is difficult to be certain, and there is consequently a considerable scholarly debate on this subject. It is also possible that the large *Catholicon* dictionary, 300 copies of 754 pages, printed in Mainz in 1460, was executed in his workshop. Meanwhile, the Fust-Schöffer shop was the first in Europe to bring out a book with the printer's name and date, the *Mainz Psalter* of August 1457, and while proudly proclaiming the mechanical process by which it had been produced, it made no mention of Gutenberg.

### **Later life**

In 1462, during the devastating Mainz Diocesan Feud, Mainz was sacked by Archbishop Adolph von Nassau. On the 18th January, 1465, Gutenberg's achievements were recognized by Archbishop von Nassau. He was given the title *Hofmann* (gentleman of the court). This honor included a stipend and an annual court outfit, as well as 2,180 litres of grain and 2,000 litres of wine tax-free. Gutenberg died in 1468 and was buried likely as a tertiary in the Franciscan church at Mainz. This church and the cemetery were later destroyed, and Gutenberg's grave is now lost. In 1504, he was mentioned as the inventor of typography in a book by Professor Ivo Wittig. It was not until 1567 that the first portrait of Gutenberg, almost certainly an imaginary reconstruction, appeared in Heinrich Pantaleon's biography of famous Germans.

## Printed books

Between 1450 and 1455, Gutenberg printed several texts, some of which remain unidentified; his texts did not bear the printer's name or date, so attribution is possible only from typographical evidence and external references. Certainly several church documents including a papal letter and two indulgences were printed, one of which was issued in Mainz. In view of the value of printing in quantity, seven editions in two styles were ordered, resulting in several thousand copies being printed. Some printed editions of *Ars Minor*, a schoolbook on Latin grammar by Aelius Donatus, may have been printed by Gutenberg; these have been dated either 1451–52 or 1455.

In 1455, Gutenberg completed copies of a beautifully executed folio Bible (*Biblia Sacra*), with 42 lines on each page. Copies sold for 30 florins each, which was roughly three years' wages for an average clerk. Nonetheless, it was much cheaper than a manuscript Bible that could take a single scribe over a year to prepare. After printing, some copies were rubricated or hand-illuminated in the same elegant way as manuscript Bibles from the same period. 48 substantially complete copies are known to survive, including two at the British Library that can be viewed and compared online. The text lacks modern features such as page numbers, indentations, and paragraph breaks.

An undated 36-line edition of the Bible was printed, probably in Bamberg in 1458–60, possibly by Gutenberg. A large part of it was shown to have been set from a copy of Gutenberg's Bible, thus disproving earlier speculation that it was the earlier of the two.

## Printing method with movable type

Gutenberg's early printing process, and what texts he printed with movable type, are not known in great detail. His later Bibles were printed in such a way as to have required large quantities of type, some estimates suggesting as many as 100,000 individual sorts. Setting each page would take, perhaps, half a day, and considering all the work in loading the press, inking the type, pulling the impressions, hanging up the sheets, distributing the type, etc., it is thought that the Gutenberg–Fust shop might have employed as many as 25 craftsmen.

Gutenberg's technique of making movable type remains unclear. In the following decades, punches and copper matrices became standardized in the rapidly disseminating printing presses across Europe. Whether Gutenberg used this sophisticated technique or a somewhat primitive version has been the subject of considerable debate.

- In the standard process of making type, a hard metal punch (made by punchcutting, with the letter carved back to front) is hammered into a softer copper bar, creating a *matrix*. This is then placed into a hand-held mould and a piece of type, or "sort", is cast by filling the mould with molten type-metal; this cools almost at once, and the resulting piece of type can be removed from the mould. The matrix can be reused to create hundreds, or thousands, of identical sorts so that the same character appearing anywhere within the book will appear very uniform, giving rise, over time, to the development of distinct styles of

typefaces or fonts. After casting, the sorts are arranged into type cases, and used to make up pages which are inked and printed, a procedure which can be repeated hundreds, or thousands, of times. The sorts can be reused in any combination, earning the process the name of "movable type". (For details, see *Typography*.)

The invention of the making of types with punch, matrix and mold has been widely attributed to Gutenberg. However, recent evidence suggests that Gutenberg's process was somewhat different. If he used the punch and matrix approach, all his letters should have been nearly identical, with some variation due to miscasting and inking. However, the type used in Gutenberg's earliest work shows other variations.

In 2001, the physicist Blaise Agüera y Arcas and Princeton librarian Paul Needham, used digital scans of a Papal bull in the Scheide Library, Princeton, to carefully compare the same letters (types) appearing in different parts of the printed text. The irregularities in Gutenberg's type, particularly in simple characters such as the hyphen, suggested that the variations could not have come either from ink smear or from wear and damage on the pieces of metal on the types themselves. Although some identical types are clearly used on other pages, other variations, subjected to detailed image analysis, suggested that they could not have been produced from the same matrix. Transmitted light pictures of the page also appeared to reveal substructures in the type that could not arise from traditional punchcutting techniques. They hypothesized that the method involved impressing simple shapes to create alphabets in "cuneiform" style in a matrix



made of some soft material, perhaps sand. Casting the type would destroy the mould, and the matrix would need to be recreated to make each additional sort. This could explain the variations in the type, as well as the substructures observed in the printed images.

Thus, they speculated that "the decisive factor for the birth of typography", the use of reusable moulds for casting type, was a more progressive process than was previously thought. They suggested that the additional step of using the punch to create a mould that could be reused many times was not taken until twenty years later, in the 1470s. Others have not accepted some or all of their suggestions, and have interpreted the evidence in other ways, and the truth of the matter remains uncertain.

A 1568 book *Batavia* by Hadrianus Junius from Holland claims that the idea of the movable type came to Gutenberg from Laurens Janszoon Coster via Fust, who was apprenticed to Coster in the 1430s and may have brought some of his equipment from Haarlem to Mainz. While Coster appears to have experimented with moulds and castable metal type, there is no evidence that he had actually printed anything with this technology.

He was an inventor and a goldsmith. However, there is one indirect supporter of the claim that Coster might be the inventor. The author of the Cologne Chronicle of 1499 quotes Ulrich Zell, the first printer of Cologne, that printing was performed in Mainz in 1450, but that some type of printing of lower quality had previously occurred in the Netherlands. However, the chronicle does not mention the name of Coster,

while it actually credits Gutenberg as the "first inventor of printing" in the very same passage (fol. 312). The first securely dated book by Dutch printers is from 1471, and the Coster connection is today regarded as a mere legend.

The 19th-century printer and typefounder Fournier Le Jeune suggested that Gutenberg was not using type cast with a reusable matrix, but wooden types that were carved individually. A similar suggestion was made by Nash in 2004. This remains possible, albeit entirely unproven.

## **Legacy**

Although Gutenberg was financially unsuccessful in his lifetime, the printing technologies spread quickly, and news and books began to travel across Europe much faster than before. It fed the growing Renaissance, and since it greatly facilitated scientific publishing, it was a major catalyst for the later scientific revolution.

The capital of printing in Europe shifted to Venice, where visionary printers like Aldus Manutius ensured widespread availability of the major Greek and Latin texts. The claims of an Italian origin for movable type have also focused on this rapid rise of Italy in movable-type printing. This may perhaps be explained by the prior eminence of Italy in the paper and printing trade. Additionally, Italy's economy was growing rapidly at the time, facilitating the spread of literacy. Christopher Columbus had a geography book (printed with movable type) bought by his father. That book is in a Spanish museum, the Biblioteca Colombina in Seville. Finally, the city of Mainz was sacked in 1462, driving many (including a

number of printers and punch cutters) into exile. Printing was also a factor in the Reformation. Martin Luther's *Ninety-five Theses* were printed and circulated widely; subsequently he issued broadsheets outlining his anti-indulgences position (certificates of indulgences were one of the first items Gutenberg had printed). The broadsheet contributed to development of the newspaper.

In the decades after Gutenberg, many conservative patrons looked down on cheap printed books; books produced by hand were considered more desirable.

Today there is a large antique market for the earliest printed objects. Books printed prior to 1500 are known as *incunabula*.

There are many statues of Gutenberg in Germany, including the famous one by Bertel Thorvaldsen (1837) at Gutenbergplatz in Mainz, home to the eponymous Johannes Gutenberg University of Mainz and the Gutenberg Museum on the history of early printing. The latter publishes the *Gutenberg-Jahrbuch*, the leading periodical in the field.

Project Gutenberg, the oldest digital library, commemorates Gutenberg's name. The Mainzer Johannisnacht commemorates the person Johannes Gutenberg in his native city since 1968.

In 1952, the United States Postal Service issued a five hundredth anniversary stamp commemorating Johannes Gutenberg invention of the movable-type printing press.

In 1961 the Canadian philosopher and scholar Marshall McLuhan entitled his pioneering study in the fields of print

culture, cultural studies, and media ecology, *The Gutenberg Galaxy: The Making of Typographic Man*.

Regarded as one of the most influential people in human history, Gutenberg remains a towering figure in the popular image. In a 1978 book by a historian that purports to rank the 100 most influential persons in history, Gutenberg comes in at number 8, after T'sai Lun and before Christopher Columbus. In 1999, the A&E Network ranked Gutenberg the No. 1 most influential person of the second millennium on their "Biographies of the Millennium" countdown. In 1997, Time-Life magazine picked Gutenberg's invention as the most important of the second millennium.

In space, he is commemorated in the name of the asteroid 777 Gutemberga. Two operas based on Gutenberg are *G, Being the Confession and Last Testament of Johannes Gensfleisch, also known as Gutenberg, Master Printer, formerly of Strasbourg and Mainz*, from 2001 with music by Gavin Bryars; and *La Nuit de Gutenberg*, with music by Philippe Manoury, premiered in 2011 in Strasbourg.

In 2018, WordPress named its new editing system Gutenberg in tribute to him. On April 14, 2021, Gutenberg was celebrated in a Google Doodle.

## Chapter 5

# Erasmus

- **Desiderius Erasmus Roterodamus**(28 October 1466 – 12 July 1536) was a Dutch philosopher and Christianscholar who is considered to have been one of the greatest scholars of the northern Renaissance. As a Catholic priest, he was an important figure in classical scholarship who wrote in a pure Latin style. Among humanists he enjoyed the sobriquet "Prince of the Humanists", and has been called "the crowning glory of the Christian humanists". Using humanist techniques for working on texts, he prepared important new Latin and Greek editions of the New Testament, which raised questions that would be influential in the Protestant Reformation and Catholic Counter-Reformation. He also wrote *On Free Will*, *In Praise of Folly*, *Handbook of a Christian Knight*, *On Civility in Children*, *Copia: Foundations of the Abundant Style*, *Julius Exclusus*, and many other works.

Erasmus lived against the backdrop of the growing European religious Reformation. He remained a member of the Catholic Church all his life, remaining committed to reforming the Church and its clerics' abuses from within. He also held to the doctrine of synergism, which some Reformers (Calvinists) rejected in favor of the doctrine of monergism. His middle-road (*via media*) approach disappointed, and even angered, scholars in both camps.

Erasmus died suddenly in Basel in 1536 while preparing to return to Brabant and was buried in Basel Minster, the former cathedral of the city.

## **Early life**

Desiderius Erasmus is reported to have been born in Rotterdam on 28 October in the late 1460s. He was named after Saint Erasmus of Formiae, whom Erasmus's father Gerard personally favored. A 17th-century legend has it that Erasmus was first named Geert Geerts (also Gerhard Gerhards or Gerrit Gerritsz), but this is unfounded. A well-known wooden picture indicates: *Goudæ conceptus, Roterodami natus* (Latin for *Conceived in Gouda, born in Rotterdam*). According to an article by historian Renier Snooy (1478–1537), Erasmus was born in Gouda.

The exact year of his birth is controversial but most agree it was in 1466. Evidence confirming the year of Erasmus's birth in 1466 can be found in his own words: fifteen out of twenty-three statements he made about his age indicate 1466. Although associated closely with Rotterdam, he lived there for only four years, never to return afterwards. Information on his family and early life comes mainly from vague references in his writings. His parents were not legally married. His father, Gerard, was a Catholic priest and curate in Gouda. His mother was Margaretha Rogerius (Latinized form of Dutch surname Rutgers) the daughter of a doctor from Zevenbergen. She may have been Gerard's housekeeper. Although he was born out of wedlock, Erasmus was cared for by his parents until their early deaths from the Plague in 1483. This solidified his view of his origin as a stain and cast a pall over his youth.

Erasmus was given the highest education available to a young man of his day, in a series of monastic or semi-monastic schools. In 1475, at the age of nine, he and his older brother Peter were sent to one of the best Latin schools in the Netherlands, located at Deventer and owned by the chapter clergy of the Lebuïnuskerk (St Lebuin's Church), though some earlier biographies assert it was a school run by the Brethren of the Common Life. During his stay there the curriculum was renewed by the principal of the school, Alexander Hegius. For the first time ever in Europe, Greek was taught at a lower level than a university and this is where he began learning it. He also gleaned there the importance of a personal relationship with God but eschewed the harsh rules and strict methods of the religious brothers and educators. His education there ended when plague struck the city about 1483, and his mother, who had moved to provide a home for her sons, died from the infection.

## **Ordination and monastic experience**

Most likely in 1487, poverty forced Erasmus into the consecrated life as a canon regular of St. Augustine at the canonry of Stein, in South Holland. He took vows there in late 1488 and was ordained to the Catholic priesthood on 25 April 1492. It is said that he never seemed to have actively worked as a priest for a long time, and certain abuses in religious orders were among the chief objects of his later calls to reform the Church from within.

While at Stein, Erasmus supposedly fell in love with a fellow canon, Servatius Rogerus, and wrote a series of passionate letters in which he called Rogerus "half my soul," writing that

"I have wooed you both unhappily and relentlessly." This correspondence contrasts sharply with the generally detached and much more restrained attitude he showed in his later life. Later, while tutoring in Paris, he was suddenly dismissed by the guardian of Thomas Grey. Some have taken this as evidence of an illicit affair. No personal denunciation was made of Erasmus during his lifetime, however, and he took pains in later life to distance these earlier episodes by condemning sodomy in his works, and praising sexual desire in marriage between men and women.

Soon after his priestly ordination, he got his chance to leave the canonry when offered the post of secretary to the Bishop of Cambrai, Henry of Bergen, on account of his great skill in Latin and his reputation as a man of letters. To allow him to accept that post, he was given a temporary dispensation from his religious vows on the grounds of poor health and love of humanistic studies, though he remained a priest. Pope Leo X later made the dispensation permanent, a considerable privilege at the time.

## **Education and scholarship**

In 1495, with Bishop Henry's consent and a stipend, Erasmus went on to study at the University of Paris in the Collège de Montaigu, a centre of reforming zeal, under the direction of the ascetic Jan Standonck, of whose rigors he complained. The university was then the chief seat of Scholastic learning but already coming under the influence of Renaissance humanism. For instance, Erasmus became an intimate friend of an Italian humanist Publio Fausto Andrelini, poet and "professor of humanity" in Paris.



In 1499 he was invited to England by William Blount, 4th Baron Mountjoy, who offered to accompany him on his trip to England. According to Thomas Penn, Erasmus was "ever susceptible to the charms of attractive, well-connected, and rich young men". His time in England was fruitful in the making of lifelong friendships with the leaders of English thought in the days of King Henry VIII: John Colet, Thomas More, John Fisher, Thomas Linacre and William Grocyn. At the University of Cambridge, he was the Lady Margaret's Professor of Divinity and turned down the option of spending the rest of his life as a professor there. Erasmus stayed at Queens' College, from 1510 to 1515. His rooms were located in the "I" staircase of Old Court, and he showed a marked disdain for the ale and weather of England.

