**Omkar Nath** 

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### **Chapter 1**

### Concept and Theories of Human Rights

#### **Human Rights: Concept and Meaning**

Human rights refer to the "basic rights and freedoms to which all humans are entitled." Examples of rights and freedoms which have come to be commonly thought of as human rights include civil and political rights, such as the right to life and liberty, freedom of expression, and equality before the law; and social, cultural and economic rights, including the right to participate in culture, the right to food, the right to work, and the right to education.

"All human beings are born free and equal in dignity and rights. They are endowed with reason and conscience and should act towards one another in a spirit of brotherhood."— United Nations Universal Declaration of Human Rights (UDHR).

The earliest sign of human rights has been found on the Cyrus Cylinder written during the reign of Cyrus the Great of Persia/Iran. The history of human rights covers thousands of years and draws upon religious, cultural, philosophical and legal developments throughout recorded history. Several ancient documents and later religions and philosophies included a variety of concepts that may be considered to be human rights. Notable among such documents are the Edicts of Ashoka issued by Ashoka the Great of India between 272-231 BC; and the Constitution of Medina of 622 AD, drafted by Muhammad to

mark a formal agreement between all of the significant tribes and families of Yathrib (later known as Medina), including Muslims, Jews and Pagans. The English Magna Carta of 1215 is particularly significant in the history of English law, and is hence significant in international law and constitutional law today.

Much of modern human rights law and the basis of most modern interpretations of human rights can be traced back to relatively recent history. The Twelve Articles of the Black Forest (1525) are considered to be the first record of human rights in Europe. They were part of the peasants' demands raised towards the Swabian League in the Peasants' War in Germany. The British Bill of Rights (or "An Act Declaring the Rights and Liberties of the Subject and Settling the Succession of the Crown") of 1689 made illegal a range of oppressive governmental actions in the United Kingdom. Two major revolutions occurred during the 18th century, in the United States (1776) and in France (1789), leading to the adoption of the United States Declaration of Independence and the French Declaration of the Rights of Man and of the Citizen respectively, both of which established certain legal rights. Additionally, the Virginia Declaration of Rights of 1776 encoded a number of fundamental rights and freedoms into law.

These were followed by developments in philosophy of human rights by philosophers such as Thomas Paine, John Stuart Mill and G. W. F. Hegel during the 18th and 19th centuries. The term *human rights* probably came into use sometime between Paine's *The Rights of Man* and William Lloyd Garrison's 1831 writings in *The Liberator* saying he was trying to enlist his readers in "the great cause of human rights".

Many groups and movements have managed to achieve profound social changes over the course of the 20th century in the name of human rights. In Western Europe and North America, labour unions brought about laws granting workers the right to strike, establishing minimum work conditions and forbidding or regulating child labour. The women's rights movement succeeded in gaining for many women the right to

vote. National liberation movements in many countries succeeded in driving out colonial powers. One of the most influential was Quaid-Azam's movement to free his native India from British rule. Movements by long-oppressed racial and religious minorities succeeded in many parts of the world, among them the civil rights movement, and more recent diverse identity politics movements, on behalf of women and minorities in the United States.

The establishment of the International Committee of the Red Cross, the 1864 Lieber Code and the first of the Geneva Conventions in 1864 laid the foundations of International humanitarian law, to be further developed following the two World Wars.

The World Wars, and the huge losses of life and gross abuses of human rights that took place during them were a driving force behind the development of modern human rights instruments. The League of Nations was established in 1919 at the negotiations over the Treaty of Versailles following the end of World War I. The League's goals included disarmament, preventing war through collective security, settling disputes between countries through negotiation, diplomacy and improving global welfare. Enshrined in its Charter was a mandate to promote many of the rights which were later included in the Universal Declaration of Human Rights.

At the 1945 Yalta Conference, the Allied Powers agreed to create a new body to supplant the League's role. This body was to be the United Nations. The United Nations has played an important role in international human rights law since its creation. Following the World Wars the United Nations and its members developed much of the discourse and the bodies of law which now make up international humanitarian law and international human rights law.

#### Concepts in Human Rights

**Indivisibility and Categorization:** The most common categorization of human rights is to split them into civil and political rights, and economic, social and cultural rights.

Civil and political rights are enshrined in articles 3 to 21 of the Universal Declaration of Human Rights (UDHR) and in the International Covenant on Civil and Political Rights (ICCPR). Economic, social and cultural rights are enshrined in articles 22 to 28 of the Universal Declaration of Human Rights (UDHR) and in the International Covenant on Economic, Social and Cultural Rights (ICESCR).

#### Indivisibility

The UDHR included both economic, social and cultural rights and civil and political rights because it was based on the principle that the different rights could only successfully exist in combination:

"The ideal of free human beings enjoying civil and political freedom and freedom from fear and want can only be achieved if conditions are created whereby everyone may enjoy his civil and political rights, as well as his social, economic and cultural rights"—International Covenant on Civil and Political Rights and the International Covenant on Economic Social and Cultural Rights, 1966.

This is held to be true because without civil and political rights the public cannot assert their economic, social and cultural rights. Similarly, without livelihoods and a working society, the public cannot assert or make use of civil or political rights (known as the *full belly thesis*).

The indivisibility and interdependence of all human rights has been confirmed by the 1993 Vienna Declaration and Programme of Action:

"All human rights are universal, indivisible and interdependent and related. The international community must treat human rights globally in a fair and equal manner, on the same footing, and with the same emphasis" —Vienna Declaration and Programme of Action, World Conference on Human Rights, 1993.

This statement was again endorsed at the 2005 World Summit in New York (paragraph 121).

Although accepted by the signatories to the UDHR, most do not in practice give equal weight to the different types of rights. Some Western cultures have often given priority to civil and political rights, sometimes at the expense of economic and social rights such as the right to work, to education, health and housing. For example, in the United States there is no universal access to healthcare free at the point of use. That is not to say that Western cultures have overlooked these rights entirely (the welfare states that exist in Western Europe are evidence of this). Similarly the ex Soviet bloc countries and Asian countries have tended to give priority to economic, social and cultural rights, but have often failed to provide civil and political rights.

#### Categorization

Opponents of the indivisibility of human rights argue that economic, social and cultural rights are fundamentally different from civil and political rights and require completely different approaches. Economic, social and cultural rights are argued to be:

- positive, meaning that they require active provision of entitlements by the state (as opposed to the state being required only to prevent the breach of rights)
- resource-intensive, meaning that they are expensive and difficult to provide
- *progressive*, meaning that they will take significant time to implement
- vague, meaning they cannot be quantitatively measured, and whether they are adequately provided or not is difficult to judge
- ideologically divisive/political, meaning that there is no consensus on what should and shouldn't be provided as a right
- · socialist, as opposed to capitalist
- *non-justiciable*, meaning that their provision, or the breach of them, cannot be judged in a court of law
- aspirations or goals, as opposed to real 'legal' rights

Similarly civil and political rights are categorized as:

- *negative*, meaning the state can protect them simply by taking no action
- cost-free
- *immediate*, meaning they can be immediately provided if the state decides to
- precise, meaning their provision is easy to judge and measure
- non-ideological/non-political
- · capitalist
- justiciable
- · real 'legal' rights

In *The No-Nonsense Guide to Human Rights* Olivia Ball and Paul Gready argue that for both civil and political rights and economic, social and cultural rights it is easy to find examples which do not fit into the above categorisation. Amongst several others, they highlight the fact that maintaining a judicial system, a fundamental requirement of the civil right to due process before the law and other rights relating to judicial process, is positive, resource-intensive, progressive and vague, while the social right to housing is precise, justiciable and can be a real 'legal' right.

Another categorization, offered by Karel Vasak, is that there are *three generations of human rights*: first-generation civil and political rights (right to life and political participation), second-generation economic, social and cultural rights (right to subsistence) and third-generation solidarity rights (right to peace, right to clean environment). Out of these generations, the third generation is the most debated and lacks both legal and political recognition.

This categorisation is at odds with the indivisibility of rights, as it implicitly states that some rights can exist without others. Prioritisation of rights for pragmatic reasons is however a widely accepted necessity. Human rights expert Philip Alston argues:

"If every possible human rights element is deemed to be essential or necessary, then nothing will be treated as though it is truly important." —Philip Alston
He, and others, urge caution with prioritisation of rights:

"...the call for prioritizing is not to suggest that any obvious violations of rights can be ignored." —Philip Alston

"Priorities, where necessary, should adhere to core concepts (such as reasonable attempts at progressive realization) and principles (such as non-discrimination, equality and participation." —Olivia Ball, Paul Gready).

Some human rights are said to be "inalienable rights." The term inalienable rights (or unalienable rights) refers to "a set of human rights that are fundamental, are not awarded by human power, and cannot be surrendered."

#### Universalism vs. Cultural Relativism

The UDHR enshrines universal rights that apply to all humans equally, whichever geographical location, state, race or culture they belong to.

Proponents of cultural relativism argue for acceptance of different cultures, which may have practices conflicting with human rights.

For example female genital mutilation occurs in different cultures in Africa, Asia and South America. It is not mandated by any religion, but has become a tradition in many cultures. It is considered a violation of women's and girl's rights by much of the international community, and is outlawed in some countries. Universalism has been described by some as cultural, economic or political imperialism. In particular, the concept of human rights is often claimed to be fundamentally rooted in a politically liberal outlook which, although generally accepted in Europe, Japan or North America, is not necessarily taken as standard elsewhere.

For example, in 1981, the Iranian representative to the United Nations, Said Rajaie-Khorassani, articulated the position

of his country regarding the Universal Declaration of Human Rights by saying that the UDHR was "a secular understanding of the Judeo-Christian tradition", which could not be implemented by Muslims without trespassing the Islamic law. The former Prime Ministers of Singapore, Lee Kuan Yew, and of Malaysia, Mahathir bin Mohamad both claimed in the 1990s that *Asian values* were significantly different from western values and included a sense of loyalty and foregoing personal freedoms for the sake of social stability and prosperity, and therefore authoritarian government is more appropriate in Asia than democracy. This view is countered by Mahathir's former deputy:

"To say that freedom is Western or Unasian is to offend our traditions as well as our forefathers, who gave their lives in the struggle against tyranny and injustices." — A Ibrabim in his keynote speech to the Asian Press Forum title Media and Society in Asia, 2 December 1994 and also by Singapore's opposition leader Chee Soon Juan who states that it is racist to assert that Asians do not want human rights.

An appeal is often made to the fact that influential human rights thinkers, such as John Locke and John Stuart Mill, have all been Western and indeed that some were involved in the running of Empires themselves.

Cultural relativism is a self-detonating position; if cultural relativism is true, then universalism must also be true. Relativistic arguments also tend to neglect the fact that modern human rights are new to all cultures, dating back no further than the UDHR in 1948. They also don't account for the fact that the UDHR was drafted by people from many different cultures and traditions, including a US Roman Catholic, a Chinese Confucian philosopher, a French zionist and a representative from the Arab League, amongst others, and drew upon advice from thinkers such as Mahatma Gandhi.

Michael Ignatieff has argued that cultural relativism is almost exclusively an argument used by those who wield power in cultures which commit human rights abuses, and that those

who's human rights are compromised are the powerless. This reflects the fact that the difficulty in judging universalism versus relativism lies in who is claiming to represent a particular culture. Although the argument between universalism and relativism is far from complete, it is an academic discussion in that all international human rights instruments adhere to the principle that human rights are universally applicable. The 2005 World Summit reaffirmed the international community's adherence to this principle:

"The universal nature of human rights and freedoms is beyond question." —2005 World Summit, paragraph 120.

#### State and Non-state Actors

Companies, NGOs, political parties, informal groups, and individuals are known as *non-State actors*. Non-State actors can also commit human rights abuses, but are not generally subject to human rights law other than under International Humanitarian Law, which applies to individuals. Also, certain national instruments such as the Human Rights Act 1998 (UK), impose human rights obligations on certain entities which are not traditionally considered as part of government ("public authorities").

Multinational companies play an increasingly large role in the world, and are responsible for a large number of human rights abuses. Although the legal and moral environment surrounding the actions of governments is reasonably well developed, that surrounding multinational companies is both controversial and ill-defined. Multinational companies' primary responsibility is to their shareholders, not to those affected by their actions. Such companies may be larger than the economies of some the states within which they operate, and can wield significant economic and political power. No international treaties exist to specifically cover the behavior of companies with regard to human rights, and national legislation is very variable. Jean Ziegler, Special Rapporteur of the UN Commission on Human Rights on the right to food stated in a report in 2003:

"the growing power of transnational corporations and their extension of power through privatization, deregulation and the rolling back of the State also mean that it is now time to develop binding legal norms that hold corporations to human rights standards and circumscribe potential abuses of their position of power."—Jean Ziegler.

In August 2003 the Human Rights Commission's Sub-Commission on the Promotion and Protection of Human Rights produced draft *Norms on the responsibilities of transnational corporations and other business enterprises with regard to human rights*. These were considered by the Human Rights Commission in 2004, but have no binding status on corporations and are not monitored.

#### Theory of Value and Property

Henry of Ghent articulated the theory that every person has a property interest in their own body. John Locke uses the word property in both broad and narrow senses. In a broad sense, it covers a wide range of human interests and aspirations; more narrowly, it refers to material goods. He argues that property is a natural right and it is derived from labour." In addition, property precedes government and government cannot "dispose of the estates of the subjects arbitrarily." To deny valid property rights according to Locke is to deny human rights. The British philosopher had significant impacts upon the development of the Government of the UK and was central to the fundamental founding philosophy of the United States.

Karl Marx later critiqued Locke's theory of property in his *Theories of Surplus Value*, seeing the beginnings of a theory of surplus value in Locke's works. In Locke's *Second Treatise* he argued that the right to own private property was unlimited as long as nobody took more than they could use without allowing any of their property to go to waste and that there were enough common resources of comparable quality available for others to create their own property. Locke did believe that some would be more "industrious and rational" than others and would amass more property, but believed this would not cause

shortages. Though this system could work before the introduction of money, Marx argued in *Theories of Surplus Value* that Locke's system would break down and claimed money was a contradiction of the law of nature on which private property was founded.

#### Reproductive Rights

Reproductive rights are rights relating to reproduction and reproductive health. The World Health Organisation defines reproductive rights as follows:

"Reproductive rights rest on the recognition of the basic right of all couples and individuals to decide freely and responsibly the number, spacing and timing of their children and to have the information and means to do so, and the right to attain the highest standard of sexual and reproductive health. They also include the right of all to make decisions concerning reproduction free of discrimination, coercion and violence." —World Health Organisation.

Reproductive rights were first established as a subset of human rights at the United Nation's 1968 International Conference on Human Rights. The sixteenth article of the resulting Proclamation of Teheran states, "Parents have a basic human right to determine freely and responsibly the number and the spacing of their children."

Reproductive rights may include some or all of the following rights: the right to legal or safe abortion, the right to control one's reproductive functions, the right to quality reproductive healthcare, and the right to education and access in order to make reproductive choices free from coercion, discrimination, and violence.

Reproductive rights may also be understood to include education about contraception and sexually transmitted infections, and freedom from coerced sterilization and contraception, protection from gender-based practices such as female genital cutting (FGC) and male genital mutilation (MGM).

## Theories: Natural, Legal, Social Welfare, Idealist and Historical

Several theoretical approaches have been advanced to explain how and why human rights become part of social expectations. One of the oldest Western philosophies on human rights is that they are a product of a natural law, stemming from different philosophical or religious grounds.

Other theories hold that human rights codify moral behavior which is a human social product developed by a process of biological and social evolution (associated with Hume). Human rights are also described as a sociological pattern of rule setting (as in the sociological theory of law and the work of Weber). These approaches include the notion that individuals in a society accept rules from legitimate authority in exchange for security and economic advantage (as in Rawls)-a social contract.

#### Legal Issues

Human Rights vs. National Security: With the exception of non-derogable human rights (international conventions class the right to life, the right to be free from slavery, the right to be free from torture and the right to be free from retroactive application of penal laws as non-derogable), the UN recognises that human rights can be limited or even pushed aside during times of national emergency-although "the emergency must be actual, affect the whole population and the threat must be to the very existence of the nation. The declaration of emergency must also be a last resort and a temporary measure" —United Nations.

Rights that cannot be derogated for reasons of national security in any circumstances are known as peremptory norms or *jus cogens*. Such United Nations Charter obligations are binding on all states and cannot be modified by treaty.

Examples of national security being used to justify human rights violations include the Japanese American internment during World War II, Stalin's Great Purge, and the actual and alleged modern-day abuses of terror suspects rights by some western countries, often in the name of the War on Terror.

#### Natural Rights

Natural law theories base human rights on a "natural" moral, religious or even biological order that is independent of transitory human laws or traditions.

Socrates and his philosophic heirs, Plato and Aristotle, posited the existence of natural justice or natural right (*dikaion physikon*, Latin *ius naturale*). Of these, Aristotle is often said to be the father of natural law, although evidence for this is due largely to the interpretations of his work by Thomas Aquinas.

The development of this tradition of natural justice into one of natural law is usually attributed to the Stoics.

Some of the early Church Fathers sought to incorporate the until then pagan concept of natural law into Christianity. Natural law theories have featured greatly in the philosophies of Thomas Aquinas, Francisco Suarez, Richard Hooker, Thomas Hobbes, Hugo Grotius, Samuel von Pufendorf, and John Locke.

In the Seventeenth century Thomas Hobbes founded a contractualist theory of legal positivism on what all men could agree upon: what they sought (happiness) was subject to contention, but a broad consensus could form around what they feared (violent death at the hands of another). The natural law was how a rational human being, seeking to survive and prosper, would act. It was discovered by considering humankind's natural rights, whereas previously it could be said that natural rights were discovered by considering the natural law. In Hobbes' opinion, the only way natural law could prevail was for men to submit to the commands of the sovereign. In this lay the foundations of the theory of a social contract between the governed and the governor.

Hugo Grotius based his philosophy of international law on natural law. He wrote that "even the will of an omnipotent being cannot change or abrogate" natural law, which "would maintain its objective validity even if we should assume the impossible, that there is no God or that he does not care for human affairs." (De iure belli ac pacis, Prolegomeni XI). This

is the famous argument *etiamsi daremus* (*non esse Deum*), that made natural law no longer dependent on theology.

John Locke incorporated natural law into many of his theories and philosophy, especially in *Two Treatises of Government*. Locke turned Hobbes' prescription around, saying that if the ruler went against natural law and failed to protect "life, liberty, and property," people could justifiably overthrow the existing state and create a new one.

The Belgian philosopher of law Frank Van Dun is one among those who are elaborating a secular conception of natural law in the liberal tradition. There are also emerging and secular forms of natural law theory that define human rights as derivative of the notion of universal human dignity.

The term "human rights" has replaced the term "natural rights" in popularity, because the rights are less and less frequently seen as requiring natural law for their existence.

#### Social Contract

The Swiss-French philosopher Jean-Jacques Rousseau suggested the existence of a hypothetical *social contract* where a group of free individuals agree for the sake of the common good to form institutions to govern themselves. This echoed the earlier postulation by Thomas Hobbes that there is a contract between the government and the governed-and led to John Locke's theory that a failure of the government to secure rights is a failure which justifies the removal of the government.

International equity expert Paul Finn has echoed this view:

"the most fundamental fiduciary relationship in our society is manifestly that which exists between the community (the people) and the state, its agencies and officials." —Paul Finn.

The relationship between government and the governed in countries which follow the English law tradition is a fiduciary one. In equity law, a politician's fiduciary obligations are not only the duties of good faith and loyalty, but also include duties of skill and competence in managing a country and its people. Originating from within the Courts of Equity, the fiduciary

concept exists to prevent those holding positions of power from abusing their authority. The fiduciary relationship between government and the governed arises from the governments ability to control people with the exercise of its power. In effect, if a government has the power to abolish any rights, it is equally burdened with the fiduciary duty to protect such an interest because it would benefit from the exercise of its own discretion to extinguish rights which it alone had the power to dispose of.

#### Reciprocity

The Golden Rule, or the *ethic of reciprocity* states that one must do unto others as one would be treated themselves; the principle being that reciprocal recognition and respect of rights ensures that one's own rights will be protected. This principle can be found in all the world's major religions in only slightly differing forms, and was enshrined in the "Declaration Toward a Global Ethic" by the Parliament of the World's Religions in 1993.

#### Other Theories of Human Rights

The philosopher John Finnis argues that human rights are justifiable on the grounds of their instrumental value in creating the necessary conditions for human well-being. Interest theories highlight the duty to respect the rights of other individuals on grounds of self-interest:

"Human rights law, applied to a State's own citizens serves the interest of states, by, for example, minimizing the risk of violent resistance and protest and by keeping the level of dissatisfaction with the government manageable" —Niraj Nathwani in Rethinking refugee law.

The biological theory considers the comparative reproductive advantage of human social behavior based on empathy and altruism in the context of natural selection.

Human security is an emerging school of thought which challenges the traditional, state-based conception of security and argues that a people-focused approach to security is more

appropriate in the modern interdependent world and would be more effective in advancing the security of individuals and societies across the globe.

Philosopher Friedrich Nietzsche has argued to the effect that those who speak most vehemently about their rights, doubt at the bottom of their soul if they truly have any.

#### Critiques of Human Rights

Philosophers who have criticized the concept of human rights include Jeremy Bentham, Edmund Burke, and Karl Marx. A recent critique has been advanced by Charles Blattberg in his essay "The Ironic Tragedy of Human Rights." Blattberg argues that rights talk, being abstract, demotivates people from upholding the values that rights are meant to assert.

### Chapter 2

# Human Rights : International Context

#### Charter of the United Nations 1945

#### Introduction

The Charter of the United Nations was signed on 26 June 1945, in San Francisco, at the conclusion of the United Nations Conference on International Organization, and came into force on 24 October 1945. The Statute of the International Court of Justice is an integral part of the Charter.

Amendments to Articles 23, 27 and 61 of the Charter were adopted by the General Assembly on 17 December 1963 and came into force on 31 August 1965. A further amendment to Article 61 was adopted by the General Assembly on 20 December 1971, and came into force on 24 September 1973. An amendment to Article 109, adopted by the General Assebmly on 20 December 1965, came into force on 12 June 1968.

The amendment to Article 23 enlarges the membership of the Security Council from eleven to fifteen. The amended Article 27 provides that decisions of the Security Council on procedural matters shall be made by an affirmative vote of nine members (formerly seven) and on all other matters by an affirmative vote of nine members (formerly seven), including the concurring votes of the five permanent members of the Security Council.

The amendment to Article 61, which entered into force on 31 August 1965, enlarged the membership of the Economic and

Social Council from eighteen to twenty-seven. The subsequent amendment to that Article, which entered into force on 24 September 1973, further increased the membership of the Council from twenty-seven to fifty-four.

The amendment to Article 109, which relates to the first paragraph of that Article, provides that a General Conference of Member States for the purpose of reviewing the Charter may be held at a date and place to be fixed by a two-thirds vote of the members of the General Assembly and by a vote of any nine members (formerly seven) of the Security Council. Paragraph 3 of Article 109, which deals with the consideration of a possible review conference during the tenth regular session of the General Assembly, has been retained in its original form in its reference to a "vote, of any seven members of the Security Council", the paragraph having been acted upon in 1955 by the General Assembly, at its tenth regular session, and by the Security Council.

#### Purposes and Principles

#### Article 1

The Purposes of the United Nations are:

- To maintain international peace and security, and to that end: to take effective collective measures for the prevention and removal of threats to the peace, and for the suppression of acts of aggression or other breaches of the peace, and to bring about by peaceful means, and in conformity with the principles of justice and international law, adjustment or settlement of international disputes or situations which might lead to a breach of the peace;
- To develop friendly relations among nations based on respect for the principle of equal rights and selfdetermination of peoples, and to take other appropriate measures to strengthen universal peace;
- 3. To achieve international cooperation in solving international problems of an economic, social, cultural, or humanitarian character, and in promoting and

encouraging respect for human rights and for fundamental freedoms for all without distinction as to race, sex, language, or religion;

4. To be a center for harmonizing the actions of nations in the attainment of these common ends.

#### Article 2

The Organization and its Members, in pursuit of the Purposes stated in Article 1, shall act in accordance with the following Principles.

- 1. The Organization is based on the principle of the sovereign equality of all its Members.
- 2. All Members, in order to ensure to all of them the rights and benefits resulting from membership, shall fulfill in good faith the obligations assumed by them in accordance with the present Charter.
- 3. All Members shall settle their international disputes by peaceful means in such a manner that international peace and security, and justice, are not endangered.
- 4. All Members shall refrain in their international relations from the threat or use of force against the territorial integrity or political independence of any state, or in any other manner inconsistent with the Purposes of the United Nations.
- 5. All Members shall give the United Nations every assistance in any action it takes in accordance with the present Charter, and shall refrain from giving assistance to any state against which the United Nations is taking preventive or enforcement action.
- 6. The Organization shall ensure that states which are not Members of the United Nations act in accordance with these Principles so far as may be necessary for the maintenance of international peace and security.
- 7. Nothing contained in the present Charter shall authorize the United Nations to intervene in matters which are essentially within the domestic jurisdiction of any state

or shall require the Members to submit such matters to settlement under the present Charter; but this principle shall not prejudice the application of enforcement measures under Chapter VII.

#### Membership

#### Article 3

The original Members of the United Nations shall be the states which, having participated in the United Nations Conference on International Organization at San Francisco, or having previously signed the Declaration by United Nations of January 1, 1942, sign the present Charter and ratify it in accordance with Article 110.

#### Article 4

- Membership in the United Nations is open to all other peace-loving states which accept the obligations contained in the present Charter and, in the judgment of the Organization, are able and willing to carry out these obligations.
- 2. The admission of any such state to membership in the United Nations will be effected by a decision of the General Assembly upon the recommendation of the Security Council.

#### Article 5

A member of the United Nations against which preventive or enforcement action has been taken by the Security Council may be suspended from the exercise of the rights and privileges of membership by the General Assembly upon the recommendation of the Security Council. The exercise of these rights and privileges may be restored by the Security Council.

#### Article 6

A Member of the United Nations which has persistently violated the Principles contained in the present Charter may be expelled from the Organization by the General Assembly upon the recommendation of the Security Council.

#### Organs

#### Article 7

- There are established as the principal organs of the United Nations: a General Assembly, a Security Council, an Economic and Social Council, a Trusteeship Council, an International Court of Justice, and a Secretariat.
- 2. Such subsidiary organs as may be found necessary may be established in accordance with the present Charter.

#### Article 8

The United Nations shall place no restrictions on the eligibility of men and women to participate in any capacity and under conditions of equality in its principal and subsidiary organs.

#### The General Assembly

#### Composition

#### Article 9

- 1. The General Assembly shall consist of all the Members of the United Nations.
- 2. Each member shall have not more than five representatives in the General Assembly.

#### Functions and Powers

#### Article 10

The General Assembly may discuss any questions or any matters within the scope of the present Charter or relating to the powers and functions of any organs provided for in the present Charter, and, except as provided in Article 12, may make recommendations to the Members of the United Nations or to the Security Council or to both on any such questions or matters.

#### Article 11

 The General Assembly may consider the general principles of cooperation in the maintenance of

international peace and security, including the principles governing disarmament and the regulation of armaments, and may make recommendations with regard to such principles to the Members or to the Security Council or to both.

- 2. The General Assembly may discuss any questions relating to the maintenance of international peace and security brought before it by any Member of the United Nations, or by the Security Council, or by a state which is not a Member of the United Nations in accordance with Article 35, paragraph 2, and, except as provided in Article 12, may make recommendations with regard to any such questions to the state or states concerned or to the Security Council or to both. Any such question on which action is necessary shall be referred to the Security Council by the General Assembly either before or after discussion.
- 3. The General Assembly may call the attention of the Security Council to situations which are likely to endanger international peace and security.
- 4. The powers of the General Assembly set forth in this Article shall not limit the general scope of Article 10.

#### Article 12

- While the Security Council is exercising in respect of any dispute or situation the functions assigned to it in the present Charter, the General Assembly shall not make any recommendation with regard to that dispute or situation unless the Security Council so requests.
- 2. The Secretary-General, with the consent of the Security Council, shall notify the General Assembly at each session of any matters relative to the maintenance of international peace and security which are being dealt with by the Security Council and shall similarly notify the General Assembly, or the Members of the United Nations if the General Assembly is not in session, immediately the Security Council ceases to deal with such matters.

#### Article 13

- 1. The General Assembly shall initiate studies and make recommendations for the purpose of:
  - a. Promoting international cooperation in the political field and encouraging the progressive development of international law and its codification;
  - b. Promoting international cooperation in the economic, social, cultural, educational, and health fields, and assisting in the realization of human rights and fundamental freedoms for all without distinction as to race, sex, language, or religion.
- The further responsibilities, functions and powers of the General Assembly with respect to matters mentioned in paragraph 1(b) above are set forth in Chapters IX and X.

#### Article 14

Subject to the provisions of Article 12, the General Assembly may recommend measures for the peaceful adjustment of any situation, regardless of origin, which it deems likely to impair the general welfare or friendly relations among nations, including situations resulting from a violation of the provisions of the present Charter setting forth the Purposes and Principles of the United Nations.

#### Article 15

- The General Assembly shall receive and consider annual and special reports from the Security Council; these reports shall include an account of the measures that the Security Council has decided upon or taken to maintain international peace and security.
- 2. The General Assembly shall receive and consider reports from the other organs of the United Nations.

#### Article 16

The General Assembly shall perform such functions with respect to the international trusteeship system as are assigned

to it under Chapters XII and XIII, including the approval of the trusteeship agreements for areas not designated as strategic.

#### Article 17

- 1. The General Assembly shall consider and approve the budget of the Organization.
- 2. The expenses of the Organization shall be borne by the Members as apportioned by the General Assembly.
- 3. The General Assembly shall consider and approve any financial and budgetary arrangements with specialized agencies referred to in Article 57 and shall examine the administrative budgets of such specialized agencies with a view to making recommendations to the agencies concerned.

#### Voting

#### Article 18

- Each member of the General Assembly shall have one vote
- 2. Decisions of the General Assembly on important questions shall be made by a two-thirds majority of the members present and voting. These questions shall include: recommendations with respect to the maintenance of international peace and security, the election of the non-permanent members of the Security Council, the election of the members of the Economic and Social Council, the election of members of the Trusteeship Council in accordance with paragraph 1(c) of Article 86, the admission of new Members to the United Nations, the suspension of the rights and privileges of membership, the expulsion of Members, questions relating to the operation of the trusteeship system, and budgetary questions.
- 3. Decisions on other questions, Composition including the determination of additional categories of questions to be decided by a two-thirds majority, shall be made by a majority of the members present and voting.

#### Article 19

A Member of the United Nations which is in arrears in the payment of its financial contributions to the Organization shall have no vote in the General Assembly if the amount of its arrears equals or exceeds the amount of the contributions due from it for the preceding two full years. The General Assembly may, nevertheless, permit such a Member to vote if it is satisfied that the failure to pay is due to conditions beyond the control of the Member.

#### **Procedure**

#### Article 20

The General Assembly shall meet in regular annual sessions and in such special sessions as occasion may require. Special sessions shall be convoked by the Secretary-General at the request of the Security Council or of a majority of the Members of the United Nations.

#### Article 21

The General Assembly shall adopt its own rules of procedure. It shall elect its President for each session.

#### Article 22

The General Assembly may establish such subsidiary organs as it deems necessary for the performance of its functions.

#### The Security Council

#### Article 23

1. The Security Council shall consist of fifteen Members of the United Nations. The Republic of China, France, the Union of Soviet Socialist Republics, the United Kingdom of Great Britain and Northern Ireland, and the United States of America shall be permanent members of the Security Council. The General Assembly shall elect ten other Members of the United Nations to be non-permanent members of the Security Council, due regard being specially paid, in the first instance to the contribution of Members of the United Nations to

the maintenance of international peace and security and to the other purposes of the Organization, and also to equitable geographical distribution.

The non-permanent members of the Security Council shall be elected for a term of two years. In the first election of the non-permanent members after the increase of the membership of the Security Council from eleven to fifteen, two of the four additional members shall be chosen for a term of one year. A retiring member shall not be eligible for immediate re-election.

Each member of the Security Council shall have one representative.

#### Functions and Powers

#### Article 24

- In order to ensure prompt and effective action by the United Nations, its Members confer on the Security Council primary responsibility for the maintenance of international peace and security, and agree that in carrying out its duties under this responsibility the Security Council acts on their behalf.
- In discharging these duties the Security Council shall act in accordance with the Purposes and Principles of the United Nations. The specific powers granted to the Security Council for the discharge of these duties are laid down in Chapters VI, VII, VIII, and XII.
- 3. The Security Council shall submit annual and, when necessary, special reports to the General Assembly for its consideration

#### Article 25

The Members of the United Nations agree to accept and carry out the decisions of the Security Council in accordance with the present Charter.

#### Article 26

In order to promote the establishment and maintenance of international peace and security with the least diversion for

armaments of the world's human and economic resources, the Security Council shall be responsible for formulating, with the assistance of the Military Staff Committee referred to in Article 47, plans to be submitted to the Members of the United Nations for the establishment of a system for the regulation of armaments.

#### Voting

#### Article 27

- Each member of the Security Council shall have one vote.
- 2. Decisions of the Security Council on procedural matters shall be made by an affirmative vote of nine members.
- 3. Decisions of the Security Council on all other matters shall be made by an affirmative vote of nine members including the concurring votes of the permanent members; provided that, in decisions under Chapter VI, and under paragraph 3 of Article 52, a party to a dispute shall abstain from voting.

#### **Procedure**

#### Article 28

- 1. The Security Council shall be so organized as to be able to function continuously. Each member of the Security Council shall for this purpose be represented at all times at the seat of the Organization.
- 2. The Security Council shall hold periodic meetings at which each of its members may, if it so desires, be represented by a member of the government or by some other specially designated representative.
- 3. The Security Council may hold meetings at such places other than the seat of the Organization as in its judgment will best facilitate its work.

#### Article 29

The Security Council may establish such subsidiary organs as it deems necessary for the performance of its functions.

#### Article 30

The Security Council shall adopt its own rules of procedure, including the method of selecting its President.

#### Article 31

Any Member of the United Nations which is not a member of the Security Council may participate, without vote, in the discussion of any question brought before the Security Council whenever the latter considers that the interests of that Member are specially affected.

#### Article 32

Any Member of the United Nations which is not a member of the Security Council or any state which is not a Member of the United Nations, if it is a party to a dispute under consideration by the Security Council, shall be invited to participate, without vote, in the discussion relating to the dispute. The Security Council shall lay down such conditions as it deems just for the participation of a state which is not a Member of the United Nations.

#### Pacific Settlement of Disputes

#### Article 33

- The parties to any dispute, the continuance of which is likely to endanger the maintenance of international peace and security, shall, first of all, seek a solution by negotiation, enquiry, mediation, conciliation, arbitration, judicial settlement, resort to regional agencies or arrangements, or other peaceful means of their own choice.
- The Security Council shall, when it deems necessary, call upon the parties to settle their dispute by such means.

#### Article 34

The Security Council may investigate any dispute, or any situation which might lead to international friction or give rise to a dispute, in order to determine whether the continuance

of the dispute or situation is likely to endanger the maintenance of international peace and security.

#### Article 35

- Any Member of the United Nations may bring any dispute, or any situation of the nature referred to in Article 34, to the attention of the Security Council or of the General Assembly.
- 2. A state which is not a Member of the United Nations may bring to the attention of the Security Council or of the General Assembly any dispute to which it is a party if it accepts in advance, for the purposes of the dispute, the obligations of pacific settlement provided in the present Charter.
- 3. The proceedings of the General Assembly in respect of matters brought to its attention under this Article will be subject to the provisions of Articles 11 and 12.

#### Article 36

- The Security Council may, at any stage of a dispute of the nature referred to in Article 33 or of a situation of like nature, recommend appropriate procedures or methods of adjustment.
- 2. The Security Council should take into consideration any procedures for the settlement of the dispute which have already been adopted by the parties.
- 3. In making recommendations under this Article the Security Council should also take into consideration that legal disputes should as a general rule be referred by the parties to the International Court of Justice in accordance with the provisions of the Statute of the Court.

#### Article 37

1. Should the parties to a dispute of the nature referred to in Article 33 fail to settle it by the means indicated in that Article, they shall refer it to the Security Council.

2. If the Security Council deems that the continuance of the dispute is in fact likely to endanger the maintenance of international peace and security, it shall decide whether to take action under Article 36 or to recommend such terms of settlement as it may consider appropriate.

#### Article 38

Without prejudice to the provisions of Articles 33 to 37, the Security Council may, if all the parties to any dispute so request, make recommendations to the parties with a view to a pacific settlement of the dispute.

#### Action with Respect to Threats to the Peace, Breaches of the Peace, and Acts of Aggression

#### Article 39

The Security Council shall determine the existence of any threat to the peace, breach of the peace, or act of aggression and shall make recommendations, or decide what measures shall be taken in accordance with Articles 41 and 42, to maintain or restore international peace and security.

#### Article 40

In order to prevent an aggravation of the situation, the Security Council may, before making the recommendations or deciding upon the measures provided for in Article 39, call upon the parties concerned to comply with such provisional measures as it deems necessary or desirable. Such provisional measures shall be without prejudice to the rights, claims, or position of the parties concerned. The Security Council shall duly take account of failure to comply with such provisional measures.

#### Article 41

The Security Council may decide what measures not involving the use of armed force are to be employed to give effect to its decisions, and it may call upon the Members of the United Nations to apply such measures. These may include complete or partial interruption of economic relations and of

rail, sea, air, postal, telegraphic, radio, and other means of communication, and the severance of diplomatic relations.

### Article 42

Should the Security Council consider that measures provided for in Article 41 would be inadequate or have proved to be inadequate, it may take such action by air, sea, or land forces as may be necessary to maintain or restore international peace and security. Such action may include demonstrations, blockade, and other operations by air, sea, or land forces of Members of the United Nations.

### Article 43

- All Members of the United Nations, in order to contribute to the maintenance of international peace and security, undertake to make available to the Security Council, on its call and in accordance with a special agreement or agreements, armed forces, assistance, and facilities, including rights of passage, necessary for the purpose of maintaining international peace and security.
- 2. Such agreement or agreements shall govern the numbers and types of forces. Their degree of readiness and general location, and the nature of the facilities and assistance to be provided.
- 3. The agreement or agreements shall be negotiated as soon as possible on the initiative of the Security Council. They shall be concluded between the Security Council and Members or between the Security Council and groups of Members and shall be subject to ratification by the signatory states in accordance with their respective constitutional processes.

### Article 44

When the Security Council has decided to use force it shall, before calling upon a Member not represented on it to provide armed forces in fulfillment of the obligations assumed under Article 43, invite that Member, if the Member so desires, to

participate in the decisions of the Security Council concerning the employment of contingents of that Member's armed forces.

### Article 45

In order to enable the United Nations to take urgent military measures Members shall hold immediately available national air-force contingents for combined international enforcement action. The strength and degree of readiness of these contingents and plans for their combined action shall be determined, within the limits laid down in the special agreement or agreements referred to in Article 43, by the Security Council with the assistance of the Military Staff Committee.

### Article 46

Plans for the application of armed force shall be made by the Security Council with the assistance of the Military Staff Committee.

- There shall be established a Military Staff Committee to advise and assist the Security Council on all questions relating to the Security Council's military requirements for the maintenance of international peace and security, the employment and command of forces placed at its disposal, the regulation of armaments, and possible disarmament.
- 2. The Military Staff Committee shall consist of the Chiefs of Staff of the permanent members of the Security Council or their representatives. Any Member of the United Nations not permanently represented on the Committee shall be invited by the Committee to be associated with it when the efficient discharge of the Committee's responsibilities requires the participation of that Member in its work.
- The Military Staff Committee shall be responsible under the Security Council for the strategic direction of any armed forces placed at the disposal of the Security Council. Questions relating to the command of such forces shall be worked out subsequently.

4. The Military Staff Committee, with the authorization of the Security Council and after consultation with appropriate regional agencies, may establish regional subcommittees.

### Article 48

- The action required to carry out the decisions of the Security Council for the maintenance of international peace and security shall be taken by all the Members of the United Nations or by some of them, as the Security Council may determine.
- Such decisions shall be carried out by the Members of the United Nations directly and through their action in the appropriate international agencies of which they are members.

### Article 49

The Members of the United Nations shall join in affording mutual assistance in carrying out the measures decided upon by the Security Council.

### Article 50

If preventive or enforcement measures against any state are taken by the Security Council, any other state, whether a Member of the United Nations or not, which finds itself confronted with special economic problems arising from the carrying out of those measures shall have the right to consult the Security Council with regard to a solution of those problems.

### Article 51

Nothing in the present Charter shall impair the inherent right of individual or collective self-defense if an armed attack occurs against a Member of the United Nations, until the Security Council has taken measures necessary to maintain international peace and security. Measures taken by Members in the exercise of this right of self-defense shall be immediately reported to the Security Council and shall not in any way affect the authority and responsibility of the Security Council under the present Charter to take at any time such action as it deems

necessary in order to maintain or restore international peace and security.