Erasmus suffered from poor health and complained that Queens' College could not supply him with enough decent wine (wine was the Renaissance medicine for gallstones, from which Erasmus suffered). Until the early 20th century, Queens' College used to have a corkscrew that was purported to be "Erasmus's corkscrew", which was a third of a metre long; as of 1987, the college still had what it calls "Erasmus's chair". Today Queens' College also has an Erasmus Building and an Erasmus Room. His legacy is marked for someone who complained bitterly about the lack of comforts and luxuries to which he was accustomed. As Queens' was an unusually humanist-leaning institution in the 16th century, Queens' College Old Library still houses many first editions of Erasmus's publications, many of which were acquired during that period by bequest or purchase, including Erasmus's New Testament translation, which is signed by friend and Polish religious reformer Jan Łaski. From 1505 to 1508 Erasmus's

friend, Chancellor John Fisher, was president of Queens' College. His friendship with Fisher is the reason he chose to stay at Queens' while lecturing in Greek at the university.

During his first visit to England in 1499, he taught at the University of Oxford. Erasmus was particularly impressed by the Bible teaching of John Colet, who pursued a style more akin to the church fathers than the Scholastics. This prompted him, upon his return from England, to master the Greek language, which would enable him to study theology on a more profound level and to prepare a new edition of Jerome's late-4th century Bible translation. On one occasion he wrote to Colet:

I cannot tell you, dear Colet, how I hurry on, with all sails set, to holy literature. How I dislike everything that keeps me back, or retards me.

Despite a chronic shortage of money, he succeeded in learning Greek by an intensive, day-and-night study of three years, continuously begging in letters that his friends send him books and money for teachers. Discovery in 1506 of Lorenzo Valla's *New Testament Notes* encouraged Erasmus to continue the study of the New Testament.

Erasmus preferred to live the life of an independent scholar and made a conscious effort to avoid any actions or formal ties that might inhibit his freedom of intellect and literary expression. Throughout his life, he was offered positions of honor and profit in academia but declined them all, preferring the uncertain but sufficient rewards of independent literary activity. He did however assist his friend John Colet by authoring Greek textbooks and procuring members of staff for

the newly established St Paul's School. From 1506 to 1509, he was in Italy: in 1506 he graduated as Doctor of Divinity from the University of Turin, and he spent part of the time as a proofreader at the publishing house of Aldus Manutius in Venice. According to his letters, he was associated with the Venetian natural philosopher, Giulio Camillo, but apart from this he had a less active association with Italian scholars than might have been expected.

His residence at Leuven, where he lectured at the University, exposed Erasmus to much criticism from those ascetics, academics and clerics hostile to the principles of literary and religious reform and to the loose norms of the Renaissance adherents to which he was devoting his life. In 1517, he supported the foundation at the university, by his friend Hieronymus van Busleyden, of the Collegium Trilingue for the study of Hebrew, Latin, and Greek – after the model of the College of the Three Languages at the University of Alcalá. However, feeling that the lack of sympathy that prevailed at Leuven at that time was actually a form of mental persecution, he sought refuge in Basel, where under the shelter of Swiss hospitality he could express himself freely. Admirers from all quarters of Europe visited him there and he was surrounded by devoted friends, notably developing a lasting association with the great publisher Johann Froben.

Only when he had mastered Latin did he begin to express himself on major contemporary themes in literature and religion. He felt called upon to use his learning in a purification of doctrine by returning to the historic documents and original languages of sacred scripture. He tried to free the methods of scholarship from the rigidity and formalism of

medieval traditions, but he was not satisfied with this. His revolt against certain forms of Christian monasticism and scholasticism was not based on doubts about the truth of doctrine, nor from hostility to the organization of the Church itself, nor from rejection of celibacy or monastic lifestyles. Aloof from entangling obligations, Erasmus was the centre of the literary movement of his time, corresponding with more than five hundred men in the worlds of politics and of thought.

## **Spain's polyglot Bible and Erasmus's Greek New Testament**

### **The first translation**

In 1502, in Spain, Cardinal Francisco Jiménez de Cisneros had put together a team of Spanish translators to create a compilation of the Bible in four languages: Greek, Hebrew, Aramaic and Latin. Translators for Greek were commissioned from Greece itself and worked closely with Latinists. Cardinal Cisneros's team completed and printed the full New Testament, including the Greek translation, in 1514. To do so they developed specific types to print Greek. Cisneros informed Erasmus of the works going on in Spain and may have sent a printed version of the New Testament to him. However, the Spanish team wanted the entire Bible to be released as one single work and withdrew from publication.

The information and the delay allowed Erasmus to request a "Publication Privilege" of four years for the Greek New Testament to ensure that his work would be published first. He obtained it in 1516 from both Pope Leo X, to whom he would

dedicate his work, and Emperor Maximilian I. Erasmus's Greek New Testament was published first, in 1516, forcing the Spanish team of Cisneros to wait until 1520 to publish their Complutensian Polyglot Bible.

It is hard to say if Erasmus's actions had an effect on delaying the publication of the Complutensian Polyglot, causing the Spanish team to take more time, or if it made no difference in their perfectionism. The Spanish copy was approved for publication by the Pope in 1520; however, it was not released until 1522 due to the team's insistence on reviewing and editing. Only fifteen errors have been found in the entire six volumes and four languages of Cisneros's bible, an extraordinarily low number for the time. The fear of their publishing first, though, affected Erasmus's work, rushing him to printing and causing him to forgo editing. The result was a large number of translation mistakes, transcription errors, and typos, that required further editions to be printed (see "publication").

## **The Translation of Erasmus**

Erasmus had been working for years on two projects: a collation of Greek texts and a fresh Latin New Testament. In 1512, he began his work on this Latin New Testament. He collected all the Vulgate manuscripts he could find to create a critical edition.

Then he polished the language. He declared, "It is only fair that Paul should address the Romans in somewhat better Latin." In the earlier phases of the project, he never mentioned a Greek text:

My mind is so excited at the thought of emending Jerome's text, with notes, that I seem to myself inspired by some god. I have already almost finished emending him by collating a large number of ancient manuscripts, and this I am doing at enormous personal expense.

While his intentions for publishing a fresh Latin translation are clear, it is less clear why he included the Greek text. Though some speculate that he intended to produce a critical Greek text or that he wanted to beat the Complutensian Polyglot into print, there is no evidence to support this. He wrote, "There remains the New Testament translated by me, with the Greek facing, and notes on it by me." He further demonstrated the reason for the inclusion of the Greek text when defending his work:

But one thing the facts cry out, and it can be clear, as they say, even to a blind man, that often through the translator's clumsiness or inattention the Greek has been wrongly rendered; often the true and genuine reading has been corrupted by ignorant scribes, which we see happen every day, or altered by scribes who are half-taught and half-asleep.

So he included the Greek text to permit qualified readers to verify the quality of his Latin version. But by first calling the final product *Novum Instrumentum omne* ("All of the New Teaching") and later *Novum Testamentum omne* ("All of the New Testament") he also indicated clearly that he considered a text in which the Greek and the Latin versions were consistently comparable to be the essential core of the church's New Testament tradition.

## Contribution

In a way it is legitimate to say that Erasmus "synchronized" or "unified" the Greek and the Latin traditions of the New Testament by producing an updated translation of both simultaneously. Both being part of canonical tradition, he clearly found it necessary to ensure that both were actually present in the same content. In modern terminology, he made the two traditions "compatible". This is clearly evidenced by the fact that his Greek text is not just the basis for his Latin translation, but also the other way round: there are numerous instances where he edits the Greek text to reflect his Latin version. For instance, since the last six verses of *Revelation* were missing from his Greek manuscript, Erasmus translated the Vulgate's text back into Greek. Erasmus also translated the Latin text into Greek wherever he found that the Greek text and the accompanying commentaries were mixed up, or where he simply preferred the Vulgate's reading to the Greek text.

## Publication and editions

Erasmus said it was "rushed into print rather than edited", resulting in a number of transcription errors. After comparing what writings he could find, Erasmus wrote corrections between the lines of the manuscripts he was using (among which was Minuscule 2) and sent them as proofs to Froben. His hurried effort was published by his friend Johann Froben of Basel in 1516 and thence became the first published Greek New Testament, the *Novum Instrumentum omne, diligenter ab Erasmo Rot. Recognitum et Emendatum*. Erasmus used several Greek manuscript sources because he did not have access to a single complete manuscript. Most of the manuscripts were,

however, late Greek manuscripts of the Byzantine textual family and Erasmus used the oldest manuscript the least because "he was afraid of its supposedly erratic text." He also ignored much older and better manuscripts that were at his disposal.

In the second (1519) edition, the more familiar term *Testamentum* was used instead of *Instrumentum*. This edition was used by Martin Luther in his German translation of the Bible, written for people who could not understand Latin. Together, the first and second editions sold 3,300 copies. By comparison, only 600 copies of the Complutensian Polyglot were ever printed. The first and second edition texts did not include the passage (1 John 5:7–8) that has become known as the *Comma Johanneum*. Erasmus had been unable to find those verses in any Greek manuscript, but one was supplied to him during production of the third edition. The Catholic Church decreed that the *Comma Johanneum* was open to dispute (2 June 1927), and it is rarely included in modern scholarly translations.

The third edition of 1522 was probably used by Tyndale for the first English New Testament (Worms, 1526) and was the basis for the 1550 Robert Stephanus edition used by the translators of the Geneva Bible and King James Version of the English Bible. Erasmus published a fourth edition in 1527 containing parallel columns of Greek, Latin Vulgate and Erasmus's Latin texts. In this edition Erasmus also supplied the Greek text of the last six verses of Revelation (which he had translated from Latin back into Greek in his first edition) from Cardinal Ximenez's *Biblia Complutensis*. In 1535 Erasmus published the fifth (and final) edition which dropped the Latin Vulgate



column but was otherwise similar to the fourth edition. Later versions of the Greek New Testament by others, but based on Erasmus's Greek New Testament, became known as the *Textus Receptus*.

Erasmus dedicated his work to Pope Leo X as a patron of learning and regarded this work as his chief service to the cause of Christianity. Immediately afterwards, he began the publication of his *Paraphrases of the New Testament*, a popular presentation of the contents of the several books. These, like all of his writings, were published in Latin but were quickly translated into other languages with his encouragement.

Erasmus, in his capacity as humanist editor, advised major printers such as Aldus Manutius on which manuscripts to publish.

## **Beginnings of Protestantism**

### **Attempts at impartiality in dispute**

The Protestant Reformation began in the year following the publication of his edition of the Greek New Testament (1516) and tested Erasmus's character. The issues between the Catholic Church and the growing religious movement which would later become known as Protestantism, had become so clear that few could escape the summons to join the debate. Erasmus, at the height of his literary fame, was inevitably called upon to take sides, but partisanship was foreign to his nature and his habits. Despite all his criticism of clerical corruption and abuses within the Catholic Church, which lasted for years and was also directed towards many of the

Church's basic doctrines, Erasmus shunned the Reformation movement along with its most radical and reactionary offshoots, and sided with neither party.

The world had laughed at his satire, but few had interfered with his activities. He believed that his work so far had commended itself to the best minds and also to the dominant powers in the religious world. Erasmus did not build a large body of supporters with his letters. He chose to write in Greek and Latin, the languages of scholars. His critiques reached an elite but small audience.

### **Disagreement with Luther**

"Free will does not exist", according to Luther in his letter *De Servo Arbitrio* to Erasmus translated into German by Justus Jonas (1526), in that sin makes human beings completely incapable of bringing themselves to God. Noting Luther's criticism of the Catholic Church, Erasmus described him as "a mighty trumpet of gospel truth" while agreeing, "It is clear that many of the reforms for which Luther calls are urgently needed." He had great respect for Luther, and Luther spoke with admiration of Erasmus's superior learning. Luther hoped for his cooperation in a work which seemed only the natural outcome of his own. In their early correspondence, Luther expressed boundless admiration for all Erasmus had done in the cause of a sound and reasonable Christianity and urged him to join the Lutheran party. Erasmus declined to commit himself, arguing that to do so would endanger his position as a leader in the movement for pure scholarship which he regarded as his purpose in life. Only as an independent scholar could he hope to influence the reform of religion. When Erasmus

hesitated to support him, the straightforward Luther became angered that Erasmus was avoiding the responsibility due either to cowardice or a lack of purpose. However, any hesitancy on the part of Erasmus may have stemmed, not from lack of courage or conviction, but rather from a concern over the mounting disorder and violence of the reform movement. To Philip Melanchthon in 1524 he wrote:

I know nothing of your church; at the very least it contains people who will, I fear, overturn the whole system and drive the princes into using force to restrain good men and bad alike. The gospel, the word of God, faith, Christ, and Holy Spirit – these words are always on their lips; look at their lives and they speak quite another language.

Again, in 1529, he writes "An epistle against those who falsely boast they are Evangelicals" to Vulturius Neocomus (Gerardus Geldenhouwer). Here Erasmus complains of the doctrines and morals of the Reformers:

You declaim bitterly against the luxury of priests, the ambition of bishops, the tyranny of the Roman Pontiff, and the babbling of the sophists; against our prayers, fasts, and Masses; and you are not content to retrench the abuses that may be in these things, but must needs abolish them entirely. ... Look around on this 'Evangelical' generation, and observe whether amongst them less indulgence is given to luxury, lust, or avarice, than amongst those whom you so detest. Show me any one person who by that Gospel has been reclaimed from drunkenness to sobriety, from fury and passion to meekness, from avarice to liberality, from reviling to well-speaking, from wantonness to modesty. I will show you a great many who have

become worse through following it. ...The solemn prayers of the Church are abolished, but now there are very many who never pray at all. ...I have never entered their conventicles, but I have sometimes seen them returning from their sermons, the countenances of all of them displaying rage, and wonderful ferocity, as though they were animated by the evil spirit. ... Who ever beheld in their meetings any one of them shedding tears, smiting his breast, or grieving for his sins? ...Confession to the priest is abolished, but very few now confess to God. ...They have fled from Judaism that they may become Epicureans.

Apart from these perceived moral failings of the Reformers, Erasmus also dreaded any change in doctrine, citing the long history of the Church as a bulwark against innovation. In book I of his *Hyperaspistes* he puts the matter bluntly to Luther:

We are dealing with this: Would a stable mind depart from the opinion handed down by so many men famous for holiness and miracles, depart from the decisions of the Church, and commit our souls to the faith of someone like you who has sprung up just now with a few followers, although the leading men of your flock do not agree either with you or among themselves – indeed though you do not even agree with yourself, since in this same *Assertion* you say one thing in the beginning and something else later on, recanting what you said before.

Continuing his chastisement of Luther – and undoubtedly put off by the notion of there being "no pure interpretation of Scripture anywhere but in Wittenberg" – Erasmus touches upon another important point of the controversy:

You stipulate that we should not ask for or accept anything but Holy Scripture, but you do it in such a way as to require that we permit you to be its sole interpreter, renouncing all others. Thus the victory will be yours if we allow you to be not the steward but the lord of Holy Scripture.

Though he sought to remain firmly neutral in doctrinal disputes, each side accused him of siding with the other, perhaps because of his neutrality. It was not for lack of fidelity with either side but a desire for fidelity with them both: I detest dissension because it goes both against the teachings of Christ and against a secret inclination of nature. I doubt that either side in the dispute can be suppressed without grave loss.

In his catechism (entitled *Explanation of the Apostles' Creed*) (1533), Erasmus took a stand against Luther's teaching by asserting the unwritten Sacred Tradition as just as valid a source of revelation as the Bible, by enumerating the Deuterocanonical books in the canon of the Bible and by acknowledging seven sacraments. He identified anyone who questioned the perpetual virginity of Mary as blasphemous. However, he supported lay access to the Bible.

In a letter to Nikolaus von Amsdorf, Luther objected to Erasmus's catechism and called Erasmus a "viper," "liar," and "the very mouth and organ of Satan". As regards the Reformation, Erasmus was accused by the monks to have:

prepared the way and was responsible for Martin Luther. Erasmus, they said, had laid the egg, and Luther had hatched it. Erasmus wittily dismissed the charge, claiming that Luther had hatched a different bird entirely.

## Free will

Twice in the course of the great discussion, he allowed himself to enter the field of doctrinal controversy, a field foreign to both his nature and his previous practices. One of the topics he dealt with was free will, a crucial question. In his *De libero arbitrio diatribe sive collatio* (1524), he lampoons the Lutheran view on free will. He lays down both sides of the argument impartially. The "Diatribe" did not encourage any definite action; this was its merit to the Erasmians and its fault in the eyes of the Lutherans. In response, Luther wrote his *De servo arbitrio* (On the Bondage of the Will, 1525), which attacks the "Diatribe" and Erasmus himself, going so far as to claim that Erasmus was not a Christian. Erasmus responded with a lengthy, two-part *Hyperaspistes* (1526–27). In this controversy Erasmus lets it be seen that he would like to claim more for free will than St. Paul and St. Augustine seem to allow according to Luther's interpretation.

For Erasmus the essential point is that humans have the freedom of choice. The conclusions Erasmus reached drew upon a large array of notable authorities, including, from the Patristic period, Origen, John Chrysostom, Ambrose, Jerome, and Augustine, in addition to many leading Scholastic authors, such as Thomas Aquinas and Duns Scotus. The content of Erasmus's works also engaged with later thought on the state of the question, including the perspectives of the *via moderna* school and of Lorenzo Valla, whose ideas he rejected.

As the popular response to Luther gathered momentum, the social disorders, which Erasmus dreaded and Luther disassociated himself from, began to appear, including the

German Peasants' War, the Anabaptist disturbances in Germany and in the Low Countries, iconoclasm, and the radicalization of peasants across Europe. If these were the outcomes of reform, he was thankful that he had kept out of it. Yet he was ever more bitterly accused of having started the whole "tragedy" (as the Catholics dubbed Protestantism).

When the city of Basel definitely adopted the Reformation in 1529, Erasmus gave up his residence there and settled in the imperial town of Freiburg im Breisgau.

### **Religious toleration**

Certain works of Erasmus laid a foundation for religious toleration and ecumenism. For example, in *De libero arbitrio*, opposing certain views of Martin Luther, Erasmus noted that religious disputants should be temperate in their language, "because in this way the truth, which is often lost amidst too much wrangling may be more surely perceived." Gary Remer writes, "Like Cicero, Erasmus concludes that truth is furthered by a more harmonious relationship between interlocutors." Although Erasmus did not oppose the Catholic counter-Reformation and the punishment of heretics, in individual cases he generally argued for moderation and against the death penalty. He wrote, "It is better to cure a sick man than to kill him."

### **Sacraments**

A test of the Reformation was the doctrine of the sacraments, and the crux of this question was the observance of the Eucharist. In 1530, Erasmus published a new edition of the

orthodox treatise of Algerus against the heretic Berengar of Tours in the eleventh century. He added a dedication, affirming his belief in the reality of the Body of Christ after consecration in the Eucharist, commonly referred to as transubstantiation. The sacramentarians, headed by Œcolampadius of Basel, were, as Erasmus says, quoting him as holding views similar to their own in order to try to claim him for their schismatic and "erroneous" movement.

## **Death**

When his strength began to fail, he decided to accept an invitation by Queen Mary of Hungary, Regent of the Netherlands, to move from Freiburg to Brabant. However, during preparations for the move in 1536, he suddenly died from an attack of dysentery during a visit to Basel. He had remained loyal to the papal authorities in Rome, but he did not have the opportunity to receive the last rites of the Catholic Church; the reports of his death do not mention whether he asked for a priest or not. According to Jan van Herwaarden, this is consistent with his view that outward signs were not important; what mattered is the believer's direct relationship with God, which he noted "as the [Catholic] church believes". However, Herwaarden observes that "he did not dismiss the rites and sacraments out of hand but asserted a dying person could achieve a state of salvation without the priestly rites, provided their faith and spirit were attuned to God." His last words, as recorded by his friend Beatus Rhenanus, were apparently "Dear God" (Dutch: *Lieve God*). He was buried with great ceremony in the Basel Minster (the former cathedral). As his heir he instated Bonifacius Amerbach.



A bronze statue of him was erected in the city of his birth in 1622, replacing an earlier work in stone.

## Writings

Erasmus wrote both on church subjects and those of general human interest. By the 1530s, the writings of Erasmus accounted for 10 to 20 percent of all book sales in Europe.

With the collaboration of Publio Fausto Andrelini, he formed a paremiography (collection) of Latin proverbs and adages, commonly titled *Adagia*. He is credited with coining the adage, "In the land of the blind, the one-eyed man is king." Erasmus is also generally credited with originating the phrase "Pandora's box", arising through an error in his translation of Hesiod's *Pandora* in which he confused *pithos* (storage jar) with *pyxis* (box).

His more serious writings begin early with the *Enchiridion militis Christiani*, the "Handbook of the Christian Soldier" (1503 – translated into English a few years later by the young William Tyndale). (A more literal translation of *enchiridion* – "dagger" – has been likened to "the spiritual equivalent of the modern Swiss Army knife.") In this short work, Erasmus outlines the views of the normal Christian life, which he was to spend the rest of his days elaborating. The chief evil of the day, he says, is formalism – going through the motions of tradition without understanding their basis in the teachings of Christ. Forms can teach the soul how to worship God, or they may hide or quench the spirit. In his examination of the dangers of formalism, Erasmus discusses monasticism, saint worship, war, the spirit of class and the foibles of "society."

The *Enchiridion* is more like a sermon than a satire. With it Erasmus challenged common assumptions, painting the clergy as educators who should share the treasury of their knowledge with the laity. He emphasized personal spiritual disciplines and called for a reformation which he characterized as a collective return to the Fathers and Scripture. Most importantly, he extolled the reading of scripture as vital because of its power to transform and motivate toward love. Much like the Brethren of the Common Life, he wrote that the New Testament is the law of Christ people are called to obey and that Christ is the example they are called to imitate.

According to Ernest Barker, "Besides his work on the New Testament, Erasmus laboured also, and even more arduously, on the early Fathers. Among the Latin Fathers he edited the works of St Jerome, St Hilary, and St Augustine; among the Greeks he worked on Irenaeus, Origen and Chrysostom."

Erasmus also wrote of the legendary Frisian freedom fighter and rebel Pier Gerlofs Donia (Greate Pier), though more often in criticism than in praise of his exploits. Erasmus saw him as a dim, brutal man who preferred physical strength to wisdom.

One of Erasmus's best-known works, is *The Praise of Folly*, written in 1509, published in 1511 under the double title *Moriae encomium* (Greek, Latinised) and *Laus stultitiae* (Latin). It is inspired by *De triumpho stultitiae* written by Italian humanist Faustino Perisauli. A satirical attack on superstitions and other traditions of European society in general and the western Church in particular, it was dedicated to Sir Thomas More, whose name the title puns.

The *Institutio principis Christiani* or "Education of a Christian Prince" (Basel, 1516) was written as advice to the young king Charles of Spain (later Charles V, Holy Roman Emperor). Erasmus applies the general principles of honor and sincerity to the special functions of the Prince, whom he represents throughout as the servant of the people. *Education* was published in 1516, three years after Niccolò Machiavelli's *The Prince* was written; a comparison between the two is worth noting. Machiavelli stated that, to maintain control by political force, it is safer for a prince to be feared than loved. Erasmus preferred for the prince to be loved, and strongly suggested a well-rounded education in order to govern justly and benevolently and avoid becoming a source of oppression.

As a result of his reformatory activities, Erasmus found himself at odds with both of the great parties. His last years were embittered by controversies with men toward whom he was sympathetic. Notable among these was Ulrich von Hutten, a brilliant but erratic genius who had thrown himself into the Lutheran cause and declared that Erasmus, if he had a spark of honesty, would do the same. In his reply in 1523, *Spongia adversus aspergines Hutteni*, Erasmus displays his skill in semantics. He accuses Hutten of having misinterpreted his utterances about reform and reiterates his determination never to break with the Church.

The writings of Erasmus exhibit a continuing concern with language, and in 1525 he devoted an entire treatise to the subject, *Lingua*. This and several of his other works are said to have provided a starting point for a philosophy of language, though Erasmus did not produce a completely elaborated system.

The *Ciceronianus* came out in 1528, attacking the style of Latin that was based exclusively and fanatically on Cicero's writings. Étienne Dolet wrote a riposte titled *Erasmianus* in 1535.

Erasmus's last major work, published the year of his death, is the *Ecclesiastes* or "Gospel Preacher" (Basel, 1536), a massive manual for preachers of around a thousand pages. Though somewhat unwieldy because Erasmus was unable to edit it properly in his old age, it is in some ways the culmination of all of Erasmus's literary and theological learning, offering prospective preachers advice on nearly every conceivable aspect of their vocation with extraordinarily abundant reference to classical and biblical sources.

### ***Sileni Alcibiadis* (1515)**

Erasmus's *Sileni Alcibiadis* is one of his most direct assessments of the need for Church reform. Johann Froben published it first within a revised edition of the *Adagia* in 1515, then as a stand-alone work in 1517. This essay has been likened to John Colet's *Convocation Sermon*, though the styles differ.

*Sileni* is the plural (Latin) form of *Silenus*, a creature often related to the Roman wine god Bacchus and represented in pictorial art as inebriated, merry revellers, variously mounted on donkeys, singing, dancing, playing flutes, etc. Alcibiades was a Greek politician in the 5th century BCE and a general in the Peloponnesian War; he figures here more as a character written into some of Plato's dialogues – a young, debauched playboy whom Socrates tries to convince to seek truth instead of pleasure, wisdom instead of pomp and splendor.

The term *Sileni* – especially when juxtaposed with the character of Alcibiades – can therefore be understood as an evocation of the notion that something on the inside is more expressive of a person's character than what one sees on the outside. For instance, something or someone ugly on the outside can be beautiful on the inside, which is one of the main points of Plato's dialogues featuring *Alcibiades* and the *Symposion*, in which Alcibiades also appears.

In support of this, Erasmus states, "Anyone who looks closely at the inward nature and essence will find that nobody is further from true wisdom than those people with their grand titles, learned bonnets, splendid sashes and bejeweled rings, who profess to be wisdom's peak." On the other hand, Erasmus lists several *Sileni* and then questions whether Christ is the most noticeable Silenus of them all. The Apostles were *Sileni* since they were ridiculed by others. He believes that the things which are the least ostentatious can be the most significant, and that the Church constitutes all Christian people – that despite contemporary references to clergy as the whole of the Church, they are merely its servants. He criticizes those that spend the Church's riches at the people's expense. The true point of the Church is to help people lead Christian lives. Priests are supposed to be pure, yet when they stray, no one condemns them. He criticizes the riches of the popes, believing that it would be better for the Gospel to be most important.

## **Legacy**

The popularity of his books is reflected in the number of editions and translations that have appeared since the sixteenth century. Ten columns of the catalogue of the British

Library are taken up with the enumeration of the works and their subsequent reprints. The greatest names of the classical and patristic world are among those translated, edited, or annotated by Erasmus, including Saint Ambrose, Aristotle, Saint Augustine, Saint Basil, Saint John Chrysostom, Cicero and Saint Jerome.

In his native Rotterdam, the University and Gymnasium Erasmianum have been named in his honor. Between 1997 and 2009, one of the main metro lines of the city was named *Erasmuslijn*. In 2003, a poll showing that most Rotterdammers believed Erasmus to be the designer of the local Erasmus Bridge, instigated the founding of the Foundation Erasmus House (Rotterdam), dedicated to celebrating Erasmus's legacy. Three moments in Erasmus's life are celebrated annually. On 1 April, the city celebrates the publication of his best-known book *The Praise of Folly*. On 11 July, the *Night of Erasmus* celebrates the lasting influence of his work. His birthday is celebrated on 28 October.

Erasmus's reputation and the interpretations of his work have varied over time. Moderate Catholics recognized him as a leading figure in attempts to reform the Church, while Protestants recognized his initial support for Luther's ideas and the groundwork he laid for the future Reformation, especially in biblical scholarship. By the 1560s, however, there was a marked change in reception.

According to Franz Anton Knittel, Erasmus in his *Novum Instrumentum omne* did not incorporate the *Comma* from the Codex Montfortianus (concerning the Trinity), because of

grammar differences, but used the Complutensian Polyglot. According to him the *Comma* was known to Tertullian.

Protestant views of Erasmus fluctuated depending on region and period, with continual support in his native Netherlands and in cities of the Upper Rhine area. However, following his death and in the late sixteenth century, many Reformation supporters saw Erasmus's critiques of Luther and lifelong support for the universal Catholic Church as damning, and second-generation Protestants were less vocal in their debts to the great humanist. Nevertheless, his reception is demonstrable among Swiss Protestants in the sixteenth century: he had an indelible influence on the biblical commentaries of, for example, Konrad Pellikan, Heinrich Bullinger, and John Calvin, all of whom used both his annotations on the New Testament and his paraphrases of same in their own New Testament commentaries.

However, Erasmus designated his own legacy, and his life works were turned over at his death to his friend the Protestant humanist turned remonstrator Sebastian Castellio for the repair of the breach and divide of Christianity in its Catholic, Anabaptist, and Protestant branches.

By the coming of the Age of Enlightenment, however, Erasmus increasingly again became a more widely respected cultural symbol and was hailed as an important figure by increasingly broad groups. In a letter to a friend, Erasmus once had written: "That you are patriotic will be praised by many and easily forgiven by everyone; but in my opinion it is wiser to treat men and things as though we held this world the common fatherland of all." Thus, the universalist ideals of Erasmus are

sometimes claimed to be important for fixing global governance. Several schools, faculties and universities in the Netherlands and Belgium are named after him, as is Erasmus Hall in Brooklyn, New York, USA. The European Union's Erasmus Programme scholarships enable students to spend up to a year of their university courses in a university in another European country.

Erasmus is credited with saying "When I get a little money I buy books; and if any is left, I buy food and clothes." He is also blamed for the mistranslation from Greek of "to call a bowl a bowl" as "to call a spade a spade".

## **Representations**

- Hans Holbein painted him at least three times and perhaps as many as seven, some of the Holbein portraits of Erasmus surviving only in copies by other artists. Holbein's three profile portraits – two (nearly identical) profile portraits and one three-quarters-view portrait – were all painted in the same year, 1523. Erasmus used the Holbein portraits as gifts for his friends in England, such as William Warham, the Archbishop of Canterbury. (Writing in a letter to Warham regarding the gift portrait, Erasmus quipped that "he might have something of Erasmus should God call him from this place.") Erasmus spoke favourably of Holbein as an artist and person but was later critical, accusing him of sponging off various patrons whom Erasmus had recommended, for purposes more of monetary gain than artistic endeavor.



- Albrecht Dürer also produced portraits of Erasmus, whom he met three times, in the form of an engraving of 1526 and a preliminary charcoal sketch. Concerning the former Erasmus was unimpressed, declaring it an unfavourable likeness of him. Nevertheless, Erasmus and Dürer maintained a close friendship, with Dürer going so far as to solicit Erasmus's support for the Lutheran cause, which Erasmus politely declined. Erasmus wrote a glowing encomium about the artist, likening him to famous Greek painter of antiquity Apelles. Erasmus was deeply affected by his death in 1528.
- Quentin Matsys produced the earliest known portraits of Erasmus, including an oil painting in 1517 and a medallion in 1519.
- In 1622, Hendrick de Keyser cast a statue of Erasmus in bronze replacing an earlier stone version from 1557. This was set up in the public square in Rotterdam, and today may be found outside the St. Lawrence Church. It is the oldest bronze statue in the Netherlands.

## **Works**

- *Adagia* (1500)
- *Enchiridion militis Christiani* (1503)
- *Stultitiae Laus* (1511)
- *De Utraque Verborum ac Rerum Copia* (1512)
- *Sileni Alcibiadis* (1515)
- *Novum Instrumentum omne* (1516)
- *Institutio principis Christiani* (1516)

- *Colloquia* (1518)
- *Lingua, Sive, De Linguae usu atque abusu Liber utillissimus* (1525)
- *Ciceronianus* (1528)
- *De recta Latini Graecique sermonis pronuntiatione* (1528)
- *De pueris statim ac liberaliter instituendis* (1529)
- *De civilitate morum puerilium* (1530)
- *Consultatio de Bello Turcis Inferendo* (1530)
- *De praeparatione ad mortem* (1533)
- *A Playne and Godly Exposition or Declaration of the Commune Crede* (1533)
- *Ecclesiastes* (1535)
- *De octo orationis partium constructione libellus* (1536)
- *Apophthegmatum opus* (1539)
- *The first tome or volume of the Paraphrase of Erasmus upon the newe testamente* (1548)

## Chapter 6

# Thomas More

**Sir Thomas More** (7 February 1478 – 6 July 1535), venerated in the Catholic Church as **Saint Thomas More**, was an English lawyer, judge, social philosopher, author, statesman, and noted Renaissance humanist. He also served Henry VIII as Lord High Chancellor of England from October 1529 to May 1532. He wrote *Utopia*, published in 1516, which describes the political system of an imaginary island state.