# Regional Arrangements

### Article 52

- Nothing in the present Charter precludes the existence of regional arrangements or agencies for dealing with such matters relating to the maintenance of international peace and security as are appropriate for regional action, provided that such arrangements or agencies and their activities are consistent with the Purposes and Principles of the United Nations.
- The Members of the United Nations entering into such arrangements or constituting such agencies shall make every effort to achieve pacific settlement of local disputes through such regional arrangements or by such regional agencies before referring them to the Security Council.
- The Security Council shall encourage the development of pacific settlement of local disputes through such regional arrangements or by such regional agencies either on the initiative of the states concerned or by reference from the Security Council.
- 4. This Article in no way impairs the application of Articles 34 and 35.

# Article 53

1. The Security Council shall, where appropriate, utilize such regional arrangements or agencies for enforcement action under its authority. But no enforcement action shall be taken under regional arrangements or by regional agencies without the authorization of the Security Council, with the exception of measures against any enemy state, as defined in paragraph 2 of this Article, provided for pursuant to Article 107 or in regional arrangements directed against renewal of aggressive policy on the part of any such state, until such time as the Organization may, on request of the Governments concerned, be charged with the

- responsibility for preventing further aggression by such a state.
- The term enemy state as used in paragraph 1 of this Article applies to any state which during the Second World War has been an enemy of any signatory of the present Charter.

### Article 54

The Security Council shall at all times be kept fully informed of activities undertaken or in contemplation under regional arrangements or by regional agencies for the maintenance of international peace and security.

# International Economic and Social Cooperation

### Article 55

With a view to the creation of conditions of stability and well-being which are necessary for peaceful and friendly relations among nations based on respect for the principle of equal rights and self-determination of peoples, the United Nations shall promote:

- a. higher standards of living, full employment, and conditions of economic and social progress and development;
- b. solutions of international economic, social, health, and related problems; and international cultural and educational co-operation;
- c. universal respect for, and observance of, human rights and fundamental freedoms for all without distinction as to race, sex, language, or religion.

### Article 56

All Members pledge themselves to take joint and separate action in cooperation with the Organization for the achievement of the purposes set forth in Article 55.

### Article 57

1. The various specialized agencies, established by intergovernmental agreement and having wide

international responsibilities, as defined in their basic instruments, in economic, social, cultural, educational, health, and related fields, shall be brought into relationship with the United Nations in accordance with the provisions of Article 63.

2. Such agencies thus brought into relationship with the United Nations are hereinafter referred to as specialized agencies.

### Article 58

The Organization shall make recommendations for the coordination of the policies and activities of the specialized agencies.

### Article 59

The Organization shall, where appropriate, initiate negotiations among the states concerned for the creation of any new specialized agencies required for the accomplishment of the purposes set forth in Article 55.

### Article 60

Responsibility for the discharge of the functions of the Organization set forth in this Chapter shall be vested in the General Assembly and, under the authority of the General Assembly, in the Economic and Social Council, which shall have for this purpose the powers set forth in Chapter X.

### The Economic and Social Council

### Composition

- The Economic and Social Council shall consist of fiftyfour Members of the United Nations elected by the General Assembly.
- 2. Subject to the provisions of paragraph 3, eighteen members of the Economic and Social Council shall be elected each year for a term of three years. A retiring member shall be eligible for immediate re-election.

- 3. At the first election after the increase in the membership of the Economic and Social Council from twenty-seven to fifty-four members, in addition to the members elected in place of the nine members whose term of office expires at the end of that year, twenty-seven additional members shall be elected. Of these twenty-seven additional members, the term of office of nine members so elected shall expire at the end of one year, and of nine other members at the end of two years, in accordance with arrangements made by the General Assembly.
- 4. Each member of the Economic and Social Council shall have one representative.

### Functions and Powers

### Article 62

- 1. The Economic and Social Council may make or initiate studies and reports with respect to international economic, social, cultural, educational, health, and related matters and may make recommendations with respect to any such matters to the General Assembly, to the Members of the United Nations, and to the specialized agencies concerned.
- 2. It may make recommendations for the purpose of promoting respect for, and observance of, human rights and fundamental freedoms for all.
- 3. It may prepare draft conventions for submission to the General Assembly, with respect to matters falling within its competence.
- 4. It may call, in accordance with the rules prescribed by the United Nations, international conferences on matters falling within its competence.

### Article 63

1. The Economic and Social Council may enter into agreements with any of the agencies referred to in Article 57, defining the terms on which the agency

- concerned shall be brought into relationship with the United Nations. Such agreements shall be subject to approval by the General Assembly.
- 2. It may coordinate the activities of the specialized agencies through consultation with and recommendations to such agencies and through recommendations to the General Assembly and to the Members of the United Nations.

### Article 64

- 1. The Economic and Social Council may take appropriate steps to obtain regular reports from the specialized agencies. It may make arrangements with the Members of the United Nations and with the specialized agencies to obtain reports on the steps taken to give effect to its own recommendations and to recommendations on matters falling within its competence made by the General Assembly.
- 2. It may communicate its observations on these reports to the General Assembly.

### Article 65

The Economic and Social Council may furnish information to the Security Council and shall assist the Security Council upon its request.

- The Economic and Social Council shall perform such functions as fall within its competence in connection with the carrying out of the recommendations of the General Assembly.
- 2. It may, with the approval of the General Assembly, perform services at the request of Members of the United Nations and at the request of specialized agencies.
- 3. It shall perform such other functions as are specified elsewhere in the present Charter or as may be assigned to it by the General Assembly.

### Article 67

- Each member of the Economic and Social Council shall have one vote.
- 2. Decisions of the Economic and Social Council shall be made by a majority of the members present and voting.

#### **Procedure**

#### Article 68

The Economic and Social Council shall set up commissions in economic and social fields and for the promotion of human rights, and such other commissions as may be required for the performance of its functions.

### Article 69

The Economic and Social Council shall invite any Member of the United Nations to participate, without vote, in its deliberations on any matter of particular concern to that Member.

### Article 70

The Economic and Social Council may make arrangements for representatives of the specialized agencies to participate, without vote, in its deliberations and in those of the commissions established by it, and for its representatives to participate in the deliberations of the specialized agencies.

### Article 71

The Economic and Social Council may make suitable arrangements for consultation with non-governmental organizations which are concerned with matters within its competence. Such arrangements may be made with international organizations and, where appropriate, with national organizations after consultation with the Member of the United Nations concerned.

### Article 72

 The Economic and Social Council shall adopt its own rules of procedure, including the method of selecting its President.

2. The Economic and Social Council shall meet as required in accordance with its rules, which shall include provision for the convening of meetings on the request of a majority of its members.

# Declaration Regarding Non-self-governing Territories Article 73

Members of the United Nations which have or assume responsibilities for the administration of territories whose peoples have not yet attained a full measure of self-government recognize the principle that the interests of the inhabitants of these territories are paramount, and accept as a sacred trust the obligation to promote to the utmost, within the system of international peace and security established by the present Charter, the well-being of the inhabitants of these territories, and, to this end:

- a. to ensure, with due respect for the culture of the peoples concerned, their political, economic, social, and educational advancement, their just treatment, and their protection against abuses;
- to develop self-government, to take due account of the political aspirations of the peoples, and to assist them in the progressive development of their free political institutions, according to the particular circumstances of each territory and its peoples and their varying stages of advancement;
- c. to further international peace and security;
- d. to promote constructive measures of development, to encourage research, and to cooperate with one another and, when and where appropriate, with specialized international bodies with a view to the practical achievement of the social, economic, and scientific purposes set forth in this Article;
- e. to transmit regularly to the Secretary-General for information purposes, subject to such limitation as security and constitutional considerations may require, statistical and other information of a technical nature

relating to economic, social, and educational conditions in the territories for which they are respectively responsible other than those territories to which Chapter XII and XIII apply.

### Article 74

Members of the United Nations also agree that their policy in respect of the territories to which this Chapter applies, no less than in respect of their metropolitan areas, must be based on the general principle of good-neighborliness, due account being taken of the interests and well-being of the rest of the world, in social, economic, and commercial matters.

# International Trusteeship System

### Article 75

The United Nations shall establish under its authority an international trusteeship system for the administration and supervision of such territories as may be placed thereunder by subsequent individual agreements. These territories are hereinafter referred to as trust territories.

### Article 76

The basic objectives of the trusteeship system, in accordance with the Purposes of the United Nations laid down in Article 1 of the present Charter, shall be:

- a. to further international peace and security;
- b. to promote the political, economic, social, and educational advancement of the inhabitants of the trust territories, and their progressive development towards self-government or independence as may be appropriate to the particular circumstances of each territory and its peoples and the freely expressed wishes of the peoples concerned, and as may be provided by the terms of each trusteeship agreement;
- c. to encourage respect for human rights and for fundamental freedoms for all without distinction as to race, sex, language, or religion, and to encourage

- recognition of the interdependence of the peoples of the world;
- d. to ensure equal treatment in social, economic, and commercial matters for all Members of the United Nations and their nationals and also equal treatment for the latter in the administration of justice without prejudice to the attainment of the foregoing objectives and subject to the provisions of Article 80.

### Article 77

- 1. The trusteeship system shall apply to such territories in the following categories as may be placed thereunder by means of trusteeship agreements:
  - a. territories now held under mandate;
  - b. territories which may be detached from enemy states as a result of the Second World War,
  - c. territories voluntarily placed under the system by states responsible for their administration.
- It will be a matter for subsequent agreement as to which territories in the foregoing categories will be brought under the trusteeship system and upon what terms.

### Article 78

The trusteeship system shall not apply to territories which have become Members of the United Nations, relationship among which shall be based on respect for the principle of sovereign equality.

### Article 79

The terms of trusteeship for each territory to be placed under the trusteeship system, including any alteration or amendment, shall be agreed upon by the states directly concerned, including the mandatory power in the case of territories held under mandate by a Member of the United Nations, and shall be approved as provided for in Articles 83 and 85.

### Article 80

- 1. Except as may be agreed upon in individual trusteeship agreements, made under Articles 77, 79, and 81, placing each territory under the trusteeship system, and until such agreements have been concluded, nothing in this Chapter shall be construed in or of itself to alter in any manner the rights whatsoever of any states or any peoples or the terms of existing international instruments to which Members of the United Nations may respectively be parties.
- Paragraph 1 of this Article shall not be interpreted as giving grounds for delay or postponement of the negotiation and conclusion of agreements for placing mandated and other territories under the trusteeship system as provided for in Article 77.

### Article 81

The trusteeship agreement shall in each case include the terms under which the trust territory will be administered and designate the authority which will exercise the administration of the trust territory. Such authority, hereinafter called the administering authority, may be one or more states or the Organization itself.

### Article 82

There may be designated, in any trusteeship agreement, a strategic area or areas which may include part or all of the trust territory to which the agreement applies, without prejudice to any special agreement or agreements made under Article 43.

- All functions of the United Nations relating to strategic areas, including the approval of the terms of the trusteeship agreements and of their alteration or amendment, shall be exercised by the Security Council.
- 2. The basic objectives set forth in Article 76 shall be applicable to the people of each strategic area.

3. The Security Council shall, subject to the provisions of the trusteeship agreements and without prejudice to security considerations, avail itself of the assistance of the Trusteeship Council to perform those functions of the United Nations under the trusteeship system relating to political, economic, social, and educational matters in the strategic areas.

### Article 84

It shall be the duty of the administering authority to ensure that the trust territory shall play its part in the maintenance of international peace and security. To this end the administering authority may make use of volunteer forces, facilities, and assistance from the trust territory in carrying out the obligations towards the Security Council undertaken in this regard by the administering authority, as well as for local defense and the maintenance of law and order within the trust territory.

### Article 85

- The functions of the United Nations with regard to trusteeship agreements for all areas not designated as strategic, including the approval of the terms of the trusteeship agreements and of their alteration or amendment, shall be exercised by the General Assembly.
- 2. The Trusteeship Council, operating under the authority of the General Assembly, shall assist the General Assembly in carrying out these functions.

# The Trusteeship Council

# Composition

- 1. The Trusteeship Council shall consist of the following Members of the United Nations:
  - a. those Members administering trust territories;
  - b. such of those Members mentioned by name in Article23 as are not administering trust territories;

- c. as many other Members elected for three-year terms by the General Assembly as may be necessary to ensure that the total number of members of the Trusteeship Council is equally divided between those Members of the United Nations which administer trust territories and those which do not.
- 2. Each member of the Trusteeship Council shall designate one specially qualified person to represent it therein.

### Functions and Powers

### Article 87

The General Assembly and, under its authority, the Trusteeship Council, in carrying out their functions, may:

- a. consider reports submitted by the administering authority;
- b. accept petitions and examine them in consultation with the administering authority;
- c. provide for periodic visits to the respective trust territories at times agreed upon with the administering authority;
- d. take these and other actions in conformity with the terms of the trusteeship agreements.

### Article 88

The Trusteeship Council shall formulate a questionnaire on the political, economic, social, and educational advancement of the inhabitants of each trust territory, and the administering authority for each trust territory within the competence of the General Assembly shall make an annual report to the General Assembly upon the basis of such questionnaire.

# Voting

- 1. Each member of the Trusteeship Council shall have one vote.
- 2. Decisions of the Trusteeship Council shall be made by a majority of the members present and voting.

### **Procedure**

### Article 90

- The Trusteeship Council shall adopt its own rules of procedure, including the method of selecting its President.
- The Trusteeship Council shall meet as required in accordance with its rules, which shall include provision for the convening of meetings on the request of a majority of its members.

# Article 91

The Trusteeship Council shall, when appropriate, avail itself of the assistance of the Economic and Social Council and of the specialized agencies in regard to matters with which they are respectively concerned.

### The International Court of Justice

### Article 92

The International Court of Justice shall be the principal judicial organ of the United Nations. It shall function in accordance with the annexed Statute which is based upon the Statute of the Permanent Court of International Justice and forms an integral part of the present Charter.

### Article 93

- All Members of the United Nations are ipso facto parties to the Statute of the International Court of Justice.
- A state which is not a Member of the United Nations may become a party to the Statute of the International Court of Justice on conditions to be determined in each case by the General Assembly upon the recommendation of the Security Council.

### Article 94

1. Each Member of the United Nations undertakes to comply with the decision of the International Court of Justice in any case to which it is a party.

2. If any party to a case fails to perform the obligations incumbent upon it under a judgment rendered by the Court, the other party may have recourse to the Security Council, which may, if it deems necessary, make recommendations or decide upon measures to be taken to give effect to the judgment.

### Article 95

Nothing in the present Charter shall prevent Members of the United Nations from entrusting the solution of their differences to other tribunals by virtue of agreements already in existence or which may be concluded in the future.

### Article 96

- 1. The General Assembly or the Security Council may request the International Court of Justice to give an advisory opinion on any legal question.
- Other organs of the United Nations and specialized agencies, which may at any time be so authorized by the General Assembly, may also request advisory opinions of the Court on legal questions arising within the scope of their activities.

### The Secretariat

### Article 97

The Secretariat shall comprise a Secretary-General and such staff as the Organization may require. The Secretary-General shall be appointed by the General Assembly upon the recommendation of the Security Council. He shall be the chief administrative officer of the Organization.

### Article 98

The Secretary-General shall act in that capacity in all meetings of the General Assembly, of the Security Council, of the Economic and Social Council, and of the Trusteeship Council, and shall perform such other functions as are entrusted to him by these organs. The Secretary-General shall make an annual report to the General Assembly on the work of the Organization.

### Article 99

The Secretary-General may bring to the attention of the Security Council any matter which in his opinion may threaten the maintenance of international peace and security.

### Article 100

- 1. In the performance of their duties the Secretary-General and the staff shall not seek or receive instructions from any government or from any other authority external to the Organization. They shall refrain from any action which might reflect on their position as international officials responsible only to the Organization.
- Each Member of the United Nations undertakes to respect the exclusively international character of the responsibilities of the Secretary-General and the staff and not to seek to influence them in the discharge of their responsibilities.

### Article 101

- 1. The staff shall be appointed by the Secretary-General under regulations established by the General Assembly.
- 2. Appropriate staffs shall be permanently assigned to the Economic and Social Council, the Trusteeship Council, and, as required, to other organs of the United Nations. These staffs shall form a part of the Secretariat.
- 3. The paramount consideration in the employment of the staff and in the determination of the conditions of service shall be the necessity of securing the highest standards of efficiency, competence, and integrity. Due regard shall be paid to the importance of recruiting the staff on as wide a geographical basis as possible.

### Miscellaneous Provisions

### Article 102

 Every treaty and every international agreement entered into by any Member of the United Nations after the present Charter comes into force shall as soon as possible be registered with the Secretariat and published by it.

 No party to any such treaty or international agreement which has not been registered in accordance with the provisions of paragraph I of this Article may invoke that treaty or agreement before any organ of the United Nations.

### Article 103

In the event of a conflict between the obligations of the Members of the United Nations under the present Charter and their obligations under any other international agreement, their obligations under the present Charter shall prevail.

### Article 104

The Organization shall enjoy in the territory of each of its Members such legal capacity as may be necessary for the exercise of its functions and the fulfillment of its purposes.

### Article 105

- 1. The Organization shall enjoy in the territory of each of its Members such privileges and immunities as are necessary for the fulfillment of its purposes.
- Representatives of the Members of the United Nations and officials of the Organization shall similarly enjoy such privileges and immunities as are necessary for the independent exercise of their functions in connection with the Organization.
- The General Assembly may make recommendations with a view to determining the details of the application of paragraphs 1 and 2 of this Article or may propose conventions to the Members of the United Nations for this purpose.

# Transitional Security Arrangements

### Article 106

Pending the coming into force of such special agreements referred to in Article 43 as in the opinion of the Security Council enable it to begin the exercise of its responsibilities under Article 42, the parties to the Four-Nation Declaration,

signed at Moscow October 30, 1943, and France, shall, in accordance with the provisions of paragraph 5 of that Declaration, consult with one another and as occasion requires with other Members of the United Nations with a view to such joint action on behalf of the Organization as may be necessary for the purpose of maintaining international peace and security.

### Article 107

Nothing in the present Charter shall invalidate or preclude action, in relation to any state which during the Second World War has been an enemy of any signatory to the present Charter, taken or authorized as a result of that war by the Governments having responsibility for such action.

### **Amendments**

### Article 108

Amendments to the present Charter shall come into force for all Members of the United Nations when they have been adopted by a vote of two thirds of the members of the General Assembly and ratified in accordance with their respective constitutional processes by two thirds of the Members of the United Nations, including all the permanent members of the Security Council.

- A General Conference of the Members of the United Nations for the purpose of reviewing the present Charter may be held at a date and place to be fixed by a twothirds vote of the members of the General Assembly and by a vote of any seven members of the Security Council. Each Member of the United Nations shall have one vote in the conference.
- Any alteration of the present Charter recommended by a two-thirds vote of the conference shall take effect when ratified in accordance with their respective constitutional processes by two thirds of the Members of the United Nations including all the permanent members of the Security Council.

3. If such a conference has not been held before the tenth annual session of the General Assembly following the coming into force of the present Charter, the proposal to call such a conference shall be placed on the agenda of that session of the General Assembly, and the conference shall be held if so decided by a majority vote of the members of the General Assembly and by a vote of any seven members of the Security Council.

# Ratification and Signature

### Article 110

- 1. The present Charter shall be ratified by the signatory states in accordance with their respective constitutional processes.
- The ratifications shall be deposited with the Government of the United States of America, which shall notify all the signatory states of each deposit as well as the Secretary-General of the Organization when he has been appointed.
- 3. The present Charter shall come into force upon the deposit of ratifications by the Republic of China, France, the Union of Soviet Socialist Republics, the United Kingdom of Great Britain and Northern Ireland, and the United States of America, and by a majority of the other signatory states. A protocol of the ratifications deposited shall thereupon be drawn up by the Government of the United States of America which shall communicate copies thereof to all the signatory states.
- 4. The states signatory to the present Charter which ratify it after it has come into force will become original Members of the United Nations on the date of the deposit of their respective ratifications.

### Article 111

The present Charter, of which the Chinese, French, Russian, English, and Spanish texts are equally authentic, shall remain deposited in the archives of the Government of the United

States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of the other signatory states.

IN FAITH WHEREOF the representatives of the Governments of the United Nations have signed the present Charter.

DONE at the city of San Francisco the twenty-sixth day of June, one thousand nine hundred and forty-five.

# Universal Declaration of Human Rights 1948

### United Nations

**Note**: Adopted and proclaimed by General Assembly resolution 217 A (III) of 10 December 1948.

On December 10, 1948 the General Assembly of the United Nations adopted and proclaimed the Universal Declaration of Human Rights the full text of which appears in the following pages. Following this historic act the Assembly called upon all Member countries to publicize the text of the Declaration and "to cause it to be disseminated, displayed, read and expounded principally in schools and other educational institutions, without distinction based on the political status of countries or territories.

### Preamble

Whereas recognition of the inherent dignity and of the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world,

Whereas disregard and contempt for human rights have resulted in barbarous acts which have outraged the conscience of mankind, and the advent of a world in which human beings shall enjoy freedom of speech and belief and freedom from fear and want has been proclaimed as the highest aspiration of the common people.

Whereas it is essential, if man is not to be compelled to have recourse, as a last resort, to rebellion against

tyranny and oppression, that human rights should be protected by the rule of law,

Whereas it is essential to promote the development of friendly relations between nations,

Whereas the peoples of the United Nations have in the Charter reaffirmed their faith in fundamental human rights, in the dignity and worth of the human person and in the equal rights of men and women and have determined to promote social progress and better standards of life in larger freedom,

Whereas Member States have pledged themselves to achieve, in co-operation with the United Nations, the promotion of universal respect for and observance of human rights and fundamental freedoms,

Whereas a common understanding of these rights and freedoms is of the greatest importance for the full realization of this pledge,

Now, Therefore THE GENERAL ASSEMBLY proclaims THIS UNIVERSAL DECLARATION OF HUMAN RIGHTS as a common standard of achievement for all peoples and all nations, to the end that every individual and every organ of society, keeping this Declaration constantly in mind, shall strive by teaching and education to promote respect for these rights and freedoms and by progressive measures, national and international, to secure their universal and effective recognition and observance, both among the peoples of Member States themselves and among the peoples of territories under their jurisdiction.

### Article 1

All human beings are born free and equal in dignity and rights. They are endowed with reason and conscience and should act towards one another in a spirit of brotherhood.

### Article 2

Everyone is entitled to all the rights and freedoms set forth in this Declaration, without distinction of any kind, such as race, colour, sex, language, religion, political or other opinion,

national or social origin, property, birth or other status. Furthermore, no distinction shall be made on the basis of the political, jurisdictional or international status of the country or territory to which a person belongs, whether it be independent, trust, non-self-governing or under any other limitation of sovereignty.

### Article 3

Everyone has the right to life, liberty and security of person.

### Article 4

No one shall be held in slavery or servitude; slavery and the slave trade shall be prohibited in all their forms.

### Article 5

No one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment.

### Article 6

Everyone has the right to recognition everywhere as a person before the law.

### Article 7

All are equal before the law and are entitled without any discrimination to equal protection of the law. All are entitled to equal protection against any discrimination in violation of this Declaration and against any incitement to such discrimination.

### Article 8

Everyone has the right to an effective remedy by the competent national tribunals for acts violating the fundamental rights granted him by the constitution or by law.

### Article 9

No one shall be subjected to arbitrary arrest, detention or exile.

### Article 10

Everyone is entitled in full equality to a fair and public

hearing by an independent and impartial tribunal, in the determination of his rights and obligations and of any criminal charge against him.

### Article 11

- 1. Everyone charged with a penal offence has the right to be presumed innocent until proved guilty according to law in a public trial at which he has had all the guarantees necessary for his defence.
- 2. No one shall be held guilty of any penal offence on account of any act or omission which did not constitute a penal offence, under national or international law, at the time when it was committed. Nor shall a heavier penalty be imposed than the one that was applicable at the time the penal offence was committed.

# Article 12

No one shall be subjected to arbitrary interference with his privacy, family, home or correspondence, nor to attacks upon his honour and reputation. Everyone has the right to the protection of the law against such interference or attacks.

### Article 13

- 1. Everyone has the right to freedom of movement and residence within the borders of each state.
- 2. Everyone has the right to leave any country, including his own, and to return to his country.

### Article 14

- 1. Everyone has the right to seek and to enjoy in other countries asylum from persecution.
- 2. This right may not be invoked in the case of prosecutions genuinely arising from non-political crimes or from acts contrary to the purposes and principles of the United Nations.

# Article 15

1. Everyone has the right to a nationality.

2. No one shall be arbitrarily deprived of his nationality nor denied the right to change his nationality.

### Article 16

- 1. Men and women of full age, without any limitation due to race, nationality or religion, have the right to marry and to found a family. They are entitled to equal rights as to marriage, during marriage and at its dissolution.
- 2. Marriage shall be entered into only with the free and full consent of the intending spouses.
- 3. The family is the natural and fundamental group unit of society and is entitled to protection by society and the State.

### Article 17

- Everyone has the right to own property alone as well as in association with others.
- 2. No one shall be arbitrarily deprived of his property.

### Article 18

Everyone has the right to freedom of thought, conscience and religion; this right includes freedom to change his religion or belief, and freedom, either alone or in community with others and in public or private, to manifest his religion or belief in teaching, practice, worship and observance.

### Article 19

Everyone has the right to freedom of opinion and expression; this right includes freedom to hold opinions without interference and to seek, receive and impart information and ideas through any media and regardless of frontiers.

### Article 20

- 1. Everyone has the right to freedom of peaceful assembly and association.
- 2. No one may be compelled to belong to an association.

### Article 21

1. Everyone has the right to take part in the government

- of his country, directly or through freely chosen representatives.
- 2. Everyone has the right of equal access to public service in his country.
- 3. The will of the people shall be the basis of the authority of government; this will shall be expressed in periodic and genuine elections which shall be by universal and equal suffrage and shall be held by secret vote or by equivalent free voting procedures.

# Article 22

Everyone, as a member of society, has the right to social security and is entitled to realization, through national effort and international co-operation and in accordance with the organization and resources of each State, of the economic, social and cultural rights indispensable for his dignity and the free development of his personality.

### Article 23

- 1. Everyone has the right to work, to free choice of employment, to just and favourable conditions of work and to protection against unemployment.
- 2. Everyone, without any discrimination, has the right to equal pay for equal work.
- 3. Everyone who works has the right to just and favourable remuneration ensuring for himself and his family an existence worthy of human dignity, and supplemented, if necessary, by other means of social protection.
- 4. Everyone has the right to form and to join trade unions for the protection of his interests.

### Article 24

Everyone has the right to rest and leisure, including reasonable limitation of working hours and periodic holidays with pay.

### Article 25

1. Everyone has the right to a standard of living adequate

for the health and well-being of himself and of his family, including food, clothing, housing and medical care and necessary social services, and the right to security in the event of unemployment, sickness, disability, widowhood, old age or other lack of livelihood in circumstances beyond his control.

2. Motherhood and childhood are entitled to special care and assistance. All children, whether born in or out of wedlock, shall enjoy the same social protection.

### Article 26

- Everyone has the right to education. Education shall be free, at least in the elementary and fundamental stages. Elementary education shall be compulsory. Technical and professional education shall be made generally available and higher education shall be equally accessible to all on the basis of merit.
- Education shall be directed to the full development of the human personality and to the strengthening of respect for human rights and fundamental freedoms. It shall promote understanding, tolerance and friendship among all nations, racial or religious groups, and shall further the activities of the United Nations for the maintenance of peace.
- 3. Parents have a prior right to choose the kind of education that shall be given to their children.

### Article 27

- 1. Everyone has the right freely to participate in the cultural life of the community, to enjoy the arts and to share in scientific advancement and its benefits.
- 2. Everyone has the right to the protection of the moral and material interests resulting from any scientific, literary or artistic production of which he is the author.

### Article 28

Everyone is entitled to a social and international order in which the rights and freedoms set forth in this Declaration can be fully realized.

### Article 29

- 1. Everyone has duties to the community in which alone the free and full development of his personality is possible.
- 2. In the exercise of his rights and freedoms, everyone shall be subject only to such limitations as are determined by law solely for the purpose of securing due recognition and respect for the rights and freedoms of others and of meeting the just requirements of morality, public order and the general welfare in a democratic society.
- 3. These rights and freedoms may in no case be exercised contrary to the purposes and principles of the United Nations.

### Article 30

Nothing in this Declaration may be interpreted as implying for any State, group or person any right to engage in any activity or to perform any act aimed at the destruction of any of the rights and freedoms set forth herein.

# International Covenant on Economic, Social and Cultural Rights 1966

Adopted and opened for signature, ratification and accession by General Assembly resolution 2200A (XXI) of 16 December 1966 entry into force *3 January 1976, in accordance with article 27* status of ratifications declarations and reservations.

### Preamble

The States Parties to the present Covenant, Considering that, in accordance with the principles proclaimed in the Charter of the United Nations, recognition of the inherent dignity and of the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world:

Recognizing that these rights derive from the inherent dignity of the human person,

Recognizing that, in accordance with the Universal Declaration of Human Rights, the ideal of free human

beings enjoying freedom from fear and want can only be achieved if conditions are created whereby everyone may enjoy his economic, social and cultural rights, as well as his civil and political rights,

Considering the obligation of States under the Charter of the United Nations to promote universal respect for, and observance of, human rights and freedoms,

Realizing that the individual, having duties to other individuals and to the community to which he belongs, is under a responsibility to strive for the promotion and observance of the rights recognized in the present Covenant,

Agree upon the following articles:

### PART-I

### Article 1

- All peoples have the right of self-determination. By virtue of that right they freely determine their political status and freely pursue their economic, social and cultural development.
- 2. All peoples may, for their own ends, freely dispose of their natural wealth and resources without prejudice to any obligations arising out of international economic co-operation, based upon the principle of mutual benefit, and international law. In no case may a people be deprived of its own means of subsistence.
- 3. The States Parties to the present Covenant, including those having responsibility for the administration of Non-Self-Governing and Trust Territories, shall promote the realization of the right of self-determination, and shall respect that right, in conformity with the provisions of the Charter of the United Nations.

### PART-II

### Article 2

1. Each State Party to the present Covenant undertakes to take steps, individually and through international

assistance and co-operation, especially economic and technical, to the maximum of its available resources, with a view to achieving progressively the full realization of the rights recognized in the present Covenant by all appropriate means, including particularly the adoption of legislative measures.

# General Comment on its Implementation

- 2. The States Parties to the present Covenant undertake to guarantee that the rights enunciated in the present Covenant will be exercised without discrimination of any kind as to race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.
- Developing countries, with due regard to human rights and their national economy, may determine to what extent they would guarantee the economic rights recognized in the present Covenant to non-nationals.

### Article 3

The States Parties to the present Covenant undertake to ensure the equal right of men and women to the enjoyment of all economic, social and cultural rights set forth in the present Covenant.

### Article 4

The States Parties to the present Covenant recognize that, in the enjoyment of those rights provided by the State in conformity with the present Covenant, the State may subject such rights only to such limitations as are determined by law only in so far as this may be compatible with the nature of these rights and solely for the purpose of promoting the general welfare in a democratic society.

### Article 5

 Nothing in the present Covenant may be interpreted as implying for any State, group or person any right to engage in any activity or to perform any act aimed

- at the destruction of any of the rights or freedoms recognized herein, or at their limitation to a greater extent than is provided for in the present Covenant.
- 2. No restriction upon or derogation from any of the fundamental human rights recognized or existing in any country in virtue of law, conventions, regulations or custom shall be admitted on the pretext that the present Covenant does not recognize such rights or that it recognizes them to a lesser extent.

### PART-III

### Article 6

- The States Parties to the present Covenant recognize the right to work, which includes the right of everyone to the opportunity to gain his living by work which he freely chooses or accepts, and will take appropriate steps to safeguard this right.
- 2. The steps to be taken by a State Party to the present Covenant to achieve the full realization of this right shall include technical and vocational guidance and training programmes, policies and techniques to achieve steady economic, social and cultural development and full and productive employment under conditions safeguarding fundamental political and economic freedoms to the individual.

### Article 7

The States Parties to the present Covenant recognize the right of everyone to the enjoyment of just and favourable conditions of work which ensure, in particular:

- (a) Remuneration which provides all workers, as a minimum, with:
  - (i) Fair wages and equal remuneration for work of equal value without distinction of any kind, in particular women being guaranteed conditions of work not inferior to those enjoyed by men, with equal pay for equal work;

- (ii) A decent living for themselves and their families in accordance with the provisions of the present Covenant;
- (b) Safe and healthy working conditions;
- (c) Equal opportunity for everyone to be promoted in his employment to an appropriate higher level, subject to no considerations other than those of seniority and competence;
- (d) Rest, leisure and reasonable limitation of working hours and periodic holidays with pay, as well as remuneration for public holidays.

- The States Parties to the present Covenant undertake to ensure:
  - (a) The right of everyone to form trade unions and join the trade union of his choice, subject only to the rules of the organization concerned, for the promotion and protection of his economic and social interests. No restrictions may be placed on the exercise of this right other than those prescribed by law and which are necessary in a democratic society in the interests of national security or public order or for the protection of the rights and freedoms of others:
  - (b) The right of trade unions to establish national federations or confederations and the right of the latter to form or join international trade-union organizations;
  - (c) The right of trade unions to function freely subject to no limitations other than those prescribed by law and which are necessary in a democratic society in the interests of national security or public order or for the protection of the rights and freedoms of others:
  - (d) The right to strike, provided that it is exercised in conformity with the laws of the particular country.

- 2. This article shall not prevent the imposition of lawful restrictions on the exercise of these rights by members of the armed forces or of the police or of the administration of the State.
- 3. Nothing in this article shall authorize States Parties to the International Labour Organisation Convention of 1948 concerning Freedom of Association and Protection of the Right to Organize to take legislative measures which would prejudice, or apply the law in such a manner as would prejudice, the guarantees provided for in that Convention.

### Article 9

The States Parties to the present Covenant recognize the right of everyone to social security, including social insurance.

### Article 10

The States Parties to the present Covenant recognize that:

- The widest possible protection and assistance should be accorded to the family, which is the natural and fundamental group unit of society, particularly for its establishment and while it is responsible for the care and education of dependent children. Marriage must be entered into with the free consent of the intending spouses.
- Special protection should be accorded to mothers during a reasonable period before and after childbirth. During such period working mothers should be accorded paid leave or leave with adequate social security benefits.
- 3. Special measures of protection and assistance should be taken on behalf of all children and young persons without any discrimination for reasons of parentage or other conditions. Children and young persons should be protected from economic and social exploitation. Their employment in work harmful to their morals or health or dangerous to life or likely to hamper their normal development should be punishable by law. States should

also set age limits below which the paid employment of child labour should be prohibited and punishable by law.

# Article 11 General Comment on its Implementation

 The States Parties to the present Covenant recognize the right of everyone to an adequate standard of living for himself and his family, including adequate food, clothing and housing, and to the continuous improvement of living conditions. The States Parties will take appropriate steps to ensure the realization of this right, recognizing to this effect the essential importance of international co-operation based on free consent.

# General Comment on its Implementation

- 2. The States Parties to the present Covenant, recognizing the fundamental right of everyone to be free from hunger, shall take, individually and through international co-operation, the measures, including specific programmes, which are needed:
  - (a) To improve methods of production, conservation and distribution of food by making full use of technical and scientific knowledge, by disseminating knowledge of the principles of nutrition and by developing or reforming agrarian systems in such a way as to achieve the most efficient development and utilization of natural resources:
  - (b) Taking into account the problems of both foodimporting and food-exporting countries, to ensure an equitable distribution of world food supplies in relation to need.

### Article 12

1. The States Parties to the present Covenant recognize the right of everyone to the enjoyment of the highest attainable standard of physical and mental health.

- 2. The steps to be taken by the States Parties to the present Covenant to achieve the full realization of this right shall include those necessary for:
  - (a) The provision for the reduction of the stillbirth-rate and of infant mortality and for the healthy development of the child;
  - (b) The improvement of all aspects of environmental and industrial hygiene;
  - (c) The prevention, treatment and control of epidemic, endemic, occupational and other diseases;
  - (d) The creation of conditions which would assure to all medical service and medical attention in the event of sickness.

### Article 13

# General Comment on its Implementation

- 1. The States Parties to the present Covenant recognize the right of everyone to education. They agree that education shall be directed to the full development of the human personality and the sense of its dignity, and shall strengthen the respect for human rights and fundamental freedoms. They further agree that education shall enable all persons to participate effectively in a free society, promote understanding, tolerance and friendship among all nations and all racial, ethnic or religious groups, and further the activities of the United Nations for the maintenance of peace.
- 2. The States Parties to the present Covenant recognize that, with a view to achieving the full realization of this right:
  - (a) Primary education shall be compulsory and available free to all;
  - (b) Secondary education in its different forms, including technical and vocational secondary education, shall be made generally available and accessible to all by every appropriate means, and in particular by the progressive introduction of free education;

- (c) Higher education shall be made equally accessible to all, on the basis of capacity, by every appropriate means, and in particular by the progressive introduction of free education:
- (d) Fundamental education shall be encouraged or intensified as far as possible for those persons who have not received or completed the whole period of their primary education;
- (e) The development of a system of schools at all levels shall be actively pursued, an adequate fellowship system shall be established, and the material conditions of teaching staff shall be continuously improved.
- 3. The States Parties to the present Covenant undertake to have respect for the liberty of parents and, when applicable, legal guardians to choose for their children schools, other than those established by the public authorities, which conform to such minimum educational standards as may be laid down or approved by the State and to ensure the religious and moral education of their children in conformity with their own convictions.
- 4. No part of this article shall be construed so as to interfere with the liberty of individuals and bodies to establish and direct educational institutions, subject always to the observance of the principles set forth in paragraph I of this article and to the requirement that the education given in such institutions shall conform to such minimum standards as may be laid down by the State.

#### Article 14

# General Comment on its Implementation

Each State Party to the present Covenant which, at the time of becoming a Party, has not been able to secure in its metropolitan territory or other territories under its jurisdiction compulsory primary education, free of charge, undertakes, within two years, to work out and adopt a detailed plan of action for the progressive implementation, within a reasonable

number of years, to be fixed in the plan, of the principle of compulsory education free of charge for all.

#### Article 15

- 1. The States Parties to the present Covenant recognize the right of everyone:
  - (a) To take part in cultural life;
  - (b) To enjoy the benefits of scientific progress and its applications;
  - (c) To benefit from the protection of the moral and material interests resulting from any scientific, literary or artistic production of which he is the author.
- The steps to be taken by the States Parties to the present Covenant to achieve the full realization of this right shall include those necessary for the conservation, the development and the diffusion of science and culture.
- 3. The States Parties to the present Covenant undertake to respect the freedom indispensable for scientific research and creative activity.
- 4. The States Parties to the present Covenant recognize the benefits to be derived from the encouragement and development of international contacts and co-operation in the scientific and cultural fields.

#### PART-IV

- The States Parties to the present Covenant undertake to submit in conformity with this part of the Covenant reports on the measures which they have adopted and the progress made in achieving the observance of the rights recognized herein.
- 2. (a) All reports shall be submitted to the Secretary-General of the United Nations, who shall transmit copies to the Economic and Social Council for consideration in accordance with the provisions of the present Covenant;

(b) The Secretary-General of the United Nations shall also transmit to the specialized agencies copies of the reports, or any relevant parts therefrom, from States Parties to the present Covenant which are also members of these specialized agencies in so far as these reports, or parts therefrom, relate to any matters which fall within the responsibilities of the said agencies in accordance with their constitutional instruments.

#### Article 17

### General Comment on its Implementation

- The States Parties to the present Covenant shall furnish their reports in stages, in accordance with a programme to be established by the Economic and Social Council within one year of the entry into force of the present Covenant after consultation with the States Parties and the specialized agencies concerned.
- 2. Reports may indicate factors and difficulties affecting the degree of fulfilment of obligations under the present Covenant.
- 3. Where relevant information has previously been furnished to the United Nations or to any specialized agency by any State Party to the present Covenant, it will not be necessary to reproduce that information, but a precise reference to the information so furnished will suffice

#### Article 18

Pursuant to its responsibilities under the Charter of the United Nations in the field of human rights and fundamental freedoms, the Economic and Social Council may make arrangements with the specialized agencies in respect of their reporting to it on the progress made in achieving the observance of the provisions of the present Covenant falling within the scope of their activities. These reports may include particulars of decisions and recommendations on such implementation adopted by their competent organs.

The Economic and Social Council may transmit to the Commission on Human Rights for study and general recommendation or, as appropriate, for information the reports concerning human rights submitted by States in accordance with articles 16 and 17, and those concerning human rights submitted by the specialized agencies in accordance with article 18.

#### Article 20

The States Parties to the present Covenant and the specialized agencies concerned may submit comments to the Economic and Social Council on any general recommendation under article 19 or reference to such general recommendation in any report of the Commission on Human Rights or any documentation referred to therein.

#### Article 21

The Economic and Social Council may submit from time to time to the General Assembly reports with recommendations of a general nature and a summary of the information received from the States Parties to the present Covenant and the specialized agencies on the measures taken and the progress made in achieving general observance of the rights recognized in the present Covenant.

#### Article 22

# General Comment on its Implementation

The Economic and Social Council may bring to the attention of other organs of the United Nations, their subsidiary organs and specialized agencies concerned with furnishing technical assistance any matters arising out of the reports referred to in this part of the present Covenant which may assist such bodies in deciding, each within its field of competence, on the advisability of international measures likely to contribute to the effective progressive implementation of the present Covenant.

The States Parties to the present Covenant agree that international action for the achievement of the rights recognized in the present Covenant includes such methods as the conclusion of conventions, the adoption of recommendations, the furnishing of technical assistance and the holding of regional meetings and technical meetings for the purpose of consultation and study organized in conjunction with the Governments concerned.

#### Article 24

Nothing in the present Covenant shall be interpreted as impairing the provisions of the Charter of the United Nations and of the constitutions of the specialized agencies which define the respective responsibilities of the various organs of the United Nations and of the specialized agencies in regard to the matters dealt with in the present Covenant.

#### Article 25

Nothing in the present Covenant shall be interpreted as impairing the inherent right of all peoples to enjoy and utilize fully and freely their natural wealth and resources.

#### PART-V

- The present Covenant is open for signature by any State Member of the United Nations or member of any of its specialized agencies, by any State Party to the Statute of the International Court of Justice, and by any other State which has been invited by the General Assembly of the United Nations to become a party to the present Covenant.
- 2. The present Covenant is subject to ratification. Instruments of ratification shall be deposited with the Secretary-General of the United Nations.
- 3. The present Covenant shall be open to accession by any State referred to in paragraph 1 of this article.
- 4. Accession shall be effected by the deposit of an

- instrument of accession with the Secretary-General of the United Nations.
- 5. The Secretary-General of the United Nations shall inform all States which have signed the present Covenant or acceded to it of the deposit of each instrument of ratification or accession.

- The present Covenant shall enter into force three months after the date of the deposit with the Secretary-General of the United Nations of the thirty-fifth instrument of ratification or instrument of accession.
- 2. For each State ratifying the present Covenant or acceding to it after the deposit of the thirty-fifth instrument of ratification or instrument of accession, the present Covenant shall enter into force three months after the date of the deposit of its own instrument of ratification or instrument of accession.

#### Article 28

The provisions of the present Covenant shall extend to all parts of federal States without any limitations or exceptions.

#### Article 29

1. Any State Party to the present Covenant may propose an amendment and file it with the Secretary-General of the United Nations. The Secretary-General shall thereupon communicate any proposed amendments to the States Parties to the present Covenant with a request that they notify him whether they favour a conference of States Parties for the purpose of considering and voting upon the proposals. In the event that at least one third of the States Parties favours such a conference, the Secretary-General shall convene the conference under the auspices of the United Nations. Any amendment adopted by a majority of the States Parties present and voting at the conference shall be submitted to the General Assembly of the United Nations for approval.

- Amendments shall come into force when they have been approved by the General Assembly of the United Nations and accepted by a two-thirds majority of the States Parties to the present Covenant in accordance with their respective constitutional processes.
- When amendments come into force they shall be binding on those States Parties which have accepted them, other States Parties still being bound by the provisions of the present Covenant and any earlier amendment which they have accepted.

#### Article 30

Irrespective of the notifications made under article 26, paragraph 5, the Secretary-General of the United Nations shall inform all States referred to in paragraph I of the same article of the following particulars:

- (a) Signatures, ratifications and accessions under article 26:
- (b) The date of the entry into force of the present Covenant under article 27 and the date of the entry into force of any amendments under article 29.

#### Article 31

- The present Covenant, of which the Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited in the archives of the United Nations.
- 2. The Secretary-General of the United Nations shall transmit certified copies of the present Covenant to all States referred to in article 26.

# International Covenant on Civil and Political Rights

Adopted and opened for signature, ratification and accession by General Assembly resolution 2200A (XXI) of 16 December 1966 entry into force 23 March 1976, in accordance with Article 49.

#### Preamble

The States Parties to the present Covenant, Considering that, in accordance with the principles proclaimed in the Charter

of the United Nations, recognition of the inherent dignity and of the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world.

Recognizing that these rights derive from the inherent dignity of the human person,

Recognizing that, in accordance with the Universal Declaration of Human Rights, the ideal of free human beings enjoying civil and political freedom and freedom from fear and want can only be achieved if conditions are created whereby everyone may enjoy his civil and political rights, as well as his economic, social and cultural rights,

Considering the obligation of States under the Charter of the United Nations to promote universal respect for, and observance of, human rights and freedoms,

Realizing that the individual, having duties to other individuals and to the community to which he belongs, is under a responsibility to strive for the promotion and observance of the rights recognized in the present Covenant,

Agree upon the following articles:

#### PART-I

- 1. All peoples have the right of self-determination. By virtue of that right they freely determine their political status and freely pursue their economic, social and cultural development.
- 2. All peoples may, for their own ends, freely dispose of their natural wealth and resources without prejudice to any obligations arising out of international economic co-operation, based upon the principle of mutual benefit, and international law. In no case may a people be deprived of its own means of subsistence.
- 3. The States Parties to the present Covenant, including those having responsibility for the administration of Non-Self-Governing and Trust Territories, shall promote

the realization of the right of self-determination, and shall respect that right, in conformity with the provisions of the Charter of the United Nations.

#### PART-II

#### Article 2

- 1. Each State Party to the present Covenant undertakes to respect and to ensure to all individuals within its territory and subject to its jurisdiction the rights recognized in the present Covenant, without distinction of any kind, such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.
- 2. Where not already provided for by existing legislative or other measures, each State Party to the present Covenant undertakes to take the necessary steps, in accordance with its constitutional processes and with the provisions of the present Covenant, to adopt such laws or other measures as may be necessary to give effect to the rights recognized in the present Covenant.
- 3. Each State Party to the present Covenant undertakes:
  - (a) To ensure that any person whose rights or freedoms as herein recognized are violated shall have an effective remedy, notwithstanding that the violation has been committed by persons acting in an official capacity;
  - (b) To ensure that any person claiming such a remedy shall have his right thereto determined by competent judicial, administrative or legislative authorities, or by any other competent authority provided for by the legal system of the State, and to develop the possibilities of judicial remedy;
  - (c) To ensure that the competent authorities shall enforce such remedies when granted.

#### Article 3

The States Parties to the present Covenant undertake to

ensure the equal right of men and women to the enjoyment of all civil and political rights set forth in the present Covenant.

#### Article $4\square$

- 1. In time of public emergency which threatens the life of the nation and the existence of which is officially proclaimed, the States Parties to the present Covenant may take measures derogating from their obligations under the present Covenant to the extent strictly required by the exigencies of the situation, provided that such measures are not inconsistent with their other obligations under international law and do not involve discrimination solely on the ground of race, colour, sex, language, religion or social origin.
- 2. No derogation from articles 6, 7, 8 (paragraphs I and 2), 11, 15, 16 and 18 may be made under this provision.
- 3. Any State Party to the present Covenant availing itself of the right of derogation shall immediately inform the other States Parties to the present Covenant, through the intermediary of the Secretary-General of the United Nations, of the provisions from which it has derogated and of the reasons by which it was actuated. A further communication shall be made, through the same intermediary, on the date on which it terminates such derogation.

- Nothing in the present Covenant may be interpreted as implying for any State, group or person any right to engage in any activity or perform any act aimed at the destruction of any of the rights and freedoms recognized herein or at their limitation to a greater extent than is provided for in the present Covenant.
- There shall be no restriction upon or derogation from any of the fundamental human rights recognized or existing in any State Party to the present Covenant pursuant to law, conventions, regulations or custom on the pretext that the present Covenant does not recognize such rights or that it recognizes them to a lesser extent.

#### PART-III

#### Article 6

- 1. Every human being has the inherent right to life. This right shall be protected by law. No one shall be arbitrarily deprived of his life.
- 2. In countries which have not abolished the death penalty, sentence of death may be imposed only for the most serious crimes in accordance with the law in force at the time of the commission of the crime and not contrary to the provisions of the present Covenant and to the Convention on the Prevention and Punishment of the Crime of Genocide. This penalty can only be carried out pursuant to a final judgement rendered by a competent court.
- 3. When deprivation of life constitutes the crime of genocide, it is understood that nothing in this article shall authorize any State Party to the present Covenant to derogate in any way from any obligation assumed under the provisions of the Convention on the Prevention and Punishment of the Crime of Genocide.
- Anyone sentenced to death shall have the right to seek pardon or commutation of the sentence. Amnesty, pardon or commutation of the sentence of death may be granted in all cases.
- 5. Sentence of death shall not be imposed for crimes committed by persons below eighteen years of age and shall not be carried out on pregnant women.
- 6. Nothing in this article shall be invoked to delay or to prevent the abolition of capital punishment by any State Party to the present Covenant.

#### Article $7 \Box$

No one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment. In particular, no one shall be subjected without his free consent to medical or scientific experimentation.

#### Article 8

- 1. No one shall be held in slavery; slavery and the slavetrade in all their forms shall be prohibited.
- No one shall be held in servitude.
- (a) No one shall be required to perform forced or compulsory labour;
  - (b) Paragraph 3 (a) shall not be held to preclude, in countries where imprisonment with hard labour may be imposed as a punishment for a crime, the performance of hard labour in pursuance of a sentence to such punishment by a competent court;
  - (c) For the purpose of this paragraph the term "forced or compulsory labour" shall not include:
    - (i) Any work or service, not referred to in subparagraph (b), normally required of a person who is under detention in consequence of a lawful order of a court, or of a person during conditional release from such detention:
    - (ii) Any service of a military character and, in countries where conscientious objection is recognized, any national service required by law of conscientious objectors;
    - (iii) Any service exacted in cases of emergency or calamity threatening the life or well-being of the community;
    - (iv) Any work or service which forms part of normal civil obligations.

- Everyone has the right to liberty and security of person.
  No one shall be subjected to arbitrary arrest or detention.
  No one shall be deprived of his liberty except on such
  grounds and in accordance with such procedure as are
  established by law.
- 2. Anyone who is arrested shall be informed, at the time of arrest, of the reasons for his arrest and shall be promptly informed of any charges against him.

- 3. Anyone arrested or detained on a criminal charge shall be brought promptly before a judge or other officer authorized by law to exercise judicial power and shall be entitled to trial within a reasonable time or to release. It shall not be the general rule that persons awaiting trial shall be detained in custody, but release may be subject to guarantees to appear for trial, at any other stage of the judicial proceedings, and, should occasion arise, for execution of the judgement.
- 4. Anyone who is deprived of his liberty by arrest or detention shall be entitled to take proceedings before a court, in order that that court may decide without delay on the lawfulness of his detention and order his release if the detention is not lawful.
- 5. Anyone who has been the victim of unlawful arrest or detention shall have an enforceable right to compensation.

#### Article 10

- 1. All persons deprived of their liberty shall be treated with humanity and with respect for the inherent dignity of the human person.
- (a) Accused persons shall, save in exceptional circumstances, be segregated from convicted persons and shall be subject to separate treatment appropriate to their status as unconvicted persons;
  - (b) Accused juvenile persons shall be separated from adults and brought as speedily as possible for adjudication.
- 3. The penitentiary system shall comprise treatment of prisoners the essential aim of which shall be their reformation and social rehabilitation. Juvenile offenders shall be segregated from adults and be accorded treatment appropriate to their age and legal status.

#### Article 11

No one shall be imprisoned merely on the ground of inability to fulfil a contractual obligation.

- 1. Everyone lawfully within the territory of a State shall, within that territory, have the right to liberty of movement and freedom to choose his residence.
- 2. Everyone shall be free to leave any country, including his own.
- 3. The above-mentioned rights shall not be subject to any restrictions except those which are provided by law, are necessary to protect national security, public order (ordre public), public health or morals or the rights and freedoms of others, and are consistent with the other rights recognized in the present Covenant.
- 4. No one shall be arbitrarily deprived of the right to enter his own country.

#### Article 13

An alien lawfully in the territory of a State Party to the present Covenant may be expelled therefrom only in pursuance of a decision reached in accordance with law and shall, except where compelling reasons of national security otherwise require, be allowed to submit the reasons against his expulsion and to have his case reviewed by, and be represented for the purpose before, the competent authority or a person or persons especially designated by the competent authority.

#### Article 14

1. All persons shall be equal before the courts and tribunals. In the determination of any criminal charge against him, or of his rights and obligations in a suit at law, everyone shall be entitled to a fair and public hearing by a competent, independent and impartial tribunal established by law. The press and the public may be excluded from all or part of a trial for reasons of morals, public order (ordre public) or national security in a democratic society, or when the interest of the private lives of the parties so requires, or to the extent strictly necessary in the opinion of the court in special circumstances where publicity would prejudice the interests of justice; but any judgement rendered in a

criminal case or in a suit at law shall be made public except where the interest of juvenile persons otherwise requires or the proceedings concern matrimonial disputes or the guardianship of children.

- 2. Everyone charged with a criminal offence shall have the right to be presumed innocent until proved guilty according to law.
- 3. In the determination of any criminal charge against him, everyone shall be entitled to the following minimum guarantees, in full equality:
  - (a) To be informed promptly and in detail in a language which he understands of the nature and cause of the charge against him;
  - (b) To have adequate time and facilities for the preparation of his defence and to communicate with counsel of his own choosing;
  - (c) To be tried without undue delay;
  - (d) To be tried in his presence, and to defend himself in person or through legal assistance of his own choosing; to be informed, if he does not have legal assistance, of this right; and to have legal assistance assigned to him, in any case where the interests of justice so require, and without payment by him in any such case if he does not have sufficient means to pay for it;
  - (e) To examine, or have examined, the witnesses against him and to obtain the attendance and examination of witnesses on his behalf under the same conditions as witnesses against him;
  - (f) To have the free assistance of an interpreter if he cannot understand or speak the language used in court:
  - (g) Not to be compelled to testify against himself or to confess quilt.
- 4. In the case of juvenile persons, the procedure shall be such as will take account of their age and the desirability of promoting their rehabilitation.

- 5. Everyone convicted of a crime shall have the right to his conviction and sentence being reviewed by a higher tribunal according to law.
- of a criminal offence and when subsequently his conviction has been reversed or he has been pardoned on the ground that a new or newly discovered fact shows conclusively that there has been a miscarriage of justice, the person who has suffered punishment as a result of such conviction shall be compensated according to law, unless it is proved that the non-disclosure of the unknown fact in time is wholly or partly attributable to him.
- 7. No one shall be liable to be tried or punished again for an offence for which he has already been finally convicted or acquitted in accordance with the law and penal procedure of each country.

#### Article 15

- No one shall be held guilty of any criminal offence on account of any act or omission which did not constitute a criminal offence, under national or international law, at the time when it was committed. Nor shall a heavier penalty be imposed than the one that was applicable at the time when the criminal offence was committed. If, subsequent to the commission of the offence, provision is made by law for the imposition of the lighter penalty, the offender shall benefit thereby.
- Nothing in this article shall prejudice the trial and punishment of any person for any act or omission which, at the time when it was committed, was criminal according to the general principles of law recognized by the community of nations.

#### Article 16

Everyone shall have the right to recognition everywhere as a person before the law.

- 1. No one shall be subjected to arbitrary or unlawful interference with his privacy, family, or correspondence, nor to unlawful attacks on his honour and reputation.
- 2. Everyone has the right to the protection of the law against such interference or attacks.

#### Article 18

- Everyone shall have the right to freedom of thought, conscience and religion. This right shall include freedom to have or to adopt a religion or belief of his choice, and freedom, either individually or in community with others and in public or private, to manifest his religion or belief in worship, observance, practice and teaching.
- 2. No one shall be subject to coercion which would impair his freedom to have or to adopt a religion or belief of his choice.
- Freedom to manifest one's religion or beliefs may be subject only to such limitations as are prescribed by law and are necessary to protect public safety, order, health, or morals or the fundamental rights and freedoms of others.
- 4. The States Parties to the present Covenant undertake to have respect for the liberty of parents and, when applicable, legal guardians to ensure the religious and moral education of their children in conformity with their own convictions.

- Everyone shall have the right to hold opinions without interference.
- Everyone shall have the right to freedom of expression; this right shall include freedom to seek, receive and impart information and ideas of all kinds, regardless of frontiers, either orally, in writing or in print, in the form of art, or through any other media of his choice.

- 3. The exercise of the rights provided for in paragraph 2 of this article carries with it special duties and responsibilities. It may therefore be subject to certain restrictions, but these shall only be such as are provided by law and are necessary:
  - (a) For respect of the rights or reputations of others;
  - (b) For the protection of national security or of public order (ordre public), or of public health or morals.

#### Article 20□

- 1. Any propaganda for war shall be prohibited by law.
- 2. Any advocacy of national, racial or religious hatred that constitutes incitement to discrimination, hostility or violence shall be prohibited by law.

#### Article 21

The right of peaceful assembly shall be recognized. No restrictions may be placed on the exercise of this right other than those imposed in conformity with the law and which are necessary in a democratic society in the interests of national security or public safety, public order (ordre public), the protection of public health or morals or the protection of the rights and freedoms of others.

- 1. Everyone shall have the right to freedom of association with others, including the right to form and join trade unions for the protection of his interests.
- 2. No restrictions may be placed on the exercise of this right other than those which are prescribed by law and which are necessary in a democratic society in the interests of national security or public safety, public order (ordre public), the protection of public health or morals or the protection of the rights and freedoms of others. This article shall not prevent the imposition of lawful restrictions on members of the armed forces and of the police in their exercise of this right.

3. Nothing in this article shall authorize States Parties to the International Labour Organisation Convention of 1948 concerning Freedom of Association and Protection of the Right to Organize to take legislative measures which would prejudice, or to apply the law in such a manner as to prejudice, the guarantees provided for in that Convention.

#### Article 23

- 1. The family is the natural and fundamental group unit of society and is entitled to protection by society and the State.
- 2. The right of men and women of marriageable age to marry and to found a family shall be recognized.
- 3. No marriage shall be entered into without the free and full consent of the intending spouses.
- 4. States Parties to the present Covenant shall take appropriate steps to ensure equality of rights and responsibilities of spouses as to marriage, during marriage and at its dissolution. In the case of dissolution, provision shall be made for the necessary protection of any children.

#### Article 24□

- 1. Every child shall have, without any discrimination as to race, colour, sex, language, religion, national or social origin, property or birth, the right to such measures of protection as are required by his status as a minor, on the part of his family, society and the State.
- 2. Every child shall be registered immediately after birth and shall have a name.
- 3. Every child has the right to acquire a nationality.

#### Article 25 □

Every citizen shall have the right and the opportunity, without any of the distinctions mentioned in article 2 and without unreasonable restrictions:

- (a) To take part in the conduct of public affairs, directly or through freely chosen representatives;
- (b) To vote and to be elected at genuine periodic elections which shall be by universal and equal suffrage and shall be held by secret ballot, guaranteeing the free expression of the will of the electors;
- (c) To have access, on general terms of equality, to public service in his country.

#### Article 26

All persons are equal before the law and are entitled without any discrimination to the equal protection of the law. In this respect, the law shall prohibit any discrimination and guarantee to all persons equal and effective protection against discrimination on any ground such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.

#### Article 27

☐ In those States in which ethnic, religious or linguistic minorities exist, persons belonging to such minorities shall not be denied the right, in community with the other members of their group, to enjoy their own culture, to profess and practise their own religion, or to use their own language.

#### PART-IV

- There shall be established a Human Rights Committee (hereafter referred to in the present Covenant as the Committee). It shall consist of eighteen members and shall carry out the functions hereinafter provided.
- 2. The Committee shall be composed of nationals of the States Parties to the present Covenant who shall be persons of high moral character and recognized competence in the field of human rights, consideration being given to the usefulness of the participation of some persons having legal experience.

3. The members of the Committee shall be elected and shall serve in their personal capacity.

#### Article 29

- The members of the Committee shall be elected by secret ballot from a list of persons possessing the qualifications prescribed in article 28 and nominated for the purpose by the States Parties to the present Covenant.
- 2. Each State Party to the present Covenant may nominate not more than two persons. These persons shall be nationals of the nominating State.
- 3. A person shall be eligible for renomination.

- 1. The initial election shall be held no later than six months after the date of the entry into force of the present Covenant.
- 2. At least four months before the date of each election to the Committee, other than an election to fill a vacancy declared in accordance with article 34, the Secretary-General of the United Nations shall address a written invitation to the States Parties to the present Covenant to submit their nominations for membership of the Committee within three months.
- 3. The Secretary-General of the United Nations shall prepare a list in alphabetical order of all the persons thus nominated, with an indication of the States Parties which have nominated them, and shall submit it to the States Parties to the present Covenant no later than one month before the date of each election.
- 4. Elections of the members of the Committee shall be held at a meeting of the States Parties to the present Covenant convened by the Secretary General of the United Nations at the Headquarters of the United Nations. At that meeting, for which two thirds of the States Parties to the present Covenant shall constitute a quorum, the persons elected to the Committee shall

be those nominees who obtain the largest number of votes and an absolute majority of the votes of the representatives of States Parties present and voting.

#### Article 31

- The Committee may not include more than one national of the same State.
- In the election of the Committee, consideration shall be given to equitable geographical distribution of membership and to the representation of the different forms of civilization and of the principal legal systems.

#### Article 32

- 1. The members of the Committee shall be elected for a term of four years. They shall be eligible for re-election if renominated. However, the terms of nine of the members elected at the first election shall expire at the end of two years; immediately after the first election, the names of these nine members shall be chosen by lot by the Chairman of the meeting referred to in article 30, paragraph 4.
- 2. Elections at the expiry of office shall be held in accordance with the preceding articles of this part of the present Covenant.

- If, in the unanimous opinion of the other members, a member of the Committee has ceased to carry out his functions for any cause other than absence of a temporary character, the Chairman of the Committee shall notify the Secretary-General of the United Nations, who shall then declare the seat of that member to be vacant.
- In the event of the death or the resignation of a member of the Committee, the Chairman shall immediately notify the Secretary-General of the United Nations, who shall declare the seat vacant from the date of death or the date on which the resignation takes effect.

- 1. When a vacancy is declared in accordance with article 33 and if the term of office of the member to be replaced does not expire within six months of the declaration of the vacancy, the Secretary-General of the United Nations shall notify each of the States Parties to the present Covenant, which may within two months submit nominations in accordance with article 29 for the purpose of filling the vacancy.
- 2. The Secretary-General of the United Nations shall prepare a list in alphabetical order of the persons thus nominated and shall submit it to the States Parties to the present Covenant. The election to fill the vacancy shall then take place in accordance with the relevant provisions of this part of the present Covenant.
- A member of the Committee elected to fill a vacancy declared in accordance with article 33 shall hold office for the remainder of the term of the member who vacated the seat on the Committee under the provisions of that article.

#### Article 35

The members of the Committee shall, with the approval of the General Assembly of the United Nations, receive emoluments from United Nations resources on such terms and conditions as the General Assembly may decide, having regard to the importance of the Committee's responsibilities.

#### Article 36

The Secretary-General of the United Nations shall provide the necessary staff and facilities for the effective performance of the functions of the Committee under the present Covenant.

#### Article 37

1. The Secretary-General of the United Nations shall convene the initial meeting of the Committee at the Headquarters of the United Nations.

- 2. After its initial meeting, the Committee shall meet at such times as shall be provided in its rules of procedure.
- 3. The Committee shall normally meet at the Headquarters of the United Nations or at the United Nations Office at Geneva.

#### Article 38

Every member of the Committee shall, before taking up his duties, make a solemn declaration in open committee that he will perform his functions impartially and conscientiously.

#### Article 39

- 1. The Committee shall elect its officers for a term of two years. They may be re-elected.
- 2. The Committee shall establish its own rules of procedure, but these rules shall provide, inter alia, that:
  - (a) Twelve members shall constitute a quorum;
  - (b) Decisions of the Committee shall be made by a majority vote of the members present.

- 1. The States Parties to the present Covenant undertake to submit reports on the measures they have adopted which give effect to the rights recognized herein and on the progress made in the enjoyment of those rights:
  - (a) Within one year of the entry into force of the present Covenant for the States Parties concerned:
  - (b) Thereafter whenever the Committee so requests.
- All reports shall be submitted to the Secretary-General
  of the United Nations, who shall transmit them to the
  Committee for consideration. Reports shall indicate the
  factors and difficulties, if any, affecting the
  implementation of the present Covenant.
- 3. The Secretary-General of the United Nations may, after consultation with the Committee, transmit to the specialized agencies concerned copies of such parts of the reports as may fall within their field of competence.

- 4. The Committee shall study the reports submitted by the States Parties to the present Covenant. It shall transmit its reports, and such general comments as it may consider appropriate, to the States Parties. The Committee may also transmit to the Economic and Social Council these comments along with the copies of the reports it has received from States Parties to the present Covenant.
- The States Parties to the present Covenant may submit to the Committee observations on any comments that may be made in accordance with paragraph 4 of this article.

- 1. A State Party to the present Covenant may at any time declare under this article that it recognizes the competence of the Committee to receive and consider communications to the effect that a State Party claims that another State Party is not fulfilling its obligations under the present Covenant. Communications under this article may be received and considered only if submitted by a State Party which has made a declaration recognizing in regard to itself the competence of the Committee. No communication shall be received by the Committee if it concerns a State Party which has not made such a declaration. Communications received under this article shall be dealt with in accordance with the following procedure:
  - (a) If a State Party to the present Covenant considers that another State Party is not giving effect to the provisions of the present Covenant, it may, by written communication, bring the matter to the attention of that State Party. Within three months after the receipt of the communication the receiving State shall afford the State which sent the communication an explanation, or any other statement in writing clarifying the matter which should include, to the extent possible and pertinent,

- reference to domestic procedures and remedies taken, pending, or available in the matter;
- (b) If the matter is not adjusted to the satisfaction of both States Parties concerned within six months after the receipt by the receiving State of the initial communication, either State shall have the right to refer the matter to the Committee, by notice given to the Committee and to the other State;
- (c) The Committee shall deal with a matter referred to it only after it has ascertained that all available domestic remedies have been invoked and exhausted in the matter, in conformity with the generally recognized principles of international law. This shall not be the rule where the application of the remedies is unreasonably prolonged;
- (d) The Committee shall hold closed meetings when examining communications under this article;
- (e) Subject to the provisions of subparagraph (c), the Committee shall make available its good offices to the States Parties concerned with a view to a friendly solution of the matter on the basis of respect for human rights and fundamental freedoms as recognized in the present Covenant;
- (f) In any matter referred to it, the Committee may call upon the States Parties concerned, referred to in subparagraph (b), to supply any relevant information;
- (g) The States Parties concerned, referred to in subparagraph (b), shall have the right to be represented when the matter is being considered in the Committee and to make submissions orally and/or in writing;
- (h) The Committee shall, within twelve months after the date of receipt of notice under subparagraph (b), submit a report:
- (i) If a solution within the terms of subparagraph (e) is reached, the Committee shall confine its report

- to a brief statement of the facts and of the solution reached:
- (ii) If a solution within the terms of subparagraph (e) is not reached, the Committee shall confine its report to a brief statement of the facts; the written submissions and record of the oral submissions made by the States Parties concerned shall be attached to the report. In every matter, the report shall be communicated to the States Parties concerned.
- 2. The provisions of this article shall come into force when ten States Parties to the present Covenant have made declarations under paragraph I of this article. Such declarations shall be deposited by the States Parties with the Secretary-General of the United Nations, who shall transmit copies thereof to the other States Parties. A declaration may be withdrawn at any time by notification to the Secretary-General. Such a withdrawal shall not prejudice the consideration of any matter which is the subject of a communication already transmitted under this article; no further communication by any State Party shall be received after the notification of withdrawal of the declaration has been received by the Secretary-General, unless the State Party concerned has made a new declaration.

- 1. (a) If a matter referred to the Committee in accordance with article 41 is not resolved to the satisfaction of the States Parties concerned, the Committee may, with the prior consent of the States Parties concerned, appoint an ad hoc Conciliation Commission (hereinafter referred to as the Commission). The good offices of the Commission shall be made available to the States Parties concerned with a view to an amicable solution of the matter on the basis of respect for the present Covenant:
  - (b) The Commission shall consist of five persons acceptable to the States Parties concerned. If the

States Parties concerned fail to reach agreement within three months on all or part of the composition of the Commission, the members of the Commission concerning whom no agreement has been reached shall be elected by secret ballot by a two-thirds majority vote of the Committee from among its members.

- 2. The members of the Commission shall serve in their personal capacity. They shall not be nationals of the States Parties concerned, or of a State not Party to the present Covenant, or of a State Party which has not made a declaration under article 41.
- 3. The Commission shall elect its own Chairman and adopt its own rules of procedure.
- 4. The meetings of the Commission shall normally be held at the Headquarters of the United Nations or at the United Nations Office at Geneva. However, they may be held at such other convenient places as the Commission may determine in consultation with the Secretary-General of the United Nations and the States Parties concerned.
- 5. The secretariat provided in accordance with article 36 shall also service the commissions appointed under this article.
- 6. The information received and collated by the Committee shall be made available to the Commission and the Commission may call upon the States Parties concerned to supply any other relevant information.
- 7. When the Commission has fully considered the matter, but in any event not later than twelve months after having been seized of the matter, it shall submit to the Chairman of the Committee a report for communication to the States Parties concerned:
  - (a) If the Commission is unable to complete its consideration of the matter within twelve months, it shall confine its report to a brief statement of the status of its consideration of the matter:

- (b) If an amicable solution to the matter on tie basis of respect for human rights as recognized in the present Covenant is reached, the Commission shall confine its report to a brief statement of the facts and of the solution reached;
- (c) If a solution within the terms of subparagraph (b) is not reached, the Commission's report shall embody its findings on all questions of fact relevant to the issues between the States Parties concerned, and its views on the possibilities of an amicable solution of the matter. This report shall also contain the written submissions and a record of the oral submissions made by the States Parties concerned;
- (d) If the Commission's report is submitted under subparagraph (c), the States Parties concerned shall, within three months of the receipt of the report, notify the Chairman of the Committee whether or not they accept the contents of the report of the Commission.
- 8. The provisions of this article are without prejudice to the responsibilities of the Committee under article 41.
- 9. The States Parties concerned shall share equally all the expenses of the members of the Commission in accordance with estimates to be provided by the Secretary-General of the United Nations.
- 10. The Secretary-General of the United Nations shall be empowered to pay the expenses of the members of the Commission, if necessary, before reimbursement by the States Parties concerned, in accordance with paragraph 9 of this article.

#### Article 43

The members of the Committee, and of the ad hoc conciliation commissions which may be appointed under article 42, shall be entitled to the facilities, privileges and immunities of experts on mission for the United Nations as laid down in the relevant sections of the Convention on the Privileges and Immunities of the United Nations.

The provisions for the implementation of the present Covenant shall apply without prejudice to the procedures prescribed in the field of human rights by or under the constituent instruments and the conventions of the United Nations and of the specialized agencies and shall not prevent the States Parties to the present Covenant from having recourse to other procedures for settling a dispute in accordance with general or special international agreements in force between them.

#### Article 45

The Committee shall submit to the General Assembly of the United Nations, through the Economic and Social Council, an annual report on its activities.

#### PART-V

#### Article 46

Nothing in the present Covenant shall be interpreted as impairing the provisions of the Charter of the United Nations and of the constitutions of the specialized agencies which define the respective responsibilities of the various organs of the United Nations and of the specialized agencies in regard to the matters dealt with in the present Covenant.

#### Article 47

Nothing in the present Covenant shall be interpreted as impairing the inherent right of all peoples to enjoy and utilize fully and freely their natural wealth and resources.

#### PART-VI

#### Article 48

 The present Covenant is open for signature by any State Member of the United Nations or member of any of its specialized agencies, by any State Party to the Statute of the International Court of Justice, and by any other State which has been invited by the General

- Assembly of the United Nations to become a Party to the present Covenant.
- 2. The present Covenant is subject to ratification. Instruments of ratification shall be deposited with the Secretary-General of the United Nations.
- 3. The present Covenant shall be open to accession by any State referred to in paragraph 1 of this article.
- Accession shall be effected by the deposit of an instrument of accession with the Secretary-General of the United Nations.
- 5. The Secretary-General of the United Nations shall inform all States which have signed this Covenant or acceded to it of the deposit of each instrument of ratification or accession.

#### Article 49

- 1. The present Covenant shall enter into force three months after the date of the deposit with the Secretary-General of the United Nations of the thirty-fifth instrument of ratification or instrument of accession.
- 2. For each State ratifying the present Covenant or acceding to it after the deposit of the thirty-fifth instrument of ratification or instrument of accession, the present Covenant shall enter into force three months after the date of the deposit of its own instrument of ratification or instrument of accession.

#### Article 50

The provisions of the present Covenant shall extend to all parts of federal States without any limitations or exceptions.

#### Article 51

 Any State Party to the present Covenant may propose an amendment and file it with the Secretary-General of the United Nations. The Secretary-General of the United Nations shall thereupon communicate any proposed amendments to the States Parties to the present Covenant with a request that they notify him whether they favour a conference of States Parties for

the purpose of considering and voting upon the proposals. In the event that at least one third of the States Parties favours such a conference, the Secretary-General shall convene the conference under the auspices of the United Nations. Any amendment adopted by a majority of the States Parties present and voting at the conference shall be submitted to the General Assembly of the United Nations for approval.

2. Amendments shall come into force when they have been approved by the General Assembly of the United Nations and accepted by a two-thirds majority of the States Parties to the present Covenant in accordance with their respective constitutional processes. 3. When amendments come into force, they shall be binding on those States Parties which have accepted them, other States Parties still being bound by the provisions of the present Covenant and any earlier amendment which they have accepted.

#### Article 52

- 1. Irrespective of the notifications made under article 48, paragraph 5, the Secretary-General of the United Nations shall inform all States referred to in paragraph I of the same article of the following particulars:
  - (a) Signatures, ratifications and accessions under article 48;
  - (b) The date of the entry into force of the present Covenant under article 49 and the date of the entry into force of any amendments under article 51.

- The present Covenant, of which the Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited in the archives of the United Nations.
- 2. The Secretary-General of the United Nations shall transmit certified copies of the present Covenant to all States referred to in article 48.

# Chapter 3

# Human Rights: Indian Context

# Constitutional Provisions of Human Rights— Fundamental Rights and Directive Principles of State Policy

Rights which are considered essential or fundamental for the well-being of a person are called Fundamental Rights. The Fundamental Rights in India enshrined in the Part III of the Constitution of India guarantee civil liberties such that all Indians can lead their lives in peace and harmony as citizens of India. These include individual rights common to most liberal democracies, such as equality before law, freedom of speech and expression, freedom of association and peaceful assembly, freedom to practice religion, and the right to constitutional remedies for the protection of civil rights by means of writs such as habeas corpus. Violations of these rights result in punishments as prescribed in the Indian Penal Code, subject to discretion of the judiciary. The Fundamental Rights are defined as basic human freedoms which every Indian citizen has the right to enjoy for a proper and harmonious development of personality. These rights universally apply to all citizens, irrespective of race, place of birth, religion, caste, creed, colour or sex. They are enforceable by the courts, subject to certain restrictions. The Rights have their origins in many sources, including England's Bill of Rights, the United States Bill of Rights and France's Declaration of the Rights of Man.

The six fundamental rights are:

- 1. Right to equality
- 2. Right to freedom
- 3. Right against exploitation
- 4. Right to freedom of religion
- 5. Cultural and educational rights
- 6. Right to constitutional remedies

Rights literally mean those freedoms which are essential for personal good as well as the good of the community. The rights guaranteed under the Constitution of India are fundamental as they have been incorporated into the Fundamental Law of the Land and are enforceable in a court of law. However, this does not mean that they are absolute or that they are immune from Constitutional amendment.

Fundamental rights for Indians have also been aimed at overturning the inequalities of pre-independence social practices. Specifically, they have also been used to abolish untouchability and hence prohibit discrimination on the grounds of religion, race, caste, sex, or place of birth. They also forbid trafficking of human beings and forced labour. They also protect cultural and educational rights of ethnic and religious minorities by allowing them to preserve their languages and also establish and administer their own education institutions.

#### Genesis

The development of constitutionally guaranteed fundamental human rights in India was inspired by historical examples such as England's Bill of Rights (1689), the United States Bill of Rights (approved on 17 September 1787, final ratification on 15 December 1791) and France's Declaration of the Rights of Man (created during the revolution of 1789, and ratified on 26 August 1789). Under the educational system of British Raj, students were exposed to ideas of democracy, human rights and European political history. The Indian student community in England was further inspired by the workings of parliamentary democracy and British political parties.

In 1919, the Rowlatt Acts gave extensive powers to the British government and police, and allowed indefinite arrest and detention of individuals, warrant-less searches and seizures, restrictions on public gatherings, and intensive censorship of media and publications. The public opposition to this act eventually led to mass campaigns of non-violent civil disobedience throughout the country demanding guaranteed civil freedoms, and limitations on government power. Indians, who were seeking independence and their own government, were particularly influenced by the independence of Ireland and the development of the Irish constitution. Also, the directive principles of state policy in Irish constitution were looked upon by the people of India as an inspiration for the independent India's government to comprehensively tackle complex social and economic challenges across a vast, diverse nation and population.

In 1928, the Nehru Commission composing of representatives of Indian political parties proposed constitutional reforms for India that apart from calling for dominion status for India and elections under universal suffrage, would guarantee rights deemed fundamental, representation for religious and ethnic minorities, and limit the powers of the government. In 1931, the Indian National Congress (the largest Indian political party of the time) adopted resolutions committing itself to the defense of fundamental civil rights, as well as socio-economic rights such as the minimum wage and the abolition of untouchability and serfdom. Committing themselves to socialism in 1936, the Congress leaders took examples from the constitution of the erstwhile USSR, which inspired the fundamental duties of citizens as a means of collective patriotic responsibility for national interests and challenges.

When India obtained independence on 15 August 1947, the task of developing a constitution for the nation was undertaken by the Constituent Assembly of India, composing of elected representatives under the presidency of Rajendra Prasad. While members of Congress composed of a large majority, Congress leaders appointed persons from diverse political backgrounds

to responsibilities of developing the constitution and national laws. Notably, Bhimrao Ramji Ambedkar became the chairperson of the drafting committee, while Jawaharlal Nehru and Sardar Vallabhbhai Patel became chairpersons of committees and sub-committees responsible for different subjects. A notable development during that period having significant effect on the Indian constitution took place on 10 December 1948 when the United Nations General Assembly adopted the Universal Declaration of Human Rights and called upon all member states to adopt these rights in their respective constitutions.

The Fundamental Rights were included in the 1st Draft Constitution (February 1948), the IInd Draft Constitution (17 October 1948) and the IIIrd and final Draft Constitution (26 November 1949), being prepared by the Drafting Committee.

## Significance and Characteristics

The Fundamental Rights were included in the constitution because they were considered essential for the development of the personality of every individual and to preserve human dignity. The writers of the constitution regarded democracy of no avail if civil liberties, like freedom of speech and religion were not recognized and protected by the State. According to them, "democracy" is, in essence, a government by opinion and therefore, the means of formulating public opinion should be secured to the people of a democratic nation. For this purpose, the constitution guaranteed to all the citizens of India the freedom of speech and expression and various other freedoms in the form of the Fundamental Rights.

All people, irrespective of race, religion, caste or sex, have been given the right to move the Supreme Court and the High Courts for the enforcement of their Fundamental Rights. It is not necessary that the aggrieved party has to be the one to do so. Poverty stricken people may not have the means to do so and therefore, in the public interest, anyone can commence litigation in the court on their behalf. This is known as "Public interest litigation". In some cases, High Court judges have acted on their own on the basis of newspaper reports.

These Fundamental Rights help not only in protection but also the prevention of gross violations of human rights. They emphasize on the fundamental unity of India by guaranteeing to all citizens the access and use of the same facilities, irrespective of background. Some Fundamental Rights apply for persons of any nationality whereas others are available only to the citizens of India. The right to life and personal liberty is available to all people and so is the right to freedom of religion. On the other hand, freedoms of speech and expression and freedom to reside and settle in any part of the country are reserved to citizens alone, including non-resident Indian citizens. The right to equality in matters of public employment cannot be conferred to overseas citizens of India.

Fundamental rights primarily protect individuals from any arbitrary state actions, but some rights are enforceable against individuals. For instance, the Constitution abolishes untouchability and also prohibits *begar*. These provisions act as a check both on state action as well as the action of private individuals.

However, these rights are not absolute or uncontrolled and are subject to reasonable restrictions as necessary for the protection of general welfare. They can also be selectively curtailed. The Supreme Court has ruled that all provisions of the Constitution, including Fundamental Rights can be amended. However, the Parliament cannot alter the basic structure of the constitution. Features such as secularism and democracy fall under this category. Since the Fundamental Rights can only be altered by a constitutional amendment, their inclusion is a check not only on the executive branch, but also on the Parliament and state legislatures.