More opposed the Protestant Reformation, directing polemics against the theology of Martin Luther, Huldrych Zwingli, John Calvin and William Tyndale. More also opposed Henry VIII's separation from the Catholic Church, refusing to acknowledge Henry as supreme head of the Church of England and the annulment of his marriage to Catherine of Aragon. After refusing to take the Oath of Supremacy, he was convicted of treason and executed. On his execution, he was reported to have said: "I die the King's good servant, and God's first".

Pope Pius XI canonised More in 1935 as a martyr. Pope John Paul II in 2000 declared him the patron saint of statesmen and politicians.

## Early life

Born on Milk Street in the City of London, on 7 February 1478, Thomas More was the son of Sir John More, a successful lawyer and later a judge, and his wife Agnes (*née* Graunger). He was the second of six children. More was educated at St

Anthony's School, then considered one of London's best schools. From 1490 to 1492, More served John Morton, the Archbishop of Canterbury and Lord Chancellor of England, as a household page. Morton enthusiastically supported the "New Learning" (scholarship which was later known as "humanism" or "London humanism"), and thought highly of the young More. Believing that More had great potential, Morton nominated him for a place at the University of Oxford (either in St. Mary Hall or Canterbury College, both now gone).

More began his studies at Oxford in 1492, and received a classical education. Studying under Thomas Linacre and William Grocyn, he became proficient in both Latin and Greek. More left Oxford after only two years—at his father's insistence—to begin legal training in London at New Inn, one of the Inns of Chancery. In 1496, More became a student at Lincoln's Inn, one of the Inns of Court, where he remained until 1502, when he was called to the Bar.

## **Spiritual life**

According to his friend, the theologian Desiderius Erasmus of Rotterdam, More once seriously contemplated abandoning his legal career to become a monk. Between 1503 and 1504 More lived near the Carthusian monastery outside the walls of London and joined in the monks' spiritual exercises. Although he deeply admired their piety, More ultimately decided to remain a layman, standing for election to Parliament in 1504 and marrying the following year.

More continued ascetic practices for the rest of his life, such as wearing a hair shirt next to his skin and occasionally

engaging in self-flagellation. A tradition of the Third Order of Saint Francis honours More as a member of that Order on their calendar of saints.

## **Family life**

More married Jane Colt in 1505. In that year he leased a portion of a house known as the Old Barge (originally there had been a wharf nearby serving the Walbrook river) on Bucklersbury, St Stephen Walbrook parish, London. Eight years later he took over the rest of the house and in total he lived there for almost twenty years, until his move to Chelsea in 1525. Erasmus reported that More wanted to give his young wife a better education than she had previously received at home, and tutored her in music and literature. The couple had four children before Jane died in 1511: Margaret, Elizabeth, Cicely, and John.

Going "against friends' advice and common custom," within thirty days More had married one of the many eligible women among his wide circle of friends.

He chose Alice Middleton, a widow, to head his household and care for his small children. The speed of the marriage was so unusual that More had to get a dispensation from the banns of marriage, which, due to his good public reputation, he easily obtained.

More had no children from his second marriage, although he raised Alice's daughter from her previous marriage as his own. More also became the guardian of two young girls: Anne Cresacre would eventually marry his son, John More; and

Margaret Giggs (later Clement) would be the only member of his family to witness his execution (she died on the 35th anniversary of that execution, and her daughter married More's nephew William Rastell). An affectionate father, More wrote letters to his children whenever he was away on legal or government business, and encouraged them to write to him often.

More insisted upon giving his daughters the same classical education as his son, an unusual attitude at the time. His eldest daughter, Margaret, attracted much admiration for her erudition, especially her fluency in Greek and Latin. More told his daughter of his pride in her academic accomplishments in September 1522, after he showed the bishop a letter she had written:

When he saw from the signature that it was the letter of a lady, his surprise led him to read it more eagerly ... he said he would never have believed it to be your work unless I had assured him of the fact, and he began to praise it in the highest terms ... for its pure Latinity, its correctness, its erudition, and its expressions of tender affection. He took out at once from his pocket a portague [A Portuguese gold coin] ... to send to you as a pledge and token of his good will towards you.

More's decision to educate his daughters set an example for other noble families. Even Erasmus became much more favourable once he witnessed their accomplishments. A portrait of More and his family, *Sir Thomas More and Family*, was painted by Holbein; however, it was lost in a fire in the 18th century. More's grandson commissioned a copy, of which two versions survive.

## **Early political career**

In 1504 More was elected to Parliament to represent Great Yarmouth, and in 1510 began representing London. From 1510, More served as one of the two undersheriffs of the City of London, a position of considerable responsibility in which he earned a reputation as an honest and effective public servant. More became Master of Requests in 1514, the same year in which he was appointed as a Privy Counsellor. After undertaking a diplomatic mission to the Holy Roman Emperor, Charles V, accompanying Thomas Wolsey, Cardinal Archbishop of York, to Calais and Bruges, More was knighted and made under-treasurer of the Exchequer in 1521.

As secretary and personal adviser to King Henry VIII, More became increasingly influential: welcoming foreign diplomats, drafting official documents, and serving as a liaison between the King and Lord Chancellor Wolsey. More later served as High Steward for the Universities of Oxford and Cambridge.

In 1523 More was elected as knight of the shire (MP) for Middlesex and, on Wolsey's recommendation, the House of Commons elected More its Speaker. In 1525 More became Chancellor of the Duchy of Lancaster, with executive and judicial responsibilities over much of northern England.

## **Chancellorship**

After Wolsey fell, More succeeded to the office of Lord Chancellor in 1529. He dispatched cases with unprecedented rapidity.

## **Campaign against the Protestant Reformation**

More supported the Catholic Church and saw the Protestant Reformation as heresy, a threat to the unity of both church and society. More believed in the theology, argumentation, and ecclesiastical laws of the church, and "heard Luther's call to destroy the Catholic Church as a call to war."

His early actions against the Protestant Reformation included aiding Wolsey in preventing Lutheran books from being imported into England, spying on and investigating suspected Protestants, especially publishers, and arresting anyone holding in his possession, transporting, or distributing Bibles and other materials of the Protestant Reformation. Additionally, More vigorously suppressed Tyndale's English translation of the New Testament.

The Tyndale Bible used controversial translations of certain words that More considered heretical and seditious; for example, it used "senior" and "elder" rather than "priest" for the Greek "*presbyteros*", and used the term *congregation* instead of *church*; he also pointed out that some of the marginal glosses challenged Catholic doctrine. It was during this time that most of his literary polemics appeared.

Many accounts circulated during and after More's lifetime regarding persecution of the Protestant "heretics" during his time as Lord Chancellor. The popular sixteenth-century English Protestant historian John Foxe, who "placed Protestant sufferings against the background of... the Antichrist", was instrumental in publicising accusations of torture in his *Book of Martyrs*, claiming that More had often personally used



violence or torture while interrogating heretics. Later authors such as Brian Moynahan and Michael Farris cite Foxe when repeating these allegations. Peter Ackroyd also lists claims from Foxe's *Book of Martyrs* and other post-Reformation sources that More "tied heretics to a tree in his Chelsea garden and whipped them", that "he watched as 'newe men' were put upon the rack in the Tower and tortured until they confessed", and that "he was personally responsible for the burning of several of the 'brethren' in Smithfield." Richard Marius records a similar claim, which tells about James Bainham, and writes that "the story Foxe told of Bainham's whipping and racking at More's hands is universally doubted today". More himself denied these allegations:

Stories of a similar nature were current even in More's lifetime and he denied them forcefully. He admitted that he did imprison heretics in his house – 'theyr sure keypynge' – he called it – but he utterly rejected claims of torture and whipping... 'as help me God.'

More instead claimed in his "Apology" (1533) that he only applied corporal punishment to two heretics: a child who was caned in front of his family for heresy regarding the Eucharist, and a "feeble-minded" man who was whipped for disrupting prayers. During More's chancellorship, six people were burned at the stake for heresy; they were Thomas Hitton, Thomas Bilney, Richard Bayfield, John Tewkesbury, Thomas Dugate, and James Bainham. Moynahan argued that More was influential in the burning of Tyndale, as More's agents had long pursued him, even though this took place over a year after his own death. Burning at the stake had been a standard punishment for heresy: 30 burnings had taken place in the

century before More's elevation to Chancellor, and burning continued to be used by both Catholics and Protestants during the religious upheaval of the following decades. Ackroyd notes that More zealously "approved of burning". Marius maintains that More did everything in his power to bring about the extermination of the Protestant "heretics".

John Tewkesbury was a London leather seller found guilty by the Bishop of London John Stokesley of harbouring English translated New Testaments; he was sentenced to burning for refusing to recant. More declared: he "burned as there was neuer wretche I wene better worthy." After Richard Bayfield was also executed for distributing Tyndale's Bibles, More commented that he was "well and worthely burned".

Modern commentators are divided over More's religious actions as Chancellor. Some biographers, including Ackroyd, have taken a relatively tolerant view of More's campaign against Protestantism by placing his actions within the turbulent religious climate of the time and the threat of deadly catastrophes such as the German Peasants' Revolt, which More blamed on Luther, as did many others, such as Erasmus. Others have been more critical, such as Richard Marius, an American scholar of the Reformation, believing that such persecutions were a betrayal of More's earlier humanist convictions, including More's zealous and well-documented advocacy of extermination for Protestants.

Some Protestants take a different view. In 1980, More was added to the Church of England's calendar of Saints and Heroes of the Christian Church, despite being a fierce opponent of the English Reformation that created the Church

of England. He was added jointly with John Fisher, to be commemorated every 6 July (the date of More's execution) as "Thomas More, scholar, and John Fisher, Bishop of Rochester, Reformation Martyrs, 1535". Pope John Paul II honoured him by making him patron saint of statesmen and politicians in October 2000, stating: "It can be said that he demonstrated in a singular way the value of a moral conscience ... even if, in his actions against heretics, he reflected the limits of the culture of his time".

## **Resignation**

As the conflict over supremacy between the Papacy and the King reached its apogee, More continued to remain steadfast in supporting the supremacy of the Pope as Successor of Peter over that of the King of England. Parliament's reinstatement of the charge of praemunire in 1529 had made it a crime to support in public or office the claim of any authority outside the realm (such as the Papacy) to have a legal jurisdiction superior to the King's.

In 1530, More refused to sign a letter by the leading English churchmen and aristocrats asking Pope Clement VII to annul Henry's marriage to Catherine of Aragon, and also quarrelled with Henry VIII over the heresy laws. In 1531, a royal decree required the clergy to take an oath acknowledging the King as Supreme Head of the Church of England. The bishops at the Convocation of Canterbury in 1532 agreed to sign the Oath but only under threat of praemunire and only after these words were added: "as far as the law of Christ allows". This was considered to be the final Submission of the Clergy. Cardinal John Fisher and some other clergy refused to sign. Henry

purged most clergy who supported the papal stance from senior positions in the church. More continued to refuse to sign the Oath of Supremacy and did not agree to support the annulment of Henry's marriage to Catherine. However, he did not openly reject the King's actions and kept his opinions private.

On 16 May 1532, More resigned from his role as Chancellor but remained in Henry's favour despite his refusal. His decision to resign was caused by the decision of the convocation of the English Church, which was under intense royal threat, on the day before.

## **Indictment, trial and execution**

In 1533, More refused to attend the coronation of Anne Boleyn as the Queen of England. Technically, this was not an act of treason, as More had written to Henry seemingly acknowledging Anne's queenship and expressing his desire for the King's happiness and the new Queen's health. Despite this, his refusal to attend was widely interpreted as a snub against Anne, and Henry took action against him.

Shortly thereafter, More was charged with accepting bribes, but the charges had to be dismissed for lack of any evidence. In early 1534, More was accused by Thomas Cromwell of having given advice and counsel to the "Holy Maid of Kent," Elizabeth Barton, a nun who had prophesied that the king had ruined his soul and would come to a quick end for having divorced Queen Catherine. This was a month after Barton had confessed, which was possibly done under royal pressure, and was said to be concealment of treason.

Though it was dangerous for anyone to have anything to do with Barton, More had indeed met her, and was impressed by her fervour. But More was prudent and told her not to interfere with state matters. More was called before a committee of the Privy Council to answer these charges of treason, and after his respectful answers the matter seemed to have been dropped.

On 13 April 1534, More was asked to appear before a commission and swear his allegiance to the parliamentary Act of Succession. More accepted Parliament's right to declare Anne Boleyn the legitimate Queen of England, though he refused "the spiritual validity of the king's second marriage", and, holding fast to the teaching of papal supremacy, he steadfastly refused to take the oath of supremacy of the Crown in the relationship between the kingdom and the church in England. More furthermore publicly refused to uphold Henry's annulment from Catherine. John Fisher, Bishop of Rochester, refused the oath along with More. The oath reads:

...By reason whereof the Bishop of Rome and See Apostolic, contrary to the great and inviolable grants of jurisdictions given by God immediately to emperors, kings and princes in succession to their heirs, hath presumed in times past to invest who should please them to inherit in other men's kingdoms and dominions, which thing we your most humble subjects, both spiritual and temporal, do most abhor and detest...

In addition to refusing to support the King's annulment or supremacy, More refused to sign the 1534 Oath of Succession confirming Anne's role as queen and the rights of their children to succession. More's fate was sealed. While he had no

argument with the basic concept of succession as stated in the Act, the preamble of the Oath repudiated the authority of the Pope.

His enemies had enough evidence to have the King arrest him on treason. Four days later, Henry had More imprisoned in the Tower of London. There More prepared a devotional *Dialogue of Comfort against Tribulation*. While More was imprisoned in the Tower, Thomas Cromwell made several visits, urging More to take the oath, which he continued to refuse.

The charges of high treason related to More's violating the statutes as to the King's supremacy (malicious silence) and conspiring with Bishop John Fisher in this respect (malicious conspiracy) and, according to some sources, included asserting that Parliament did not have the right to proclaim the King's Supremacy over the English Church. One group of scholars believes that the judges dismissed the first two charges (malicious acts) and tried More only on the final one but others strongly disagree.

Regardless of the specific charges, the indictment related to violation of the Treasons Act 1534 which declared it treason to speak against the King's Supremacy:

If any person or persons, after the first day of February next coming, do maliciously wish, will or desire, by words or writing, or by craft imagine, invent, practise, or attempt any bodily harm to be done or committed to the king's most royal person, the queen's, or their heirs apparent, or to deprive them or any of them of their dignity, title, or name of their royal estates ... That then every such person and persons so offending ... shall have and suffer such pains of death and

other penalties, as is limited and accustomed in cases of high treason. The trial was held on 1 July 1535, before a panel of judges that included the new Lord Chancellor, Sir Thomas Audley, as well as Anne Boleyn's uncle, Thomas Howard, 3rd Duke of Norfolk, her father Thomas Boleyn and her brother George Boleyn. Norfolk offered More the chance of the king's "gracious pardon" should he "reform his [...] obstinate opinion". More responded that, although he had not taken the oath, he had never spoken out against it either and that his silence could be accepted as his "ratification and confirmation" of the new statutes. Thus More was relying upon legal precedent and the maxim "*qui tacet consentire videtur*" ("one who keeps silent seems to consent"), understanding that he could not be convicted as long as he did not explicitly deny that the King was Supreme Head of the Church, and he therefore refused to answer all questions regarding his opinions on the subject.