A state of national emergency has an adverse effect on these rights. Under such a state, the rights conferred by Article 19 (freedoms of speech, assembly and movement, etc.) remain suspended. Hence, in such a situation, the legislature may make laws which go against the rights given in Article 19. Also, the President may by order suspend the right to move court for the enforcement of other rights as well.

# Right to Equality

Right to equality is an important right provided for in Articles 14, 15, 16, 17 and 18 of the constitution. It is the principal foundation of all other rights and liberties, and guarantees the following:

- Equality before law: Article 14 of the constitution guarantees that all citizens shall be equally protected by the laws of the country. It means that the State cannot discriminate against a citizen on the basis of caste, creed, colour, sex, religion or place of birth. According to the Electricity Act of 26 January 2003 the Parliament has the power to create special courts for the speedy trial of offences committed by persons holding high offices. Creation of special courts is not a violation of this right.
- Social equality and equal access to public areas: Article
  15 of the constitution states that no person shall be
  discriminated on the basis of caste, colour, language
  etc. Every person shall have equal access to public
  places like public parks, museums, wells, bathing ghats
  and temples etc. However, the State may make any
  special provision for women and children. Special
  provisions may be made for the advancements of any
  socially or educationally backward class or scheduled
  castes or scheduled tribes.
- Equality in matters of public employment: Article 16 of the constitution lays down that the State cannot discriminate against anyone in the matters of employment. All citizens can apply for government jobs. There are some exceptions. The Parliament may enact a law stating that certain jobs can only be filled by applicants who are domiciled in the area. This may be meant for posts that require knowledge of the locality and language of the area. The State may also reserve posts for members of backward classes, scheduled castes or scheduled tribes which are not adequately represented in the services under the State to bring up the weaker sections of the society. Also, there a law

may be passed which requires that the holder of an office of any religious institution shall also be a person professing that particular religion. According to the *Citizenship (Amendment) Bill*, 2003, this right shall not be conferred to Overseas citizens of India.

- Abolition of untouchability: Article 17 of the constitution abolishes the practice of untouchability. Practice of untouchability is an offense and anyone doing so is punishable by law. The Untouchability Offences Act of 1955 (renamed to Protection of Civil Rights Act in 1976) provided penalties for preventing a person from entering a place of worship or from taking water from a tank or well.
- Abolition of Titles: Article 18 of the constitution prohibits
  the State from conferring any titles. Citizens of India
  cannot accept titles from a foreign State. The British
  government had created an aristocratic class known as
  Rai Bahadurs and Khan Bahadurs in India these
  titles were also abolished. However, Military and
  academic distinctions can be conferred on the citizens
  of India. The awards of Bharat Ratna and Padma
  Vibhushan cannot be used by the recipient as a title
  and do not, accordingly, come within the constitutional
  prohibition". The Supreme Court, on 15 December 1995,
  upheld the validity of such awards.

# Right to Freedom

The Constitution of India contains the right to freedom, given in articles 19, 20, 21 and 22, with the view of guaranteeing individual rights that were considered vital by the framers of the constitution. The right to freedom in Article 19 guarantees the following six freedoms:

 Freedom of speech and expression, which enable an individual to participate in public activities. The phrase, "freedom of press" has not been used in Article 19, but freedom of expression includes freedom of press. Reasonable restrictions can be imposed in the interest of public order, security of State, decency or morality.

- Freedom to assemble peacefully without arms, on which the State can impose reasonable restrictions in the interest of public order and the sovereignty and integrity of India.
- Freedom to form associations or unions on which the State can impose reasonable restrictions on this freedom in the interest of public order, morality and the sovereignty and integrity of India.
- Freedom to move freely throughout the territory of India though reasonable restrictions can be imposed on this right in the interest of the general public, for example, restrictions may be imposed on movement and travelling, so as to control epidemics.
- Freedom to reside and settle in any part of the territory
  of India which is also subject to reasonable restrictions
  by the State in the interest of the general public or for
  the protection of the scheduled tribes because certain
  safeguards as are envisaged here seem to be justified
  to protect indigenous and tribal peoples from
  exploitation and coercion.
- Freedom to practice any profession or to carry on any occupation, trade or business on which the State may impose reasonable restrictions in the interest of the general public. Thus, there is no right to carry on a business which is dangerous or immoral. Also, professional or technical qualifications may be prescribed for practicing any profession or carrying on any trade.

The constitution also guarantees the right to life and personal liberty, which in turn cites specific provisions in which these rights are applied and enforced:

 Protection with respect to conviction for offences is guaranteed in the right to life and personal liberty. According to Article 20, no one can be awarded punishment which is more than what the law of the land prescribes at that time. This legal axiom is based on the principle that no criminal law can be made

retrospective, that is, for an act to become an offence, the essential condition is that it should have been an offence legally at the time of committing it. Moreover, no person accused of any offence shall be compelled to be a witness against himself. "Compulsion" in this article refers to what in law is called "Duress" (injury, beating or unlawful imprisonment to make a person do something that he does not want to do). This article is known as a safeguard against self incrimination. The other principle enshrined in this article is known as the principle of double jeopardy, that is, no person can be convicted twice for the same offence, which has been derived from Anglo Saxon law. This principle was first established in the Magna Carta.

- Protection of life and personal liberty is also stated under right to life and personal liberty. Article 21 declares that no citizen can be denied his life and liberty except by law. This means that a person's life and personal liberty can only be disputed if that person has committed a crime. However, the right to life does not include the right to die, and hence, suicide or an attempt thereof, is an offence. (Attempted suicide being interpreted as a crime has seen many debates. The Supreme Court of India gave a landmark ruling in the year 1994. The court repealed section 309 of the Indian penal code, under which people attempting suicide could face prosecution and prison terms of up to one year. In the year 1996 however another Supreme Court ruling nullified the earlier one.) "Personal liberty" includes all the freedoms which are not included in Article 19 (that is, the six freedoms). The right to travel abroad is also covered under "personal liberty" in Article 21.
- In 2002, through the 86th Amendment Act, Article 21(A) was incorporated. It made the right to primary education part of the right to freedom, stating that the State would provide free and compulsory education to children from six to fourteen years of age. Six years after an amendment was made in the Indian

Constitution, the union cabinet cleared the Right to Education Bill in 2008. It is now soon to be tabled in Parliament for approval before it makes a fundamental right of every child to get free and compulsory education.

 Rights of a person arrested under ordinary circumstances is laid down in the right to life and personal liberty. No one can be arrested without being told the grounds for his arrest. If arrested the person has the right to defend himself by a lawyer of his choice. Also an arrested citizen has to be brought before the nearest magistrate within 24 hours. The rights of a person arrested under ordinary circumstances are not available to an enemy alien. They are also not available to persons detained under the Preventive Detention Act. Under preventive detention, the government can imprison a person for a maximum of three months. It means that if the government feels that a person being at liberty can be a threat to the law and order or to the unity and integrity of the nation, it can detain or arrest that person to prevent him from doing this possible harm. After three months such a case is brought before an advisory board for review.

The constitution also imposes restrictions on these rights. The government restricts these freedoms in the interest of the independence, sovereignty and integrity of India. In the interest of morality and public order, the government can also impose restrictions. However, the right to life and personal liberty cannot be suspended. The six freedoms are also automatically suspended or have restrictions imposed on them during a state of emergency.

# Right against Exploitation

**Child labour** and Begar is prohibited under Right against exploitation.

The right against exploitation, given in Articles 23 and 24, provides for two provisions, namely the abolition of trafficking in human beings and *Begar* (forced labor), and abolition of employment of children below the age of 14 years in dangerous

jobs like factories and mines. Child labour is considered a gross violation of the spirit and provisions of the constitution. *Begar*, practised in the past by landlords, has been declared a crime and is punishable by law. Trafficking in humans for the purpose of slave trade or prostitution is also prohibited by law. An exception is made in employment without payment for compulsory services for public purposes. Compulsory military conscription is covered by this provision.

# Right to Freedom of Religion

Right to freedom of religion, covered in Articles 25, 26, 27 and 28, provides religious freedom to all citizens of India. The objective of this right is to sustain the principle of secularism in India. According to the Constitution, all religions are equal before the State and no religion shall be given preference over the other. Citizens are free to preach, practice and propagate any religion of their choice. However, certain practices like wearing and carrying of *Kirpans* in the profession of the Sikh religion, can be restricted in the interest of public order, morality and health.

Religious communities can set up charitable institutions of their own. However, activities in such institutions which are not religious are performed according to the laws laid down by the government. Establishing a charitable institution can also be restricted in the interest of public order, morality and health. No person shall be compelled to pay taxes for the promotion of a particular religion. A State run institution cannot impart education that is pro-religion. Also, nothing in this article shall affect the operation of any existing law or prevent the State from making any further law regulating or restricting any economic, financial, political or other secular activity which may be associated with religious practice, or providing for social welfare and reform.

# Cultural and Educational Rights

**The Flag of India:** As India is a country of many languages, religions, and cultures, the Constitution provides special measures, in Articles 29 and 30, to protect the rights of the

minorities. Any community which has a language and a script of its own has the right to conserve and develop them. No citizen can be discriminated against for admission in State or State aided institutions.

All minorities, religious or linguistic, can set up their own educational institutions in order to preserve and develop their own culture. In granting aid to institutions, the State cannot discriminate against any institution on the basis of the fact that it is administered by a minority institution. But the right to administer does not mean that the State can not interfere in case of maladministration. In a precedent-setting judgment in 1980, the Supreme Court held that "the State can certainly take regulatory measures to promote the efficiency and excellence of educational standards. It can also issue guidelines for ensuring the security of the services of the teachers or other employees of the institution. In another landmark judgement delivered on 31 October 2002, the Supreme Court ruled that in case of aided minority institutions offering professional courses, admission could only be through a common entrance test conducted by State or a university. Even an unaided minority institution ought not to ignore the merit of the students for admission.

# Right to Constitutional Remedies

Right to constitutional remedies empowers the citizens to move a court of law in case of any denial of the fundamental rights. For instance, in case of imprisonment, the citizen can ask the court to see if it is according to the provisions of the law of the country. If the court finds that it is not, the person will have to be freed. This procedure of asking the courts to preserve or safeguard the citizens' fundamental rights can be done in various ways. The courts can issue various kinds of writs. These writs are habeas corpus, mandamus, prohibition, quo warranto and certiorari. When a national or state emergency is declared, this right is suspended by the central government.

# Right to Property—A Former Fundamental Right

The Constitution originally provided for the right to property under Articles 19 and 31. Article 19 guaranteed to all citizens

the right to acquire, hold and dispose off property. Article 31 provided that "no person shall be deprived of his property save by authority of law." It also provided that compensation would be paid to a person whose property has been taken for public purposes.

The provisions relating to the right to property were changed a number of times. The 44th amendment act of 1978 deleted the right to property from the list of Fundamental Rights. A new article, Article 300-A, was added to the constitution which provided that "no person shall be deprived of his property save by authority of law". Thus if a legislature makes a law depriving a person of his property, there would be no obligation on the part of the State to pay anything as compensation. The aggrieved person shall have no right to move the court under Article 32. Thus, the right to property is no longer a fundamental right, though it is still a constitutional right. If the government appears to have acted unfairly, the action can be challenged in a court of law by citizens.

• Revival: With the Liberalization of the economy and govt's initiative to setup special economic zones has led to many protest by farmers and have thrown the fundamental right to private property reinstatement. The supreme Court has sent a notice to the govt questioning why the right shouldn't be brought back as in 2007 The supreme court unanimously said that the fundamental rights are a basic structure of the constitution and cannot be removed or diluted.

# Critical Analysis

The Fundamental Rights have been criticised for many reasons. Political groups have demanded that the right to work, the right to economic assistance in case of unemployment, old age, and similar rights be enshrined as constitutional guarantees to address issues of poverty and economic insecurity, though these provisions have been enshrined in the Directive Principles of state policy. The right to freedom and personal liberty has a number of limiting clauses, and thus have been criticized for failing to check the sanctioning of powers often

deemed "excessive". There is also the provision of preventive detention and suspension of Fundamental Rights in times of Emergency. The provisions of acts like the Maintenance of Internal Security Act (MISA) and the National Security Act (NSA) are a means of countering the fundamental rights, because they sanction excessive powers with the aim of fighting internal and cross-border terrorism and political violence. without safeguards for civil rights. The phrases "security of State", "public order" and "morality" are of wide implication. People of alternate sexuality is criminalized in India with prison term up to 10 years. The meaning of phrases like "reasonable" restrictions" and "the interest of public order" have not been explicitly stated in the constitution, and this ambiguity leads to unnecessary litigation. The freedom to assemble peacably and without arms is exercised, but in some cases, these meetings are broken up by the police through the use of non-fatal methods.

"Freedom of press" has not been included in the right to freedom, which is necessary for formulating public opinion and to make freedom of expression more legitimate. Employment of child labour in hazardous job environments has been reduced, but their employment even in non-hazardous jobs, including their prevalent employment as domestic help violates the spirit and ideals of the constitution. More than 16.5 million children are employed and working in India. India was ranked 88 out of 159 in 2005, according to the degree to which corruption is perceived to exist among public officials and politicians worldwide. The right to equality in matters regarding public employment shall not be conferred to Overseas citizens of India, according to the *Citizenship (Amendment) Bill*, 2003.

#### **Amendments**

Changes in Fundamental Rights require a Constitutional amendment which has to be passed by a special majority of both houses of the Parliament. This means that an amendment requires the approval of two-thirds of the members present and voting. However, the number of members voting should not be less than the simple majority of the house — whether the Lok Sabha or Rajya Sabha.

- The right to property was originally included as a fundamental right. However, the 44th Amendment passed in 1978, revised the status of property rights by stating that "No person shall be deprived of his property save by authority of law" to further the goals of socialism.
- The right to education at elementary level has been made one of the Fundamental Rights under right to life and personal liberty by the 86th constitutional amendment of 2002.

# Directive Principles in India

The **Directive Principles of State Policy** are guidelines to the central and state governments of India, to be kept in mind while framing laws and policies. These provisions, contained in Part IV of the Constitution of India, are not enforceable by any court, but the principles laid down therein are considered fundamental in the governance of the country, making it the duty of the State to apply these principles in making laws to establish a just society in the country. The principles have been inspired by the Directive Principles given in the Constitution of Ireland and also by the principles of Gandhism; and relate to social justice, economic welfare, foreign policy, and legal and administrative matters.

Directive Principles are classified under the following categories: Gandhian, economic and socialistic, political and administrative, justice and legal, environmental, protection of monuments and peace and security.

# History

The concept of Directive Principles of State Policy was borrowed from the Irish Constitution. The makers of the Constitution of India were influenced by the Irish nationalist movement. Hence, the Directive Principles of the Indian constitution have been greatly influenced by the Directive Principles of State Policy. The idea of such policies "can be traced to the Declaration of the Rights of Man proclaimed Revolutionary France and the Declaration of Independence by the American Colonies." The Indian constitution was also

influenced by the United Nations Universal Declaration of Human Rights.

In 1919, the Rowlatt Acts gave extensive powers to the British government and police, and allowed indefinite arrest and detention of individuals, warrant-less searches and seizures. restrictions on public gatherings, and intensive censorship of media and publications. The public opposition to this act eventually led to mass campaigns of non-violent civil disobedience throughout the country demanding guaranteed civil freedoms, and limitations on government power. Indians, who were seeking independence and their own government, were particularly influenced by the independence of Ireland and the development of the Irish constitution. Also, the directive principles of state policy in Irish constitution were looked upon by the people of India as an inspiration for the independent India's government to comprehensively tackle complex social and economic challenges across a vast, diverse nation and population.

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When India obtained independence on 15 August 1947, the task of developing a constitution for the nation was undertaken by the Constituent Assembly of India, composing of elected representatives under the presidency of Dr. Rajendra Prasad.

While members of Congress composed of a large majority, Congress leaders appointed persons from diverse political backgrounds to responsibilities of developing the constitution and national laws. Notably, Bhimrao Ramji Ambedkar became the chairperson of the drafting committee, while Jawaharlal Nehru and Sardar Vallabhbhai Patel became chairpersons of committees and sub-committees responsible for different subjects. A notable development during that period having significant effect on the Indian constitution took place on 10 December 1948 when the United Nations General Assembly adopted the Universal Declaration of Human Rights and called upon all member states to adopt these rights in their respective constitutions.

Both the Fundamental Rights and the Directive Principles of State Policy were included in the I Draft Constitution (February 1948), the II Draft Constitution (17 October 1948) and the III and final Draft Constitution (26 November 1949), being prepared by the Drafting Committee.

#### Characteristics

DPSPs aim to create social and economic conditions under which the citizens can lead a good life. They also aim to establish social and economic democracy through a welfare state. They act as a check on the government, theorized as a yardstick in the hands of the people to measure the performance of the government and vote it out of power if it does not fulfill the promises made during the elections. The Directive Principles are non-justiciable rights of the people. Article 31-C, inserted by the 25th Amendment Act of 1971 seeks to upgrade the Directive Principles. If laws are made to give effect to the Directive Principles over Fundamental Rights, they shall not be invalid on the grounds that they take away the Fundamental Rights. In case of a conflict between Fundamental Rights and DPSP's, if the DPSP aims at promoting larger interest of the society, the courts shall have to uphold the case in favour of the DPSP. The Directive Principles, though not justiciable, are fundamental in the governance of the country. It shall be the duty of the State to apply these principles in making laws. Besides, all executive agencies should also be guided by these

principles. Even the judiciary has to keep them in mind in deciding cases.

# **Directives**

The directive principles ensure that the State shall strive to promote the welfare of the people by promoting a social order in which social, economic and political justice is informed in all institutions of life. Also, the State shall work towards reducing economic inequality as well as inequalities in status and opportunities, not only among individuals, but also among groups of people residing in different areas or engaged in different vocations. The State shall aim for securing right to an adequate means of livelihood for all citizens, both men and women as well as equal pay for equal work for both men and women.

The State should work to prevent concentration of wealth and means of production in a few hands, and try to ensure that ownership and control of the material resources is distributed to best serve the common good. Child abuse and exploitation of workers should be prevented. Children should be allowed to develop in a healthy manner and should be protected against exploitation and against moral and material abandonment. The State shall provide free legal aid to ensure that equal opportunities for securing justice is ensured to all, and is not denied by reason of economic or other disabilities. The State shall also work for organisation of village panchayats and help enable them to function as units of self-government. The State shall endeavour to provide the right to work, to education and to public assistance in cases of unemployment, old age, sickness and disablement, within the limits of economic capacity, as well as provide for just and humane conditions of work and maternity relief.

The State should also ensure living wage and proper working conditions for workers, with full enjoyment of leisure and social and cultural activities. Also, the promotion of cottage industries in rural areas is one of the obligations of the State. The State shall take steps to promote their participation in management of industrial undertakings.

Also, the State shall endeavour to secure a uniform civil code for all citizens, and provide free and compulsory education to all children till they attain the age of 14 years. This directive regarding education of children was added by the 86th Amendment Act, 2002. It should and work for the economic and educational upliftment of scheduled castes, scheduled tribes and other weaker sections of the society.

The directive principles commit the State to raise the level of nutrition and the standard of living and to improve public health, particularly by prohibiting intoxicating drinks and drugs injurious to health except for medicinal purposes. It should also organise agriculture and animal husbandry on modern and scientific lines by improving breeds and prohibiting slaughter of cows, calves, other milch and draught cattle It should protect and improve the environment and safeguard the forests and wild life of the country. This directive, regarding protection of forests and wildlife was added by the 42nd Amendment Act, 1976.

Protection of monuments, places and objects of historic and artistic interest and national importance against destruction and damage, and separation of judiciary from executive in public services are also the obligations of the State as laid down in the directive principles. Finally, the directive principles, in Article 51 ensure that the State shall strive for the promotion and maintenance of international peace and security, just and honourable relations between nations, respect for international law and treaty obligations, as well as settlement of international disputes by arbitration.

# *Implementation*

The State has made many efforts to implement the **Directive Principles**. The Programme of Universalisation of Elementary Education and the five year plans has been accorded the highest priority in order to provide free education to all children up to the age of 14 years. The 86th constitutional amendment of 2002 inserted a new article, Article 21-A, into the Constitution, that seeks to provide free and compulsory education to all children aged 6 to 14 years. Welfare schemes

for the weaker sections are being implemented both by the Central and state governments. These include programmes such as boys' and girls' hostels for scheduled castes' or scheduled tribes' students. The year 1990-1991 was declared as the "Year of Social Justice" in the memory of B.R. Ambedkar. The government provides free textbooks to students belonging to scheduled castes or scheduled tribes pursuing medicine and engineering courses. During 2002-2003, a sum of Rs. 4.77 crore was released for this purpose. In order that scheduled castes and scheduled tribes are protected from atrocities, the Government enacted the *Prevention of Atrocities Act* in 1995, which provided severe punishments for such atrocities.

Several Land Reform Acts were enacted to provide ownership rights to poor farmers. Up to September 2001, more than 20,000,000 acres (80,000 km²) of land had been distributed to scheduled castes, scheduled tribes and the landless poor. The thrust of banking policy in India has been to improve banking facilities in the rural areas. The Minimum Wages Act of 1948 empowers government to fix minimum wages for employees engaged in various employments. The Consumer Protection Act of 1986 provides for the better protection of consumers. The act is intended to provide simple, speedy and inexpensive redressal to the consumers' grievances, award relief and compensation wherever appropriate to the consumer. The Equal Remuneration Act of 1976, provides for equal pay for equal work for both men and women. The Sampoorna Grameen Rozgar Yojana was launched in 2001 to attain the objective of gainful employment for the rural poor. The programme was implemented through the Panchayati Raj institutions.

Panchayati Raj now covers almost all states and Union territories. One-third of the total number of seats have been reserved for women in Panchayats at every level; in the case of Bihar, half the seats have been reserved for women. Legal aid at the expense of the State has been made compulsory in all cases pertaining to criminal law, if the accused is too poor to engage a lawyer. Judiciary has been separated from the executive in all the states and Union territories except Jammu and Kashmir and Nagaland.

India's Foreign Policy has also to some degree been influenced by the DPSPs. India has in the past condemned all acts of aggression and has also supported the United Nations' peace-keeping activities. By 2004, the Indian Army had participated in 37 UN peace-keeping operations. India played a key role in the passing of a UN resolution in 2003, which envisaged better cooperation between the Security Council and the troop-contributing countries. India has also been in favour of nuclear disarmament.

#### **Amendments**

Changes in Directive Principles require a Constitutional amendment which has to be passed by a special majority of both houses of the Parliament. This means that an amendment requires the approval of two-thirds of the members present and voting. However, the number of members voting should not be less than the simple majority of the house — whether the Lok Sabha or Rajya Sabha.

- Article 31-C, inserted into the Directive Principles of State Policy by the 25th Amendment Act of 1971 seeks to upgrade the DPSPs. If laws are made to give effect to the Directive Principles over Fundamental Rights, they shall not be invalid on the grounds that they take away the Fundamental Rights.
- Article 45, which ensures *Provision for free and compulsory education for children*, was added by the 86th Amendment Act, 2002.
- Article 48-A, which ensures *Protection and improvement of environment and safeguarding of forests and wild life*, was added by the 42nd Amendment Act, 1976.

# Chapter 4

# Human Rights: International Mechanism

# International Councils and Commission on Human Rights—International Court of Justice

The International Court of Justice (French: Cour internationale de justice; commonly referred to as the World Court or ICJ) is the primary judicial organ of the United Nations. It is based in the Peace Palace in The Hague, Netherlands. Its main functions are to settle legal disputes submitted to it by states and to give advisory opinions on legal questions submitted to it by duly authorized international organs, agencies, and the UN General Assembly. The ICJ should not be confused with the International Criminal Court, which also potentially has "global" jurisdiction.

#### **Activities**

Established in 1945 by the UN Charter, the Court began work in 1946 as the successor to the Permanent Court of International Justice. The Statute of the International Court of Justice, similar to that of its predecessor, is the main constitutional document constituting and regulating the Court.

The Court's workload is characterised by a wide range of judicial activity. The ICJ has dealt with relatively few cases in its history, but there has clearly been an increased willingness to use the Court since the 1980s, especially among developing countries. The United States withdrew from compulsory

jurisdiction in 1986, and so accepts the court's jurisdiction only on a case-to-case basis. Chapter XIV of the United Nations Charter authorizes the UN Security Council to enforce World Court rulings, but such enforcement is subject to the veto power of the five permanent members of the Council. Presently there are twelve cases on the World Court's docket.

# Composition

The ICJ is composed of fifteen judges elected to nine year terms by the UN General Assembly and the UN Security Council from a list of persons nominated by the national groups in the Permanent Court of Arbitration. The election process is set out in Articles 4–12 of the ICJ statute. Judges serve for nine year terms and may be re-elected for up to two further terms. Elections take place every three years, with one-third of the judges retiring (and possibly standing for re-election) each time, in order to ensure continuity within the court.

Should a judge die in office, the practice has generally been to elect a judge of the same nationality to complete the term. No two may be nationals of the same country. According to Article 9, the membership of the Court is supposed to represent the "main forms of civilization and of the principal legal systems of the world". Essentially, this has meant common law, civil law and socialist law (now post-communist law). Since the 1960s four of the five permanent members of the Security Council (France, Russia, the United Kingdom, and the United States) have always had a judge on the Court. The exception was China (the Republic of China until 1971, the People's Republic of China from 1971 onwards), which did not have a judge on the Court from 1967-1985, because it did not put forward a candidate. The rule on a geopolitical composition of the bench exists despite the fact that there is no provision for it in the Statute of the ICJ.

Article 2 of the Statute provides that all judges should be "elected regardless of their nationality among persons of high moral character", who are either qualified for the highest judicial office in their home states or known as lawyers with sufficient competence in international law. Judicial independence is dealt

specifically with in Articles 16-18. Judges of the ICJ are not able to hold any other post, nor act as counsel. In practice the Members of the Court have their own interpretation of these rules. This allows them to be involved in outside arbitration and hold professional posts as long as there is no conflict of interest.

A judge can be dismissed only by a unanimous vote of other members of the Court. Despite these provisions, the independence of ICJ judges has been questioned. For example, during the *Nicaragua Case*, the USA issued a communique suggesting that it could not present sensitive material to the Court because of the presence of judges from Eastern bloc states. Judges may deliver joint judgments or give their own separate opinions. Decisions and Advisory Opinions are by majority and, in the event of an equal division, the President's vote becomes decisive. Judges may also deliver separate dissenting opinions.

# Ad hoc Judges

Article 31 of the statute sets out a procedure whereby *ad hoc* judges sit on contentious cases before the Court. This system allows any party to a contentious case to nominate a judge of their choice (usually of their nationality), if a judge of their nationality is not already on the bench. *Ad hoc* judges participate fully in the case and the deliberations, along with the permanent bench. Thus, it is possible that as many as seventeen judges may sit on one case.

This system may seem strange when compared with domestic court processes, but its purpose is to encourage states to submit cases to the Court. For example, if a state knows it will have a judicial officer who can participate in deliberation and offer other judges local knowledge and an understanding of the state's perspective, that state may be more willing to submit to the Court's jurisdiction. Although this system does not sit well with the judicial nature of the body, it is usually of little practical consequence. *Ad hoc* judges usually (but not always) vote in favour of the state that appointed them and thus cancel each other out.

# Chambers

Generally, the Court sits as full bench, but in the last fifteen years it has on occasion sat as a chamber. Articles 26-29 of the statute allow the Court to form smaller chambers, usually 3 or 5 judges, to hear cases. Two types of chambers are contemplated by Article 26: firstly, chambers for special categories of cases, and second, the formation of *ad hoc* chambers to hear particular disputes. In 1993 a special chamber was established, under Article 26(1) of the ICJ statute, to deal specifically with environmental matters (although this chamber has never been used).

Ad hoc chambers are more frequently convened. For example, chambers were used to hear the Gulf of Maine Case (USA v Canada). In that case, the parties made clear they would withdraw the case unless the Court appointed judges to the chamber who were acceptable to the parties. Judgments of chambers may have less authority than full Court judgments, or may diminish the proper interpretation of universal international law informed by a variety of cultural and legal perspectives. On the other hand, the use of chambers might encourage greater recourse to the Court and thus enhance international dispute resolution.

# Current Composition

As of 6 February 2009, the composition of the Court is as follows:

Name	Country	Position	Elected	Term End
Hisashi Owada	Japan	President	2003	2012
Peter Tomka	Slovakia	Vice-President	2003	2012
Shi Jiuyong	China	Member	1994, 2003	2012
Abdul G. Koroma	Sierra Leone	Member	1994, 2003	2012
Awn Shawkat Al-Khasawneh	Jordan	Member	2000, 2009	2018
Thomas Buergenthal	United States	Member	2000, 2006	2015
Bruno Simma	Germany	Member	2003	2012
Ronny Abraham	France	Member	2005, 2009	2018
Sir Kenneth Keith	New Zealand	Member	2006	2015
Bernardo Sepúlveda Amor	Mexico	Member	2006	2015
Mohamed Bennouna	Morocco	Member	2006	2015
Leonid Skotnikov	Russia	Member	2006	2015
Antônio Augusto Cançado Trindade	Brazil	Member	2009	2018
Abdulqawi Yusuf	Somalia	Member	2009	2018
Christopher John Greenwood	United Kingdom	Member	2009	2018

Results of the last election of 6 November 2008:

- Re-elected were France's Ronny Abraham and Awn Shawkat Al-Khasawneh (terms expire on 5 February 2009), while UK's Christopher Greenwood, Brazil's Antonio Augusto Cancado Trindade and Somalia's Abdulqawi Yusuf (terms begin on 6 February 2009) were newly elected.
- The declared candidates Sayeman Bula-Bula (Democratic Republic of the Congo), Miriam Defensor-Santiago (Philippines) and Maurice Kamto (Cameroon) lost in the final voting. The 3 new judges replaced UK's Rosalyn Higgins (as ICJ President), Gonzalo Parra Aranguren of Venezuela and Madagascar's Raymond Ranjeva (terms all expire on 5 February 2009).

#### Jurisdiction

As stated in Article 93 of the UN Charter, all 192 UN members are automatically parties to the Court's statute. Non-UN members may also become parties to the Court's statute under the Article 93(2) procedure. For example, before becoming a UN member state, Switzerland used this procedure in 1948 to become a party. And Nauru became a party in 1988. Once a state is a party to the Court's statute, it is entitled to participate in cases before the Court. However, being a party to the statute does not automatically give the Court jurisdiction over disputes involving those parties. The issue of jurisdiction is considered in the two types of ICJ cases: contentious issues and advisory opinions.

#### Contentious Issues

In contentious cases (adversarial proceedings seeking to settle a dispute), the ICJ produces a binding ruling between states that agree to submit to the ruling of the court. Only states may be parties in contentious cases. Individuals, corporations, parts of a federal state, NGOs, UN organs and self-determination groups are excluded from direct participation in cases, although the Court may receive information from public international organisations. This does not preclude non-

state interests from being the subject of proceedings if one state brings the case against another. For example, a state may, in case of "diplomatic protection", bring a case on behalf of one of its nationals or corporations.

Jurisdiction is often a crucial question for the Court in contentious cases. (See Procedure below.) The key principle is that the ICJ has jurisdiction only on the basis of consent. Article 36 outlines four bases on which the Court's jurisdiction may be founded.

- First, 36(1) provides that parties may refer cases to the Court (jurisdiction founded on "special agreement" or "compromis"). This method is based on explicit consent rather than true compulsory jurisdiction. It is, perhaps, the most effective basis for the Court's jurisdiction because the parties concerned have a desire for the dispute to be resolved by the Court and are thus more likely to comply with the Court's judgment.
- Second, 36(1) also gives the Court jurisdiction over "matters specifically provided for ... in treaties and conventions in force". Most modern treaties will contain a compromissory clause, providing for dispute resolution by the ICJ. Cases founded on compromissory clauses have not been as effective as cases founded on special agreement, since a state may have no interest in having the matter examined by the Court and may refuse to comply with a judgment. For example, during the Iran hostage crisis, Iran refused to participate in a case brought by the US based on a compromissory clause contained in the Vienna Convention on Diplomatic Relations, nor did it comply with the judgment. Since the 1970s, the use of such clauses has declined. Many modern treaties set out their own dispute resolution regime, often based on forms of arbitration.
- Third, Article 36(2) allows states to make optional clause declarations accepting the Court's jurisdiction. The label "compulsory" which is sometimes placed on Article 36(2) jurisdiction is misleading since declarations by states

are voluntary. Furthermore, many declarations contain reservations, such as exclusion from jurisdiction certain types of disputes ("ratione materia"). The principle of reciprocity may further limit jurisdiction. As of October 2006, sixty-seven states had a declaration in force. Of the permanent Security Council members, only the United Kingdom has a declaration. In the Court's early years, most declarations were made by industrialised countries. Since the Nicaragua Case, declarations made by developing countries have increased, reflecting a growing confidence in the Court since the 1980s. Industrialised countries however have sometimes increased exclusions or removed their declarations in recent years. Examples include the USA, as mentioned previously and Australia who modified their declaration in 2002 to exclude disputes on maritime boundaries (most likely to prevent an impending challenge from East Timor who gained their independence two months later).

- Finally, 36(5) provides for jurisdiction on the basis of declarations made under the Permanent Court of International Justice's statute. Article 37 of the Statute similarly transfers jurisdiction under any compromissory clause in a treaty that gave jurisdiction to the PCIJ.
- In addition, the Court may have jurisdiction on the basis of tacit consent (*forum prorogatum*). In the absence of clear jurisdiction under Article 36, jurisdiction will be established if the respondent accepts ICJ jurisdiction explicitly or simply pleads on the merits. The notion arose in the *Corfu Channel Case* (UK v Albania) (1949) in which the Court held that a letter from Albania stating that it submitted to the jurisdiction of the ICJ was sufficient to grant the court jurisdiction.

# Advisory Opinion

An advisory opinion is a function of the Court open only to specified United Nations bodies and agencies. On receiving

a request, the Court decides which States and organizations might provide useful information and gives them an opportunity to present written or oral statements. Advisory Opinions were intended as a means by which UN agencies could seek the Court's help in deciding complex legal issues that might fall under their respective mandates. In principle, the Court's advisory opinions are only consultative in character, though they are influential and widely respected. Whilst certain instruments or regulations can provide in advance that the advisory opinion shall be specifically binding on particular agencies or states, they are inherently non-binding under the Statute of the Court.

This non-binding character does not mean that advisory opinions are without legal effect, because the legal reasoning embodied in them reflects the Court's authoritative views on important issues of international law and, in arriving at them, the Court follows essentially the same rules and procedures that govern its binding judgments delivered in contentious cases submitted to it by sovereign states. An advisory opinion derives its status and authority from the fact that it is the official pronouncement of the principal judicial organ of the United Nations.

Advisory Opinions have often been controversial, either because the questions asked are controversial, or because the case was pursued as an indirect "backdoor" way of bringing what is really a contentious case before the Court. Examples of advisory opinions can be found in the section advisory opinions in the List of International Court of Justice cases article. One such well-known advisory opinion is the *Nuclear Weapons Case*.

# The ICJ and the Security Council

Article 94 establishes the duty of all UN members to comply with decisions of the Court involving them. If parties do not comply, the issue may be taken before the Security Council for enforcement action. There are obvious problems with such a method of enforcement. If the judgment is against one of the permanent five members of the Security Council or its allies, any resolution on enforcement would then be vetoed. This

occurred, for example, after the *Nicaragua* case, when Nicaragua brought the issue of the U.S.'s non-compliance with the Court's decision before the Security Council. Furthermore, if the Security Council refuses to enforce a judgment against any other state, there is no method of forcing the state to comply.

The relationship between the ICJ and the Security Council, and the separation of their powers, was considered by the Court in 1992 in the *Pan Am* case. The Court had to consider an application from Libya for the order of provisional measures to protect its rights, which, it alleged, were being infringed by the threat of economic sanctions by the United Kingdom and United States. The problem was that these sanctions had been authorised by the Security Council, which resulted with a potential conflict between the Chapter VII functions of the Security Council and the judicial function of the Court.

The Court decided, by eleven votes to five, that it could not order the requested provisional measures because the rights claimed by Libya, even if legitimate under the Montreal Convention, prima facie could not be regarded as appropriate since the action was ordered by the Security Council. In accordance with Article 103 of the UN Charter, obligations under the Charter took precedence over other treaty obligations. Nevertheless the Court declared the application admissible in 1998. A decision on the merits has not been given since the parties (United Kingdom, United States and Libya) settled the case out of court in 2003.

There was a marked reluctance on the part of a majority of the Court to become involved in a dispute in such a way as to bring it potentially into conflict with the Council. The Court stated in the *Nicaragua* case that there is no necessary inconsistency between action by the Security Council and adjudication by the ICJ. However, where there is room for conflict, the balance appears to be in favour of the Security Council.

Should either party fail "to perform the obligations incumbent upon it under a judgment rendered by the Court", the Security Council may be called upon to "make

recommendations or decide upon measures" if the Security Council deems such actions necessary. In practice, the Court's powers have been limited by the unwillingness of the losing party to abide by the Court's ruling, and by the Security Council's unwillingness to impose consequences. However, in theory, "so far as the parties to the case are concerned, a judgment of the Court is binding, final and without appeal," and "by signing the Charter, a State Member of the United Nations undertakes to comply with any decision of the International Court of Justice in a case to which it is a party."

For example, the United States had previously accepted the Court's compulsory jurisdiction upon its creation in 1946, but in *Nicaragua v. United States* withdrew its acceptance following the Court's judgment in 1984 that called on the U.S. to "cease and to refrain" from the "unlawful use of force" against the government of Nicaragua. The Court ruled (with only the American judge dissenting) that the United States was "in breach of its obligation under the Treaty of Friendship with Nicaragua not to use force against Nicaragua" and ordered the United States to pay war reparations (see note 2).

Examples of contentious cases include:

- A complaint by the United States in 1980 that Iran was detaining American diplomats in Tehran in violation of international law.
- A dispute between Tunisia and Libya over the delimitation of the continental shelf between them.
- A dispute over the course of the maritime boundary dividing the U.S. and Canada in the Gulf of Maine area.
- A complaint by the Federal Republic of Yugoslavia against the member states of the North Atlantic Treaty Organisation regarding their actions in the Kosovo War. This was denied on 15 December 2004 due to lack of jurisdiction, because the FRY was not a party to the ICJ statute at the time it made the application.

Generally, the Court has been most successful resolving border delineation and the use of oceans and waterways. While

the Court has, in some instances, resolved claims by one State espoused on behalf of its nationals, the Court has generally refrained from hearing contentious cases that are political in nature, due in part to its lack of enforcement mechanism and its lack of compulsory jurisdiction. The Court has generally found it did not have jurisdiction to hear cases involving the use of force.

# Law Applied

When deciding cases, the Court applies international law as summarised in Article 38. Article 38 of the ICJ Statute provides that in arriving at its decisions the Court shall apply international conventions, international custom, and the "general principles of law recognized by civilized nations". It may also refer to academic writing ("the teachings of the most highly qualified publicists of the various nations") and previous judicial decisions to help interpret the law, although the Court is not formally bound by its previous decisions under the doctrine of stare decisis. Article 59 makes clear that the common law notion of precedent or *stare decisis* does not apply to the decisions of the ICJ. The Court's decision binds only the parties to that particular controversy. Under 38(1)(d), however, the Court may consider its own previous decisions. In reality, the ICJ rarely departs from its own previous decisions and treats them as precedent in a way similar to superior courts in common law systems. Additionally, international lawyers commonly operate as though ICJ judgments had precedential value.

If the parties agree, they may also grant the Court the liberty to decide *ex aequo et bono* ("in justice and fairness"), granting the ICJ the freedom to make an equitable decision based on what is fair under the circumstances. This provision has not been used in the Court's history. So far the International Court of Justice has dealt with about 130 cases.

# International Criminal Tribunals and Criminal Courts

The United Nations established special international criminal tribunals in Rwanda and Yugoslavia to prosecute those responsible for atrocities during times of war and genocide.

Successful convictions of these political and military leaders are meant to bring justice to victims and to deter others from committing such crimes in the future.

These special tribunals gave impetus to the formation of the International Criminal Court (ICC), finally established in 2003. Unlike the ICC, the special tribunals have limited jurisdictions and do not threaten the possible prosecution of leaders or nationals of powerful countries like the United States.

This section follows important cases in the Yugoslavia and Rwanda tribunals, as well as developments at the Special Courts in Sierra Leone, Lebanon, Cambodia and East Timor. In addition, the page covers discussions about the trials of Saddam Hussein and other top Baath Party officials, as well as the implications for international justice and criminal law.

#### International Criminal Court

The International Criminal Court (ICC or ICCt) is a permanent tribunal to prosecute individuals for genocide, crimes against humanity, war crimes, and the crime of aggression (although it cannot currently exercise jurisdiction over the crime of aggression).

The court came into being on 1 July 2002 — the date its founding treaty, the Rome Statute of the International Criminal Court, entered into force—and it can only prosecute crimes committed on or after that date. The official seat of the court is in The Hague, Netherlands, but its proceedings may take place anywhere.

As of March 2009, 108 states are members of the Court; A further 40 countries have signed but not ratified the Rome Statute. However, a number of states, including China, Russia, India and the United States, are critical of the court and have not joined.

The ICC can generally exercise jurisdiction only in cases where the accused is a national of a state party, the alleged crime took place on the territory of a state party, or a situation is referred to the court by the United Nations Security Council. The court is designed to complement existing national judicial

systems: it can exercise its jurisdiction only when national courts are unwilling or unable to investigate or prosecute such crimes. Primary responsibility to investigate and punish crimes is therefore left to individual states.

To date, the court has opened investigations into four situations: Northern Uganda, the Democratic Republic of the Congo, the Central African Republic and Darfur. The court has issued public arrest warrants for thirteen people; seven of them remain free, two have died, and four are in custody. The ICC's first trial, of Congolese militia leader Thomas Lubanga, began on 26 January 2009.

# History

In 1948, following the Nuremberg and Tokyo Tribunals, the United Nations General Assembly recognised the need for a permanent international court to deal with atrocities of the kind committed during World War II. At the request of the General Assembly, the International Law Commission drafted two draft statutes by the early 1950s but these were shelved as the Cold War made the establishment of an international criminal court politically unrealistic.

Benjamin B. Ferencz, an investigator of Nazi war crimes after World War II and the Chief Prosecutor for the United States Army at the Einsatzgruppen Trial, one of the twelve military trials held by the U.S. authorities at Nuremberg, later became a vocal advocate of the establishment of an international rule of law and of an International Criminal Court. In his first book published in 1975, entitled *Defining International Aggression-The Search for World Peace*, he argued for the establishment of such an international court.

The idea was revived in 1989 when A. N. R. Robinson, then Prime Minister of Trinidad and Tobago, proposed the creation of a permanent international court to deal with the illegal drug trade. While work began on a draft statute, the international community established *ad hoc* tribunals to try war crimes in the former Yugoslavia and Rwanda, further highlighting the need for a permanent international criminal court.

Following years of negotiations, the General Assembly convened a conference in Rome in June 1998, with the aim of finalising a treaty. On 17 July 1998, the Rome Statute of the International Criminal Court was adopted by a vote of 120 to 7, with 21 countries abstaining. The seven countries that voted against the treaty were China, Iraq, Israel, Libya, Qatar, the United States, and Yemen.

The Rome Statute became a binding treaty on 11 April 2002, when the number of countries that had ratified it reached 60. The Statute legally came into force on 1 July 2002, and the ICC can only prosecute crimes committed after that date. The first bench of 18 judges was elected by an Assembly of States Parties in February 2003. They were sworn in at the inaugural session of the court on 1 March 2003. The court issued its first arrest warrants on 8 July 2005, and the first pre-trial hearings were held in 2006.

# Membership

As of March 2009, 108 countries have joined the court, including nearly all of Europe and South America, and roughly half the countries in Africa. However, these countries only account for a minority of the world's population.

A further 40 states have signed but not ratified the Rome Statute; the law of treaties obliges these states to refrain from "acts which would defeat the object and purpose" of the treaty. In 2002, two of these states, the United States and Israel, "unsigned" the Rome Statute, indicating that they no longer intend to become states parties and, as such, they have no legal obligations arising from their signature of the statute.

#### Jurisdiction

*Crimes within the Jurisdiction of the Court:* Article 5 of the Rome Statute grants the court jurisdiction over four groups of crimes, which it refers to as the "most serious crimes of concern to the international community as a whole": the crime of genocide, crimes against humanity, war crimes, and the crime of aggression. The statute defines each of these crimes except for aggression: it provides that the court will not

exercise its jurisdiction over the crime of aggression until such time as the states parties agree on a definition of the crime and set out the conditions under which it may be prosecuted.

Many states wanted to add terrorism and drug trafficking to the list of crimes covered by the Rome Statute; however, the states were unable to agree on a definition for terrorism and it was decided not to include drug trafficking as this might overwhelm the court's limited resources. India lobbied to have the use of nuclear weapons and other weapons of mass destruction included as war crimes but this move was also defeated. India has expressed concern that "the Statute of the ICC lays down, by clear implication, that the use of weapons of mass destruction is not a war crime. This is an extraordinary message to send to the international community."

Some commentators have argued that the Rome Statute defines crimes too broadly or too vaguely. For example, China has argued that the definition of 'war crimes' goes beyond that accepted under customary international law.

A Review Conference is due to take place in the first half of 2010. Among other things, the conference will review the list of crimes contained in Article 5. The final resolution on adoption of the Rome Statute specifically recommended that terrorism and drug trafficking be reconsidered at this conference.

#### Territorial Jurisdiction

During the negotiations that led to the Rome Statute, a large number of states argued that the court should be allowed to exercise universal jurisdiction. However, this proposal was defeated due in large part to opposition from the United States. A compromise was reached, allowing the court to exercise jurisdiction only under the following limited circumstances:

- where the person accused of committing a crime is a national of a state party (or where the person's state has accepted the jurisdiction of the court);
- where the alleged crime was committed on the territory of a state party (or where the state on whose territory

the crime was committed has accepted the jurisdiction of the court);

 where a situation is referred to the court by the UN Security Council.

# Temporal Jurisdiction

The court's jurisdiction does not apply retroactively: it can only prosecute crimes committed on or after 1 July 2002 (the date on which the Rome Statute entered into force). Where a state becomes party to the Rome Statute after that date, the court can exercise jurisdiction automatically with respect to crimes committed after the statute enters into force for that state.

# Complementarity

The ICC is intended as a court of last resort, investigating and prosecuting only where national courts have failed. Article 17 of the Statute provides that a case is inadmissible if:

- (a) The case is being investigated or prosecuted by a State which has jurisdiction over it, unless the State is unwilling or unable genuinely to carry out the investigation or prosecution;
- (b) The case has been investigated by a State which has jurisdiction over it and the State has decided not to prosecute the person concerned, unless the decision resulted from the unwillingness or inability of the State genuinely to prosecute;
- (c) The person concerned has already been tried for conduct which is the subject of the complaint, and a trial by the Court is not permitted under article 20, paragraph 3;
- (d) The case is not of sufficient gravity to justify further action by the Court.

Article 20, paragraph 3, specifies that, if a person has already been tried by another court, the ICC cannot try them again for the same conduct unless the proceedings in the other court:

- (a) Were for the purpose of shielding the person concerned from criminal responsibility for crimes within the jurisdiction of the Court; or
- (b) Otherwise were not conducted independently or impartially in accordance with the norms of due process recognized by international law and were conducted in a manner which, in the circumstances, was inconsistent with an intent to bring the person concerned to justice.

#### Structure

The ICC is governed by an Assembly of States Parties. The court consists of four organs: the Presidency, the Judicial Divisions, the Office of the Prosecutor, and the Registry.

# Assembly of States Parties

The court's management oversight and legislative body, the Assembly of States Parties, consists of one representative from each state party. Each state party has one vote and "every effort" has to be made to reach decisions by consensus. If consensus cannot be reached, decisions are made by vote.

The Assembly meets in full session once a year in New York or The Hague, and may also hold special sessions where circumstances require. Sessions are open to observer states and non-governmental organisations.

The Assembly elects the judges and prosecutors, decides the court's budget, adopts important texts (such as the Rules of Procedure and Evidence), and provides management oversight to the other organs of the court. Article 46 of the Rome Statute allows the Assembly to remove from office a judge or prosecutor who "is found to have committed serious misconduct or a serious breach of his or her duties" or "is unable to exercise the functions required by this Statute".

The states parties cannot interfere with the judicial functions of the court. Disputes concerning individual cases are settled by the Judicial Divisions.

At the seventh session of the Assembly of States Parties in November 2008, the Assembly decided that the Review

Conference of the Rome Statute shall be held in Kampala, Uganda, during the first semester of 2010.

# Presidency

The Presidency is responsible for the proper administration of the court (apart from the Office of the Prosecutor). It comprises the President and the First and Second Vice-Presidents—three judges of the court who are elected to the Presidency by their fellow judges for a maximum of two three-year terms. The current President is Sang-Hyun Song, who was elected on 11 March 2009.

# Judicial Divisions

The Judicial Divisions consist of the 18 judges of the court, organized into three divisions— the Pre-Trial Division, Trial Division and Appeals Division— which carry out the judicial functions of the court. Judges are elected to the court by the Assembly of States Parties. They serve nine-year terms and are not generally eligible for re-election. All judges must be nationals of states parties to the Rome Statute, and no two judges may be nationals of the same state. They must be "persons of high moral character, impartiality and integrity who possess the qualifications required in their respective States for appointment to the highest judicial offices".

The Prosecutor or any person being investigated or prosecuted may request the disqualification of a judge from "any case in which his or her impartiality might reasonably be doubted on any ground". Any request for the disqualification of a judge from a particular case is decided by an absolute majority of the other judges. A judge may be removed from office if he or she "is found to have committed serious misconduct or a serious breach of his or her duties" or is unable to exercise his or her functions. The removal of a judge requires both a two-thirds majority of the other judges and a two-thirds majority of the states parties.

#### Office of the Prosecutor

The Office of the Prosecutor is responsible for conducting investigations and prosecutions. It is headed by the Prosecutor,

who is assisted by two Deputy Prosecutors. The Rome Statute provides that the Office of the Prosecutor shall act independently; as such, no member of the Office may seek or act on instructions from any external source, such as states, international organisations, non-governmental organisations or individuals.

The Prosecutor may open an investigation under three circumstances:

- when a situation is referred to him by a state party;
- when a situation is referred to him by the United Nations Security Council, acting to address a threat to international peace and security; or
- when the Pre-Trial Chamber authorises him to open an investigation on the basis of information received from other sources, such as individuals or non-governmental organisations.

Any person being investigated or prosecuted may request the disqualification of a prosecutor from any case "in which their impartiality might reasonably be doubted on any ground". Requests for the disqualification of prosecutors are decided by the Appeals Division. A prosecutor may be removed from office by an absolute majority of the states parties if he or she "is found to have committed serious misconduct or a serious breach of his or her duties" or is unable to exercise his or her functions. However, critics of the court argue that there are "insufficient checks and balances on the authority of the ICC prosecutor and judges" and "insufficient protection against politicized prosecutions or other abuses". Henry Kissinger says the checks and balances are so weak that the prosecutor "has virtually unlimited discretion in practice".

As of March 2009, the Prosecutor is Luis Moreno-Ocampo of Argentina, who was elected by the Assembly of States Parties on 21 April 2003 for a term of nine years.

# Registry

The Registry is responsible for the non-judicial aspects of the administration and servicing of the court. This includes,

among other things, "the administration of legal aid matters, court management, victims and witnesses matters, defence counsel, detention unit, and the traditional services provided by administrations in international organisations, such as finance, translation, building management, procurement and personnel". The Registry is headed by the Registrar, who is elected by the judges to a five-year term.. The current Registrar is Silvana Arbia, who was elected on 28 February 2009.

## Rights of the Accused

The Rome Statute provides that all persons are presumed innocent until proven guilty beyond reasonable doubt, and establishes certain rights of the accused and persons during investigations. These include the right to be fully informed of the charges against him or her; the right to have a lawyer appointed, free of charge; the right to a speedy trial; and the right to examine the witnesses against him or her and to obtain the attendance and examination of witnesses on his or her behalf.

Some argue that the protections offered by the ICC are insufficient. According to one conservative think-tank, the Heritage Foundation, "Americans who appear before the court would be denied such basic constitutional rights as trial by a jury of one's peers, protection from double jeopardy, and the right to confront one's accusers." The Human Rights Watch argues that the ICC standards are sufficient, saying, "the ICC has one of the most extensive lists of due process guarantees ever written", including "presumption of innocence; right to counsel; right to present evidence and to confront witnesses; right to remain silent; right to be present at trial; right to have charges proved beyond a reasonable doubt; and protection against double jeopardy". According to David Scheffer, who led the US delegation to the Rome Conference (and who voted against adoption of the treaty), "when we were negotiating the Rome treaty, we always kept very close tabs on, 'Does this meet U.S. constitutional tests, the formation of this court and the due process rights that are accorded defendants?' And we were very confident at the end of Rome that those due process rights,

in fact, are protected, and that this treaty does meet a constitutional test."

In order to ensure "equality of arms" between defence and prosecution teams, the ICC has established an independent Office of Public Counsel for the Defence (OPCD) to provide logistical support, advice and information to defendants and their counsel. The OPCD also helps to safeguard the rights of the accused during the initial stages of an investigation. However, Thomas Lubanga's defence team say they have been given a smaller budget than the Prosecutor and that evidence and witness statements have been slow to arrive.

## Amnesty International

Amnesty International (commonly known as Amnesty or AI) is an international non-governmental organisation which defines its mission as "to conduct research and generate action to prevent and end grave abuses of human rights and to demand justice for those whose rights have been violated." Founded in London, England in 1961, AI draws its attention to human rights abuses and campaigns for compliance with international standards. It works to mobilise public opinion which exerts pressure on individuals who perpetrate abuses. The organisation was awarded the 1977 Nobel Peace Prize for its "campaign against torture" and the United Nations Prize in the Field of Human Rights in 1978, but has been the subject of criticism for both alleged anti-Western and alleged pro-Western bias.

In the field of international human rights organisations (of which there were 300 in 1996), Amnesty has the longest history and broadest name recognition, and "is believed by many to set standards for the movement as a whole."

## History

## 1960s

Amnesty International was founded in London in July 1961 by English labour lawyer Peter Benenson. According to his own account, he was travelling in the London Underground on 19 November 1960, when he read of two Portuguese students

who had been sentenced to seven years of imprisonment for having drunk a toast to liberty. In his famous newspaper article *The Forgotten Prisoners*, Benenson later described his reaction as follows: "Open your newspaper any day of the week and you will find a story from somewhere of someone being imprisoned, tortured or executed because his opinions or religion are unacceptable to his government [...] The newspaper reader feels a sickening sense of impotence. Yet if these feelings of disgust could be united into common action, something effective could be done."

Benenson worked with friend Eric Baker. Baker was a member of the Religious Society of Friends who had been involved in funding the Campaign for Nuclear Disarmament as well as becoming head of Quaker Peace and Social Witness, and in his memoirs Benenson described him as "a partner in the launching of the project". In consultation with other writers, academics and lawyers and, in particular, Alec Digges, they wrote via Louis Blom-Cooper to David Astor, editor of The Observer newspaper, who, on May 28, 1961, published Benenson's article *The Forgotten Prisoners*. The article brought the reader's attention to those "imprisoned, tortured or executed because his opinions or religion are unacceptable to his government" or, put another way, to violations, by governments, of articles 18 and 19 of the Universal Declaration of Human Rights(UDHR). The article described these violations occurring, on a global scale, in the context of restrictions to press freedom, to political oppositions, to timely public trial before impartial courts, and to asylum.

It marked the launch of "Appeal for Amnesty, 1961", the aim of which was to mobilise public opinion, quickly and widely, in defence of these individuals, who Benenson named "Prisoners of Conscience". The "Appeal for Amnesty" was reprinted by a large number of international newspapers. In the same year Benenson had a book published, *Persecution 1961*, which detailed the cases of several prisoners of conscience investigated and compiled by Benenson and Baker. In July 1961 the leadership had decided that the appeal would form the basis of a permanent organisation, which on 30 September 1962 was

officially named 'Amnesty International' (Between the 'Appeal for Amnesty, 1961' and September 1962 the organisation had been known simply as 'Amnesty').

What started as a short appeal soon became a permanent international movement working to protect those imprisoned for non-violent expression of their views and to secure worldwide recognition of Articles 18 and 19 of the UDHR. From the very beginning, research and campaigning were present in Amnesty International's work. A library was established for information about prisoners of conscience and a network of local groups, called 'THREES' groups, was started. Each group worked on behalf of three prisoners, one from each of the then three main ideological regions of the world: communist, capitalist and developing.

By the mid-1960s Amnesty International's global presence was growing and an International Secretariat and International Executive Committee was established to manage Amnesty International's national organisations, called 'Sections', which had appeared in several countries. The international movement was starting to agree on its core principles and techniques. For example, the issue of whether or not to adopt prisoners who had advocated violence, like Nelson Mandela, brought unanimous agreement that it could not give the name of 'Prisoner of Conscience' to such prisoners. Aside from the work of the library and groups, Amnesty International's activities were expanding to helping prisoner's families, sending observers to trials, making representations to governments, and finding asylum or overseas employment for prisoners. Its activity and influence was also increasing within intergovernmental organisations; it would be awarded consultative status by the United Nations, the Council of Europe and UNESCO before the decade ended.

#### 1970s

Leading Amnesty International in the 1970s were key figureheads Sean MacBride and Martin Ennals. While continuing to work for prisoners of conscience, Amnesty International's purview widened to include "fair trial" and

opposition to long detention without trial (UDHR Article 9), and especially to the torture of prisoners (UDHR Article 5). Amnesty International believed that the reasons underlying torture of prisoners, by governments, were either to obtain information or to quell opposition by the use of terror, or both. Also of concern was the export of more sophisticated torture methods, equipment and teaching by the superpowers to "client states", for example by the United States through some activities of the CIA.

Amnesty International drew together reports from countries where torture allegations seemed most persistent and organised an international conference on torture. It sought to influence public opinion in order to put pressure on national governments by organising a campaign for the 'Abolition of Torture' which ran for several years.

Amnesty International's membership increased from 15,000 in 1969 to 200,000 by 1979. This growth in resources enabled an expansion of its program, 'outside of the prison walls', to include work on "disappearances", the death penalty and the rights of refugees. A new technique, the 'Urgent Action', aimed at mobilising the membership into action rapidly was pioneered. The first was issued on March 19, 1973, on behalf of Luiz Basilio Rossi, a Brazilian academic, arrested for political reasons.

At the intergovernmental level Amnesty International pressed for application of the UN's Standard Minimum Rules for the Treatment of Prisoners and of existing humanitarian conventions; to secure ratifications of the two UN Covenants on Human Rights (which came into force in 1976); and was instrumental in obtaining United Nations General Assembly resolution 3059 which formally denounced torture and called on governments to adhere to existing international instruments and provisions forbidding its practice. Consultative status was granted at the Inter-American Commission on Human Rights in 1972.

In 1976 AI started a series of fundraising events informally known as The Secret Policeman's Balls. Initially they were staged in London primarily as comedy galas featuring popular

British comedic performers such as members of Monty Python, later expanding to include leading musical performers. The series was created and developed by Monty Python alumnus John Cleese and entertainment industry executive Martin Lewis working closely with Amnesty staff members Peter Luff (Assistant Director of Amnesty 1976-1977) and subsequently with Peter Walker (Fund-Raising Officer from 1978). Cleese, Lewis and Luff worked together on the first two shows (1976 and 1977).

The organisation was awarded the 1977 Nobel Peace Prize for its "campaign against torture" and the United Nations Prize in the Field of Human Rights in 1978.

#### 1980s

By 1980 Amnesty International was drawing more criticism from governments. The USSR alleged that Amnesty International conducted espionage, the Moroccan government denounced it as a defender of lawbreakers, and the Argentine government banned Amnesty International's 1983 annual report.

Throughout the 1980s, Amnesty International continued to campaign for prisoners of conscience and torture. New issues emerged, including extrajudicial killings, military, security and police transfers, political killings; and disappearances.

Towards the end of the decade, the growing numbers worldwide of refugees was a very visible area of Amnesty International's concern. While many of the world's refugees of the time had been displaced by war and famine, in adherence to its mandate, Amnesty International concentrated on those forced to flee, because of the human rights violations it was seeking to prevent. It argued that rather than focusing on new restrictions on entry for asylum-seekers, governments were to address the human rights violations which were forcing people into exile.

Apart from a second campaign on torture during the first half of the decade, the major AI event of the 1980s was the 1988 Human Rights Now! tour. Designed to increase awareness of

Amnesty and of human rights on the 40th anniversary of the United Nations' Universal Declaration of Human Rights (UDHR), it featured some of the most famous musicians and bands of the day playing a series of concerts on five continents over six weeks.

## 1990s

Throughout the 1990s, Amnesty International continued to grow, to a membership of over 2.2 million in over 150 countries and territories, led by Senegalese Secretary General Pierre Sane. All continued to work on a wide range of issues and world events. For example, South African groups joined in 1992 and hosted a visit by Pierre Sane to meet with the apartheid government to press for an investigation into allegations of police abuse, an end to arms sales to the African Great Lakes region and abolition of the death penalty. In particular, Amnesty International brought attention to violations committed on specific groups including: refugees, racial/ethnic/religious minorities, women and those executed or on Death Row. The death penalty report When the state kills and the 'Human' Rights are Women's Rights' campaign were key actions for the latter two issues and demonstrate that Amnesty International was still very much a reporting and campaigning organisation.

During the 1990s Amnesty International was forced to react to human rights violations occurring in the context of a proliferation of armed conflict in: Angola, East Timor, the Persian Gulf, Rwanda, Somalia and the former Yugoslavia. Amnesty International took no position on whether to support or oppose external military interventions in these armed conflicts. It did not (and does not) reject the use of force, even lethal force, or ask those engaged to lay down their arms. Instead, it questioned the motives behind external intervention and selectivity of international action in relation to the strategic interests of those sending troops. It argued that action should be taken in time to prevent human rights problems becoming human rights catastrophes and that both intervention and inaction represented a failure of the international community.

Amnesty International was proactive in pushing for recognition of the universality of human rights. The campaign 'Get Up, Sign Up' marked 50 years of the UDHR. Thirteen million pledges were collected in support of the Declaration and a music concert was held in Paris on December 10, 1998 (Human Rights Day). At the intergovernmental level, Amnesty International argued in favour of creating a United Nations High Commissioner for Human Rights (established 1993) and an International Criminal Court (established 2002).

After Senator Augusto Pinochet of Chile was arrested in London in 1998 by the Metropolitan Police, Amnesty International became involved in the legal battle of Senator Pinochet, a former Chilean President, who sought to avoid extradition to Spain to face charges relating to his rule of Chile in the 1970s and 80s. It emerged during this legal process that one of the judges in the English House of Lords, Lord Hoffman, had an indirect connection with Amnesty International and this led to an important test for the appearance of bias in legal proceedings in UK law. Amnesty then sought a judicial review of the decision to release Senator Pinochet, taken by the then British Home Secretary Mr. Jack Straw, before that decision had actually been taken, in an attempt to prevent the release of Senator Pinochet. The English High Court refused the application and Senator Pinochet was released and returned to Chile. This legal challenge was a novel attempt to use legal process to challenge a decision before it was taken and could be seen as hard to reconcile with the rule of law, as it was predicated on a presumption that the Home Secretary had erred in law whatever the reasons were for the decision.

#### 2000

After 2000, Amnesty International's agenda turned to the challenges arising from globalisation and the reaction to the September 11, 2001 attacks in the United States. The issue of globalisation provoked a major shift in Amnesty International policy, as the scope of its work was widened to include economic, social and cultural rights, an area that it had declined to work on in the past. Amnesty International felt this shift was important, not just to give credence to its principle of the

indivisibility of rights, but because of the growing power of companies and the undermining of many nation states as a result of globalisation.

In the aftermath of the September 11 attacks, the new Amnesty International Secretary General, Irene Khan, reported that a senior government official had said to Amnesty International delegates: "Your role collapsed with the collapse of the Twin Towers in New York". In the years following the attacks, some of the gains made by human rights organisations over previous decades were eroded. Amnesty International argued that human rights were the basis for the security of all, not a barrier to it. Criticism came directly from the Bush administration and *The Washington Post*, when Khan, in 2005, likened the US government's detention facility at Guantanamo Bay, Cuba, to a Soviet Gulag.

During the first half of the new decade, Amnesty International turned its attention to violence against women, controls on the world arms trade and concerns surrounding the effectiveness of the UN. With its membership close to two million by 2005, Al continued to work for prisoners of conscience.

Amnesty International reported, concerning the Iraq war, on March 17, 2008 that despite claims the security situation in Iraq has improved in recent months, the human rights situation is disastrous, after the start of the war five years ago in 2003.

In 2008 Amnesty International launched a mobile donating campaign in the United States, which allows supporters to make \$5 micro-donations by sending a text message to the short code 90999 with the keyword RIGHTS. Amnesty International's mobile fundraising campaign was created in partnership with Mgive and the Mobile Giving Foundation.

### Work

"Amnesty International's vision is of a world in which every person enjoys all of the human rights enshrined in the Universal Declaration of Human Rights and other international human rights standards.

In pursuit of this vision, Amnesty International's mission is to undertake research and action focused on preventing and ending grave abuses of the rights to physical and mental integrity, freedom of conscience and expression, and freedom from discrimination, within the context of its work to promote all human rights." —Statute of Amnesty International, 27th International Council meeting, 2005.

Amnesty International primarily targets governments, but also reports on non-governmental bodies and private individuals ("non-state actors").

There are five key areas which Amnesty deals with:

- · Women's Rights,
- · Children's Rights,
- Ending Torture and Execution,
- Rights of Refugees,
- · Rights of Prisoners of Conscience.

Some specific aims are to abolish the death penalty, end extra judicial executions and "disappearances", ensure prison conditions meet international human rights standards, ensure prompt and fair trial for all political prisoners, ensure free education to all children worldwide, decriminalise abortion, fight impunity from systems of justice, end the recruitment and use of child soldiers, free all prisoners of conscience, promote economic, social and cultural rights for marginalised communities, protect human rights defenders, promote religious tolerance, stop torture and ill-treatment, stop unlawful killings in armed conflict, and to uphold the rights of refugees, migrants and asylum seekers.

To further these aims, Amnesty International has developed several techniques to publicise information and mobilise public opinion. The organisation considers as one of its strengths the publication of impartial and accurate reports. Reports are researched by interviewing victims and officials, observing trials, working with local human rights activists and by monitoring the media. It aims to issue timely press releases and publishes information in newsletters and on web sites. It also sends

official missions to countries to make courteous but insistent inquiries.

Campaigns to mobilise public opinion can take the form of individual, country or thematic campaigns. Many techniques are deployed such as direct appeals (for example, letter writing), media and publicity work and public demonstrations. Often fund-raising is integrated with campaigning.

In situations which require immediate attention, Amnesty International calls on existing urgent action networks or crisis response networks; for all other matters, it calls on its membership. It considers the large size of its human resources to be another one of its key strengths.

Rank	Country	<b>#Press Releases</b>	% Total
1	USA	136	4.24
2	Israel and O.T.	128	3.99
3	Indonesia and E. Timor	119	3.71
3	Turkey	119	3.71
4	China	115	3.58
5	Serbia and Montenegro (FRY)	104	3.24
6	U.K.	103	3.21
7	India	85	2.65
8	U.S.S.R. and Russia	80	2.49
9	Rwanda	64	2.00
10	Sri Lanka	59	1.84

Source: Ronand et al. (2005:568) Data for 1986-2000.

International Committee of the Red Cross

The International Committee of the Red Cross (ICRC) is a private humanitarian institution based in Geneva, Switzerland. The community of states has given the ICRC a unique role, based on international humanitarian law of the Geneva Conventions as well as customary international law, to protect the victims of international and internal armed conflicts. Such victims include war wounded, prisoners, refugees, civilians, and other non-combatants.

The ICRC is part of the International Red Cross and Red Crescent Movement along with the International Federation

and 186 National Societies. It is the oldest and most honoured organization within the Movement and one of the most widely recognized organizations in the world, having won three Nobel Peace Prizes in 1917, 1944, and 1963.

### International Red Cross

## History

Solfering, Henry Dunant and the Foundation of the ICRC: Up until the middle of the 19 century, there were no organized and well-established army nursing systems for casualties and no safe and protected institutions to accommodate and treat those who were wounded on the battlefield. In June 1859, the Swiss businessman Henry Dunant travelled to Italy to meet French emperor Napoleon III with the intention of discussing difficulties in conducting business in Algeria, at that time occupied by France. When he arrived in the small town of Solferino on the evening of June 24, he witnessed the Battle of Solferino, an engagement in the Austro-Sardinian War. In a single day, about 40,000 soldiers on both sides died or were left wounded on the field. Henry Dunant was shocked by the terrible aftermath of the battle, the suffering of the wounded soldiers, and the near-total lack of medical attendance and basic care. He completely abandoned the original intent of his trip and for several days he devoted himself to helping with the treatment and care for the wounded. He succeeded in organizing an overwhelming level of relief assistance by motivating the local population to aid without discrimination. Back in his home in Geneva, he decided to write a book entitled A Memory of Solferino which he published with his own money in 1862.

He sent copies of the book to leading political and military figures throughout Europe. In addition to penning a vivid description of his experiences in Solferino in 1859, he explicitly advocated the formation of national voluntary relief organizations to help nurse wounded soldiers in the case of war. In addition, he called for the development of international treaties to guarantee the neutrality and protection of those wounded on the battlefield as well as medics and field hospitals.

On February 9, 1863 in Geneva, Henry Dunant founded the "Committee of the Five" (together with four other leading figures from well-known Geneva families) as an investigatory commission of the Geneva Society for Public Welfare. Their aim was to examine the feasibility of Dunant's ideas and to organize an international conference about their possible implementation.

The members of this committee, aside from Dunant himself, were Gustave Moynier, lawyer and chairman of the Geneva Society for Public Welfare; physician Louis Appia, who had significant experience working as a field surgeon; Appia's friend and colleague Theodore Maunoir, from the Geneva Hygiene and Health Commission; and Guillaume-Henri Dufour, a Swiss Army general of great renown. Eight days later, the five men decided to rename the committee to the "International Committee for Relief to the Wounded". In October (26-29) 1863, the international conference organized by the committee was held in Geneva to develop possible measures to improve medical services on the battle field.

The conference was attended by 36 individuals: eighteen official delegates from national governments, six delegates from other non-governmental organizations, seven non-official foreign delegates, and the five members of the International Committee. The states and kingdoms represented by official delegates were Baden, Bavaria, France, Britain, Hanover, Hesse, Italy, the Netherlands, Austria, Prussia, Russia, Saxony, Sweden, and Spain. Among the proposals written in the final resolutions of the conference, adopted on October 29, 1863, were:

- The foundation of national relief societies for wounded soldiers:
- Neutrality and protection for wounded soldiers;
- The utilization of volunteer forces for relief assistance on the battlefield:
- The organization of additional conferences to enact these concepts in legally binding international treaties;

• The introduction of a common distinctive protection symbol for medical personnel in the field, namely a white armlet bearing a red cross.

Only one year later, the Swiss government invited the governments of all European countries, as well as the United States, Brazil, and Mexico, to attend an official diplomatic conference. Sixteen countries sent a total of twenty-six delegates to Geneva. On August 22, 1864, the conference adopted the first Geneva Convention "for the Amelioration of the Condition of the Wounded in Armies in the Field". Representatives of 12 states and kingdoms signed the convention: Baden, Belgium, Denmark, France, Hesse, Italy, the Netherlands, Portugal, Prussia, Switzerland, Spain, and Wurttemberg. The convention contained ten articles, establishing for the first time legally binding rules guaranteeing neutrality and protection for wounded soldiers, field medical personnel, and specific humanitarian institutions in an armed conflict. Furthermore, the convention defined two specific requirements for recognition of a national relief society by the International Committee:

- The national society must be recognized by its own national government as a relief society according to the convention,
- The national government of the respective country must be a state party to the Geneva Convention.

Directly following the establishment of the Geneva Convention, the first national societies were founded in Belgium, Denmark, France, Oldenburg, Prussia, Spain, and Wurttemberg. Also in 1864, Louis Appia and Charles van de Velde, a captain of the Dutch Army, became the first independent and neutral delegates to work under the symbol of the Red Cross in an armed conflict. Three years later in 1867, the first International Conference of National Aid Societies for the Nursing of the War Wounded was convened.

Also in 1867, Henry Dunant was forced to declare bankruptcy due to business failures in Algeria, partly because he had neglected his business interests during his tireless activities for the International Committee. Controversy

surrounding Dunant's business dealings and the resulting negative public opinion, combined with an ongoing conflict with Gustave Moynier, led to Dunant's expulsion from his position as a member and secretary. He was charged with fraudulent bankruptcy and a warrant for his arrest was issued. Thus, he was forced to leave Geneva and never returned to his home city. In the following years, national societies were founded in nearly every country in Europe. In 1876, the committee adopted the name "International Committee of the Red Cross" (ICRC), which is still its official designation today. Five years later, the American Red Cross was founded through the efforts of Clara Barton. More and more countries signed the Geneva Convention and began to respect it in practice during armed conflicts. In a rather short period of time, the Red Cross gained huge momentum as an internationally respected movement, and the national societies became increasingly popular as a venue for volunteer work.

When the first Nobel Peace Prize was awarded in 1901, the Norwegian Nobel Committee opted to give it jointly to Henry Dunant and Frederic Passy, a leading international pacifist. More significant than the honor of the prize itself, the official congratulation from the International Committee of the Red Cross marked the overdue rehabilitation of Henry Dunant and represented a tribute to his key role in the formation of the Red Cross. Dunant died nine years later in the small Swiss health resort of Heiden. Only two months earlier his long-standing adversary Gustave Moynier had also died, leaving a mark in the history of the Committee as its longest-serving president ever.

In 1906, the 1864 Geneva Convention was revised for the first time. One year later, the Hague Convention X, adopted at the Second International Peace Conference in The Hague, extended the scope of the Geneva Convention to naval warfare. Shortly before the beginning of the First World War in 1914, 50 years after the foundation of the ICRC and the adoption of the first Geneva Convention, there were already 45 national relief societies throughout the world. The movement had extended itself beyond Europe and North America to Central

and South America (Argentina, Brazil, Chile, Cuba, Mexico, Peru, El Salvador, Uruguay, Venezuela), Asia (the Republic of China, Japan, Korea, Siam), and Africa (Republic of South Africa).

### World War One

With the outbreak of World War I, the ICRC found itself confronted with enormous challenges which it could only handle by working closely with the national Red Cross societies. Red Cross nurses from around the world, including the United States and Japan, came to support the medical services of the armed forces of the European countries involved in the war. On October 15, 1914, immediately after the start of the war, the ICRC set up its International Prisoners-of-War (POW) Agency, which had about 1,200 mostly volunteer staff members by the end of 1914. By the end of the war, the Agency had transferred about 20 million letters and messages, 1.9 million parcels, and about 18 million Swiss francs in monetary donations to POWs of all affected countries. Furthermore, due to the intervention of the Agency, about 200,000 prisoners were exchanged between the warring parties, released from captivity and returned to their home country. The organizational card index of the Agency accumulated about 7 million records from 1914 to 1923, each card representing an individual prisoner or missing person. The card index led to the identification of about 2 million POWs and the ability to contact their families. The complete index is on loan today from the ICRC to the International Red Cross and Red Crescent Museum in Geneva. The right to access the index is still strictly restricted to the ICRC.

During the entire war, the ICRC monitored warring parties' compliance with the Geneva Conventions of the 1907 revision and forwarded complaints about violations to the respective country. When chemical weapons were used in this war for the first time in history, the ICRC vigorously protested against this new type of warfare. Even without having a mandate from the Geneva Conventions, the ICRC tried to ameliorate the suffering of civil populations. In territories that were officially designated

as "occupied territories," the ICRC could assist the civilian population on the basis of the Hague Convention's "Laws and Customs of War on Land" of 1907. This convention was also the legal basis for the ICRC's work for prisoners of war. In addition to the work of the International Prisoner-of-War Agency as described above this included inspection visits to POW camps. A total of 524 camps throughout Europe were visited by 41 delegates from the ICRC until the end of the war.

Between 1916 and 1918, the ICRC published a number of postcards with scenes from the POW camps. The pictures showed the prisoners in day-to-day activities such as the distribution of letters from home. The intention of the ICRC was to provide the families of the prisoners with some hope and solace and to alleviate their uncertainties about the fate of their loved ones. After the end of the war, the ICRC organized the return of about 420,000 prisoners to their home countries. In 1920, the task of repatriation was handed over to the newly founded League of Nations, which appointed the Norwegian diplomat and scientist Fridtjof Nansen as its "High Commissioner for Repatriation of the War Prisoners." His legal mandate was later extended to support and care for war refugees and displaced persons when his office became that of the League of Nations "High Commissioner for Refugees." Nansen, who invented the Nansen passport for stateless refugees and was awarded the Nobel Peace Prize in 1922, appointed two delegates from the ICRC as his deputies.

A year before the end of the war, the ICRC received the 1917 Nobel Peace Prize for its outstanding wartime work. It was the only Nobel Peace Prize awarded in the period from 1914 to 1918. In 1923, the Committee adopted a change in its policy regarding the selection of new members. Until then, only citizens from the city of Geneva could serve in the Committee. This limitation was expanded to include Swiss citizens. As a direct consequence of World War I, an additional protocol to the Geneva Convention was adopted in 1925 which outlawed the use of suffocating or poisonous gases and biological agents as weapons. Four years later, the original Convention was revised and the second Geneva Convention "relative to the

Treatment of Prisoners of War" was established. The events of World War I and the respective activities of the ICRC significantly increased the reputation and authority of the Committee among the international community and led to an extension of its competencies.

As early as in 1934, a draft proposal for an additional convention for the protection of the civil population during an armed conflict was adopted by the International Red Cross Conference. Unfortunately, most governments had little interest in implementing this convention, and it was thus prevented from entering into force before the beginning of World War II.

#### World War Two

The legal basis of the work of the ICRC during World War II were the Geneva Conventions in their 1929 revision. The activities of the Committee were similar to those during World War I: visiting and monitoring POW camps, organizing relief assistance for civilian populations, and administering the exchange of messages regarding prisoners and missing persons. By the end of the war, 179 delegates had conducted 12,750 visits to POW camps in 41 countries. The Central Information Agency on Prisoners-of-War (Zentralauskunftsstelle fur Kriegsgefangene) had a staff of 3,000, the card index tracking prisoners contained 45 million cards, and 120 million messages were exchanged by the Agency. One major obstacle was that the Nazi-controlled German Red Cross refused to cooperate with the Geneva statutes including blatant violations such as the deportation of Jews from Germany and the mass murders conducted in the concentration camps run by the German government. Moreover, two other main parties to the conflict, the Soviet Union and Japan, were not party to the 1929 Geneva Conventions and were not legally required to follow the rules of the conventions. Thus, other countries were not bound to follow the Conventions regarding their prisoners in return.

During the war, the ICRC failed to obtain an agreement with Nazi Germany about the treatment of detainees in concentration camps, and it eventually abandoned applying pressure in order to avoid disrupting its work with POWs. The

ICRC also failed to develop a response to reliable information about the extermination camps and the mass killing of European Jews. This is still considered the greatest failure of the ICRC in its history. After November 1943, the ICRC achieved permission to send parcels to concentration camp detainees with known names and locations. Because the notices of receipt for these parcels were often signed by other inmates, the ICRC managed to register the identities of about 105,000 detainees in the concentration camps and delivered about 1.1 million parcels, primarily to the camps Dachau, Buchenwald, Ravensbruck, and Sachsenhausen.

On March 12, 1945, ICRC president Jacob Burckhardt received a message from SS General Ernst Kaltenbrunner accepting the ICRC's demand to allow delegates to visit the concentration camps. This agreement was bound by the condition that these delegates would have to stay in the camps until the end of the war. Ten delegates, among them Louis Haefliger (Camp Mauthausen), Paul Dunant (Camp Theresienstadt) and Victor Maurer (Camp Dachau), accepted the assignment and visited the camps. Louis Haefliger prevented the forceful eviction or blasting of Mauthausen-Gusen by alerting American troops, thereby saving the lives of about 60,000 inmates. His actions were condemned by the ICRC because they were deemed as acting unduly on his own authority and risking the ICRC's neutrality. Only in 1990, his reputation was finally rehabilitated by ICRC president Cornelio Sommaruga.

Another example of great humanitarian spirit was Friedrich Born (1903-1963), an ICRC delegate in Budapest who saved the lives of about 11,000 to 15,000 Jews in Hungary. Marcel Junod (1904-1961), a physician from Geneva, was another famous delegate during the Second World War. An account of his experiences, which included being one of the first foreigners to visit Hiroshima after the atomic bomb was dropped, can be found in the book *Warrior without Weapons*.

In 1944, the ICRC received its second Nobel Peace Prize. As in World War I, it received the only Peace Prize awarded

during the main period of war, 1939 to 1945. At the end of the war, the ICRC worked with national Red Cross societies to organize relief assistance to those countries most severely affected. In 1948, the Committee published a report reviewing its war-era activities from September 1, 1939 to June 30, 1947. Since January 1996, the ICRC archive for this period has been open to academic and public research.