Thomas Cromwell, at the time the most powerful of the King's advisors, brought forth Solicitor General Richard Rich to testify that More had, in his presence, denied that the King was the legitimate head of the Church. This testimony was characterised by More as being extremely dubious. Witnesses Richard Southwell and Mr. Palmer both denied having heard the details of the reported conversation, and as More himself pointed out:

Can it therefore seem likely to your Lordships, that I should in so weighty an Affair as this, act so unadvisedly, as to trust Mr. Rich, a Man I had always so mean an Opinion of, in reference to his Truth and Honesty, ... that I should only impart to Mr. Rich the Secrets of my Conscience in respect to the King's Supremacy, the particular Secrets, and only Point about which

I have been so long pressed to explain my self? which I never did, nor never would reveal; when the Act was once made, either to the King himself, or any of his Privy Councillors, as is well known to your Honours, who have been sent upon no other account at several times by his Majesty to me in the Tower. I refer it to your Judgments, my Lords, whether this can seem credible to any of your Lordships.

The jury took only fifteen minutes, however, to find More guilty. After the jury's verdict was delivered and before his sentencing, More spoke freely of his belief that "no temporal man may be the head of the spirituality" (take over the role of the Pope).

According to William Roper's account, More was pleading that the Statute of Supremacy was contrary to the Magna Carta, to Church laws and to the laws of England, attempting to void the entire indictment against him. He was sentenced to be hanged, drawn, and quartered (the usual punishment for traitors who were not the nobility), but the King commuted this to execution by decapitation.

The execution took place on 6 July 1535 at Tower Hill. When he came to mount the steps to the scaffold, its frame seeming so weak that it might collapse, More is widely quoted as saying (to one of the officials): "I pray you, master Lieutenant, see me safe up and [for] my coming down, let me shift for my self"; while on the scaffold he declared that he died "the king's good servant, and God's first." After More had finished reciting the *Miserere* while kneeling, the executioner reportedly begged his pardon, then More rose up merrily, kissed him and gave him forgiveness.



## **Relics**

Another comment he is believed to have made to the executioner is that his beard was completely innocent of any crime, and did not deserve the axe; he then positioned his beard so that it would not be harmed.

More asked that his foster/adopted daughter Margaret Clement (née Giggs) be given his headless corpse to bury. She was the only member of his family to witness his execution.

He was buried at the Tower of London, in the chapel of St Peter ad Vincula in an unmarked grave. His head was fixed upon a pike over London Bridge for a month, according to the normal custom for traitors.

More's daughter Margaret later rescued the severed head. It is believed to rest in the Roper Vault of St Dunstan's Church, Canterbury, perhaps with the remains of Margaret and her husband's family. Some have claimed that the head is buried within the tomb erected for More in Chelsea Old Church.

Among other surviving relics is his hair shirt, presented for safe keeping by Margaret Clement. This was long in the custody of the community of Augustinian canonesses who until 1983 lived at the convent at Abbotskerswell Priory, Devon. Some sources, including one from 2004, claimed that the shirt, made of goat hair was then at the Martyr's church on the Weld family's estate in Chideock, Dorset. The most recent reports indicate that it is now preserved at Buckfast Abbey, near Buckfastleigh in Devon.

# Scholarly and literary work

## ***History of King Richard III***

Between 1512 and 1519 More worked on a *History of King Richard III*, which he never finished but which was published after his death. The *History* is a Renaissance biography, remarkable more for its literary skill and adherence to classical precepts than for its historical accuracy. Some consider it an attack on royal tyranny, rather than on Richard III himself or the House of York. More uses a more dramatic writing style than had been typical in medieval chronicles; Richard III is limned as an outstanding, archetypal tyrant—however, More was only seven years old when Richard III was killed at the Battle of Bosworth in 1485 so he had no first-hand, in-depth knowledge of him.

The *History of King Richard III* was written and published in both English and Latin, each written separately, and with information deleted from the Latin edition to suit a European readership. It greatly influenced William Shakespeare's play *Richard III*. Contemporary historians attribute the unflattering portraits of Richard III in both works to both authors' allegiance to the reigning Tudor dynasty that wrested the throne from Richard III in the Wars of the Roses. More's version barely mentions King Henry VII, the first Tudor king, perhaps because he had persecuted his father, Sir John More. Clements Markham suggests that the actual author of the work was Archbishop Morton and that More was simply copying or perhaps translating the work.

## **Utopia**

More's best known and most controversial work, *Utopia*, is a frame narrative written in Latin. More completed and theologian Erasmus published the book in Leuven in 1516, but it was only translated into English and published in his native land in 1551 (16 years after his execution), and the 1684 translation became the most commonly cited. More (also a character in the book) and the narrator/traveller, Raphael Hythlodæus (whose name alludes both to the healer archangel Raphael, and 'speaker of nonsense', the surname's Greek meaning), discuss modern ills in Antwerp, as well as describe the political arrangements of the imaginary island country of Utopia (a Greek pun on 'ou-topos' [no place] and 'eu-topos' [good place]) among themselves as well as to Pieter Gillis and Hieronymus van Busleyden. Utopia's original edition included a symmetrical "Utopian alphabet" omitted by later editions, but which may have been an early attempt or precursor of shorthand.

Utopia contrasts the contentious social life of European states with the perfectly orderly, reasonable social arrangements of Utopia and its environs (Tallstoria, Nolandia, and Aircastle). In Utopia, there are no lawyers because of the laws' simplicity and because social gatherings are in public view (encouraging participants to behave well), communal ownership supplants private property, men and women are educated alike, and there is almost complete religious toleration (except for atheists, who are allowed but despised). More may have used monastic communalism as his model, although other concepts he presents such as legalising euthanasia remain far outside Church doctrine. Hythlodæus asserts that a man who refuses

to believe in a god or an afterlife could never be trusted, because he would not acknowledge any authority or principle outside himself. Some take the novel's principal message to be the social need for order and discipline rather than liberty. Ironically, Hythlodæus, who believes philosophers should not get involved in politics, addresses More's ultimate conflict between his humanistic beliefs and courtly duties as the King's servant, pointing out that one day those morals will come into conflict with the political reality.

*Utopia* gave rise to a literary genre, Utopian and dystopian fiction, which features ideal societies or perfect cities, or their opposite. Early works influenced by *Utopia* included *New Atlantis* by Francis Bacon, *Erewhon* by Samuel Butler, and *Candide* by Voltaire.

Although Utopianism combined classical concepts of perfect societies (Plato and Aristotle) with Roman rhetorical finesse (cf. Cicero, Quintilian, epideictic oratory), the Renaissance genre continued into the Age of Enlightenment and survives in modern science fiction.

## **Religious polemics**

In 1520 the reformer Martin Luther published three works in quick succession: *An Appeal to the Christian Nobility of the German Nation* (Aug.), *Concerning the Babylonish Captivity of the Church* (Oct.), and *On the Liberty of a Christian Man* (Nov.). In these books, Luther set out his doctrine of salvation through grace alone, rejected certain Catholic practices, and attacked abuses and excesses within the Catholic Church. In 1521, Henry VIII formally responded to Luther's criticisms with

the *Assertio*, written with More's assistance. Pope Leo X rewarded the English king with the title "*Fidei defensor*" ("Defender of the Faith") for his work combating Luther's heresies.

Martin Luther then attacked Henry VIII in print, calling him a "pig, dolt, and liar". At the king's request, More composed a rebuttal: the *Responsio ad Lutherum* was published at the end of 1523. In the *Responsio*, More defended papal supremacy, the sacraments, and other Church traditions. More, though considered "a much steadier personality", described Luther as an "ape", a "drunkard", and a "lousy little friar" amongst other epithets. Writing under the pseudonym of Gulielmus Rosseus, More tells Luther that:

- for as long as your reverend paternity will be determined to tell these shameless lies, others will be permitted, on behalf of his English majesty, to throw back into your paternity's shitty mouth, truly the shit-pool of all shit, all the muck and shit which your damnable rottenness has vomited up, and to empty out all the sewers and privies onto your crown divested of the dignity of the priestly crown, against which no less than the kingly crown you have determined to play the buffoon.

His saying is followed with a kind of apology to his readers, while Luther possibly never apologized for his sayings. Stephen Greenblatt argues, "More speaks for his ruler and in his opponent's idiom; Luther speaks for himself, and his scatological imagery far exceeds in quantity, intensity, and inventiveness anything that More could muster. If for More

scatology normally expresses a communal disapproval, for Luther, it expresses a deep personal rage."

Confronting Luther confirmed More's theological conservatism. He thereafter avoided any hint of criticism of Church authority. In 1528, More published another religious polemic, *A Dialogue Concerning Heresies*, that asserted the Catholic Church was the one true church, established by Christ and the Apostles, and affirmed the validity of its authority, traditions and practices. In 1529, the circulation of Simon Fish's *Supplication for the Beggars* prompted More to respond with *The Supplication of Souls*.

In 1531, a year after More's father died, William Tyndale published *An Answer unto Sir Thomas More's Dialogue* in response to More's *Dialogue Concerning Heresies*. More responded with a half million words: the *Confutation of Tyndale's Answer*. The *Confutation* is an imaginary dialogue between More and Tyndale, with More addressing each of Tyndale's criticisms of Catholic rites and doctrines. More, who valued structure, tradition and order in society as safeguards against tyranny and error, vehemently believed that Lutheranism and the Protestant Reformation in general were dangerous, not only to the Catholic faith but to the stability of society as a whole.

## **Correspondence**

Most major humanists were prolific letter writers, and Thomas More was no exception. As in the case of his friend Erasmus of Rotterdam, however, only a small portion of his correspondence (about 280 letters) survived. These include

everything from personal letters to official government correspondence (mostly in English), letters to fellow humanist scholars (in Latin), several epistolary tracts, verse epistles, prefatory letters (some fictional) to several of More's own works, letters to More's children and their tutors (in Latin), and the so-called "prison-letters" (in English) which he exchanged with his oldest daughter Margaret while he was imprisoned in the Tower of London awaiting execution. More also engaged in controversies, most notably with the French poet Germain de Brie, which culminated in the publication of de Brie's *Antimorus* (1519). Erasmus intervened, however, and ended the dispute.

More also wrote about more spiritual matters. They include: *A Treatise on the Passion* (a.k.a. *Treatise on the Passion of Christ*), *A Treatise to Receive the Blessed Body* (a.k.a. *Holy Body Treaty*), and *De Tristitia Christi* (a.k.a. *The Agony of Christ*). More handwrote the last in the Tower of London while awaiting his execution. This last manuscript, saved from the confiscation decreed by Henry VIII, passed by the will of his daughter Margaret to Spanish hands through Fray Pedro de Soto, confessor of Emperor Charles V. More's friend Luis Vives received it in Valencia, where it remains in the collection of Real Colegio Seminario del Corpus Christi museum.

## **Veneration**

### **Catholic Church**

Pope Leo XIII beatified Thomas More, John Fisher, and 52 other English Martyrs on 29 December 1886. Pope Pius XI canonised

More and Fisher on 19 May 1935, and More's feast day was established as 9 July. Since 1970 the General Roman Calendar has celebrated More with St John Fisher on 22 June (the date of Fisher's execution). On 31 October 2000 Pope John Paul II declared More "the heavenly Patron of Statesmen and Politicians". More is the patron of the German Catholic youth organisation Katholische Junge Gemeinde.

## **Anglican Communion**

In 1980, despite their opposing the English Reformation, More and Fisher were added as martyrs of the reformation to the Church of England's calendar of "Saints and Heroes of the Christian Church", to be commemorated every 6 July (the date of More's execution) as "Thomas More, scholar, and John Fisher, Bishop of Rochester, Reformation Martyrs, 1535".

## **Legacy**

The steadfastness and courage with which More maintained his religious convictions, and his dignity during his imprisonment, trial, and execution, contributed much to More's posthumous reputation, particularly among Roman Catholics. His friend Erasmus defended More's character as "more pure than any snow" and described his genius as "such as England never had and never again will have." Upon learning of More's execution, Emperor Charles V said: "Had we been master of such a servant, we would rather have lost the best city of our dominions than such a worthy councillor." G. K. Chesterton, a Roman Catholic convert from the Church of England, predicted More "may come to be counted the greatest Englishman, or at



least the greatest historical character in English history." Hugh Trevor-Roper called More "the first great Englishman whom we feel that we know, the most saintly of humanists, the most human of saints, the universal man of our cool northern renaissance."

Jonathan Swift, an Anglican, wrote that More was "a person of the greatest virtue this kingdom ever produced". Some consider Samuel Johnson that quote's author, although neither his writings nor Boswell's contain such. The metaphysical poet John Donne, also honoured as a saint by Anglicans, was More's great-great-nephew. US Senator Eugene McCarthy had a portrait of More in his office.

Roman Catholic scholars maintain that More used irony in *Utopia*, and that he remained an orthodox Christian. Marxist theoreticians such as Karl Kautsky considered the book a critique of economic and social exploitation in pre-modern Europe and More is claimed to have influenced the development of socialist ideas.

In 1963, *Moreana*, an academic journal focusing on analysis of More and his writings, was founded. In 2002, More was placed at number 37 in the BBC's poll of the 100 Greatest Britons.

### **In literature and popular culture**

William Roper's biography of More was one of the first biographies in Modern English.

*Sir Thomas More* is a play written circa 1592 in collaboration between Henry Chettle, Anthony Munday, William Shakespeare, and others. In it More is portrayed as a wise and

honest statesman. The original manuscript has survived as a handwritten text that shows many revisions by its several authors, as well as the censorious influence of Edmund Tylney, Master of the Revels in the government of Queen Elizabeth I. The script has since been published and has had several productions.

The 20th-century agnostic playwright Robert Bolt portrayed Thomas More as the tragic hero of his 1960 play *A Man for All Seasons*. The title is drawn from what Robert Whittington in 1520 wrote of More:

More is a man of an angel's wit and singular learning. I know not his fellow. For where is the man of that gentleness, lowliness and affability? And, as time requireth, a man of marvelous mirth and pastimes, and sometime of as sad gravity. A man for all seasons.

In 1966, the play *A Man for All Seasons* was adapted into a film with the same title. It was directed by Fred Zinnemann and adapted for the screen by the playwright. It stars Paul Scofield, a noted British actor, who said that the part of Sir Thomas More was "the most difficult part I played." The film won the Academy Award for Best Picture and Scofield won the Best Actor Oscar. In 1988 Charlton Heston starred in and directed a made-for-television film that restored the character of "the common man" that had been cut from the 1966 film.

In the 1969 film *Anne of the Thousand Days*, More is portrayed by actor William Squire.

Catholic science fiction writer R. A. Lafferty wrote his novel *Past Master* as a modern equivalent to More's *Utopia*, which he

saw as a satire. In this novel, Thomas More travels through time to the year 2535, where he is made king of the world "Astrobe", only to be beheaded after ruling for a mere nine days. One character compares More favourably to almost every other major historical figure: "He had one completely honest moment right at the end. I cannot think of anyone else who ever had one."

Karl Zuchardt's novel, *Stirb du Narr!* ("Die you fool!"), about More's struggle with King Henry, portrays More as an idealist bound to fail in the power struggle with a ruthless ruler and an unjust world.

In her 2009 novel *Wolf Hall*, its 2012 sequel *Bring Up the Bodies*, and the final book of the trilogy, her 2020 *The Mirror and the Light*, the novelist Hilary Mantel portrays More (from the perspective of a sympathetically portrayed Thomas Cromwell) as an unsympathetic persecutor of Protestants and an ally of the Habsburg empire.

Literary critic James Wood in his book *The Broken Estate*, a collection of essays, is critical of More and refers to him as "cruel in punishment, evasive in argument, lusty for power, and repressive in politics".

Aaron Zelman's non-fiction book *The State Versus the People* includes a comparison of *Utopia* with Plato's *Republic*. Zelman is undecided as to whether More was being ironic in his book or was genuinely advocating a police state. Zelman comments, "More is the only Christian saint to be honoured with a statue at the Kremlin." By this Zelman implies that *Utopia* influenced Vladimir Lenin's Bolsheviks, despite their brutal repression of religion.

Other biographers, such as Peter Ackroyd, have offered a more sympathetic picture of More as both a sophisticated philosopher and man of letters, as well as a zealous Catholic who believed in the authority of the Holy See over Christendom.