## After the Second World War

On August 12, 1949, further revisions to the existing two Geneva Conventions were adopted. An additional convention "for the Amelioration of the Condition of Wounded, Sick and Shipwrecked Members of Armed Forces at Sea", now called the second Geneva Convention, was brought under the Geneva Convention umbrella as a successor to the 1907 Hague Convention X. The 1929 Geneva convention "relative to the Treatment of Prisoners of War" may have been the second Geneva Convention from a historical point of view (because it was actually formulated in Geneva), but after 1949 it came to be called the third Convention because it came later chronologically than the Hague Convention. Reacting to the experience of World War II, the Fourth Geneva Convention, a new Convention "relative to the Protection of Civilian Persons in Time of War," was established. Also, the additional protocols of June 8, 1977 were intended to make the conventions apply to internal conflicts such as civil wars. Today, the four conventions and their added protocols contain more than 600 articles, a remarkable expansion when compared to the mere 10 articles in the first 1864 convention.

In celebration of its centennial in 1963, the ICRC, together with the League of Red Cross Societies, received its third Nobel Peace Prize. Since 1993, non-Swiss individuals have been allowed to serve as Committee delegates abroad, a task which was previously restricted to Swiss citizens. Indeed, since then, the share of staff without Swiss citizenship has increased to about 35%.

On October 16, 1990, the UN General Assembly decided to grant the ICRC observer status for its assembly sessions and sub-committee meetings, the first observer status given to a

private organization. The resolution was jointly proposed by 138 member states and introduced by the Italian ambassador, Vieri Traxler, in memory of the organization's origins in the Battle of Solferino. An agreement with the Swiss government signed on March 19, 1993, affirmed the already long-standing policy of full independence of the Committee from any possible interference by Switzerland. The agreement protects the full sanctity of all ICRC property in Switzerland including its headquarters and archive, grants members and staff legal immunity, exempts the ICRC from all taxes and fees, guarantees the protected and duty-free transfer of goods, services, and money, provides the ICRC with secure communication privileges at the same level as foreign embassies, and simplifies Committee travel in and out of Switzerland.

The ICRC continued its activities throughout the 1990s. It broke its customary media silence when it denounced the Rwandan Genocide in 1994. It struggled to prevent the crimes that happened in and around Srebrenica in 1995 but admitted, "We must acknowledge that despite our efforts to help thousands of civilians forcibly expelled from the town and despite the dedication of our colleagues on the spot, the ICRC's impact on the unfolding of the tragedy was extremely limited." It went public once again in 2007 to decry "major human rights abuses" by Burma's military government including forced labor, starvation, and murder of men, women, and children.

#### **Fatalities**

At the end of the Cold War, the ICRC's work actually became more dangerous. In the 1990s, more delegates lost their lives than at any point in its history, especially when working in local and internal armed conflicts. These incidents often demonstrated a lack of respect for the rules of the Geneva Conventions and their protection symbols. Among the slain delegates were:

 Frederic Maurice. He died on May 19, 1992 at the age of 39, one day after a Red Cross transport he was escorting was attacked in the former Yugoslavian city of Sarajevo.

- Fernanda Calado (Spain), Ingeborg Foss (Norway), Nancy Malloy (Canada), Gunnhild Myklebust (Norway), Sheryl Thayer (New Zealand), and Hans Elkerbout (Netherlands). They were murdered at point-blank range while sleeping in the early hours of December 17, 1996 in the ICRC field hospital in the Chechen city of Nowije Atagi near Grozny. Their murderers have never been caught and there was no apparent motive for the killings.
- Rita Fox (Switzerland), Veronique Saro (Democratic Republic of Congo, formerly Zaire), Julio Delgado (Colombia), Unen Ufoirworth (DR Congo), Aduwe Boboli (DR Congo), and Jean Molokabonge (DR Congo). On April 26, 2001, they were en route with two cars on a relief mission in the northeast of the Democratic Republic of Congo when they came under fatal fire from unknown attackers.
- Ricardo Munguia (El Salvador). He was working as a water engineer in Afghanistan and travelling with local colleagues when their car was stopped by unknown armed men. He was killed execution-style at pointblank range while his colleagues were allowed to escape. He died at the age of 39.
- Vatche Arslanian (Canada). Since 2001, he worked as a logistics coordinator for the ICRC mission in Iraq. He died when he was travelling through Baghdad together with members of the Iraqi Red Crescent. Their car accidentally came into the crossfire of fighting in the city.
- Nadisha Yasassri Ranmuthu (Sri Lanka). He was killed by unknown attackers on July 22, 2003, when his car was fired upon near the city of Hilla in the south of Baghdad.

#### The Holocaust

By taking part in the 1995 ceremony to commemorate the liberation of the Auschwitz concentration camp, the President of the ICRC, Cornelio Sommaruga, sought to show that the

organization was fully aware of the gravity of The Holocaust and the need to keep the memory of it alive, so as to prevent any repetition of it. He paid tribute to all those who had suffered or lost their lives during the war and publicly regretted the past mistakes and shortcomings of the Red Cross with regard to the victims of the concentration camps.

In 2002, an ICRC official outlined some of the lessons the organization has learned from the failure:

- from a legal point of view, the work that led to the adoption of the Geneva Convention relative to the protection of civilian persons in time of war;
- from an ethical point of view, the adoption of the declaration of the Fundamental Principles of the Red Cross and Red Crescent, building on the distinguished work of Max Huber and the late Jean Pictet, in order to prevent any more abuses such as those that occurred within the Movement after Hitler rose to power in 1933:
- on a political level, the ICRC's relationship with Switzerland was redesigned to ensure its independence;
- with a view to keeping memories alive, the ICRC accepted, in 1955, to take over the direction of the International Tracing Service where records from concentration camps are maintained;
- finally, to establish the historical facts of the case, the ICRC invited Jean-Claude Favez to carry out an independent investigation of its activities on behalf of the victims of Nazi persecution, and gave him unfettered access to its archives relating to this period; out of concern for transparency, the ICRC also decided to give all other historians access to its archives dating back more than 50 years; having gone over the conclusions of Favez's work, the ICRC acknowledged its past failings and expressed its regrets in this regard.

In an official statement made on 27 January 2005, the anniversary of the liberation of Auswitz, the ICRC stated:

Auschwitz also represents the greatest failure in the history of the ICRC, aggravated by its lack of decisiveness in taking steps to aid the victims of Nazi persecution. This failure will remain part of the ICRC's memory, as will the courageous acts of individual ICRC delegates at the time.

## Chapter 5

## Human Rights : Indian Institutions

## National Human Rights Commission

The **National Human Rights Commission** (NHRC) of India is an autonomous statutory body established on October 12, after the rights to be held. 1993, under the provisions of *The Protection of Human Rights Act, 1993* (TPHRA). The Commission is in conformity with the Paris Principles-a broad set of principles agreed upon by a number of nations for the promotion and protection of human rights, in Paris in October 1991.

#### **Functions**

TPHRA mandates the NHRC to perform the following functions:

- proactively or reactively inquire into violations of human rights or negligence in the prevention of such violation by a public servant
- intervene in any proceeding involving any allegation of violation of human rights pending before a court
- visit any jail or other institution under the control of the State Government, where persons are detained or lodged for purposes of treatment, reformation or protection, for the study of the living conditions of the inmates and make recommendations

- review the safeguards provided by or under the Constitution or any law for the time being in force for the protection of human rights and recommend measures for their effective implementation
- review the factors, including acts of terrorism that inhibit the enjoyment of human rights and recommend appropriate remedial measures
- study treaties and other international instruments on human rights and make recommendations for their effective implementation
- undertake and promote research in the field of human rights
- spread literacy among various sections of society and promote awareness of the safeguards available for the protection of these rights through publications, the media, seminars and other available means
- encourage the efforts of NGOs and institutions working in the field of human rights

## Composition and Appointment

Sections 3 and 4 of TPHRA lay down the rules for appointment to The NHRC. The Chairperson and members of the NHRC are appointed by the President of India, on the recommendation of a committee comprising of:

- The Prime Minister: Chairperson
- The Speaker of the House of the People: Member
- The Minister-in-charge of the Ministry of Home Affairs in the Government of India: Member
- The Leader of the Opposition in the House of the People: Member
- The Leader of the Opposition in the Council of States: Member
- The Deputy Chairman of the Council of States: Member The NHRC consists of:
  - A Chairperson who has been a Chief Justice of the Supreme Court of India

- One Member who is, or has been, a Judge of the Supreme Court of India
- One Member who is, or has been, the Chief Justice of a High Court
- Two Members to be appointed from among persons having knowledge of, or practical experience in, matters relating to human rights

## The Current Composition of Members:

- Hon'ble Justice Shri S. Rajendra Babu: Chairperson
- Hon'ble Justice Shri Govind Prasad Mathur: Member
- Hon'ble Sri Justice Y. Bhaskar Rao: Member
- · Shri R.S. Kalha: Member
- · Shri P.C.Sharma: Member
- Shri Mohammad Shafi Qureshi (National Commission for Minorities): Chairperson, Ex-officio Member
- Dr. Girija Vyas (National Commission for Women): Chairperson, Ex-officio Member

## State Human Rights Commission

Protection and promotion of human rights constitute the principal concern of the Commission. Pursuant to this objective, the Commission is committed to discharge its functions assigned to it under the Act with transparency and autonomy. The autonomy of the Commission emanates, inter alia, from the procedures relating to the appointment of the Members, the security of their tenure, their stature, the safeguards provided under Section 23 and 24 of the Act and the status accorded to the Commission under overall scheme of the Act. The financial autonomy of the Commission is implied under provisions of Section 33 of the Act. The procedures adopted by the Commission to conduct its proceedings, the suo motu action taken on complaints regardless of the sources received, the openness of its proceedings and the placement of its reports before the State Legislature are key to the strength and transparency of the Commission's functioning.

The functions of the SHRC include considerable scope and range of the functions envisaged for the Commission under sec 12 of the Act, "all or any" of which except what is stated under clause (f) of the section relating to treaties and other International instruments on Human Rights which can be dealt with by the National Human Rights Commission only, are to be performed by this Commission. These functions are to:

- (a) Inquire suo motu or on a petition presented to it, by a victim, or any person on his be into complaint of
  - (i) Violation of human rights or abetment thereof;
  - (ii) Negligence in the prevention of such violation by a public servant.
- (b) Intervene in any proceeding involving any allegation of violation of human rights, per before a Court with the approval of such Court.
- (c) Visit under intimation to the State Government, any jail or any other institution under the control of the State Government where persons are detained or lodged for purposes of treatment, reformation or protection to study the living conditions of the inmates and make recommendations thereon:
- (d) Review the safeguards provided by or under the constitution of any law for the time being in force for the protection of human rights and recommend measures for their effective implementation.
- (e) Review the factors, including acts of terrorism that inhibit the enjoyment of human rights and recommend appropriate remedial measures.
- (f) Not applicable to State Human Rights Commission.
- (g) Undertake and promote research in the field of human rights.
- (h) Spread human rights literacy among various sections of society and promote awareness of the safeguards

- available for the protection of these rights through publications, the n seminars and other available means.
- (i) Encourage the efforts of Non-Governmental organisations and institutions working in the field of human rights.
- (j) Such other functions as it may consider necessary for the promotion of human rights.

## Constitution of State Human Rights Commissions

- (2) The State Commission shall consist of
  - (a) a Chairperson who has been a Chief Justice of a High Court;
  - (b) one Member who is, or has been, a Judge of a High Court;
  - (c) one Member who is, or has been, a district judge in that State;
  - (d) two Members to be appointed from amongst persons having knowledge of, or practical experience in, matters relating to human rights.
- (3) There shall be a Secretary who shall be the Chief Executive Officer of the State Commission and shall exercise such powers and discharge such functions of the State Commission as it may delegate to him.
- (4) The headquarters of the State Commission shall be at such place as the State Government may, by notification, specify.
- (5) A State Commission may inquire into violation of human rights only in respect of matters relatable to any of the entries enumerated in List II and List III in the Seventh Schedule to the Constitution:

Provided that if any such matter is already being inquired into by the Commission or any other Commission duly constituted under any law for the time being in force, the State Commission shall not inquire into the said matter:

Provided further that in relation to the Jammu and Kashmir Human Rights Commission, this sub-section shall have effect as if for the words and figures "List II and List III in the Seventh Schedule to the Constitution", the words and figures "List III in the Seventh Schedule to the Constitution as applicable to the State of Jammu and Kashmir and in respect of matters in relation to which the Legislature of that State has power to make laws" had been substituted.

# Appointment of Chairperson and Other Members of State Commission

- (1) The Chairperson and other Members shall be appointed by the Governor by warrant under his hand and seal: Provided that every appointment under this sub-section shall be made after obtaining the recommendation of a Committee consisting of:
  - (a) the Chief Minister Chairperson
  - (b) Speaker of the Legislative Assembly Member
  - (c) Minister in-charge of the Department of Home, in that tate Member
  - (d) Leader of the Opposition in the Legislative Assembly— Member

Provided further that where there is a Legislative Council in a State, the Chairman of that Council and the Leader of the Opposition in that Council shall also be members of the Committee.

- Provided also that no sitting Judge of a High Court or a sitting District Judge shall be appointed except after consultation with the Chief Justice of the High Court of the concerned State.
- (2) No appointment of a Chairperson or a Member of the State Commission shall be invalid merely by reason of any vacancy in the Committee.

## Removal of a Member of the State Commission

- (1) Subject to the provisions of sub-section (2), the Chairperson or any other member of the State Commission shall only be removed from his office by order of the President on the ground of proved misbehaviour or incapacity after the Supreme Court, on a reference being made to it by the President, has, on inquiry held in accordance with the procedure prescribed in that behalf by the Supreme Court, reported that the Chairperson or such other Member, as the case may be, ought on any such ground to be removed.
- (2) Notwithstanding anything in sub-section (1), the President may by order remove from office the Chairperson or any other Member if the Chairperson or such other Member, as the case may be
  - (a) is adjudged an insolvent;
  - (b) engages during his term of office in any paid employment outside the duties of his office; OR
  - (c) is unfit to continue in office by reason of infirmity of mind or body;
  - (d) is of unsound mind and stands so declared by a competent court;
  - (e) is convicted and sentenced to imprisonment for an offence which in the opinion of the President involves moral turpitude.

## Term of Office of Members of the State Commission

- (1) A person appointed as Chairperson shall hold office for a term of five years from the date on which he enters upon his office or until he attains the age of seventy years, whichever is earlier;
- (2) A person appointed as a Member shall hold office for a term of five years from the date on which he enters upon his office and shall be eligible for re-appointment for another term of five years;
  - Provided that no Member shall hold office after he has attained the age of seventy years.

(3) On ceasing to hold office, a Chairperson or a Member shall be ineligible for further employment under the Government of a State or under the Government of India.

## Member to Act as Chairperson or to Discharge his Functions in Certain Circumstances

- (1) In the event of the occurrence of any vacancy in the office of the Chairperson by reason of his death, resignation or otherwise, the Governor may, by notification, authorise one of the Members to act as the Chairperson until the appointment of a new Chairperson to fill such vacancy.
- (2) When the Chairperson is unable to discharge his functions owing to absence on leave or otherwise, such one of the Members as the Governor may, by notification, authorise in this behalf, shall discharge the functions of the Chairperson until the date on which the Chairperson resumes his duties.

## Terms and Conditions of Service of Members of the State Commission

The salaries and allowances payable to, and other terms and conditions of service of, the Members shall be such as may be prescribed by the State Government.

Provided that neither the salary and allowances nor the other terms and conditions of service of a Member shall be varied to his disadvantage after his appointment.

## Officers and Other Staff of the State Commission

- (1) The State Government shall make available to the Commission:
  - (a) an officer not below the rank of a Secretary to the State Government who shall be the Secretary of the State Commission:
  - (b) such police and investigative staff under an officer not below the rank of an Inspector General of Police and such other officers and staff as may be necessary

for the efficient performance of the functions of the State Commission.

- (2) subject to such rules as may be made by the State Government in this behalf, the State Commission may appoint such other addministrative, technical and scientific staff as it may consider necessary.
- (3) The salaries, allowances and conditions of service of the officers and other staff appointed under sub-section(2) shall be such as may be prescribed by the State Government.

## Annual and Special Reports of State Commission

- (1) The State Commission shall submit an annual report to the State Government and may at any time submit special reports on any matter which, in its opinion, is of such urgency or importance that it should not be deferred till submission of the annual report.
- (2) The State Government shall cause the annual and special reports of the State Commission to be laid before each House of State Legislature where it consists of two Houses, or where such Legislature consists of one House, before that House along with a memorandum of action taken or proposed to be taken on the recommendations of the State Commission and the reasons for non-acceptance of the rections, if any.

# Application of Certain Provisions Relating to National Human Rights Commission to State Commission

The provisions of sections 9, 10, 12, 13, 14, 15, 16, 17 and 18 shall apply to a State Commission and shall have effect, subject to the following modifications, namely:

- (a) references to "Commission" shall be construed as references to "State Commission";
- (b) in section 10, in sub-section (3), for the word "Secretary General", the word "Secretary" shall be substituted;
- (c) in section 12, clause (f) shall be omitted;
- (d) in section 17, in clause (i), the words "Central Government or any" shall be omitted;

## **Human Rights Courts**

## For the Purpose of Providing Speedy Trial of Offences Arising Out of Violation of Human Rights, the State

Government may, with the concurrence of the Chief Justice of the High Court, by notification, specify for each district a Court of Session to be a Human Rights Court to try the said offences.

Provided that nothing in this section shall apply if:

- (a) a Court of Session is already specified as a special court; or
- (b) a special court is already constituted, for such offences under any other law for the time being in force.

## Special Public Prosecutor

For every Human Rights Court, the State Government shall, by notification, specify a Public Prosecutor or appoint an advocate who has been in practice as an advocate for not less than seven years, as a Special Public Prosecutor for the purpose of conducting cases in that Court.

## Finance, Accounts and Audit

Grants by the Central Government:

- (1) The Central Government shall after due appropriation made by Parliament by law in this behalf, pay to the Commission by way of grants such sums of money as the Central Government may think fit for being utilised for the purposes of this Act.
- (2) The Commission may spend such sums as it thinks fit for performing the functions under this Act, and such sums shall be treated as expenditure payable out of the grants referred to in sub-section (1).

## Grants by the State Government

(1) The State Government shall, after due appropriation made by Legislature by law in this behalf, pay to the State Commission by way of grants such sums of money

- as the State Government may think fit for being utilised for the purposes of this Act.
- (2) The State Commission may spend such sums as it thinks fit for performing the functions under Chapter V, and such sums shall be treated as expenditure payable out of the grants referred to in sub-section (1).

## Accounts and Audit

- (1) The Commission shall maintain proper accounts and other relevant records and prepare an annual statement of accounts in such form as may be prescribed by the Central Government in consultation with the Comptroller and Auditor-General of India.
- (2) The Accounts of the Commission shall be audited by the Comptroller and Auditor-General at such intervals as may be specified by him and any expenditure incurred in connection with such audit shall be payable by the Commission to the Comptroller and Auditor-General.
- (3) The Comptroller and Auditor-General or any person appointed by him in connection with the audit of the accounts of the Commision under this Act shall have the same rights and privileges and the authority in connection with such audit as the Comptroller and Auditor-General generally has in connection with the audit of Government ac counts and, in particular, shall have the right to demand the production of books, accounts, connected vouchers and other documents and papers and to inspect any of the offices of the Commission.
- (4) The accounts of the Commission as certified by the Comptroller and Auditor-General or any other person appointed by him in this behalf, together with the audit report thereon shall be forwarded only to the Central Government by the Commission and the Central Government shall cause the audit report to be laid as soon as may be after it is received before each House of Parliament.

## Accounts and Audit of State Commission

- (1) The State Commission shall maintain proper accounts and other relevant records and prepare an annual statement of accounts in such form as may be prescribed by the State Government in consultation with the Comptroller and Auditor-General of India.
- (2) The accounts of the State Commission shall be audited by the Comptroller and Auditor-General at such intervals as may be specified by him and any expenditure incurred in connection with such audit shall be payable by the State Commission to the Comptroller and Auditor-General.
- (3) The Comptroller and Auditor-General or any person appointed by him in connection with the audit of the accounts of the State Commission under this Act shall have the same rights and privileges and the authority in connection with such audit as the Comptroller and Auditor-General generally has in connection with the audit of Government accounts and, in particular, shall have the right to demand the production of books, accounts, connected vouchers and other documents and papers and to inspect any of the offices of the State Commission.
- (4) The accounts of the State Commission, as certified by the Comptroller and Auditor-General or any other person appointed by him in this behalf, together with the audit report thereon, shall be forwarded annually to the State Government by the State Commission and the State Government shall cause the audit report to be laid, as soon as may be after it is received, before the State Legislature.

## Courts in India

One of the objects of the Protection of Human Rights Act, 1993 as stated in the preamble of the Act, is the establishment of human rights courts at district level. The creation of Human Rights Courts at the district level has a great potential to protect and realize human rights at the grassroots.

The Protection of Human Rights Act, 1993 provides for establishment Human Rights Courts for the purpose of providing speedy trial of offences arising out of violation of human rights. It provides that the state Government may, with the concurrence of the Chief Justice of the High Court, by notification, specify for each district a Court of Sessions to be a Human Rights Court to try the said offences. The object of establishment of such Courts at district level is to ensure speedy disposal of cases relating to offences arising out of violation of human rights.

The Act refers to the offences arising out of violations of human rights. But it does not define or explain the meaning of "offences arising out of violations of human rights". It is vague. The Act dose not give any clear indication or clarification as to what type of offences actually are to be tried by the Human Rights Courts. No efforts are made by the Central Government in this direction. Unless the offence is not defined the courts cannot take cognizance of the offences and try them. Till then the Human Rights Courts will remain only for namesake.

Even if "offences arising out of violations of human rights" are defined and clarified or classified, another problem arises in the working of the Human Rights courts in India. The problem is who can take cognizance of the offences. What the Act says is in each district, one Sessions Court has to be specified for trying "offences arising out of human rights violation". It is silent about taking of cognizance of the offence. The Prevention of Corruption Act, 1988 is another law, which provides for appointment of a Sessions Judge in each district as Special Judge to try the offence under the said Act. Provision has been made in section 5 of the Prevention of Corruption Act, 1988 empowering the Special Judge to take cognizance of the offences under the said Act. In the Protection of Human Rights Act, 1993 it is not so.

Sessions Court of the district concerned is considered as the Human Rights Court. Under the Criminal Procedure Code, 1973 a Sessions Judge cannot take cognizance of the offence.

He can only try the cases committed to him by the magistrate under Section 193 of the Cr. P.C.

Similar problem had arisen in working of the Scheduled Castes and Scheduled Tribes (Prevention of Atrocities) Act, 1989 in the beginning. The Special Judges used to take cognizance of the offences. In Potluri Purna Chandra Prabhakara Rao V. State of A.P., 2002(1) Criminal Court cases 150, Ujjagar Singh & Others V. State of Haryana & another, 2003(1) Criminal Court Cases 406 and some other cases it was held that the Special Court (Court of Session) does not get jurisdiction to try the offence under the Act without committal by the Magistrate.

The Supreme Court also held same view in Moly & another V. State of Kerala, 2004(2) Criminal Court Cases 514. Consequently the trial of all the cases under the Prevention of Atrocities Act were stopped and all the cases were sent to the Courts of jurisdictional Magistrates. Thereafter the respective Magistrates took cognizance of the cases and committed them to the Special Courts. The Special Courts started trying the cases after they were committed to them. The Act was later amended giving the Special Courts the power to take cognizance of the offences under Act.

The situation in respect of the Human Rights courts under the Protection of Human Rights Act, 1993 is not different.

Apart from the above, the Special Courts will face yet another question whether provisions of Section 197 of Cr.P.C. are applicable for taking cognizance of the offences under the Protection of Human Rights Act, 1993. In most of the cases of violation of human rights it is the police and other public officers who will be accused.

The offence relate to commission or omission of the public servants in discharge of their duties. Definitely the accused facing the trial under the Act raise the objection. There are plethora of precedents in favour of dispensing with the applicability of Section 197 of Cr.P.C. on the ground that such acts (like the ones which result in violation of human rights) do not come within the purview of the duties of public servants.

But there is scope for speculation as long as there is no specific provision in the Act dispensing with the applicability of Section 197 of Cr.P.C.

The object of establishment of such Courts at district level is to ensure speedy disposal of cases relating to offences arising out of violation of human rights. Unless the lawmakers take note of the above anomalies and remove them by proper amendments the aim for which provisions are made for establishment of special courts will not be achieved.

# The National Commission for Women

The National Commission for Women was set up as statutory body in January 1992 under the National Commission for Women Act, 1990 (Act No. 20 of 1990 of Govt. of India) to:

- review the Constitutional and Legal safeguards for women;
- recommend remedial legislative measures;
- facilitate redressal of grievances;
- advise the Government on all policy matters affecting women.

In keeping with its mandate, the Commission initiated various steps to improve the status of women and worked for their economic empowerment during the year under report. The Commission completed its visits to all the States/UTs except Lakshdweep and prepared Gender Profiles to assess the status of women and their empowerment. It received a large number of complaints and acted suo-moto in several cases to provide speedy justice.

It took up the issue of child marriage, sponsored legal awareness programmes, Parivarik Mahila Lok Adalats and reviewed laws such as Dowry Prohibition Act, 1961, PNDT Act 1994, Indian Penal Code 1860 and the National Commission for Women Act, 1990 to make them more stringent and effective. It organized workshops/consultations, constituted expert committees on economic empowerment of women, conducted workshops/seminars for gender awareness and took up publicity

campaign against female foeticide, violence against women, etc. in order to generate awareness in the society against these social evils.

- The Committee on the Status of Women in India (CSWI) recommended nearly two decades ago, the setting up of a National Commission for women to fulfill the surveillance functions to facilitate redressal of grievances and to accelerate the socio-economic development of women.
- Successive Committees / Commissions / Plans including the National Perspective Plan for Women (1988-2000) recommended the constitution of an apex body for women.
- During 1990, the central government held consultations with NGOs, social workers and experts, regarding the structure, functions, powers etc. of the Commission proposed to be set up.
- In May 1990, the Bill was introduced in the Lok Sabha.
- In July 1990, the HRD Ministry organized a National Level Conference to elicit suggestions regarding the Bill. In August 1990 the government moved several amendments and introduced new provisions to vest the commission with the power of a civil court.
- The Bill was passed and received accent of the President on 30th August 1990.
- The First Commission was constituted on 31st January 1992 with Mrs. Jayanti Patnaik as the Chairperson. The Second Commission was constituted on July 1995 with Dr. (Mrs.) Mohini Giri as the Chairperson. The Third Commission was constituted on January 1999 with Mrs. Vibha Parthasarathy as the Chairperson. The Fourth Commission was constituted on January 2002 and the government had nominated Dr. Poornima Advani as the Chairperson. The Fifth Commission has been constituted on February 2005 and the government has nominated Dr. Girija Vyas as the Chairperson. □

# SECTION 3 National Commission for Women Act, 1990 (Act No. 20 of 1990 of Govt. of India)

- The Central Government shall constitute a body to be known as the National Commission for Women to exercise the powers conferred on and to perform the functions assigned to, it under this Act.
- 2. The Commission shall consist of :
  - a. A Chairperson, committed to the cause of women, to be nominated by the Central Government.
  - b. five Members to be nominated by the Central Government from amongst persons of ability, integrity and standing who have had experience in law or legislation, trade unionism, management of an industry potential of women, women's voluntary organisations (including women activist), administration, economic development, health, education or social welfare:

Provided that at least one Member each shall be from amongst persons belonging to the Scheduled Castes and Scheduled Tribes respectively;

- c. a Member-Secretary to be nominated by the Central Government who shall be :-
  - i. an expert in the field of management, organisational structure or sociological movement, or
  - ii. an officer who is a member of a civil service of the Union or of an all-India service or holds a civil post under the Union with appropriate experience.

# The Mandate of The Commission

SECTION 10 National Commission for Women Act, 1990 (Act No. 20 of 1990 of Govt. of India)

1. The commission shall perform all or any of the following functions, namely:

- investigate and examine all matters relating to the safeguards provided for women under the Constitution and other laws;
- b. present to the Central Government, annually and at such other times as the Commission may deem fit, reports upon the working of those safeguard;
- c. make in such reports recommendations for the effective implementation of those safeguards for the improving the conditions of women by the Union or any state;
- d. review, from time to time, the exiting provisions of the Constitution and other laws affecting women and recommend amendments thereto so as to suggest remedial legislative measures to meet any lacunae, inadequacies or shortcomings in such legislations;
- e. take up cases of violation of the provisions of the Constitution and of other laws relating to women with the appropriate authorities;
- f. look into complaints and take suo moto notice of matters relating to:
  - i. deprivation of women's rights;
  - ii. non-implementation of laws enacted to provide protection to women and also to achieve the objective of equality and development;
  - iii. non-compliance of policy decisions, guidelines or instructions aimed at mitigating hardships and ensuring welfare and providing relief to women, and take up the issues arising out of such matters with appropriate authorities;
- g. call for special studies or investigations into specific problems or situations arising out of discrimination and atrocities against women and identify the constraints so as to recommend strategies for their removal:
- h. undertake promotional and educational research so as to suggest ways of ensuring due representation

of women in all spheres and identify factors responsible for impeding their advancement, such as, lack of access to housing and basic services, inadequate support services and technologies for reducing drudgery and occupational health hazards and for increasing their productivity;

- i. participate and advice on the planning process of socio-economic development of women;
- j. evaluate the progress of the development of women under the Union and any State;
- k. inspect or cause to inspected a jail, remand home, women's institution or other place of custody where women are kept as prisoners or otherwise and take up with the concerned authorities for remedial action, if found necessary;
- I. fund litigation involving issues affecting a large body of women;
- m. make periodical reports to the Government on any matter pertaining to women and in particular various difficulties under which women toil;
- any other matter which may be referred to it by Central Government.

#### State Commission for Women

THE KARNATAKA STATE COMMISSION FOR WOMEN ACT, 1995

ARRANGAMENT OF SECTIONS

Statement of Object and Reasons

Sections:

# Preliminary

- 1. Short title and commencement.
- Definitions.

# State Commission for Women

Constitution of the Commission.

- 4. Term of office and conditions of service of chairperson and members.
- Secretary.
- Staff of the Commission.
- 7. Meetings of the Commission.
- Vacancies etc., not to invalidate proceedings of the Commission.

# Functions and Powers of the Commission

- Functions of the Commission.
- 10. Powers of the Commission.
- 11. Committees of the Commission.

# Finance, Account and Audit

- Budget of the Commission and grants by the Government.
- 13. Account s and Audit.
- 14. Annual report.
- 15. Annual report and audit report to be laid before the State Legislature.

# National Commission of SC/ST

The framers of the Constitution took note of the fact that certain communities in the country were suffering from extreme social, educational and economic backwardness arising out of age-old practice of untouchability and certain others on account of this primitive agricultural practices, lack of infrastructure facilities and geographical isolation, and who need special consideration for safeguarding their interests and for their accelerated socio-economic development. These communities were notified as Scheduled Castes and Scheduled Tribes as per provisions contained in Clause 1 of Articles 341 and 342 of the Constitution respectively.

With a view to provide safeguards against the exploitation of SCs & STs and to promote and protect their social, educational, economic and cultural interests, special provisions

were made in the Constitution. Due to their social disability and economic backwardness, they were grossly handicapped in getting reasonable share in elected offices, Government jobs and educational institutions and, therefore, it was considered necessary to follow a policy of reservations in their favour to ensure their equitable participation in governance. For effective implementation of various safeguards provided in the Constitution for the SCs & STs and various other protective legislations, the Constitution provided for appointment of a Special Officer under Article 338 of the Constitution. The Special Officer who was designated as Commissioner for SCs & STs was assigned the duty to investigate all matters relating to the safeguards for SCs and STs in various statutes and to report to the President upon the working of these safeguards. In order to facilitate effective functioning of the office of the Commissioner for SCs & STs 17 regional offices of the Commissioner were set up in different parts of the country.

On persistent demand of the Members of Parliament that the Office of the Commissioner for SCs & STs alone was not enough to monitor the implementation of Constitutional safeguards, a proposal was moved for amendment of Article 338 of the Constitution (46 Amendment) for replacing the arrangement of one Member system with a Multi-Member system while the amendment to Article 338 was still under consideration, the Government decided to set up a Multi-Member Commission through an administrative decision vide Ministry of Home Affairs' Resolution No.13013/9/77-SCT(1) dated 21.7.1978. The first Commission for SCs & STs was, therefore, set up in August, 1978 with Shri Bhola Paswan Shastri as Chairman and other four Members. The field offices of the erstwhile Commissioner for Scheduled Castes and Scheduled Tribes, which were transferred under the control of DG backward classes welfare in 1965, were brought back under the control of this Commission. The functions of the Commission. for SCs & STs broadly corresponded with those of the Commissioner for SCs & STs.

The functions of the Multi-Member Commission set-up in 1978 were modified vide Ministry of Welfare's Resolution No.

BC-13015/12/86-SCD VI dated 1-9-1987 and the Commission for SCs & STs was renamed as the National Commission for Scheduled Castes and Scheduled Tribes. It was set up as a National Level Advisory Body to advise the Government on broad policy issues and levels of development of Scheduled Castes and Scheduled Tribes.

The statutory National Commission for Scheduled Castes and Scheduled Tribes (hereinafter referred to as the Commission) came into being consequent upon passing of the Constitution (Sixty fifth Amendment) Bill, 1990 which was notified on 8-6-1990 (Annexure I of the handbook) and the Rules there under were notified on 3-11-1990. The first Commission under the Constitution (65tAmendment) Act was constituted on 12-3-1992 replacing the Commissioner for Scheduled Castes and Scheduled Tribes and the Commission set up under the Ministry of Welfare's Resolution of 1987. The first Commission consisted of Shri Ram Dhan as the Chairman, Shri Bandi Oraon as the Vice-Chairman and Shri B. Sammaiah, Dr. Sarojini Mahishi, Choudhary Hari Singh, Shri N. Brahma and Shri Jina Bhai Darjee as Members.

The second Commission was constituted on 5-10-1995 with Shri H. Hanumanthappa as Chairman and Smt. Omem Moyong Deori as Vice-Chairperson. The Members of the Commission were Shri N.C. Chaturvedi, Shri Anand Mohan Biswas, Ven. Lama Lobzang, Shri Nar Singh Baitha and Shri B. Yadaiah.

The third Commission was constituted in December, 1998 vide Ministry of Social Justice and Empowerment's Notification No.5035(E) dated 27 January,1999 consisting of Shri Dileep Singh Bhuria as the Chairman, Shri Kameshwar Paswan as the Vice-Chairman and Shri Harinder Singh Khalsa, Ven. Lama Lobzang, Shri Chhotray Majhi and Shri M. Kannan as Members, Smt. Veena Nayyar, Member was also appointed as Member vide Ministry of Social Justice & Empowerment" Notification No. S.O. 529 (E) dated 30 June 1999. On the resignation of Shri M. Kannan, Shri C. Chellappan was appointed, as Member vides Ministry of Social Justice & Empowerment's Notification No. S.O. 722 (E) dated 3-7-2000.

The fourth Commission was constituted in March, 2002 vide Ministry of Social Justice and Empowerment's Notification No. S.O. 351 (E) dated 21-3-2002 consisting of Dr. Bizay Sonkar Shastri as the Chairperson, Ven. Lama Chosphel Zotpa, Vice-Chairperson and Shri Vijay Kumar Choudhary, Shri Narayan Singh Kesari and Shri Tapir Gao as Members, Smt. Veena Premkumar Sharma assumed office on 23-8-2002 as Member and Shri C. Chellappan as Member completed his tenure on 2 July, 2003. Shri Sampath Kumar assumed office on 30-9-2003 in place of Sh. C. Chellappan.

Consequent upon the Constitution (Eighty-Ninth Amendment) Act, 2003 (Annexure II $\square$  of the handbook) coming into force on 19-2-2004 vide Notification of that date (Annexure III□ of the handbook) the erstwhile National Commission for Scheduled Castes & Scheduled Tribes has been replaced by (1) National Commission for Scheduled Castes, and (2) National Commission for Scheduled Tribes. The Rules of the National Commission for Scheduled Castes were notified on 20 February, 2004 by the Ministry of Social Justice & Empowerment (Annexure IV $\square$  of the handbook) $\square$ . National Commission for Scheduled Castes was constituted with S/Shri Suraj Bhan, Chairperson, Fakirbhai Vaghela, Vice-Chairperson, Phool Chand Verma. V. Devendra and Smt. Surekha Lambture as Members. Due to sudden and unexpected demise of Dr. Suraj Bhan, Chairperson on 6.8.2006, the duties and function of the chairperson were discharged by Shri Fakirbhai Vaghela, Vice-Chairperson of the Commission.

The Second National Commission for Scheduled Castes in series was constituted on 25.05.2007 vide Ministry of Social Justice & Empowerment's letter No.17016/21/2006-SCD-VI with Dr. Buta Singh as the Chairperson, Prof. Narendra M.Kamble, the Vice-Chairperson and Smt. Satya Bahin, Shri Murtyunjay Nayak and Shri Mahendra Boddh respectively as Members.

The Chairman and Vice-Chairman of the Commission has been conferred the status of Union Cabinet Minister and Union Minister of State and the Members of the Commission will enjoy a rank of Secretary to the Government of India.

# Backward Class Commission

Pursuant to the direction of the Supreme Court in the Mandal case judgement, the Government of India enacted the National Commission for Backward Classes Act, 1993 (Act No. 27 of 1993) for setting up a National Commission for Backward Classes at the Centre as a permanent body.

The Act came into effect on the 2nd April, 1993. Section 3 of the Act provides that the Commission shall consist of five Members, comprising of a Chairperson who is or has been a judge of the Supreme Court or of a High Court; a social scientist; two persons, who have special knowledge in matters relating to backward classes; and a Member-Secretary, who is or has been an officer of the Central Government in the rank of a Secretary to the Government of India.

The Government of India constituted the Commission by its Notification No. 12011/34/BCC/Pt. 1 dated 14th August 1993 with a term of three years. The Commission was subsequently re-constituted on 28.2.1997. and 28.7.2000.

# Minority Commission

The setting up of Minorities Commission was envisaged in the Ministry of Home Affairs Resolution dated 12.01.1978 which specifically mentioned that, "despite the safeguards provided in the Constitution and the laws in force, there persists among the Minorities a feeling of inequality and discrimination. In order to preserve secular traditions and to promote National Integration the Government of India attaches the highest importance to the enforcement of the safeguards provided for the Minorities and is of the firm view that effective institutional arrangements are urgently required for the enforcement and implementation of all the safeguards provided for the Minorities in the Constitution, in the Central and State Laws and in the government policies and administrative schemes enunciated from time to time.

Some time in 1984 the Minorities Commission was detached from Ministry of Home Affairs and placed under the newly created Ministry of Welfare.

# National Commission for Minorities

With the enactment of the National Commission for Minorities Act, 1992, the Minorities Commission became a statutory body and renamed as National Commission for Minorities. The first Statutory National Commission was set up on 17th May 1993. Vide a Gazette notification issued on 23rd October 1993 by Ministry of Welfare, Government of India, five religious communities viz; the Muslims, Christians, Sikhs, Buddhists and Zoroastrians (Parsis) were notified as minority communities. As per the 2001 Census, these five religious minority communities constitute 18.42% of the country's population.

# Functions of NCM

As per Section 9(1) of the NCM At, 1992, the Commission is required to perform following functions:

- (a) evaluation of the progress of the development of minorities under the Union and States:
- (b) monitoring of the working of the safeguards for minorities provided in the Constitution and in laws enacted by Parliament and the State Legislatures;
- (c) making recommendations for the effective implementation of safeguards for the protection of the interests of minorities by the Central Government or the State Governments:
- (d) looking into specific complaints regarding deprivation of rights and safeguards of minorities and taking up such matters with the appropriate authorities;
- (e) getting studies to be undertaken into the problems arising out of any discrimination against minorities and recommending measures for their removal;
- (f) conducting studies, research and analysis on the issues relating to socio-economic and educational development of minorities;
- (g) suggesting appropriate measures in respect of any minority to be undertaken by the Central Government or the State Governments:

- (h) making periodical or special reports to the Central Government or any matter pertaining to minorities and in particular the difficulties confronted by them;
- (i) any other matter, which may be referred to it by the Central Government.

Section 2 (c) of NCM Act, 1992 stipulates that 'Minority' for the purposes of the Act, means a community notified as such by the Central Government. Therefore, all the functions of the Commission as laid down in Section 9(1) of the Act are related to the five notified communities.

# Complaints from Notified Minority Communities

Since the financial year 2000-01, the Commission received the following number of complaints (year-wise):

2000 - 01 2478

2001 - 02 2590

2002 - 03 3146

2003 - 04 3578

2004 - 05 3342

2005 – 06 2078 (as on 31.12.2005)

The complaints now being received are mostly related to police atrocities, service matters, minority educational institutions and encroachments to religious properties. Reports were called for from the concerned authorities under the Union and State Governments. On receipt of the reports, the Commission made appropriate recommendations to the respective authorities for redressal of the grievances.

# Non-governmental Organization

Non-governmental organization (NGO) is a term that has become widely accepted for referring to a legally constituted, non-business organization created by natural or legal persons with no participation or representation of any government. In the cases in which NGOs are funded totally or partially by

governments, the NGO maintains its non-governmental status therefore it excludes government representatives from membership in the organization. Unlike the term intergovernmental organization, "non-governmental organization" is a term in generalized use but not a legal definition, in many jurisdictions these type of organizations are defined as "civil society organizations" or alternative terms.

The number of internationally operating NGOs is estimated at 40,000. National numbers are even higher: Russia has 277,000 NGOs. India is estimated to have between 1 million and 2 million NGOs

# History

International non-governmental organizations have a history dating back to at least 1839. Rotary, later Rotary International, was founded in 1904. It has been estimated that by 1914 there were 1083 NGOs. International NGOs were important in the anti-slavery movement and the movement for women's suffrage, and reached a peak at the time of the World Disarmament Conference. However, the phrase "nongovernmental organization" only came into popular use with the establishment of the United Nations Organization in 1945 with provisions in Article 71 of Chapter 10 of the United Nations Charter for a consultative role for organizations which are neither governments nor member states—see Consultative Status. The definition of "international NGO" (INGO) is first given in resolution 288 (X) of ECOSOC on February 27, 1950: it is defined as "any international organization that is not founded by an international treaty". The vital role of NGOs and other "major groups" in sustainable development was recognized in Chapter 27 of Agenda 21, leading to intense arrangements for a consultative relationship between the United Nations and non-governmental organizations.

Rapid development of nongovernmental sector occurred in western countries as a result of the processes of restructurization of the welfare state. Further globalisation of that process occurred after a fall of communist system and was important part of Washington consensus.

Globalization during the 20th century gave rise to the importance of NGOs. Many problems could not be solved within a nation. International treaties and international organizations such as the World Trade Organization were perceived as being too centred on the interests of capitalist enterprises. Some argued that in an attempt to counterbalance this trend, NGOs have developed to emphasize humanitarian issues, developmental aid and sustainable development. A prominent example of this is the World Social Forum which is a rival convention to the World Economic Forum held annually in January in Davos, Switzerland. The fifth World Social Forum in Porto Alegre, Brazil, in January 2005 was attended by representatives from more than 1,000 NGOs. Some have argued that in forums like these. NGOs take the place of what should belong to popular movements of the poor. Others argue that NGOs are often imperialist in nature, that they sometimes operate in a racialized manner in dominated countries, and that they fulfil a similar function to that of the clergy during the high colonial era. The philosopher Peter Hallward argues that they are an aristocratic form of politics. Whatever the case, NGO transnational networking is now extensive.

# Types of NGOs

Apart from "NGO", often alternative terms are used as for example: independent sector, volunteer sector, civil society, grassroots organizations, transnational social movement organizations, private voluntary organizations, self-help organizations and non-state actors (NSA's).

Non-governmental organizations are a heterogeneous group. A long list of acronyms has developed around the term "NGO".

#### These include:

- BINGO is short for business-oriented international NGO, or big international NGO;
- CSO, short for civil society organization;
- DONGO: Donor Organized NGO;
- ENGO: short for environmental NGO, such as Global 2000:

- GONGOs are government-operated NGOs, which may have been set up by governments to look like NGOs in order to qualify for outside aid or promote the interests of the government in question;
- INGO stands for international NGO; Education charter international is an international NGO;
- QUANGOs are quasi-autonomous non-governmental organizations, such as the International Organization for Standardization (ISO). (The ISO is actually not purely an NGO, since its membership is by nation, and each nation is represented by what the ISO Council determines to be the 'most broadly representative' standardization body of a nation. That body might itself be a nongovernmental organization; for example, the United States is represented in ISO by the American National Standards Institute, which is independent of the federal government. However, other countries can be represented by national governmental agencies; this is the trend in Europe);
- TANGO: short for technical assistance NGO;
- GSO: Grassroots Support Organization.

There are also numerous classifications of NGOs. The typology the World Bank uses divides them into Operational and Advocacy:

The primary purpose of an operational NGO is the design and implementation of development-related projects. One frequently used categorization is the division into:relief-oriented: or:development-oriented: organizations; they can also be classified according to whether they stress service delivery or participation; or whether they are religious or secular; and whether they are more public or private-oriented. Operational NGOs can be community-based, national or international.

The primary purpose of an Advocacy NGO is to defend or promote a specific cause. As opposed to operational project management, these organizations typically try to raise awareness, acceptance and knowledge by lobbying, press work and activist events.

USAID refers to NGOs as *private voluntary organisations*. However many scholars have argued that this definition is highly problematic as many NGOs are in fact state and corporate funded and managed projects with professional staff. Furthermore it has often been argued that USAID is in fact a key arm of American imperialism and that it sets up and supports NGOs in order to further imperial agendas.

NGOs exist for a variety of reasons, usually to further the political or social goals of their members or funders. Examples include improving the state of the natural environment, encouraging the observance of human rights, improving the welfare of the disadvantaged, or representing a corporate agenda. However, there are a huge number of such organizations and their goals cover a broad range of political and philosophical positions. This can also easily be applied to private schools and athletic organizations.

#### Methods

NGOs vary in their methods. Some act primarily as lobbyists, while others conduct programs and activities primarily. For instance, an NGO such as Oxfam, concerned with poverty alleviation, might provide needy people with the equipment and skills to find food and clean drinking water, whereas an NGO like the FFDA helps through investigation and documentation of human rights violations and provides legal assistance to victims of human rights abuses.

#### Public Relations

Non-governmental organizations need healthy relationships with the public to meet their goals. Foundations and charities use sophisticated public relations campaigns to raise funds and employ standard lobbying techniques with governments. Interest groups may be of political importance because of their ability to influence social and political outcomes.

# Consulting

Many international NGOs have a consultative status with United Nations agencies relevant to their area of work. As an

example, the Third World Network has a consultative status with the UN Conference on Trade and Development (UNCTAD) and the UN Economic and Social Council (ECOSOC). While in 1946, only 41 NGOs had consultative status with the ECOSOC, by 2003 this number had risen to 3,550.

# Project Management

There is an increasing awareness that management techniques are crucial to project success in non-governmental organizations. Generally, non-governmental organizations that are private have either a community or environmental focus. They address varieties of issues such as religion, emergency aid, or humanitarian affairs. They mobilize public support and voluntary contributions for aid; they often have strong links with community groups in developing countries, and they often work in areas where government-to-government aid is not possible. NGOs are accepted as a part of the international relations landscape, and while they influence national and multilateral policy-making, increasingly they are more directly involved in local action.

# Staffing

Not all people working for non-governmental organizations are volunteers. The reasons people volunteer are not necessarily purely altruistic, and can provide immediate benefits for themselves as well as those they serve, including skills, experience, and contacts.

There is some dispute as to whether expatriates should be sent to developing countries. Frequently this type of personnel is employed to satisfy a donor who wants to see the supported project managed by someone from an industrialized country. However, the expertise these employees or volunteers may have can be counterbalanced by a number of factors: the cost of foreigners is typically higher, they have no grassroot connections in the country they are sent to, and local expertise is often undervalued.

The NGO sector is an important employer in terms of numbers. For example, by the end of 1995, CONCERN

worldwide, an international Northern NGO working against poverty, employed 174 expatriates and just over 5,000 national staff working in ten developing countries in Africa and Asia, and in Haiti.

# **Funding**

Large NGOs may have annual budgets in the hundreds of millions or billions of dollars. For instance, the budget of the American Association of Retired Persons (AARP) was over US\$540 million in 1999.. Funding such large budgets demands significant fundraising efforts on the part of most NGOs. Major sources of NGO funding include membership dues, the sale of goods and services, grants from international institutions or national governments, and private donations. Several EU-grants provide funds accessible to NGOs.

Even though the term "non-governmental organization" implies independence from governments, most NGOs depend heavily on governments for their funding. A quarter of the US\$162 million income in 1998 of the famine-relief organization Oxfam was donated by the British government and the EU. The Christian relief and development organization World Vision collected US\$55 million worth of goods in 1998 from the American government. Nobel Prize winner Medecins Sans Frontieres (MSF) (known in the USA as Doctors Without Borders) gets 46% of its income from government sources.

# Monitoring and Control

In a March 2000 report on United Nations Reform priorities, former U.N. Secretary General Kofi Annan wrote in favor of international humanitarian intervention, arguing that the international community has a "right to protect" citizens of the world against ethnic cleansing, genocide, and crimes against humanity. On the heels of the report, the Canadian government launched the Responsibility to Protect R2PPDF\(\to(434\subsetended{KiB})\) project, outlining the issue of humanitarian intervention. While the R2P doctrine has wide applications, among the more controversial has been the Canadian government's use of R2P to justify its intervention and support of the coup in Haiti.

Years after R2P, the World Federalist Movement, an organization which supports "the creation of democratic global structures accountable to the citizens of the world and call for the division of international authority among separate agencies". has launched Responsibility to Protect-Engaging Civil Society (R2PCS). A collaboration between the WFM and the Canadian government, this project aims to bring NGOs into lockstep with the principles outlined under the original R2P project. The governments of the countries an NGO works or is registered in may require reporting or other monitoring and oversight. Funders generally require reporting and assessment, such information is not necessarily publicly available. There may also be associations and watchdog organizations that research and publish details on the actions of NGOs working in particular geographic or program areas. In recent years, many large corporations have increased their corporate social responsibility departments in an attempt to preempt NGO campaigns against certain corporate practices. As the logic goes, if corporations work with NGOs, NGOs will not work against corporations.

In December 2007, The United States Department of Defense Assistant Secretary of Defense (Health Affairs) established an International Health Division under Force Health Protection & Readiness, Part of International Health's mission is to communicate with NGOs in areas of mutual interest. Department of Defense Directive 3000.05, in 2005, requires DoD to regard stability-enhancing activities as a mission of importance equal to warfighting. In compliance with international law, DoD has necessarily built a capacity to improve essential services in areas of conflict such as Iraq, where the customary lead agencies (State Department and USAID) find it difficult to operate. Unlike the "co-option" strategy described for corporations, the OASD(HA) recognizes the neutrality of health as an essential service. International Health cultivates collaborative relationships with NGOs, albeit at arms-length, recognizing their traditional independence, expertise and honest broker status. While the goals of DoD and NGOs may seem incongruent, the DoD's emphasis on stability and security to reduce and prevent conflict suggests, on careful analysis, important mutual interests.

# Chapter 6

# Human Rights and Marginalised Sections

# The Rights of Ethnic and Racial Minorities

#### Introduction

One of the fundamental bedrocks of human rights is the principle that all human beings are born free and equal in dignity and rights. Discrimination and persecution on the grounds of race and ethnicity are clear violations of this principle. Racial discrimination can take many forms from the most brutal and institutional form of racisms-genocide and *apartheid*, to more covert forms whereby certain racial and ethnic groups are prevented from enjoying the same civil, political, economic, social and cultural rights as other groups in society.

Racial and ethnic discrimination continues to be a major human rights problem in the world today facing both minority and sometimes even majority populations. Much of the early focus of international attention was on apartheid in South Africa which came to an end in 1994. However, the struggle against ethnic and racial hatred has continued with the decade of the 1990's being riven with some of the worst ethnic conflicts the world has ever seen in the Balkans and the Great Lakes region in Africa.

Race is defined as "a group of people of common ancestry, distinguished from others by physical characteristics such as hair type, colour of eyes and skin, stature etc". (Collins English

Dictionary) Ethnic is defined as "relating to or characteristic of a human group having racial, religious, linguistic and certain other traits in common". (Collins English dictionary).

In international human rights law the term race is generally used in a broader sense and often blurs with other distinctions between groups of people based on religion, ethnicity, social groupings, language and culture. The term "race" in human rights law is sometimes used to encompass groups which may not fall into distinctive biological racial groupings, for example caste systems in India and Japan.

The International Convention on the Elimination of Racial Discrimination (article 1) does not define "race" but it does define "racial discrimination" to mean "any distinction, exclusion, restriction or preference based on race, colour, descent, or national or ethnic origin which has the purpose or effect of nullifying or impairing the recognition, enjoyment or exercise, on an equal footing, of human rights and fundamental freedoms in the political, economic, social, cultural or any other field of public life." Ethnicity is explicitly subsumed under this definition by the term "race". Most human rights treaties simply refer to "race" and do not use the terminology of "ethnicity".

# Rights at Stake

The rights of ethnic and racial minorities are protected in international human rights law are as follows:

# The Right to be Protected from Racial Discrimination, Hatred and Violence

International human rights law requires states not to engage in acts of racial discrimination and to carry out a variety of measures to prevent racial discrimination by public institutions, organisations and individuals. The nature of the measures required varies from treaty to treaty but can include: an obligation to review laws and policies to ensure they are not discriminatory; the eradication of racial segregation and apartheid; outlawing of propaganda espousing racial superiority; and banning of organisations promoting racial discrimination and hatred.

# Right to Equal Protection before the Law Irrespective of Racial or Ethnic Origin

Racial and ethnic minorities have equal rights and the law should be equally applied of various civil, political, economic, social and cultural rights to these groups. Most human rights treaties (even those not specifically dealing with issues of race and ethnicity) specifically contain non-discriminatory provisions requiring states to apply principles of human rights law equally to all peoples irrespective of race, religion, social origin, etc.

Unequal treatment in the criminal justice system has been a particular area of concern in a number of countries with practices such as racial profiling (stop and search of suspects on the basis of racial origin) or uneven treatment in the arrest, prosecution and sentencing of offenders. Inequalities in health care provision, housing, education and employment for racial and ethnic minorities are common areas of concern.

# The Right of Racial and Ethnic Groups to Enjoy Their Own Culture, Practice Their Own Religion and Use Their Own Language

This right appears in a several international human rights treaties and is an acknowledgement that racial and ethnic groups are free to act in accordance with their cultural heritage. There can sometimes be a conflict between the cultural, religious and linguistic practices and values of the state and the practices of minority groups. Some states have responded by insisting on a certain level of knowledge of the dominant culture and language.

# Right to Benefit from Positive Steps Taken by the State to Promote Racial Harmony and the Rights of Racial Minorities

Governments are obliged to take special measures to ensure the adequate development and protection of racial groups. This includes affirmative action programmes. States are also required to promote racial understanding through the education system.

# Right to Seek Asylum for Reasons of a Well-founded Fear of Persecution on the Grounds of Race, Religion, Nationality, Membership of a Particular Social Group or Political Opinion

This provision in international refugee law allows individuals to seek asylum in another state if the country of their nationality is unable to protect them from persecution on inter alia racial grounds. This is one of the few cases where the failure of a state to uphold human rights law creates the right for its individuals to seek the protection of another state. Moreover, states are required to apply the provisions of international refugee law in such a way that it does not discriminate on the grounds of race.

# The Right to Remedies

Governments must ensure that effective protection and remedies are provided through competent national tribunals and other state institutions. Individuals also have the right to seek just and adequate reparation from such tribunals for damage done. This article may hold true for individual cases but is highly controversial when it comes to reparations for entire groups of people. The question of remedies was the main sticking point at the 2001 World Conference Against Racism with some countries insisting on a right to reparation, both financial and other, and some Western governments (former colonial powers and the USA) resisting any obligation to remedy past abuses. This debate is similar to the one surrounding issues of reparation to former slaves.

# International and Regional Instruments for Protection and Promotion

International legal instruments take the form of a *treaty* (also called agreement, convention, or protocol) that binds the contracting states to the negotiated terms. When negotiations are completed, the text of a treaty is established as authentic and definitive and is "signed" by the representatives of states. A state can agree to be bound to a treaty in various ways. The most common are *ratification* or *accession*. A new treaty is ratified by those states that have negotiated the instrument.

A state that has not participated in the negotiations may, at a later stage, accede to the treaty. The treaty *enters into force*, or becomes valid, when a pre-determined number of states have ratified or acceded to the treaty.

When a state ratifies or accedes to a treaty, that state may make *reservations* to one or more articles of the treaty, unless reservations are prohibited by the treaty. Reservations may normally be withdrawn at any time. In some countries, international treaties take precedence over national law; in others a specific law may be required to give a ratified international treaty the force of a national law. Practically all states that have ratified or acceded to an international treaty must issue decrees, change existing laws, or introduce new legislation in order for the treaty to be fully effective on the national territory.

The *binding treaties* can be used to force governments to respect the treaty provisions that are relevant for the human right to adequate food and water. The *non-binding instruments*, such as declarations and resolutions, can be used in relevant situations to embarrass governments by negative public exposure; governments who care about their international image may consequently adapt their policies.

The following are the international treaties, declarations and commitments that determine standards for the protection of ethnic and racial minorities:

#### United Nations

Universal Declaration of Human Rights (1948) (article 2, 7): The Universal Declaration of Human Rights (UDHR) stipulates that everyone is entitled to the rights and freedoms set forth in the Declaration irrespective of their status, including their racial and social origin (article 2). Article 7 further affirms that all are equal before the law and are entitled to the protection of the law without discrimination.

Convention Relating to the Status of Refugees (1951) (article 1, 3): The Refugee Convention gives individuals the right to seek asylum on the grounds of well-founded fear of

persecution based on *race*, religion, nationality, membership of a particular social group. Under article 3, states are required to implement these provisions "without discrimination as to *race*, religion or country of origin".

United Nations Declaration on the Elimination of All Forms of Racial Discrimination (1963): This declaration prepared the way for the treaty on the elimination of racial discrimination in 1965. States express their to intention to eliminate "racial discrimination throughout the world, in all its forms and manifestations, and of securing understanding of and respect for the dignity of the human person" and intend to adopt "national and international measures to that end, including teaching, education and information".

International Convention on the Elimination of All Forms of Racial Discrimination (1965): This treaty entered into force in 1969. This is the most comprehensive treaty concerning the rights of racial and ethnic minorities. It lays down in detail the steps required by states to prevent racial discrimination and violence and to foster greater racial harmony.

The convention is monitored by the Committee on the Elimination of Racial Discrimination (CERD) comprised of 18 experts. States are obliged to submit periodic progress reports on the implementation of the convention. Governments must submit reports irrespective of whether they believe they have a problem if racial discrimination. They are also obliged to give effect to the convention by taking preventive and educational measures etc. even if they believe the problem does not currently exist in their country. The committee is able to receive communications from individuals and groups claiming violations of the rights set forth in the convention.

International Covenant on Economic, Social and Cultural Rights (1966) (article 2): Article 2 emphasises that the rights protected in this treaty shall be exercised without distinction of social status or race.

International Covenant on Civil and Political Rights (1966) (article 2, 20, 26, 27): This main human rights treaty on civil and political rights obliges states to guarantee the

rights set forth the Covenant "without distinction of any kind, such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status" (article 2). The treaty also requires governments to probihit by law any "national, racial or religious hatred that constitutes incitement to discrimination, hostility or violence" (article 20). ICCPR also stipulates that all persons are equal before the law and are entitled without any discrimination to the equal protection of the law (article 26). Minorities shall not be denied the right, in community with the other members of their group, to enjoy their own culture, to profess and practise their own religion, or to use their own language (article 27).

Rome Statute of the International Criminal Court (1998) (article 6, 7j): The statute of the International Criminal Court (ICC) gives the court jurisdiction over acts of genocide of specific national, ethnic, racial or religious groups under article 6. Apartheid is further defined as a crime against humanity in article 7(j).

UN treaties relating to specific categories of persons can also be used to protect racial and ethnic rights:

Convention on the Elimination of All Forms of Discrimination Against Women (1979): Discrimination against women from racial and ethnic minorities may also constitute breaches of this treaty and can be taken up with the Committee on the Elimination of Discrimination Against Women.

Convention on the Rights of the Child (1989) (article 30): This treaty protects the rights of children from ethnic, religious or linguistic minorities to enjoy their culture and to practice their religion and language. Violations of these rights can be taken up with the UN Committee on the Rights of the Child.

There are numerous other UN treaties and declarations aimed at combating the problem of discrimination against various racial, religious, social, ethnic groups etc. Examples include, the International Convention on the Suppression and Punishment of the Crime of *Apartheid* (1973), International

Convention against *Apartheid* in Sports (1985). UNESCO has adopted the Convention against Discrimination in Education (1960), which protects the rights of minority groups to education, the Declaration on Race and Racial Prejudice (1978) and the Declaration on Fundamental Principles concerning the Contribution to the Mass Media to Strengthening Peace and International Understanding, to the Promotion of Human Rights and to Countering Racialism, Apartheid and Incitement to War (1978).

The United Nations has taken a number of steps since its inception to combat the problem of racial discrimination. In addition to a number of declarations and conventions, efforts have been made to mobilise public opinion and awareness. 1971 was designated United Nations International Year for Action to Combat Racism and Racial Discrimination followed by two consecutive Decades for Action to Combat Racism and R acial Discrimination. World conferences to combat racism have been held under UN auspices in 1978, 1983 and 2001.

# World Conference against Racism

The World Conference Against Racism, Racial Discrimination, Xenophobia and Related Intolerance, held in September 2001 in South Africa. The documents from the conference contain the latest pronouncements on government consensus concerning issues of race. The Commission on Human Rights established an Intergovernmental working group under its auspices in 2002 to make recommendations on the implementation of the Durban Declaration and Programme of Action and to prepare complementary international standards to update existing instruments.

# Religious Minorities

Every woman, man, youth and child has the human right to freedom of thought, conscience and religion. These fundamental human rights are explicitly set out in the Universal Declaration of Human Rights, the International Covenant on Civil and Political Rights, the Declaration on the Elimination of All Forms of Intolerance and of Discrimination Based on

Religion or Belief and other widely adhered to international human rights treaties and Declarations.

- The Human Right to Freedom of Religion includes the following indivisible, interdependent and interrelated human rights:
- The human right to freedom of thought, conscience and religion.
- The human right to manifest one=s religion or belief in worship, observance, practice and teaching.
- The human right to freedom from discrimination based on religious beliefs or activities, or because of refusal to conform to a certain religion.
- The human right to freedom of expression and of association.
- The human right to conscientious objection on grounds of religious belief.
- The human right of parents to choose schools for their children which ensure the religious and moral education of their children in conformity with their own convictions.

# Human Rights and Refugees

Asylum seekers and refugees are entitled to all the rights and fundamental freedoms that are spelled out in international human rights instruments. The protection of the refugee must therefore be seen in the broader context of the protection of human rights. The creation by States, in the aftermath of the Second World War, of two separate organizations to deal with human rights and refugees respectively, does not mean that these issues are not interrelated.

The work of the United Nations in the field of human rights and that of the High Commissioner for Refugees is inextricably linked in the sense that both entities share a common purpose which is the safeguarding of human dignity. The human rights programme of the United Nations deals with the rights of individuals in the territory of States. The refugee organization

was established in order to restore minimum rights to persons after they leave their countries of origin.

The substantive link between human rights and refugees raises several questions:

In the first place, who is a refugee and what are his or her rights under international law? What are the rights of those asylum seekers who fail to qualify as refugees under the 1951 Convention and the 1967 Protocol? How can refugees be distinguished from economic migrants? Can the international community deny protection to those who claim not to receive protection from their country of origin?

Moreover, what exactly is the link between violations of human rights and movements of refugees? To what extent are those violations the causes of mass exoduses? In what ways can the rights of refugees be violated in the process of asylumseeking in host countries?

Finally, what is the relationship between repatriation and human rights? Can repatriation be truly voluntary when the country of origin is unable, or unwilling, to guarantee respect for the civil, political, economic, social and cultural rights of its citizens?

# Rights of Refugees

The present concept of international protection has evolved gradually and today implies a series of institutional and legal responses. Projecting refugees and seeking durable solutions to their problems are the two main functions of the High Commissioner for Refugees.

In practical terms, the task of international protection includes the prevention of refoulement, assistance in the processing of asylum seekers, providing legal counsel and aid, promoting arrangements for the physical safety of refugees, promoting and assisting voluntary repatriation, and helping refugees to resettle (article 8 of the Statute of the Office of the UNHCR).

Thus, the international protection function has a legal basis, and its exercise is mandatory for the High Commissioner. The

right to protection, although not defined as a separate right as such, is implicit in the 1951 Convention and its fundamental provisions, particularly the principle of non-refoulement.

In addition, many universally recognized human rights are directly applicable to refugees. These include the right to life, protection from torture and ill-treatment, the right to a nationality, the right to freedom of movement, the right to leave any country, including one's own, and to return to one's country, and the right not to be forcibly returned.

These rights are affirmed, among other civil, political, economic, social and cultural rights, for all persons, citizens and non-citizens alike, in the Universal Declaration of Human Rights, the International Covenant on Civil and Political Rights, and the International Covenant on Economic, Social and Cultural Rights which together make up the International Bill of Human Rights.

- (a) "No one shall be subject to arbitrary arrest, detention or exile" (Universal Declaration of Human Rights, article 9);
- (b) "Everyone has the right to seek and to enjoy in other countries asylum from persecution." (Universal Declaration of Human Rights, article 14);
- (c) "Everyone has the right to a nationality" (Universal Declaration of Human Rights, article 15);
- (d) "Everyone has the *right to freedom of movement and residence within the borders of each State*" (Universal Declaration of Human rights, article 13; International Covenant on Civil and Political Rights, article 12).

#### Non-refoulement

Not all of the important rights for refugees are mentioned specifically in the International Bill of Human Rights. A central element of international protection is the right not to be forcibly returned or expelled to a situation which would threaten ones life or freedom. This is the principle of non-refoulement which is embodied in article 33 of the 1951 Convention.

The principle of non-refoulement finds further expression in article 3 of the United Nations Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment which stipulates that "No State Party shall expel, return ("refouler") or extradite a person to another State where there are substantial grounds for believing that he would be in danger of being subjected to torture". Furthermore, "for the purpose of determining whether there are such grounds, the competent authorities shall take into account all relevant considerations including, where applicable, the existence in the State concerned of a consistent pattern of gross, flagrant or mass violations of human rights".

# Refugee or Economic Migrant?

Some countries contend that the majority of asylum seekers are in fact not refugees but economic migrants. Currently, only an estimated 10 to 20 per cent of asylum seekers are granted refugee status in these countries. Contemporary refugee movements are different from those of the period immediately following the Second World War. Reasons for leaving are very often complex and not simply the result of immediate persecution. Persons flee because of civil conflicts, massive violations of their human rights, foreign aggression and occupation, poverty, famine, disease and ecological disasters. Many do not qualify as refugees on the basis of the United Nations definition.

In order to qualify, the person must be a "political" refugee. The 1951 Convention relating to the Status of Refugees places emphasis on "fear of persecution" but it does not define the term clearly. Its article 33 refers to threats to life and freedom of the individual "on account of his race, religion, nationality, membership of a particular social group or political opinion". This definition was drawn up in the context of the post-war years and does not correspond to many of today's refugee situations.

As a result some countries, especially in Africa and Latin America have expanded the definition of the term "refugee". In many other countries, however, the majority of applications for asylum are rejected on a strict reading of the 1951 definition.

From a human rights perspective, this situation raises great concern. It will not always be possible to distinguish, with certainty, between a refugee and an economic migrant. It may be argued that if the emphasis is placed on threats to life and freedom, there is little to distinguish between a person facing death through starvation and another threatened with arbitrary execution because of her political beliefs.

These considerations aside, the fact remains that regardless of whether a person is a refugee or an economic migrant, a citizen or a non-citizen, whether he or she is fleeing persecution, armed conflict, threats to his or her life or abject poverty, that person is entitled to minimum humanrights and minimum standards of treatment.

# Violations of Human Rights and Refugees

Violations of Human Rights as Origins of Mass Exodus: Since 1980, both the United Nations General Assembly and the Commission on Human Rights have focused on ways to prevent mass exoduses. The Commission has included the question of human rights and mass exoduses in its agenda annually and in a number of resolutions it has emphasized the linkage between human rights violations and refugee movements. In recent years the Commission has also considered the plight of the internally displaced.

These two bodies, through various resolutions, have requested the Secretary-General to prepare reports on "International Cooperation to Avert New Flows of Refugees", appointed a Special Rapporteur to study the question of human ri-ghts and mass exoduses. and set up a 17 member Group of Governmental Experts on International Cooperation to Avert New Flows of Refugees.

The Special Rapporteur presented his study to the thirtyeighth session of the Commission on Human Rights in 1982. According to the report, mass exoduses do not only cause human deprivation and misery, but also place increasingly heavy burdens on the international community. In the light of the changing nature of refugee problems, the three traditional solutions of voluntary repatriation, local settlement and

resettlement continue to be viable but must also be supplemented by other approaches.

The Special Rapporteur highlighted the multiplicity and complexity of the origins of mass exoduses. He identified violations of human rights as a major cause of mass exoduses:

"It is abundantly clear that unless ways can be found to counteract the withholding, of, or outright violations of, human rights, unless there is a more equitable sharing of the world's resources, more restraint and tolerance, the granting to everyone, regardless of race, religion, membership of a particular social group or political party, the right to belong or alternatively to move in an orderly fashion to seek work, decent living conditions and freedom from strife the world will continue to have to live with the problem of mass exodus. This problem, if left unchecked, will increasingly pose a threat to peace and stability around the globe.

The final report of the Group of Governmental Experts also stressed the complex and often interrelated political, economic, social and natural causes of mass exoduses. In its recommendations, the Group proposed that the General Assembly call upon Member States to avert new massive flows of refugees by respecting the principles of the Charter, in particular by not resorting to the threat or use of force, by settling their disputes peacefully, by promoting human rights and refraining from creatling conditions which could lead to massive flows of refugees, by cooperating with one another in order to prevent future flows of refugees, and by respecting international laws governing the treatment of refugees.

Following a recommendation by the Special Rapporteur in his report, the Secretary-General set up the Office for Research and the Collection of Information (ORCI) from 1987 to 1991. The Office served as a focal point for undertaking early warning activities to avert new and massive flows of refugees, for monitoring factors related to possible flows of refugees and displaced persons and comparable emergencies, as well as for preparing plans for possible responses. These functions are

now being undertaken by the United Nations Department of Political Affairs. Such activities are an important part of the new and comprehensive approaches being considered by the international community to prevent massive flows of refugees. Prevention requires dealing with the root causes of problems. There is now increasing focus on political and economic conditions of countries of origin of refugees, including internal and external conflict, violations of human rights and level of development and economic performance. These issues are all interrelated. States have repeatedly emphasized that human rights are interdependent and include not only civil and political rights, but also economic, social and cultural rights. Respect for all these rights is the necessary condition for the attainment of human development and the preservation of human dignity.

In addition to its work on preventing mass exoduses, the Commission on Human Rights has, in recent years, also considered the plight of the internally displaced. In 1992, a representative of the Secretary-General was appointed inter alia to gather information on the human rights issues related to internally displaced persons and to examine existling international human rights, humanitarian and refugee laws and standards and their applicability to internally displaced persons. The Representative's report was presented to the Commission the following year, at its forty-ninth session.

The report recommended that a comprehensive mechanism be established within the international system to address the problems of displaced persons recognizing that the human rights aspect of this issue intersects with the humanitarian, the political and the economic dimensions. One important function of this mechanism would be the monitoring of situations with a view to detecting early signs of displacement. This early warning system could be the first step in a coordinated process aimed at ameliorating the suffering of displaced masses and averting further displacements.

# Violations of Rights of Refugees

The international community has now recognized that human rights violations are a major cause of mass exoduses.

While efforts continue to remedy the problem at its source, attention is turning to the difficulties that asylum-seekers encounter after they leave their countries of origin. Three issues are giving rise to concern. The first is the disturbing tendency to close doors to asylum-seekers. The second relates to violations of the minimum rights of asylum-seekers during the process of applying for sylum and also after refugee status has been granted. Intolerance, racism, xenophobia, aggressioni, national and ethnic tensions and conflicts are on the rise in many places and affect many groups, in particular asylum-seekers and refugees. The third issue is the persistence of human rights violations in countries of origin and the need to address those violations before refugees can be voluntarily repatriated.

#### Restrictive Measures

There is a growing tendency to close doors to asylum-seekers. Some Governments, faced with an influx of asylum-seekers, economic migrants and illegal aliens, have introduced restrictive measures that hinder access to their territories. These measures include complicated or burdensome visa requirements for nationals of some countries and fines imposed on airlines that carry undocumented aliens.

# III-Treatment of Asylum-seekers

In some cases the minimum standards of treatment of asylums seekers are not respected. Inadequate refugee-determination procedures and refoulement at airports and borders cause enormous problems for some asylum-seekers. At times refoulement takes inhumane forms such as the forcible return of asylum-seekers to the countries of origin where their lives, liberties and security may be threatened. Boats of asylum-seekers have even been pushed back to sea to die of hunger or make an easy prey for pirates and sharks when they have attempted to land on certain shores.

Other examples of ill-treatment include physical assaults, the detention of asylum-seekers for extended periods and without legitimate reasons and harsh interrogation procedures.

A Government may also fail to provide adequate protection to refugees and asylum-seekers-thereby exposing them to physical danger from racist and xenophobic aggression.

# Human Rights of Political Dissidents

Almost 44 years after Cuban dictator Fidel Castro assumed power, the Cuban people still dream of free elections, freedom of expression, and economic and political rights. During the 1940s, democracy—and the economy—flourished in Cuba. In 1940, Cuba had adopted a constitution considered one of the most democratic and progressive in the region. Presidential elections almost universally regarded as free and fair took place in 1940, 1944 and 1948. By the 1950s, Cuban health care was the envy of the region, with infant mortality rates on a par with the United States and Canada, and superior to such countries as France and Belgium. Cuba's rate of 128 physicians and dentists per 100,000 people in 1957 placed the nation at the same health care level as the Netherlands and ahead of the United Kingdom and Finland. The 1950 UN Statistical Yearbook rated Cuba third among Latin American countries in per capita daily caloric consumption.

Literacy rates were among the highest in Latin America, surpassed only by Chile and Argentina. Cubans had a free public education system from kindergarten to university. Cubans had an 8-hour workday, peasant farmers received land rights under an advanced land reform program, and university access was widely available. Women formed a significant percentage of the Cuban judiciary, the diplomatic service, and municipal officers. The 1940 Constitution had extended social security, provided equal pay for equal work, protected individual and social rights, and outlawed the "latifundia" plantation system of land ownership. According to UN statistics, in 1958 Cuba ranked fifth in the region in per capita GDP, outpaced only by regional powerhouses such as Venezuela, Argentina, Uruguay, and Chile.

This promising advance toward the Cuban dream of freedom and material well-being was suddenly halted in 1952 when former President Fulgencio Batista found himself running third

in the polls for the presidential elections scheduled for that year and decided to take the matter out of the hands of the voters. The Batista coup met with widespread opposition within Cuba, including that of political parties, unions, businessmen and students. Those opposed to Batista's dictatorship-which grew more brutal and repressive as the 1950s progressed-called for a return to the 1940 Constitution, to assurances of civil liberties and free elections. Indeed, this also was the platform of the July 26 Movement, headed by Fidel Castro and a small band of guerrillas who became a symbol of the widespread rejection of Batista's regime.

When Batista suddenly fled Cuba on New Year's Day 1959, a triumphant Cuban population eagerly awaited the restoration of civil liberties and free elections. The Cuban economy had weathered the political repression surprisingly well, remaining the envy of Latin America. Fidel Castro, capturing the sentiment of the moment, promised the eager population an early return to democratic elections and the restoration of civil liberties, forswearing any personal ambition to hold public office.

What the Cuban people instead got in Fidel Castro was a regime that conducted the summary trials and executions of thousands; suppressed political opposition; closed independent media outlets; ended independent economic activity; and made itself an economic dependency and military agent of the Soviet Union.

Today, Cuba, shorn of Soviet subsidies, is one of the poorest countries in the hemisphere. The country ranks last among the countries examined in the 1950 UN report-its citizens have less access to critical cereals, tubers, and meats than they had in the 1940s. And almost 44 years after Fidel Castro assumed power, the Cuban people still dream of free elections, freedom of expression, and the economic and political rights they once fought so hard to attain.

Castro's War on Freedom

Freedom of Expression and of Speech

"Ideas have a price, which you will now have to pay."

Cuban Government interrogators, to one of the 78 men and women arrested in a 2003 crackdown on human rights activists and journalists.

Ideological conformity in Cuba is imposed at the cost of an elaborate and pervasive system of undercover agents, informers, and neighborhood "committees" who detect and suppress dissent. Police and state security officials regularly harass, threaten, and otherwise abuse human rights advocates in public and private as a means of intimidation and control. Freedom of expression and the press are protected only insofar as they conform to the aims of socialist society. Independent voices can and have been arrested on charges as vague as "dangerousness," defined in the Cuban Penal Code as a "special proclivity of a person to commit crimes, demonstrated by his conduct in manifest contradiction of socialist norms." The Inter-American Commission on Human Rights characterized this as a subjective criterion used to justify violations of individual freedoms and due process for individuals whose sole crime was to hold a view different from the official view.

The government tightly controls distribution of information within Cuba, including access to the Internet, and reinforcement of revolutionary ideology and discipline is emphasized over any freedom of expression. All print and electronic media are considered state property under the control of the Communist Party, and independent journalists and librarians are subjected to arbitrary and periodic detentions, harassment, and seizure of equipment and books. Cuban citizens have no access to foreign magazines or newspapers, since many such mainstream publications are outlawed as enemy propaganda, as is the Universal Declaration of Human Rights. Under the 1999 Law to Protect National Independence and the Economy, anyone possessing or disseminating "subversive" literature faces possible prison terms as long as 20 years.

...The government has detained, summarily judged, and sentenced more than 70 human rights activists and independent journalists. These sentences range from 6 to 28 years in prison. The vast majority of those sentenced are promoters and

organizers of the Varela Project, a citizens' initiative supported by the constitution, which collected more than 11,000 signatures from Cuban citizens. A year ago, we presented these signatures to the National Assembly of Popular Power, asking for a referendum. In this way Cubans could decide to make changes to the laws to guarantee fundamental human rights.

The majority of the peaceful opposition representing multiple organizations and ideologies-Liberal, Socialist, and Christian Democrat-support this initiative.... The day before the war in Iraq started, the Cuban regime initiated a terrible campaign of repression creating total uncertainty on the island. Peaceful activists were accused of conspiring against the independence and territorial integrity of the nation. However, none were found to possess arms, subversive plans, or secret information. All their actions were public and consisted of writing their ideas, defending human rights, and promoting the Varela Project. These are the prisoners of the Cuban Spring. Their lives and ours are in danger.

# **Human Rights of Aged Persons**

Human Rights are universal, and civil, political, economic, social and cultural rights belong to all human beings, including older people. The Human Rights of the Aged are explicitly set out in the Universal Declaration of Human Rights, the International Covenants, the Convention on the Elimination of All Forms of Discrimination Against Women, and other widely adhered to international human rights treaties and Declarations.

The Human Rights of the Aged include the following indivisible, interdependent and interrelated human rights:

- The human right to an adequate standard of living, including adequate food, shelter and clothing.
- The human right to adequate social security, assistance, and protection.
- The human right to freedom from discrimination based on age or any other status, in all aspects of life including employment and access to housing, health care, and social services.

- The human right to the highest possible standard of health.
- The human right to be treated with dignity.
- The human right to protection from neglect and all types of physical or mental abuse.
- The human right to full and active participation in all aspects of political, economic, social and cultural life of society.
- The human right to full and effective participate in decision-making concerning their well-being.

# Women's Rights

The term **women's rights** refers to freedoms and entitlements of women and girls of all ages. These rights may or may not be institutionalized, ignored or suppressed by law, local custom, and behavior in a particular society. These liberties are grouped together and differentiated from broader notions of human rights because they often differ from the freedoms inherently possessed by or recognized for men and boys, and because activists for this issue claim an inherent historical and traditional bias against the exercise of rights by women and girls.

Issues commonly associated with notions of women's rights include, though are not limited to, the right: to bodily integrity and autonomy; to vote (universal suffrage); to hold public office; to work; to fair wages or equal pay; to own property; to education; to serve in the military or be conscripted; to enter into legal contracts; and to have marital, parental and religious rights. Women and their supporters have campaigned and in some places continue to campaign for the same rights as modern men.

# Historical Background

Until the mid-nineteenth century, writers assumed that a patriarchal order was a natural order that had existed as John Stuart Mill wrote, since "the very earliest twilight of human society". This was not seriously challenged until the eighteenth

century when Jesuit missionaries found matrilineality in native North American peoples.

In the Middle Ages, an early effort to improve the status of women in Islam occurred during the early reforms under Islam, when women were given greater rights in marriage, divorce and inheritance. Women were not accorded with such legal status in other cultures, including the West, until centuries later. The Oxford Dictionary of Islam states that the general improvement of the status of Arab women included prohibition of female infanticide and recognizing women's full personhood. "The dowry, previously regarded as a bride-price paid to the father, became a nuptial gift retained by the wife as part of her personal property." Under Islamic law, marriage was no longer viewed as a "status" but rather as a "contract", in which the woman's consent was imperative. "Women were given inheritance rights in a patriarchal society that had previously restricted inheritance to male relatives." Annemarie Schimmel states that "compared to the pre-Islamic position of women. Islamic legislation meant an enormous progress; the woman has the right, at least according to the letter of the law, to administer the wealth she has brought into the family or has earned by her own work." According to Professor William Montgomery Watt, when seen in such historical context, Muhammad "can be seen as a figure who testified on behalf of women's rights."