The protagonist of Walker Percy's novels, *Love in the Ruins* and *The Thanatos Syndrome*, is "Dr Thomas More", a reluctant Catholic and descendant of More.

More is the focus of the Al Stewart song "A Man For All Seasons" from the 1978 album *Time Passages*, and of the Far song "Sir", featured on the limited editions and 2008 re-release of their 1994 album *Quick*. In addition, the song "So Says I" by indie rock outfit The Shins alludes to the socialist interpretation of More's *Utopia*.

Jeremy Northam depicts More in the television series *The Tudors* as a peaceful man, as well as a devout Roman Catholic and loving family patriarch. He also shows More loathing Protestantism, burning both Martin Luther's books and English Protestants who have been convicted of heresy. The portrayal has unhistorical aspects, such as that More neither personally caused nor attended Simon Fish's execution (since Fish actually died of bubonic plague in 1531 before he could stand trial), although More's *The Supplycation of Soulyes*, published in October 1529, addressed Fish's *Supplication for the Beggars*. Indeed, there is no evidence that More ever attended the execution of any heretic. The series also neglected to show More's avowed insistence that Richard Rich's testimony about More disputing the King's title as Supreme Head of the Church of England was perjured.

In the years 1968–2007 the University of San Francisco's Gleeson Library Associates awarded the annual Sir Thomas More Medal for Book Collecting to private book collectors of note, including Elmer Belt, Otto Schaefer, Albert Sperisen, John S. Mayfield and Lord Wardington.

## **Institutions named after More**

### **Communism, socialism and resistance to communism**

Having been praised "as a Communist hero by Karl Marx, Friedrich Engels, and Karl Kautsky" because of the Communist attitude to property in his *Utopia*, under Soviet Communism the name of Thomas More was in ninth position from the top of Moscow's Stele of Freedom (also known as the Obelisk of Revolutionary Thinkers), as one of the most influential thinkers "who promoted the liberation of humankind from oppression, arbitrariness, and exploitation." This monument was erected in 1918 in Aleksandrovsky Garden near the Kremlin at Lenin's suggestion. It was dismantled on 2 July 2013, during Vladimir Putin's third term as President of post-Communist Russia.

*Utopia* also inspired socialists such as William Morris.

Many see More's communism or socialism as purely satirical. In 1888, while praising More's communism, Karl Kautsky pointed out that "perplexed" historians and economists often saw the name *Utopia* (which means "no place") as "a subtle hint by More that he himself regarded his communism as an impracticable dream".

Aleksandr Solzhenitsyn, the Russian Nobel Prize-winning, anti-Communist author of *The Gulag Archipelago*, argued that Soviet communism needed enslavement and forced labour to survive, and that this had been "...foreseen as far back as Thomas More, in his *Utopia*".

In 2008, More was portrayed on stage in Hong Kong as an allegorical symbol of the pan-democracy camp resisting the Chinese Communist Party in a translated and modified version of Robert Bolt's play *A Man for All Seasons*.

## **Historic sites**

### **Westminster Hall**

A plaque in the middle of the floor of London's Westminster Hall commemorates More's trial for treason and condemnation to execution in that original part of the Palace of Westminster. The building, which houses Parliament, would have been well known to More, who served several terms as a member and became Speaker of the House of Commons before his appointment as England's Lord Chancellor.

### **Beaufort House and Crosby Hall**

As More's royal duties frequently required his attendance at the king's Thameside palaces in both Richmond and Greenwich, it was convenient to select a riverside property situated between them (the common method of transport being by boat) for his home. In about 1520 he purchased a parcel of land comprising "undisturbed wood and pasture", stretching from the Thames in Chelsea to the King's Road. There he

caused to be built a "dignified" red-brick mansion (known simply as More's house or Chelsea House) in which he lived until his arrest in 1534. In the bawdy poem *The Twelve Mery Jestes of Wyddow Edyth*, written in 1525 by a member of More's household (or even by More himself) using the pseudonym of "Walter Smith", the widow arrives by boat at "Chelsay...where she had best cheare of all/in the house of Syr Thomas More." Upon More's arrest the estate was confiscated, coming into the possession of the Comptroller of the Royal Household, William Paulet. In 1682 the property was renamed Beaufort House (after a new owner: Henry Somerset, 1st Duke of Beaufort). It was demolished in 1712 and the site is now occupied by modern-day Beaufort Street.

In June 1523 More bought the "very large and beautiful" Crosby Place (Crosby Hall) in Bishopsgate, London, but this was not a simple transaction: eight months later he sold the property (never having lived there) at a considerable profit to his friend and business partner Antonio Bonvisi who, in turn, leased it back to More's son-in-law William Roper and nephew William Rastell; possibly this was an agreed means of dealing with a debt between More and Bonvisi. Because of this the Crown did not confiscate the property after More's execution.

Parts of the Crosby Hall survived until demolished in 1909 when some elements, including the hammer-beam roof of the Great Hall, part of a musicians' gallery, a postern doorway and some oriel windows, were placed in storage and eventually incorporated into a new building erected by the Thames in Chelsea, near to the original site of Beaufort House. It is privately owned and closed to the public.

## **Chelsea Old Church**

Across a small park and Old Church Street from Crosby Hall is Chelsea Old Church, an Anglican church whose southern chapel More commissioned and in which he sang with the parish choir. Except for his chapel, the church was largely destroyed in the Second World War and rebuilt in 1958. The capitals on the medieval arch connecting the chapel to the main sanctuary display symbols associated with More and his office. On the southern wall of the sanctuary is the tomb and epitaph he erected for himself and his wives, detailing his ancestry and accomplishments in Latin, including his role as peacemaker between the various Christian European states as well as a curiously altered portion about his curbing heresy. When More served Mass, he would leave by the door just to the left of it. He is not, however, buried here, nor is it entirely certain which of his family may be. It is open to the public at specific times. Outside the church, facing the River Thames, is a statue by L. Cubitt Bevis erected in 1969, commemorating More as "saint", "scholar", and "statesman"; the back displays his coat-of-arms. Nearby, on Upper Cheyne Row, the Roman Catholic Church of Our Most Holy Redeemer & St. Thomas More honours the martyr.

## **Tower Hill**

A plaque and small garden commemorate the famed execution site on Tower Hill, London, just outside the Tower of London, as well as all those executed there, many as religious martyrs or as prisoners of conscience. More's corpse, minus his head, was unceremoniously buried in an unmarked mass grave beneath the Royal Chapel of St. Peter Ad Vincula, within the



walls of the Tower of London, as was the custom for traitors executed at Tower Hill. The chapel is accessible to Tower visitors.

### **St Katharine Docks**

Thomas More is commemorated by a stone plaque near St Katharine Docks, just east of the Tower where he was executed. The street in which it is situated was formerly called Nightingale Lane, a corruption of "Knighthen Guild", derived from the original owners of the land. It is now renamed Thomas More Street in his honour.

### **St Dunstan's Church and Roper House, Canterbury**

St Dunstan's Church, an Anglican parish church in Canterbury, possesses More's head, rescued by his daughter Margaret Roper, whose family lived in Canterbury down and across the street from their parish church. A stone immediately to the left of the altar marks the sealed Roper family vault beneath the Nicholas Chapel, itself to the right of the church's sanctuary or main altar. St Dunstan's Church has carefully investigated, preserved and sealed this burial vault. The last archaeological investigation revealed that the suspected head of More rests in a niche separate from the other bodies, possibly from later interference. Displays in the chapel record the archaeological findings in pictures and narratives. Roman Catholics donated stained glass to commemorate the events in More's life. A small plaque marks the former home of William and Margaret Roper; another house nearby and entitled Roper House is now a home for deaf people.

# Works

Note: The reference "CW" is to the relevant volume of the *Yale Edition of the Complete Works of St. Thomas More* (New Haven and London 1963–1997)

## Published during More's life (with dates of publication)

- *A Merry Jest* (c. 1516) (CW 1)
- *Utopia* (1516) (CW 4)
- *Latin Poems* (1518, 1520) (CW 3, Pt.2)
- *Letter to Brixius* (1520) (CW 3, Pt. 2, App C)
- *Responsio ad Lutherum* (The Answer to Luther, 1523) (CW 5)
- *A Dialogue Concerning Heresies* (1529, 1530) (CW 6)
- *Supplication of Souls* (1529) (CW 7)
- *Letter Against Frith* (1532) (CW 7) pdf
- *The Confutation of Tyndale's Answer* (1532, 1533) (CW 8) Books 1–4, Books 5–9
- *Apology* (1533) (CW 9)
- *Debellation of Salem and Bizance* (1533) (CW 10) pdf
- *The Answer to a Poisoned Book* (1533) (CW 11) pdf

## Published after More's death (with likely dates of composition)

- *The History of King Richard III* (c. 1513–1518) (CW 2 & 15)
- *The Four Last Things* (c. 1522) (CW 1)
- *A Dialogue of Comfort Against Tribulation* (1534) (CW 12)

- *Treatise Upon the Passion* (1534) (CW 13)
- *Treatise on the Blessed Body* (1535) (CW 13)
- *Instructions and Prayers* (1535) (CW 13)
- *De Tristitia Christi* (1535) (CW 14) (preserved in the Real Colegio Seminario del Corpus Christi, Valencia)

## Chapter 7

# Christopher Columbus

**Christopher Columbus**(born between 25 August and 31 October 1451, died 20 May 1506) was an Italian explorer and navigator who completed four voyages across the Atlantic Ocean, opening the way for the widespread European exploration and colonization of the Americas. His expeditions, sponsored by the Catholic Monarchs of Spain, were the first European contact with the Caribbean, Central America, and South America.

The name *Christopher Columbus* is the Anglicisation of the Latin Christophorus Columbus. Scholars generally agree that Columbus was born in the Republic of Genoa and spoke a dialect of Ligurian as his first language. He went to sea at a young age and travelled widely, as far north as the British Isles and as far south as what is now Ghana. He married Portuguese noblewoman Filipa Moniz Perestrelo and was based in Lisbon for several years, but later took a Castilian mistress; he had one son with each woman. Though largely self-educated, Columbus was widely read in geography, astronomy, and history. He formulated a plan to seek a western sea passage to the East Indies, hoping to profit from the lucrative spice trade. Following Columbus's persistent lobbying to multiple kingdoms, Catholic monarchs Queen Isabella I and King Ferdinand II agreed to sponsor a journey west. Columbus left Castile in August 1492 with three ships, and made landfall in the Americas on 12 October (ending the period of human habitation in the Americas now referred to as the pre-Columbian era). His landing place was an island in the

Bahamas, known by its native inhabitants as Guanahani. Columbus subsequently visited the islands now known as Cuba and Hispaniola, establishing a colony in what is now Haiti. Columbus returned to Castile in early 1493, bringing a number of captured natives with him. Word of his voyages soon spread throughout Europe.

Columbus made three further voyages to the Americas, exploring the Lesser Antilles in 1493, Trinidad and the northern coast of South America in 1498, and the eastern coast of Central America in 1502. Many of the names he gave to geographical features—particularly islands—are still in use. He also gave the name *indios* ("Indians") to the indigenous peoples he encountered. The extent to which he was aware that the Americas were a wholly separate landmass is uncertain; he never clearly renounced his belief that he had reached the Far East. As a colonial governor, Columbus was accused by his contemporaries of significant brutality and was soon removed from the post. Columbus's strained relationship with the Crown of Castile and its appointed colonial administrators in America led to his arrest and removal from Hispaniola in 1500, and later to protracted litigation over the benefits that he and his heirs claimed were owed to them by the crown. Columbus's expeditions inaugurated a period of exploration, conquest, and colonization that lasted for centuries, helping create the modern Western world. The transfers between the Old World and New World that followed his first voyage are known as the Columbian exchange.

Columbus was widely venerated in the centuries after his death, but public perception has fractured in recent decades as scholars give greater attention to the harm committed under

his governance, particularly the near-extirpation of Hispaniola's indigenous Taíno population from mistreatment and European diseases, as well as their enslavement. Proponents of the Black Legend theory of history claim that Columbus has been unfairly maligned as part of a wider anti-Catholic sentiment. Many places in the Western Hemisphere bear his name, including the country of Colombia, the District of Columbia, and the Canadian province of British Columbia.

## **Early life**

Columbus's early life is obscure, but scholars believe he was born in the Republic of Genoa between 25 August and 31 October 1451. His father was Domenico Colombo, a wool weaver who worked both in Genoa and Savona and who also owned a cheese stand at which young Christopher worked as a helper. His mother was Susanna Fontanarossa. He had three brothers—Bartolomeo, Giovanni Pellegrino, and Giacomo (also called Diego), as well as a sister named Bianchinetta. His brother Bartolomeo worked in a cartography workshop in Lisbon for at least part of his adulthood.

His native language is presumed to have been a Genoese dialect although Columbus never wrote in that language. His name in the 16th-century Genoese language would have been *CristoffaCorombo* (Ligurian pronunciation: [kriˈtɔffa kuˈɔnbu]). His name in Italian is Cristoforo Colombo, and in Spanish Cristóbal Colón.

In one of his writings, he says he went to sea at the age of 10. In 1470, the Columbus family moved to Savona, where Domenico took over a tavern. In the same year, Christopher

was on a Genoese ship hired in the service of René of Anjou to support his attempt to conquer the Kingdom of Naples. Some modern authors have argued that he was not from Genoa but, instead, from the Aragon region of Spain or from Portugal. These competing hypotheses have generally been discounted by mainstream scholars.

In 1473, Columbus began his apprenticeship as business agent for the wealthy Spinola, Centurione, and Di Negro families of Genoa. Later, he made a trip to Chios, an Aegean island then ruled by Genoa. In May 1476, he took part in an armed convoy sent by Genoa to carry valuable cargo to northern Europe. He probably docked in Bristol, England, and Galway, Ireland. He may have also gone to Iceland in 1477. It is known that in the autumn of 1477, he sailed on a Portuguese ship from Galway to Lisbon, where he found his brother Bartolomeo, and they continued trading for the Centurione family. Columbus based himself in Lisbon from 1477 to 1485. He married Filipa Moniz Perestrelo, daughter of the Porto Santo governor and Portuguese nobleman of Lombard origin Bartolomeu Perestrello.

In 1479 or 1480, Columbus's son Diego was born. Between 1482 and 1485, Columbus traded along the coasts of West Africa, reaching the Portuguese trading post of Elmina at the Guinea coast (in present-day Ghana). Before 1484, Columbus returned to Porto Santo to find that his wife had died. He returned to Portugal to settle her estate and take his son Diego with him. He left Portugal for Castile in 1485, where he found a mistress in 1487, a 20-year-old orphan named Beatriz Enríquez de Arana. It is likely that Beatriz met Columbus when he was in Córdoba, a gathering site of many Genoese

merchants and where the court of the Catholic Monarchs was located at intervals. Beatriz, unmarried at the time, gave birth to Columbus's natural son, Fernando Columbus in July 1488, named for the monarch of Aragon. Columbus recognized the boy as his offspring. Columbus entrusted his older, legitimate son Diego to take care of Beatriz and pay the pension set aside for her following his death, but Diego was negligent in his duties.

Ambitious, Columbus eventually learned Latin, Portuguese, and Castilian. He read widely about astronomy, geography, and history, including the works of Claudius Ptolemy, Pierre Cardinal d'Ailly's *Imago Mundi*, the travels of Marco Polo and Sir John Mandeville, Pliny's *Natural History*, and Pope Pius II's *Historia Rerum Ubique Gestarum*. According to historian Edmund Morgan,

Columbus was not a scholarly man. Yet he studied these books, made hundreds of marginal notations in them and came out with ideas about the world that were characteristically simple and strong and sometimes wrong ...

## **Quest for Asia**

### **Background**

Under the Mongol Empire's hegemony over Asia and the *Pax Mongolica*, Europeans had long enjoyed a safe land passage, the Silk Road, to Maritime Southeast Asia, parts of East Asia, and China, which were sources of valuable goods. With the fall of Constantinople to the Ottoman Empire in 1453, the Silk Road was closed to Christian traders.



In 1470, the Florentine astronomer Paolo dal Pozzo Toscanelli suggested to King Afonso V of Portugal that sailing west across the Atlantic would be a quicker way to reach the Maluku (Spice) Islands, China, and Japan than the route around Africa, but Afonso rejected his proposal. In the 1480s, the Columbus brothers proposed a plan to reach the East Indies by sailing west. By 1481, Toscanelli had sent Columbus a map implying that a westward route to Asia was possible. Columbus's plans were complicated by the opening of the Cape Route to Asia around Africa in 1488.

Carol Delaney and others have argued that Columbus was a Christian millennialist and apocalypticist and that these beliefs motivated his quest for Asia in a variety of ways. Columbus often wrote about seeking gold in the diaries of his voyages and writes about acquiring the precious metal "in such quantity that the sovereigns... will undertake and prepare to go conquer the Holy Sepulcher" in a fulfillment of Biblical prophecy. Columbus also often wrote about converting all races to Christianity. Abbas Hamandi argues that Columbus was motivated by the hope of "[delivering] Jerusalem from Muslim hands" by "using the resources of newly discovered lands".

### **Geographical considerations**

Despite a popular misconception to the contrary, nearly all educated Westerners understood that the Earth is spherical, being a successful theory rooted in antiquity. By Columbus's time, the techniques of celestial navigation, which use the position of the Sun and the stars in the sky, had long been in use by astronomers and were beginning to be implemented by

mariners. As far back as the 3rd century BC, Eratosthenes had correctly computed the circumference of the Earth by using simple geometry and studying the shadows cast by objects at two remote locations. In the 1st century BC, Posidonius confirmed Eratosthenes's results by comparing stellar observations at two separate locations. These measurements were widely known among scholars, but Ptolemy's use of the smaller, old-fashioned units of distance led Columbus to underestimate the size of the Earth by about a third.

From Pierre d'Ailly's *Imago Mundi* (1410), Columbus learned of Alfraganus's estimate that a degree of latitude (or a degree of longitude along the equator) spanned  $56\frac{2}{3}$  Arabic miles (equivalent to 66.2 nautical miles or 122.6 kilometres), but he did not realize that this was expressed in the Arabic mile (about 1,830 meters) rather than the shorter Roman mile (about 1,480 meters) with which he was familiar. Columbus therefore estimated the size of the Earth to be about 75% of Eratosthenes's calculation, and the distance from the Canary Islands to Japan as 2,400 nautical miles (about 23% of the real figure).

Furthermore, most scholars accepted Ptolemy's estimate that Eurasia spanned  $180^\circ$  longitude, rather than the actual  $130^\circ$  (to the Chinese mainland) or  $150^\circ$  (to Japan at the latitude of Spain). Columbus, for his part, believed an even higher estimate, leaving a smaller percentage for water. In d'Ailly's *Imago Mundi*, Columbus read Marinus of Tyre's estimate that the longitudinal span of Eurasia was  $225^\circ$ . Some have suggested he followed the statement in 2 Esdras (6:42) that "six parts [of the globe] are habitable and the seventh is covered with water." He was also aware of Marco Polo's claim

that Japan (which he called "Cipangu") was some 2,414 kilometres (1,500 mi) to the east of China ("Cathay"), and closer to the equator than it is. He was influenced by Toscanelli's idea that there were inhabited islands even farther to the east than Japan, including the mythical Antillia, which he thought might lie not much farther to the west than the Azores.

Columbus therefore would have estimated the distance from the Canary Islands west to Japan to be about 9,800 kilometres (5,300 nmi) or 3,700 kilometres (2,000 nmi), depending on which estimate he used for Eurasia's longitudinal span. The true figure is now known to be vastly larger: about 20,000 kilometres (11,000 nmi). No ship in the 15th century could have carried enough food and fresh water for such a long voyage, and the dangers involved in navigating through the uncharted ocean would have been formidable. Most European navigators reasonably concluded that a westward voyage from Europe to Asia was unfeasible. The Catholic Monarchs, however, having completed the *Reconquista*, an expensive war in the Iberian Peninsula, were eager to obtain a competitive edge over other European countries in the quest for trade with the Indies. Columbus's project, though far-fetched, held the promise of such an advantage.

### **Nautical considerations**

Though Columbus was wrong about the number of degrees of longitude that separated Europe from the Far East and about the distance that each degree represented, he did utilize the trade winds, which would prove to be the key to his successful navigation of the Atlantic Ocean. He planned to first sail to the

Canary Islands before continuing west by utilizing the northeast trade wind. Part of the return to Spain would require traveling against the wind using an arduous sailing technique called beating, during which almost no progress can be made. To effectively make the return voyage, Columbus would need to follow the curving trade winds northeastward to the middle latitudes of the North Atlantic, where he would be able to catch the "westerlies" that blow eastward to the coast of Western Europe.

The navigational technique for travel in the Atlantic appears to have been exploited first by the Portuguese, who referred to it as the *volta do mar* ('turn of the sea'). Columbus's knowledge of the Atlantic wind patterns was, however, imperfect at the time of his first voyage. By sailing directly due west from the Canary Islands during hurricane season, skirting the so-called horse latitudes of the mid-Atlantic, Columbus risked either being becalmed or running into a tropical cyclone, both of which, by chance, he avoided.

### **Quest for financial support for a voyage**

By about 1484, Columbus proposed his planned voyage to King John II of Portugal. The king submitted Columbus's proposal to his experts, who rejected it on the correct belief that Columbus's estimate for a voyage of 2,400 nautical miles was only a quarter of what it should have been. In 1488, Columbus again appealed to the court of Portugal, resulting in John II again inviting him for an audience. That meeting also proved unsuccessful, in part because not long afterwards Bartolomeu Dias returned to Portugal with news of his successful rounding of the southern tip of Africa (near the Cape of Good Hope).

Columbus sought an audience from the monarchs Ferdinand II of Aragon and Isabella I of Castile, who had united several kingdoms in the Iberian Peninsula by marrying and were ruling together. On 1 May 1486, permission having been granted, Columbus presented his plans to Queen Isabella, who, in turn, referred it to a committee. The savants of Spain, like their counterparts in Portugal, replied that Columbus had grossly underestimated the distance to Asia. They pronounced the idea impractical and advised the Catholic Monarchs to pass on the proposed venture. To keep Columbus from taking his ideas elsewhere, and perhaps to keep their options open, the sovereigns gave him an allowance, totaling about 14,000 *maravedis* for the year, or about the annual salary of a sailor. In May 1489, the queen sent him another 10,000 *maravedis*, and the same year the monarchs furnished him with a letter ordering all cities and towns under their domain to provide him food and lodging at no cost.

Columbus also dispatched his brother Bartholomew to the court of Henry VII of England to inquire whether the English crown might sponsor his expedition, but he was captured by pirates in the process, and only arrived in early 1491. By that time, Columbus had retreated to La Rábida Friary, where the Spanish crown sent him 20,000 *maravedis* to buy new clothes and instructions to return to the Spanish court for renewed discussions.

### **Agreement with the Spanish crown**

Columbus waited at King Ferdinand's camp until Ferdinand and Isabella conquered Granada, the last Muslim stronghold on the Iberian Peninsula, in January 1492. A council led by

Isabella's confessor, Hernando de Talavera, found Columbus's proposal to reach the Indies implausible. Columbus had left for France when Ferdinand intervened, first sending Talavera and Bishop Diego Deza to appeal to the queen. Isabella was finally convinced by the king's clerk Luis de Santángel, who argued that Columbus would bring his ideas elsewhere, and offered to help arrange the funding. Isabella then sent a royal guard to fetch Columbus, who had travelled several kilometers toward Córdoba.

In the April 1492 "Capitulations of Santa Fe", King Ferdinand and Queen Isabella promised Columbus that if he succeeded he would be given the rank of Admiral of the Ocean Sea and appointed Viceroy and Governor of all the new lands he could claim for Spain. He had the right to nominate three persons, from whom the sovereigns would choose one, for any office in the new lands. He would be entitled to 10 percent of all the revenues from the new lands in perpetuity. Additionally, he would also have the option of buying one-eighth interest in any commercial venture with the new lands and receive one-eighth of the profits.

In 1500, during his third voyage to the Americas, Columbus was arrested and dismissed from his posts. He and his sons, Diego and Fernando, then conducted a lengthy series of court cases against the Castilian crown, known as the *pleitos colombinos*, alleging that the Crown had illegally reneged on its contractual obligations to Columbus and his heirs. The Columbus family had some success in their first litigation, as a judgment of 1511 confirmed Diego's position as viceroy, but reduced his powers. Diego resumed litigation in 1512, which lasted until 1536, and further disputes continued until 1790.

# Voyages

Between 1492 and 1504, Columbus completed four round-trip voyages between Spain and the Americas, each voyage being sponsored by the Crown of Castile. On his first voyage, he independently discovered the Americas. These voyages marked the beginning of the European exploration and colonization of the Americas, and are thus important to both the Age of Discovery and Western history writ large.

Columbus always insisted, in the face of mounting evidence to the contrary, that the lands that he visited during those voyages were part of the Asian continent, as previously described by Marco Polo and other European travelers. Columbus's refusal to acknowledge that the lands he had visited and claimed for Spain were not part of Asia might explain, in part, why the American continent was named after the Florentine explorer Amerigo Vespucci—who received credit for recognizing it as a "New World"—and not after Columbus.

## **First voyage (1492–1493)**

On the evening of 3 August 1492, Columbus departed from Palos de la Frontera with three ships. The largest was a carrack, the *Santa María*, owned and captained by Juan de la Cosa, and under Columbus's direct command. The other two were smaller caravels, the *Pinta* and the *Niña*, piloted by the Pinzón brothers. Columbus first sailed to the Canary Islands. There he restocked provisions and made repairs then departed from San Sebastián de La Gomera on 6 September, for what turned out to be a five-week voyage across the ocean.

On 7 October, the crew spotted "[i]mmense flocks of birds". On 11 October, Columbus changed the fleet's course to due west, and sailed through the night, believing land was soon to be found. At around 02:00 the following morning, a lookout on the *Pinta*, Rodrigo de Triana, spotted land. The captain of the *Pinta*, Martín Alonso Pinzón, verified the sight of land and alerted Columbus. Columbus later maintained that he had already seen a light on the land a few hours earlier, thereby claiming for himself the lifetime pension promised by Ferdinand and Isabella to the first person to sight land. Columbus called this island (in what is now the Bahamas) *San Salvador* (meaning "Holy Savior"); the natives called it Guanahani. Christopher Columbus's journal entry of 12 October 1492 states:

Many of the men I have seen have scars on their bodies, and when I made signs to them to find out how this happened, they indicated that people from other nearby islands come to San Salvador to capture them; they defend themselves the best they can. I believe that people from the mainland come here to take them as slaves. They ought to make good and skilled servants, for they repeat very quickly whatever we say to them. I think they can very easily be made Christians, for they seem to have no religion. If it pleases our Lord, I will take six of them to Your Highnesses when I depart, in order that they may learn our language.

Columbus called the inhabitants of the lands that he visited *Los Indios* (Spanish for "Indians"). He initially encountered the Lucayan, Taíno, and Arawak peoples. Noting their gold ear ornaments, Columbus took some of the Arawaks prisoner and insisted that they guide him to the source of the gold.



Columbus noted that their primitive weapons and military tactics made the natives susceptible to easy conquest, writing, "these people are very simple in war-like matters ... I could conquer the whole of them with 50 men, and govern them as I pleased."

Columbus also explored the northeast coast of Cuba, where he landed on 28 October. On 22 November, Martín Alonso Pinzón took the *Pinta* on an unauthorized expedition in search of an island called "Babeque" or "Baneque", which the natives had told him was rich in gold. Columbus, for his part, continued to the northern coast of Hispaniola, where he landed on 5 December. There, the *Santa María* ran aground on Christmas Day 1492 and had to be abandoned. The wreck was used as a target for cannon fire to impress the native peoples. Columbus was received by the native *cacique* Guacanagari, who gave him permission to leave some of his men behind. Columbus left 39 men, including the interpreter Luis de Torres, and founded the settlement of La Navidad, in present-day Haiti. Columbus took more natives prisoner and continued his exploration. He kept sailing along the northern coast of Hispaniola with a single ship, until he encountered Pinzón and the *Pinta* on 6 January.

On 13 January 1493, Columbus made his last stop of this voyage in the Americas, in the Bay of Rincón in northeast Hispaniola. There he encountered the warlike Ciguayos, the only natives who offered violent resistance during this voyage. The Ciguayos refused to trade the amount of bows and arrows that Columbus desired; in the ensuing clash one Ciguayo was stabbed in the buttocks and another wounded with an arrow in his chest. Because of these events, Columbus called the inlet the Bay of Arrows.

Columbus headed for Spain on the *Niña*, but a storm separated him from the *Pinta*, and forced the *Niña* to stop at the island of Santa Maria in the Azores. Half of his crew went ashore to say prayers in a chapel to give thanks for having survived the storm. But while praying, they were imprisoned by the governor of the island, ostensibly on suspicion of being pirates. After a two-day standoff, the prisoners were released, and Columbus again set sail for Spain.

Another storm forced Columbus into the port at Lisbon. From there he went to *Vale do Paraíso* north of Lisbon to meet King John II of Portugal, who told Columbus that he believed the voyage to be in violation of the 1479 Treaty of Alcáçovas. After spending more than a week in Portugal, Columbus set sail for Spain. Returning on 15 March 1493, he was given a warm welcome by the monarchs.

Columbus's letter on the first voyage, was instrumental in spreading the news throughout Europe about his voyage. Almost immediately after his arrival in Spain, printed versions began to appear. Word of his voyage rapidly spread throughout Europe. Most people initially believed that he had reached Asia. The Bulls of Donation, three papal bulls of Pope Alexander VI delivered in 1493 purported to grant overseas territories to Portugal and the Catholic Monarchs of Spain. They were replaced by the Treaty of Tordesillas of 1494.

### **Second voyage (1493–1496)**

On 24 September 1493, Columbus sailed from Cádiz with 17 ships, and supplies to establish permanent colonies in the Americas. He sailed with 1,200 men, including priests,

farmers, and soldiers. The fleet stopped at the Canary Islands, continuing three weeks later on a more southerly course than on the first voyage.

On 3 November, they arrived in the Windward Islands and landed at Marie-Galante, now part of Guadeloupe. These islands were named by Columbus on this voyage, as well as Montserrat, Antigua, Saint Martin, the Virgin Islands, and many others.

On 22 November, Columbus returned to Hispaniola to visit La Navidad, where 39 Spaniards had been left during the first voyage. Columbus found the fort in ruins, destroyed by the Taínos after some of the Spaniards had formed a murderous gang in pursuit of gold and women. Columbus then established a poorly located and short-lived settlement, La Isabela, in the present-day Dominican Republic. From April to August 1494, Columbus explored Cuba and Jamaica, then returned to Hispaniola. By the end of 1494, disease and famine had killed two-thirds of the Spanish settlers. Columbus implemented *encomienda*, a Spanish labor system that rewarded conquerors with the labor of conquered non-Christian people. Columbus's colonists bought and sold slaves. Columbus executed Spanish colonists for minor crimes, and used dismemberment as punishment. Columbus and the colonists enslaved the indigenous people, including children. Natives were beaten, raped, and tortured for the location of imagined gold. Thousands committed suicide rather than face the oppression.

In February 1495, Columbus took over 1,500 Arawaks, some of whom had rebelled. About 500 of them were shipped to Spain as slaves, with about 40% dying en route.

In June 1495, the Spanish crown sent ships and supplies to Hispaniola. In October, Florentine merchant Gianotto Berardi received almost 40,000 *maravedís* worth of slaves.

On 10 March 1496, having been away about 30 months, the fleet departed La Isabela, landing in Portugal on 8 June.

### **Third voyage (1498–1500)**

On 30 May 1498, Columbus left with six ships from Sanlúcar, Spain. Three of the ships headed directly for Hispaniola with much-needed supplies. Columbus took the other three to continue the search for a passage to continental Asia.

On 31 July they sighted Trinidad. On 1 August, they arrived near the mouth of South America's Orinoco river. Columbus recognized that it must be the continent's mainland. On 5 August, they landed on the mainland of South America at the Paria Peninsula. They then sailed to the islands of Chacachacare and Margarita (reaching the latter on 14 August), and sighted Tobago and Grenada.

On 19 August, Columbus returned to Hispaniola. There he found settlers in rebellion against his rule, and his promises of riches. Columbus had some of his crew hanged for disobedience.

In October 1499, Columbus sent two ships to Spain, asking the Court of Spain to appoint a royal commissioner to help him govern. By this time, accusations of tyranny and incompetence on the part of Columbus had also reached the Court. The sovereigns replaced Columbus with Francisco de Bobadilla, a member of the Order of Calatrava, who was tasked with

investigating the accusations of brutality made against Columbus. Arriving in Santo Domingo while Columbus was away, Bobadilla was immediately met with complaints about all three Columbus brothers. Bobadilla reported to Spain that Columbus regularly used torture and mutilation to govern Hispaniola.

According to the report, Columbus once punished a man found guilty of stealing corn by having his ears and nose cut off and then selling him into slavery. Testimony recorded in the report stated that Columbus congratulated his brother Bartolomeo on "defending the family" when the latter ordered a woman paraded naked through the streets and then had her tongue cut out for suggesting that Columbus was of lowly birth. The document also describes how Columbus put down native unrest and revolt: he first ordered a brutal crackdown in which many natives were killed, and then paraded their dismembered bodies through the streets in an attempt to discourage further rebellion.

In early October 1500, Columbus and Diego presented themselves to Bobadilla, and were put in chains aboard *La Gorda*, Columbus's own ship.

They were returned to Spain, and lingered in jail for six weeks before King Ferdinand ordered their release. Not long after, the king and queen summoned the Columbus brothers to the Alhambra palace in Granada. There, the royal couple heard the brothers' pleas; restored their freedom and wealth; and, after much persuasion, agreed to fund Columbus's fourth voyage. However, Nicolás de Ovando was to be the new governor of the West Indies.

## **Fourth voyage (1502–1504)**

On 11 May 1502 Columbus, with his brother and son, left Cadiz with his flagship *Santa María* and three other vessels. He sailed to Arzila on the Moroccan coast to rescue Portuguese soldiers said to be besieged by the Moors.

On 15 June, they arrived at Martinique. A hurricane was brewing, so he continued on, hoping to find shelter on Hispaniola. He arrived at Santo Domingo on 29 June, but was denied port, and the new governor refused to listen to his storm prediction. Instead, while Columbus's ships sheltered at the mouth of the Rio Jaina, the first Spanish treasure fleet sailed into the hurricane. Columbus's ships survived with only minor damage, while 29 of the 30 ships in the governor's fleet were lost along with 500 lives (including that of Francisco de Bobadilla) and over US\$10 million of Columbus's gold. A fragile ship carrying Columbus's personal belongings was the sole vessel to reach Spain.

After a brief stop at Jamaica, Columbus sailed to Central America, arriving at the coast of Honduras on 30 July. Here Bartolomeo found native merchants and a large canoe. On 14 August, he landed on the continental mainland at Puerto Castilla, Honduras. He spent two months exploring the coasts of Honduras, Nicaragua, and Costa Rica, before arriving in Almirante, Bocas del Toro in Panama on 16 October.

In Panama, Columbus learned from the Ngobe of gold and a strait to another ocean. In January 1503, he established a garrison at the mouth of the Belén River. Columbus left for Hispaniola on 16 April. On 10 May he sighted the Cayman

Islands, naming them "*Las Tortugas*" after the numerous sea turtles there. His ships sustained damage in a storm off the coast of Cuba. Unable to travel farther, on 25 June 1503 they were beached in Saint Ann Parish, Jamaica.

For one year Columbus and his men remained stranded on Jamaica. A Spaniard, Diego Méndez, and some natives paddled a canoe to get help from Hispaniola.

The governor, Nicolás de Ovando y Cáceres, detested Columbus and obstructed all efforts to rescue him and his men. In the meantime Columbus, in a desperate effort to induce the natives to continue provisioning him and his hungry men, won their favor by predicting a lunar eclipse for 29 February 1504, using Abraham Zacuto's astronomical charts. Help finally arrived, no thanks to the governor, on 29 June 1504, and Columbus and his men arrived in Sanlúcar, Spain, on 7 November.

## **Later life, illness, and death**

Columbus had always claimed the conversion of non-believers as one reason for his explorations, but he grew increasingly religious in his later years. Probably with the assistance of his son Diego and his friend the Carthusian monk Gaspar Gorricio, Columbus produced two books during his later years: a *Book of Privileges* (1502), detailing and documenting the rewards from the Spanish Crown to which he believed he and his heirs were entitled, and a *Book of Prophecies* (1505), in which he considered his achievements as an explorer as a fulfillment of Biblical prophecy in the context of Christian eschatology and apocalypticism.

In his later years, Columbus demanded that the Spanish Crown give him 10 percent of all profits made in the new lands, as stipulated in the Capitulations of Santa Fe. Because he had been relieved of his duties as governor, the crown did not feel bound by that contract and his demands were rejected. After his death, his heirs sued the Crown for a part of the profits from trade with America, as well as other rewards. This led to a protracted series of legal disputes known as the *pleitos colombinos* ("Columbian lawsuits").

During a violent storm on his first return voyage, Columbus, then 41, suffered an attack of what was believed at the time to be gout. In subsequent years, he was plagued with what was thought to be influenza and other fevers, bleeding from the eyes, temporary blindness and prolonged attacks of gout. The attacks increased in duration and severity, sometimes leaving Columbus bedridden for months at a time, and culminated in his death 14 years later.

Based on Columbus's lifestyle and the described symptoms, modern doctors suspect that he suffered from reactive arthritis, rather than gout. Reactive arthritis is a joint inflammation caused by intestinal bacterial infections or after acquiring certain sexually transmitted diseases (primarily chlamydia or gonorrhoea). "It seems likely that [Columbus] acquired reactive arthritis from food poisoning on one of his ocean voyages because of poor sanitation and improper food preparation," writes Dr. Frank C. Arnett, a rheumatologist and professor of internal medicine, pathology and laboratory medicine at the University of Texas Medical School at Houston.

On 20 May 1506, aged 54, Columbus died in Valladolid, Spain.



## **Location of remains**

Columbus's remains were first buried at a convent in Valladolid, then moved to the monastery of La Cartuja in Seville (southern Spain) by the will of his son Diego. They may have been exhumed in 1513 and interred at the Cathedral of Seville. In about 1536, the remains of both Columbus and his son Diego were moved to a cathedral in Colonial Santo Domingo, in the present-day Dominican Republic. By some accounts, around 1796, when France took over the entire island of Hispaniola, Columbus's remains were moved to Havana, Cuba. After Cuba became independent following the Spanish–American War in 1898, the remains were moved back to the Cathedral of Seville, Spain, where they were placed on an elaborate catafalque. In June 2003, DNA samples were taken from these remains as well as those of Columbus's brother Diego and younger son Fernando. Initial observations suggested that the bones did not appear to match Columbus's physique or age at death. DNA extraction proved difficult; only short fragments of mitochondrial DNA could be isolated. These matched corresponding DNA from Columbus's brother, supporting that both individuals had shared the same mother. Such evidence, together with anthropologic and historic analyses, led the researchers to conclude that the remains belonged to Christopher Columbus.

In 1877, a priest discovered a lead box at Santo Domingo inscribed: "Discoverer of America, First Admiral". Inscriptions found the next year read "Last of the remains of the first admiral, Sire Christopher Columbus, discoverer." The box contained bones of an arm and a leg, as well as a bullet. These

remains were considered legitimate by physician and U.S. Assistant Secretary of State John Eugene Osborne, who suggested in 1913 that they travel through the Panama Canal as a part of its opening ceremony. These remains were kept at the Basilica Cathedral of Santa María la Menor before being moved to the Columbus Lighthouse(inaugurated in 1992). The authorities in Santo Domingo have never allowed these remains to be exhumed, so it is unconfirmed whether they are from Columbus's body as well.

## **Commemoration**

Veneration of Columbus in the U.S. dates back to colonial times. The use of Columbus as a founding figure of New World nations spread rapidly after the American Revolution. This was out of a desire to develop a national history and founding myth with fewer ties to Britain. In the U.S., his name was given to the federal capital (District of Columbia), the capitals of two U.S. states (Ohio and South Carolina), the Columbia River, and monuments like Columbus Circle.

Columbus's name was given to the Republic of Colombia. Towns, streets, and plazas throughout Latin America and Spain have been named after him.

To commemorate the 400th anniversary of the landing of Columbus, the 1893 World's Fair in Chicago was named the World's Columbian Exposition. The U.S. Postal Service issued the first U.S. commemorative stamps, the Columbian Issue depicting Columbus, Queen Isabella and others in various stages of his several voyages.

For the Columbus Quincentenary in 1992, a second Columbian issue was released jointly with Italy, Portugal, and Spain. Columbus was celebrated at Seville Expo '92, and Genoa Expo '92.

In 1909, descendants of Columbus dismantled and moved the Columbus family chapel in Spain to Boalsburg near State College, Pennsylvania, where it may be visited by the public.

In many countries of the Americas, as well as Spain and Italy, Columbus Day celebrates the anniversary of Columbus's arrival in the Americas on 12 October 1492.

## **Legacy**

The voyages of Columbus are considered a turning point in world history, marking the beginning of globalization and accompanying demographic, commercial, economic, social, and political changes. His explorations resulted in permanent contact between the two hemispheres, and the term "pre-Columbian" is used to refer to the culture of the Americas before the arrival of Columbus and his European successors. The ensuing Columbian exchange saw the massive exchange of animals, plants, fungi, diseases, technologies, mineral wealth and ideas.

Until the 1990s, Columbus was portrayed as a heroic explorer. More recently, however, the narrative has featured the negative effects of the conquest on native populations. Exposed to Old World diseases, the indigenous populations of the New World collapsed and were largely replaced by Europeans and Africans

who brought with them new methods of farming, business, governance, and religious worship.

## **Originality of discovery of America**

Though Christopher Columbus came to be considered the European discoverer of America in Western popular culture, his historical legacy is more nuanced. The Norse had colonized North America around 500 years before Columbus, with some degree of contact with Europe being maintained until about 1410. The 1960s discovery at L'Anse aux Meadows put Columbus's role in Western popular culture into question, as it partially corroborates accounts within the Icelandic sagas of Erik the Red's colonization of Greenland and his son Leif Erikson's exploration of Vinland around the turn of the 11th century.

## **America as a distinct land**

Historians have traditionally argued that Columbus remained convinced until his death that his journeys had been along the east coast of Asia as he originally intended. On his third voyage he briefly referred to South America as a "hitherto unknown" continent, while also rationalizing that it was the "Earthly Paradise" located "at the end of the Orient". Columbus continued to claim in his later writings that he had reached Asia; in a 1502 letter to Pope Alexander VI, he asserts that Cuba is the east coast of Asia. On the other hand, in a document in the *Book of Privileges* (1502), Columbus refers to the New World as the *Indias Occidentales* ('West Indies'), which he says "were unknown to all the world".

## **Flat Earth mythology**

Washington Irving's 1828 biography of Columbus popularized the idea that Columbus had difficulty obtaining support for his plan because many Catholic theologians insisted that the Earth was flat, but this is a popular misconception which can be traced back to 17th-century Protestants campaigning against Catholicism. In fact, the spherical shape of the Earth had been known to scholars since antiquity, and was common knowledge among sailors, including Columbus. Coincidentally, the oldest surviving globe of the Earth, the Erdapfel, was made in 1492, just before Columbus's return to Europe. As such it contains no sign of the Americas and yet demonstrates the common belief in a spherical Earth.

## **Criticism and defense**

Columbus is both criticized for his alleged brutality and initiating the depopulation of the indigenous Americans, whether by disease or intentional genocide. Some defend his alleged actions or say the worst of them are not based in fact. As a result of both the protests and riots that followed the murder of George Floyd in 2020, many public monuments of Christopher Columbus began to be removed.

## **Brutality**

Historians have criticized Columbus for initiating colonization and for abuse of natives. On St. Croix, Columbus's friend Michele da Cuneo—according to his own account—kept an indigenous woman he captured, whom Columbus "gave to [him]", then brutally raped her. The punishment for an

indigenous person failing to fill their hawk's bell of gold dust every three months was cutting off the hands of those without tokens, letting them bleed to death. Thousands of natives are thought to have committed suicide by poison to escape their persecution.

Columbus had an economic interest in the enslavement of the Hispaniola natives and for that reason was not eager to baptize them, which attracted criticism from some churchmen. Consuelo Varela, a Spanish historian who has seen the report, states that "Columbus's government was characterised by a form of tyranny. Even those who loved him had to admit the atrocities that had taken place."

Some historians dispute this, saying that some of the accounts of the brutality of Columbus and his brothers have been exaggerated as part of the Black Legend, a historical tendency towards anti-Spanish sentiment in historical sources dating as far back as the 16th century, which they speculate may continue to taint scholarship into the present day. There is also debate over whether it is appropriate to use the term "genocide" in this context.

Other historians have argued that, while brutal, Columbus was simply a product of his time, and being a figure of the 15th century, should not be judged by the morality of the 20th century. Still others openly defend colonization. Spanish ambassador María Jesús Figa López-Palop claims, "Normally we melded with the cultures in America, we stayed there, we spread our language and culture and religion." Horwitz asserts that paternalistic attitudes were often characteristic of the colonists themselves.

British historian Basil Davidson has dubbed Columbus the "father of the slave trade", citing the fact that the first license to ship African slaves to the Caribbean was issued by the Catholic Monarchs in 1501.

## **Depopulation**

Around the turn of the 21st century, estimates for the pre-Columbian population of Hispaniola ranged between 250,000 and two million, but genetic analysis published in late 2020 suggests that smaller figures are more likely, perhaps as low as 10,000–50,000 for Hispaniola and Puerto Rico combined. Based on the previous figures of a few hundred thousand, some have estimated that a third or more of the natives in Haiti were dead within the first two years of Columbus's governorship. Contributors to depopulation included disease, warfare, and harsh enslavement. Indirect evidence suggests that some serious illness may have arrived with the 1,500 colonists who accompanied Columbus's second expedition in 1493. Charles C. Mann writes that "It was as if the suffering these diseases had caused in Eurasia over the past millennia were concentrated into the span of decades." A third of the natives forced to work in gold and silver mines died every six months. Within three to six decades, the surviving Arawak population numbered only in the hundreds. The indigenous population of the Americas overall is thought to have been reduced by about 90% in the century after Columbus's arrival. Within indigenous circles, Columbus is often viewed as a key agent of genocide. Samuel Eliot Morison, a Harvard historian and author of a multivolume biography on Columbus, writes, "The cruel policy initiated by Columbus and pursued by his successors resulted in complete genocide."

According to Noble David Cook, "There were too few Spaniards to have killed the millions who were reported to have died in the first century after Old and New World contact." He instead estimates that the death toll was caused by smallpox, which may have only caused a pandemic after the arrival of Hernán Cortés in 1519. According to some estimates, smallpox had an 80–90% fatality rate in Native American populations.

The natives had no acquired immunity to these new diseases and suffered high fatalities. There is also evidence that they had poor diets and were overworked. Historian Andrés Reséndez of University of California, Davis, says the available evidence suggests "slavery has emerged as major killer" of the indigenous populations of the Caribbean between 1492 and 1550 more so than diseases such as smallpox, influenza and malaria. He says that indigenous populations did not experience a rebound like European populations did following the Black Death because unlike the latter, a large portion of the former were subjected to deadly forced labour in the mines. The diseases that devastated the Native Americans came in multiple waves at different times, sometimes as much as centuries apart, which would mean that survivors of one disease may have been killed by others, preventing the population from recovering.

### **Navigational expertise**

Biographers and historians have a wide range of opinions over Columbus's expertise and experience navigating and captaining ships. One scholar lists some European works ranging from the 1890s to 1980s that support Columbus's experience and skill as among the best in Genoa, while listing some American



works over a similar timeframe that portray the explorer as an untrained entrepreneur, having only minor crew or passenger experience prior to his noted journeys. According to Morison, Columbus's success in utilizing the trade winds might owe significantly to luck.