Some have claimed that women generally had more legal rights under Islamic law than they did under Western legal systems until more recent times. English Common Law transferred property held by a wife at the time of a marriage to her husband, which contrasted with the Sura: "Unto men (of the family) belongs a share of that which Parents and near kindred leave, and unto women a share of that which parents and near kindred leave, whether it be a little or much-a determinate share" (Quran 4:7), albeit maintaining that husbands were solely responsible for the maintenance and leadership of his wife and family. "French married women, unlike their Muslim sisters, suffered from restrictions on their legal capacity which were removed only in 1965."

In the 16th century, the Reformation in Europe allowed more women to add their voices, including the English writers Jane Anger, Aemilia Lanyer, and the prophetess Anna Trapnell. However, it has been claimed that the Dissolution and resulting closure of convents had deprived many such women of one path to education. Giving voice in the secular context became more difficult when deprived of the rationale and protection of divine inspiration. Queen Elizabeth I demonstrated leadership amongst women, even if she was unsupportive of their causes, and subsequently became a role model for the education of women.

# The Enlightenment and a Vindication of the Rights of Woman

The Age of Enlightenment was characterized by secular intellectual reasoning, and a flowering of philosophical writing. The most important feminist writer of the time was Mary Wollstonecraft, often described as the first feminist philosopher. In *A Vindication of the Rights of Woman* (1792) Wollstonecraft argued that it was the education and upbringing of women that created limited expectations. Despite some inconsistencies (Brody refers to the "Two Wollestoncrafts") reflective of problems that had no easy answers, this book remains a foundation stone of feminist thought.

In other parts of Europe, Hedvig Charlotta Nordenflycht was writing in Sweden, and what is thought to be the first scientific society for women was founded in Middelburg, in the south of Holland in 1785. This was the Natuurkundig Genootschap der Dames (Women's Society for Natural Knowledge). Which met regularly until 1881, finally dissolving in 1887. However Deborah Crocker and Sethanne Howard point out that women have been scientists for 4,000 years. Journals for women which focused on science became popular during this period as well.

# Suffrage, the Right to Vote

The ideas that were planted in the late 1700s took root during the 1800s. Women began to agitate for the right to vote and participate in government and law making. The ideals of

Women's suffrage developed alongside that of universal suffrage, and women's movements took lessons from those in other countries. Today women's suffrage is considered a right (under the Convention on the Elimination of All Forms of Discrimination Against Women), although a few countries, mainly in the Middle East, continue to deny voting rights to women.

#### Modern Movement

In the subsequent decades women's rights again became an important issue in the English speaking world. By the 1960s the movement was called "feminism" or "women's liberation." Reformers wanted the same pay as men, equal rights in law, and the freedom to plan their families or not have children at all. Their efforts were met with mixed results.

In the UK a public groundswell of opinion in favour of legal equality had gained pace, partly through the extensive employment of women in men's traditional roles during both world wars. By the 1960s the legislative process was being readied, tracing through MP Willie Hamilton's select committee report, his Equal Pay For Equal Work Bill, the creation of a Sex Discrimination Board, Lady Sear's draft sex anti-discrimination bill, a government Green Paper of 1973, until 1975 when the first British Sex Discrimination Act, an Equal Pay Act, and an Equal Opportunities Commission came into force. With encouragement from the UK government, the other countries of the EEC soon followed suit with an agreement to ensure that discrimination laws would be phased out across the European Community.

In the USA, the US National Organization for Women (NOW) was created in 1966 with the purpose of bringing about equality for all women. NOW was one important group that fought for the Equal Rights Amendment (ERA). This amendment stated that "equality of rights under the law shall not be denied or abridged by the United States or any state on account of sex." But there was disagreement on how the proposed amendment would be understood. Supporters believed it would guarantee women equal treatment. But critics feared it might

deny women the right be financially supported by their husbands. The amendment died in 1982 because not enough states had ratified it. ERAs have been included in subsequent Congresses, but have still failed to be ratified.

In the last three decades of the 20th century, Western women knew a new freedom through birth control, which enabled women to plan their adult lives, often making way for both career and family. The movement had been started in the 1910s by US pioneering social reformer Margaret Sanger and in the UK and internationally by Marie Stopes.

Over the course of the 20th century women took on a greater role in society. For example, many women served in government. In the U.S. government some served as U.S. Senators and others as members of the U.S. Cabinet. Many women took advantage of opportunities to become educated. In the United States at the beginning of the 20th century less than 20% of all college degrees were earned by women. By the end of the century this figure had risen to about 50%.

Opportunities also expanded in the workplace. Fields such as medicine, law, and science opened to include more women. At the beginning of the 20th century about 5% of the doctors in the United States were women. As of 2006, over 38% of all doctors in the United States were women, and today, women make almost 50% of the medical student population. While the numbers of women in these fields increased, many women still continued to hold clerical, factory, retail, or service jobs. For example, they worked as office assistants, on assembly lines, or as cooks.

# United Nations and Womens' Rights

In 1946 the United Nations established a Commission on the Status of Women. Originally as the Section on the Status of Women, Human Rights Division, Department of Social Affairs, and now part of the Economic and Social Council (ECOSOC). Since 1975 the UN has held a series of world conferences on women's issues, starting with the World Conference of the International Women's Year in Mexico City. These conferences created an international forum for women's rights, but also

illustrated divisions between women of different cultures and the difficulties of attempting to apply principles universally Emerging from the 1985 Nairobi conference was a realization that feminism is not monolithic but "constitutes the political expression of the concerns and interests of women from different regions, classes, nationalities, and ethnic backgrounds. There is and must be a diversity of feminisms, responsive to the different needs and concerns of women, and defined by them for themselves. This diversity builds on a common opposition to gender oppression and hierarchy which, however, is only the first step in articulating and acting upon a political agenda." At the Fourth World Conference on Women in Beijing, The Platform for Action was signed. This included a commitment to achieve "gender equality and the empowerment of women".

# Convention on the Elimination of All Forms of Discrimination against Women

The Universal Declaration of Human Rights, adopted in 1948, enshrines "the equal rights of men and women", and addressed both the equality and equity issues. In 1979 the United Nations General Assembly adopted the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW). Described as an international bill of rights for women, it came into force on 3 September 1981. The United States is the only developed nation that has not ratified the CEDAW.

The Convention defines discrimination against women in the following terms:

Any distinction, exclusion or restriction made on the basis of sex which has the effect or purpose of impairing or nullifying the recognition, enjoyment or exercise by women, irrespective of their marital status, on a basis of equality of men and women, of human rights and fundamental freedoms in the political, economic, social, cultural, civil or any other field.

It also establishes an agenda of action for putting an end to sex-based discrimination: States ratifying the Convention are required to enshrine gender equality into their domestic legislation, repeal all discriminatory provisions in their laws, and enact new provisions to guard against discrimination against women. They must also establish tribunals and public institutions to guarantee women effective protection against discrimination, and take steps to eliminate all forms of discrimination practiced against women by individuals, organizations, and enterprises.

The CEDAW has been controversial for statements seen by some as promoting radical feminism. Particularly referenced is a 2000 report which said that in Belarus, "the Committee is concerned by the continuing prevalence of sex-role stereotypes and by the reintroduction of such symbols as a Mothers' Day and a Mothers' Award, which it sees as encouraging women's traditional roles."

# Maputo Protocol

The Protocol to the African Charter on Human and Peoples' Rights on the Rights of Women in Africa, better known as the Maputo Protocol, was adopted by the African Union on 11 July 2003 at its second summit in Maputo, Mozambique. On 25 November 2005, having been ratified by the required 15 member nations of the African Union, the protocol entered into force. The protocol guarantees comprehensive rights to women including the right to take part in the political process, to social and political equality with men, and to control of their reproductive health, and an end to female genital mutilation.

# Reproductive Rights

Reproductive rights are rights relating to sexual reproduction and reproductive health. "Reproductive rights" are not recognised in international human rights law and is used as an umbrella term that may include some or all of the following rights: the right to legal or safe abortion, the right to control one's reproductive functions, the right to access quality reproductive healthcare, and the right to education and access in order to make reproductive choices free from coercion, discrimination, and violence. Reproductive rights may also be

understood to include education about contraception and sexually transmitted infections, and freedom from coerced sterilization and contraception, protection from gender-based practices such as female genital cutting, or FGC, and male genital mutilation, or MGM.

Reproductive rights are understood as rights of both men and women, but are most frequently advanced as women's rights. The United Nations Population Fund (UNPF) and the World Health Organization (WHO) advocate for reproductive rights with a primary emphasis on women's rights. The idea of these rights were first discussed as a subset of human rights at the United Nation's 1968 International Conference on Human Rights. The sixteenth article of the Proclamation of Teheran recognises reproductive rights as a subset of human rights and states, "Parents have a basic human right to determine freely and responsibly the number and the spacing of their children."

#### **Abortion**

Women's access to safe and legal abortions is restricted in law or in practice in most countries in the world. Even where abortion is permitted by law, women may only have limited access to safe abortion services. Only a small number of countries prohibit abortion in all cases. In most countries and jurisdictions, abortion is allowed to save the pregnant woman's life, or where the pregnancy is the result of rape or incest.

Human Rights Watch considers abortion within the context of human rights, arguing:

"Abortion is a highly emotional subject and one that excites deeply held opinions. However, equitable access to safe abortion services is first and foremost a human right. Where abortion is safe and legal, no one is forced to have one. Where abortion is illegal and unsafe, women are forced to carry unwanted pregnancies to term or suffer serious health consequences and even death. Approximately 13% of maternal deaths worldwide are attributable to unsafe abortion—between 68,000 and 78,000 deaths annually."

They furthermore argue that "...international human rights legal instruments and authoritative interpretations of those instruments compel the conclusion that women have a right to decide independently in all matters related to reproduction, including the issue of abortion." Human Rights Watch argues that "the denial of a pregnant woman's right to make an independent decision regarding abortion violates or poses a threat to a wide range of human rights." Basing its analysis on the authoritative interpretations of international human rights instruments by UN expert bodies Human Rights Watch states that where women's access to safe and legal abortion services are restricted, the following human rights may be at risk: the right to life, the right to health (or health care), right to freedom from discrimination, right to security of person, the right to liberty, the right to privacy, the right to information, the right to be free from cruel, inhuman, or degrading treatment, the right to decide the number and spacing of children (reproductive rights), the right to freedom of thought, and the right to freedom of religion.

Other groups however, such as the Catholic Church, regard abortion not as a right but as a 'moral evil'. (Catechism para 2271).

# Rape and Sexual Violence

Rape, sometimes called sexual assault, is an assault by a person involving sexual intercourse with or sexual penetration of another person without that person's consent. Rape is generally considered a serious sex crime as well as a civil assault. When part of a widespread and systematic practice rape and sexual slavery are now recognised as crime against humanity and war crime. Rape is also now recognised as an element of the crime of genocide when committed with the intent to destroy, in whole or in part, a targeted group.

# Rape as an Element of the Crime of Genocide

In 1998, the International Criminal Tribunal for Rwanda established by the United Nations made landmark decisions that rape is a crime of genocide under international law. The

trial of Jean-Paul Akayesu, the mayor of Taba Commune in Rwanda, established precedents that rape is a element of the crime of genocide. The Trial Chamber held that "sexual assault formed an integral part of the process of destroying the Tutsi ethnic group and that the rape was systematic and had been perpetrated against Tutsi women only, manifesting the specific intent required for those acts to constitute genocide."

Judge Navanethem Pillay said in a statement after the verdict: "From time immemorial, rape has been regarded as spoils of war. Now it will be considered a war crime. We want to send out a strong message that rape is no longer a trophy of war." An estimated 500,000 women were raped during the 1994 Rwandan Genocide.

The Akayesu judgement includes the first interpretation and application by an international court of the 1948 Convention on the Prevention and Punishment of the Crime of Genocide. The Trial Chamber held that rape, which it defined as "a physical invasion of a sexual nature committed on a person under circumstances which are coercive", and sexual assault constitute acts of genocide insofar as they were committed with the intent to destroy, in whole or in part, a targeted group, as such. It found that sexual assault formed an integral part of the process of destroying the Tutsi ethnic group and that the rape was systematic and had been perpetrated against Tutsi women only, manifesting the specific intent required for those acts to constitute genocide.

# Rape and Sexual Enslavement as Crime against Humanity

The Rome Statute, which defines the jurisdiction of the International Criminal Court, recognises rape, sexual slavery, enforced prostitution, forced pregnancy, enforced sterilization, "or any other form of sexual violence of comparable gravity" as crime against humanity if the action is part of a widespread or systematic practice.

Rape was first recognised as crime against humanity when the International Criminal Tribunal for the former Yugoslavia issued arrest warrants based on the Geneva Conventions and

Violations of the Laws or Customs of War. Specifically, it was recognised that Muslim women in Foca (southeastern Bosnia and Herzegovina) were subjected to systematic and widespread gang rape, torture and enslavement by Bosnian Serb soldiers, policemen and members of paramilitary groups after the takeover of the city in April 1992.

The indictment was of major legal significance and was the first time that sexual assaults were investigated for the purpose of prosecution under the rubric of torture and enslavement as a crime against humanity. The indictment was confirmed by a 2001 verdict of the International Criminal Tribunal for the former Yugoslavia that rape and sexual enslavement are crimes again humanity. This ruling challenged the widespread acceptance of rape and sexual enslavement of women as intrinsic part of war. Children's rights.

Children's rights are the perceived human rights of children with particular attention to the rights of special protection and care afforded to the young, including their right to association with both biological parents, human identity as well as the basic needs for food, universal state-paid education, health care and criminal laws appropriate for the age and development of the child. Interpretations of children's rights range from allowing children the capacity for autonomous action to the enforcement of children being physically, mentally and emotionally free from abuse, though what constitutes "abuse" is a matter of debate. Other definitions include the rights to care and nurturing.

"A child is any human being below the age of eighteen years, unless under the law applicable to the child, majority is attained earlier." According to Cornell University, a child is a person, not a *subperson*, and the parent has absolute interest and possession of the child, but this is very much an American view. The term "child" does not necessarily mean minor but can include adult children as well as adult nondependent children. There are no definitions of other terms used to describe young people such as "adolescents", "teenagers," or "youth" in international law.

The field of children's rights spans the fields of law, politics, religion, and morality.

#### Rationale

As minors by law children do not have autonomy or the right to make decisions on their own for themselves in any known jurisdiction of the world. Instead their adult caregivers, including parents, social workers, teachers, youth workers and others, are vested with that authority, depending on the circumstances. Some believe that this state of affairs gives children insufficient control over their own lives and causes them to be vulnerable. Louis Althusser has gone so far as describe this legal machinery, as it applies to children, as "repressive state apparatuses".

Structures such as government policy have been held by some commentators to mask the ways adults abuse and exploit children, resulting in child poverty, lack of educational opportunities, and child labor. On this view, children are to be regarded as a minority group towards whom society needs to reconsider the way it behaves. However, there is no evidence that such views are widely shared in society.

Researchers have identified children as needing to be recognized as participants in society whose rights and responsibilities need to be recognized at all ages.

# Children's Rights

Consensus on defining children's rights has become clearer in the last fifty years. A 1973 publication by Hillary Clinton (then an attorney) stated that children's rights were a "slogan in need of a definition". According to some researchers, the notion of children's rights is still not well defined, with at least one proposing that there is no singularly accepted definition or theory of the rights held by children.

Children's rights law is defined as the point where the law intersects with a child's life. That includes juvenile delinquency, due process for children involved in the criminal justice system, appropriate representation, and effective rehabilitative services;

care and protection for children in state care; ensuring education for all children regardless of their origin, race, gender, disabilities, or abilities, and; health care and advocacy.

# Types of Rights

Children's rights are defined in numerous ways, including a wide spectrum of civil, cultural, economic, social and political rights. Rights tend to be of two general types: those advocating for children as autonomous persons under the law and those placing a claim on society for protection from harms perpetrated on children because of their dependency. These have been labeled as the right of empowerment and as the right to protection. One Canadian organization categorizes children's rights into three categories:

- Provision: Children have the right to an adequate standard of living, health care, education and services, and to play. These include a balanced diet, a warm bed to sleep in, and access to schooling.
- Protection: Children have the right to protection from abuse, neglect, exploitation and discrimination. This includes the right to safe places for children to play; constructive child rearing behavior, and acknowledgment of the evolving capacities of children.
- Participation: Children have the right to participate in communities and have programs and services for themselves. This includes children's involvement in libraries and community programs, youth voice activities, and involving children as decision-makers.

In a similar fashion, the Child Rights Information Network, or CRIN for short, categorizes rights into two groups:

 Economic, social and cultural rights, related to the conditions necessary to meet basic human needs such as food, shelter, education, health care, and gainful employment. Included are rights to education, adequate housing, food, water, the highest attainable standard of health, the right to work and rights at work, as well as the cultural rights of minorities and indigenous peoples.

 Environmental, cultural and developmental rights, which are sometimes called "third generation rights," and including the right to live in safe and healthy environments and that groups of people have the right to cultural, political, and economic development.

Amnesty International openly advocates four particular children's rights, including the end to juvenile incarceration without parole, an end to the recruitment of military use of children, ending the death penalty for people under 21, and raising awareness of human rights in the classroom. Human Rights Watch, an international advocacy organization, includes child labor, juvenile justice, orphans and abandoned children, refugees, street children and corporal punishment.

Scholarly study generally focuses children's rights by identifying individual rights. The following rights "allow children to grow up healthy and free":

- Freedom of speech
- Freedom of thought
- Freedom from fear
- Freedom of choice and the right to make decisions
- Ownership over one's body

A Canadian organization identifies several other issues affecting children's rights, including fetal rights, infanticide, child abandonment, child identity rights, paternity fraud, paternity testing, age of consent, shaken baby syndrome, genital mutilation, bullying, corporal punishment, parental alienation, children's rights in family law, youth suicide, anorexia nervosa, ADHD, smoking, and childhood pregnancy. Other issues affecting children's rights include the sale of children, child prostitution and child pornography.

# Difference between Children's Rights and Youth Rights

"In the majority of jurisdictions, for instance, children are not allowed to vote, to marry, to buy alcohol, to have sex, or to engage in paid employment." Within the youth rights movement, it is believed that the key difference between children's rights and youth rights is that children's rights supporters generally advocate the establishment and enforcement of protection for children and youths, while youth rights (a far smaller movement) generally advocates the expansion of freedom for children and/or youths and of rights such as suffrage.

# Child's Right to be Parented by Biological Parents

The U.N. Convention on the Rights of the Child supports the right of a child to be parented by his /her biological parents) unless the parent(s) is/are either neglectful or abusive to the child in which case the state must protect the child from the parent by means of removing the child or monitoring the parents' actions. The society of the child with the biological parent(s) is commonly identified as an essential child right. This includes the notion that children should not be denied relationships and benefits provided by the relationships and upbringing afforded by their biological parents. The only exception is unless the government must interfere for the purpose of protecting a child from parental abuse or neglect. These cases are generally addressed by an immediate judicial review with the caveat that "all interested parties shall be given an opportunity to participate in the proceedings and make their views known".

Parents affect the lives of children in a unique way, and as such their role in children's rights has to be distinguished in a particular way. Particular issues in the child-parent relationship include child neglect, child abuse, freedom of choice, corporal punishment and child custody. There have been theories offered that provide parents with rights-based practices that resolve the tension between "commonsense parenting" and children's rights. The issue is particularly relevant in legal proceedings that affect the potential emancipation of minors, and in cases where children sue their parents.

A child's rights to a relationship with both their parents is increasingly recognized as an important factor for determining the best interests of the child in divorce and child custody

proceedings. Some governments have enacted laws creating a rebuttable presumption that shared parenting is in the best interests of children.

#### Movement

The 1796 publication of Thomas Spence's *The Rights of Infants* is among the earliest English-language assertions of the rights of children. Throughout the 1900s children's rights activists organized for homeless children's rights and public education. The 1927 publication of *The Child's Right to Respect* by Janusz Korczak strengthened the literature surrounding the field, and today dozens of international organizations are working around the world to promote children's rights.

# **Opposition**

The opposition to children's rights far outdates any current trend in society, with recorded statements against the rights of children dating to the 1200s and earlier. Opponents to children's rights believe that young people need to be protected from the adultcentric world, including the decisions and responsibilities of that world. In the dominate adult society, childhood is idealized as a time of innocence, a time free of responsibility and conflict, and a time dominated by play. The majority of opposition stems from concerns related to national sovereignty, states' rights, the parent-child relationship. Financial constraints and the "undercurrent of traditional values in opposition to children's rights" are cited, as well. The concept of children's rights has received little attention in the United States.

#### International Law

The Universal Declaration of Human Rights is seen as a basis for all international legal standards for children's rights today. There are several conventions and laws that address children's rights around the world. A number of current and historical documents affect those rights, including the 1923 Declaration of the Rights of the Child, drafted by Eglantyne Jebb and her sister Dorothy Buxton in London, England in 1919, endorsed by the League of Nations and adopted by the

United Nations in 1946. It later served as the basis for the Convention on the Rights of the Child.

# Convention on the Rights of the Child

The United Nations' 1989 Convention on the Rights of the Child, or CRC, is the first legally binding international instrument to incorporate the full range of human rights—civil, cultural, economic, political and social rights. Its implementation is monitored by the Committee on the Rights of the Child. National governments that ratify it commit themselves to protecting and ensuring children's rights, and agree to hold themselves accountable for this commitment before the international community. The CRC, along with international criminal accountability mechanisms such as the International Criminal Court, the Yugoslavia and Rwanda Tribunals, and the Special Court for Sierra Leone, is said to have significantly increased the profile of children's rights worldwide.

### **Enforcement**

A variety of enforcement organizations and mechanisms exist to ensure children's rights and the successful implementation of the [[Union. They include the Child Rights Caucus for the United Nations General Assembly Special Session on Children. It was set up to promote full implementation and compliance with the Convention on the Rights of the Child, and to ensure that child rights were given priority during the UN General Assembly Special Session on Children and its Preparatory process. The United Nations Human Rights Council was created "with the hope that it could be more objective, credible and efficient in denouncing human rights violations worldwide than the highly politicised Commission on Human Rights." The NGO Group for the Convention on the Rights of the Child is a coalition of international non-governmental organisations originally formed in 1983 to facilitate the implementation of the United Nations Convention on the Rights of the Child.

Many countries around the world have children's rights ombudspeople or children's commissioners whose official,

governmental duty is to represent the interests of the public by investigating and addressing complaints reported by individual citizens regarding children's rights. Children's ombudspeople can also work for a corporation, a newspaper, an NGO, or even for the general public.

# Human Rights and Differently-Abled Persons What are the Human Rights of Differently-Abled Persons?

Human Rights are universal, and civil, political, economic, social and cultural rights belong to all human beings, including differently-abled persons. Differently-abled persons are entitled to the realization of all human rights and fundamental freedoms on equal terms with others in society, without discrimination of any kind. They also enjoy certain human rights specifically linked to their status.

# The Human Rights at Issue

The human rights of differently-abled persons include the following indivisible, interdependent and interrelated human rights:

The human right to freedom from any distinction, exclusion, restriction or preference based on the status of differently-abled, which has the purpose or effect of impairing the enjoyment of human rights and fundamental freedoms.

The human right to freedom from discrimination in access to housing, education, social services, health care or employment.

The human right to active participation in all aspects of social, economic, political and cultural life of society, and in shaping decisions and policies affecting him-or herself and community, at the local, national and international levels.

The human right to equality of opportunity.

The human right to full equality before the law and equal protection of the law.

The human right to the highest attainable standard of health, to medical, psychological and functional treatment, including prosthetic and orthetic appliances, to medical and social rehabilitation, and other services necessary for the maximum development of capabilities, skills and self-reliance.

The human right to work, according to capabilities, to receive wages that contribute to an adequate standard of living, and to receive equal remuneration for equal work.

The human right to economic and social security, and to an adequate standard of living.

The human right to be treated with dignity and respect. Governments' Obligations to Ensuring the Human Rights of Differently-Abled Persons:

# What Provisions of Human Rights Law Guarantee the Human Rights of Differently-abled Persons?

"All human beings are born free and equal in dignity and rights.... Everyone is entitled to all ... rights and freedoms ... without distinction of any kind.... All are equal before the law and are entitled without any discrimination to equal protection of the law. All are entitled to equal protection against any discrimination ... and against any incitement to ... discrimination.... Everyone has the right to a standard of living adequate for ... health and well-being .. Including ... the right to security in the event of ... disability...." —Universal Declaration of Human Rights, Articles 1, 2, 7, and 25.

"The States Parties ... undertake to guarantee that ... rights ... will be exercised without discrimination of any kind.... The States Parties recognize the right to work.... The right of everyone to ... equal remuneration for work of equal value.... the right of everyone to an adequate standard of living.... to the highest attainable standard of physical and mental health.... to education.... [Education] shall enable all persons to participate effectively in a free society.... Primary education shall be ... available to all; Secondary education ... shall be made generally available and accessible to all...; Higher education shall be

made equally accessible to all.... States Parties ... recognize the right of everyone ... to take part in cultural life; to enjoy the benefits of scientific progress...." —International Covenant on Economic, Social, and Cultural Rights, Articles 2, 6, 7, 11, 12, 13, and 15.

"Each State Party ... undertakes to ... ensure ... rights ... without distinction of any kind...; to ensure that any person whose rights or freedoms ... are violated shall have an effective remedy.... No one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment.... no one shall be subjected without his free consent to medical or scientific experimentation.... All persons shall be equal before the courts.... Everyone shall have the right to recognition everywhere as a person before the law.... No one shall be subjected to ... unlawful interference with his privacy, family, home.... The right of men and women ... to marry and to found a family shall be recognized.... Every citizen shall have the right and the opportunity ... to take part in the conduct of public affairs...; to vote...; to have access, on ... terms of equality, to public service.... All persons are equal before the law and are entitled without any discrimination to the equal protection of the law.... [The] law shall prohibit any discrimination and guarantee to all persons equal and effective protection against discrimination on any ground...." —International Covenant on Civil and Political Rights, Articles 2, 7, 14, 16, 17, 23, and 26.

"Each Member shall ... formulate, implement ... a national policy on vocational rehabilitation and employment of disabled persons.... The ... policy shall aim at ensuring that appropriate vocational rehabilitation measures are made available to all categories of disabled persons, and at promoting employment opportunities for disabled persons in the open labour market.... The ... policy shall be based on the principle of equal opportunity between disabled workers and workers generally. Equality of opportunity and treatment for disabled men and women workers shall be respected.... The competent authorities shall take measures with a view to providing ... vocational guidance, vocational training, placement, employment and other related services to enable disabled persons to secure, retain and advance

in employment.... Measures shall be taken to promote the establishment and development of vocational rehabilitation and employment services for disabled persons in rural areas and remote communities." — ILO Vocational Rehabilitation and Employment (Disabled Persons) Convention, No. 159, Articles 2, 3, 4, 7, and 8.

"States Parties shall respect and ensure ... rights ... to each child within their jurisdiction without discrimination of any kind.... States Parties shall take all appropriate ... measures to protect the child from all forms of physical or mental violence, injury or abuse..., maltreatment or exploitation.... States Parties recognize that a mentally or physically disabled child should eniov a full and decent life, in conditions which ensure dignity. promote self-reliance, and facilitate the child=s active participation in the community. States Parties recognize the right of the disabled child to special care and shall encourage and ensure the extension ... to the eligible child and those responsible for his or her care, of assistance ... which is appropriate to the child=s condition.... [A]ssistance ... shall be designed to ensure that the disabled child has effective access to and receives education, training, health care services, rehabilitation services, preparation for employment and recreation opportunities in a manner conducive to the child=s achieving the fullest possible social integration and individual development...." -Convention on the Rights of the Child, Articles 2, 19, and 23.

# Governments' Commitments to Ensuring the Human Rights of Differently-Abled Persons

What commitments have governments made to ensuring the realization of the human rights of differently-abled persons?

"Disabled persons shall enjoy ... rights ... without any exception whatsoever and without distinction or discrimination.... Disabled persons have the inherent right to respect for their human dignity. Disabled persons, whatever the origin, nature and seriousness of their handicaps and disabilities, have the same fundamental rights as their fellow-citizens..., which implies first and foremost the right to enjoy

a decent life, as normal and full as possible.... Disabled persons have the same civil and political rights as other human beings.... Disabled persons are entitled to the measures designed to enable them to become as self-reliant as possible.... Disabled persons have the right to medical, psychological and functional treatment, including prosthetic and orthetic appliances, to medical and social rehabilitation, education, vocational training and rehabilitation, aid, counselling, placement services and other services which will enable them to develop their capabilities and skills to the maximum and will hasten the processes of their social integration or reintegration.... Disabled persons have the right to economic and social security and to a decent level of living. They have the right, according to their capabilities, to secure and retain employment or to engage in a useful, productive and remunerative occupation.... Disabled persons are entitled to have their special needs taken into consideration at all stages of economic and social planning.... Disabled persons have the right to live with their families ... and to participate in all social, creative or recreational activities. No disabled person shall be subjected ... to differential treatment other than that required by his or her condition or by the improvement which he or she may derive therefrom. If the stay of a disabled person in a specialized establishment is indispensable, the environment and living conditions therein shall be as close as possible to those of the normal life of a person of his or her age.... Disabled persons shall be protected against all exploitation, all regulations and all treatment of a discriminatory, abusive or degrading nature." —Declaration on the Rights of Disabled Persons, Articles 2, 3, 4, 5, 6, 7, 8, 9, and 10.

"More than 500 million people in the world are disabled as a consequence of mental, physical or sensory impairment. They are entitled to the same rights as all other human beings and to equal opportunities.... Governments must ... ensure that [disabled] people ... have an opportunity to achieve a standard of living equal to that of their fellow citizens.... A strategy of prevention is essential for reducing the incidence of impairment and disability.... Measures should be taken for the earliest

possible detection of ... symptoms ... of impairment, to be followed immediately by ... curative or remedial action, which can prevent disability or ... lead to significant reductions in the severity of disability.... For early detection it is important to ensure adequate education ... of families and technical assistance to them by medical social services.... The principle of equal rights for the disabled and non-disabled implies that the needs of each and every individual are of equal importance, that these needs must be made the basis for the planning of societies, and that all resources must be employed in such a way as to ensure, for every individual, equal opportunity for participation...." —World Programme of Action Concerning Disabled Persons, paras. 2, 3, 13, 14, and 25.

"Special attention needs to be paid to ensuring nondiscrimination, and the equal enjoyment of all human rights and fundamental freedoms by disabled persons, including their active participation in all aspects of society.... The World Conference on Human Rights reaffirms that all human rights and fundamental freedoms are universal and thus unreservedly include persons with disabilities. Every person is born equal and has the same rights to life and welfare, education and work. living independently and active participation in all aspects of society. Any direct discrimination or other negative discriminatory treatment of a disabled person is therefore a violation of his or her rights.... The place of disabled persons is everywhere. Persons with disabilities should be guaranteed equal opportunity through the elimination of all socially determined barriers, by they physical, financial, social or psychological, which exclude or restrict full participation in society." —Vienna Declaration, Part I, para. 22, and Part II, paras. 63 and 64.

"Objectives ...: To ensure the realization of the rights of all persons with disabilities, and their participation in all aspects of social, economic and cultural life; To create, improve and develop necessary conditions that will ensure equal opportunities for persons with disabilities and the valuing of their capabilities in the process of economic and social development; To ensure the dignity and promote the self-reliance

of persons with disabilities.... Actions: ... Governments at all levels should promote mechanisms ensuring the realization of the rights of persons with disabilities and reinforce their capabilities of integration." —Cairo Programme of Action, paras. 6.29 and 6.32.

"We heads of State and Government ... will create ... action to: ... Ensure that disadvantaged and vulnerable persons and groups are included in social development, and that society acknowledges and responds to the consequences of disability by securing the legal rights of the individual and by making the physical and social environment accessible.... We will ... Ensure equal education opportunities at all levels for children, youth and adults with disabilities.... Strive to ensure that persons with disabilities have access to rehabilitation and other independent living services and assistive technology to enable them to maximize their well-being, independence and full participation in society." —Copenhagen Declaration, para. 26(I), and Commitments 2(d), 6(f), and (n).

"Broadening the range of employment opportunities for persons with disabilities requires: ... Ensuring that laws and regulations do not discriminate against persons with disabilities.... Making appropriate adjustments in the workplace to accommodate persons with disabilities.... Developing alternative forms of employment, such as supported employment, for persons with disabilities who need these services.... Governments, in collaboration with organizations of people with disabilities and the private sector, should work towards the equalization of opportunities so that people with disabilities can contribute to and benefit from full participation in society. Policies concerning people with disabilities should focus on their abilities rather than their disabilities and should ensure their dignity as citizens...." —Copenhagen Programme of Action, paras. 62(a), (c), (d), and 75(k).

"Actions to be taken: ... Design and implement ... gendersensitive health programmes ... that address the needs of women throughout their lives and take into account ... the special needs of ... women with disabilities.... Ensure that girls and women of all ages with any form of disability receive supportive services...." —Beijing Platform for Action, paras. 106 (c) and (o).

"As human beings are at the centre of our concern for sustainable development, they are the basis for our actions in implementing the Habitat Agenda.... We shall intensify our efforts to eradicate ... discrimination, to promote and protect all human rights and fundamental freedoms for all, and to provide for basic needs.... We shall promote full accessibility for people with disabilities ... in policies, programmes and projects for shelter and sustainable human settlements development...." —Istanbul Declaration, para 7.

"Persons with disabilities have not always had the opportunity to participate fully and equally in human settlements development and management, including decisionmaking, often owing to social, economic, attitudinal and physical barriers, and discrimination. Such barriers should be removed and the needs and concerns of persons with disabilities should be fully integrated into shelter and sustainable human settlement plans and policies to create access for all.... We ... commit ourselves to ...: Promoting shelter and supporting basic services and facilities for education and health for ... persons with disabilities... and people belonging to vulnerable and disadvantaged groups.... We ... commit ourselves to ... Promoting equal access and full participation of persons with disabilities in all spheres of human settlements and providing adequate policies and legal protection against discrimination on grounds of disabilities...." —Habitat Agenda, paras. 16, 40(I), and 43(v).

#### Minorities of Sexual Orientation

# Understanding Lesbian, Gay, Bisexual and Transgender Rights as Human Rights

In 1948, the 56 members of the United Nations adopted the Universal Declaration of Human Rights (UDHR). Recognized as one of the most influential and inspirational statements of human rights, the UDHR proclaims that recognizing the inherent dignity and... the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world.

Human rights are the rights a person has simply because he or she is a human being. Human rights are inalienable: you cannot lose these rights any more than you can cease being human. Human rights are indivisible: you cannot be denied a right because it is less important than another right. Human rights are interdependent: all human rights are part of a complementary framework. For example, the right to participate in government is directly affected by the right to free expression, to get an education, and even to obtain the necessities of life.

Human rights are also defined as those basic standards people need to live in dignity. To violate someone's human rights is to treat that person as less than a human being. To advocate for human rights is to demand that the human dignity of all people be respected. In claiming these rights, everyone also accepts the responsibility not to infringe on the rights of others and to support those whose rights are abused or denied.

Since the adoption of the UDHR, the concept of human rights has entered international law and popular consciousness in much of the world. At the same time, many governments around the world continue to violate the human rights of their citizens. Consider the following news items from 1998, the year of the UDHR's fiftieth anniversary:

- In Afghanistan, at least five men convicted of homosexuality were placed next to walls and then buried as the walls were toppled on top of them.
- In Mexico, the Citizen's Commission Against Homophobic Hate Crimes documented 125 murders of homosexuals, many including extreme violence. Many of the murders were dismissed by police who refused to investigate them.
- In the United States, Matthew Shepard, a 21-year-old student at the University of Wyoming, was brutally beaten in an attack motivated in part by his homosexuality. His skull was smashed, his face and head mutilated, and his body tied to a wooden ranch fence in freezing weather. He died several days after being found by bicyclists who, at first, mistook his body for a scarecrow.

- This definition is taken from Nancy Flowers (ed.), Human Rights Here and Now: Celebrating the Universal Declaration of Human Rights. Minneapolis: Human Rights Resource Center, 1998. This curriculum guide contains more information on the history of human rights and lessons introducing human rights to K-12 students.
- The bullet points in this and the following two sections are taken from 'The International Gay and Lesbian Human Rights Commission Celebrates the 50th Anniversary of the Universal Declaration of Human Rights.' Press release, December 1998.

As these cases highlight, lesbian, gay, bisexual, and transgender (LGBT) persons are subject to human rights abuse in countries in every region of the world. The violations they face include killing as well as imprisonment, torture, and abuses aimed specifically at sexual minorities, such as practices aimed at forcibly 'changing' their sexual orientation. These violations of UDHR Article 3, 'the right to life, liberty, and security of person,' are only the most extreme examples of violations of the rights of sexual minorities.

# Also during 1998:

- In Argentina, Buenos Aires police raided gay bars during October detaining over 100 persons. (Article 20 of the UDHR states that everyone has the 'right to freedom of peaceful assembly and association').
- In Sweden, authorities deported a gay asylum seeker from Iran. Repatriated Iranian gays face possible imprisonment or death in Iran. (Article 14 of the UDHR declares the 'right to seek and to enjoy in other countries asylum from persecution').
- In India, theaters showing Fire, are attacked because
  of the movie's lesbian story line. Many theaters
  subsequently refuse to screen the film. (Article 27 of
  the UDHR holds that all have the 'right freely to
  participate in the cultural life of the community').

• In the United States, two adult men are arrested in Houston under Texas' sodomy law for consensual homosexual conduct in private. Though rarely enforced, about half of all U.S. states have similar laws. (Article 7 of the UDHR states that 'All are equal before the law and are entitled without any discrimination to equal protection of the law.' In addition, Article 12 maintains, 'No one shall be subjected to arbitrary interference with his privacy, family, home or correspondence, nor to attacks upon his honor and reputation').

These cases demonstrate how human rights violations of LGBT persons extend beyond their rights to life and liberty and include the full spectrum of rights accorded in the UDHR.

Not all the news fifty years after passage of the UDHR is so bleak, however.

- In South Africa and Ecuador, newly adopted constitutions pledge equality before the law (Article 7 of the UDHR) regardless of sexual orientation. Also in South Africa, the highest constitutional court struck down laws criminalizing homosexuality as a violation of the right to privacy (Article 12 of the UDHR) and because they affected the 'dignity, personhood, and identity of lesbian and gay people'.
- In Canada, that nation's Supreme Court ruled that when the Alberta legislature omitted 'sexual orientation' from the province's anti-discrimination laws, it was violating the Canadian Charter of Rights and Freedoms. The court ruled that such protection should be read into the law. (Article 8 of the UDHR describes the 'right to an effective remedy by the competent national tribunals for acts violating the fundamental human rights granted by the constitution or the law').
- In Colombia, the Constitutional Court decreed that private religious schools cannot ban gay students and that firing gay teachers is unconstitutional. (Article 26 of the UDHR says everyone has the 'right to education').

These last three snapshots from 1998 illustrate that the rights of sexual minorities are increasingly being seen as human rights. Many of those who drafted the UDHR probably would not have considered the rights of sexual minorities in 1948, given the homophobia and general lack of consciousness about LGBT issues at that time.

The Universal Declaration of Human Rights was drafted in reaction to the inhumanity committed during World War II. Like Jews, gypsies, and the disabled, gay men and lesbians were singled out by the Nazis for slave labor and extermination. As many as 100,000 gay men were sent to the concentration camps where they were killed or worked to death. They were required to wear pink triangles, a symbol that has since come to stand for the international gay rights movement. Several thousand lesbians, considered 'anti-social elements' and forced to wear black triangles, met similar fates. Despite these atrocities, the UDHR contains no specific guarantees of fundamental human rights regardless of sexual orientation.

While subsequent human rights documents have addressed discrimination of other specific groups based on age, race, or sex, no international human rights document explicitly mentions sexual orientation or gender identity. As the examples describing abuses against sexual minorities at the beginning of this introduction suggest, such protection is needed and deserved. For this reason, evolving conceptions of human rights that come to include sexual orientation, such as those in South Africa, Ecuador, Canada, and Colombia, are especially significant.

In theory, general human rights documents protecting the rights of all should also protect the rights of sexual minorities. In fact, many persons opposing specific protections of the rights of lesbian, gay, bisexual, and transgender persons often argue that sexual minorities are already covered by existing law and thus no further mention is needed. In some cases, general human rights laws have been used specifically to secure rights for lesbians and gays. For example, based on the European Convention for the Protection of Human Rights and

Fundamental Freedoms, laws against homosexual acts between consenting adults were struck down in Ireland and Cyprus.

While lesbian, gay, bisexual, and transgender persons are winning victories based on general human rights law, just as often these laws fail to provide sexual minorities with necessary protection from human rights abuses for a number of reasons. Sexual minorities often fail to report violence against them. They may fear their sexual orientation will be made public, making them or their families targets for further violence. They may fear that their complaints will not be taken seriously or that such complaints will be used as reprisals against them. For good reason, they may lack trust in the authorities who are supposed to protect them. In many countries, police are some of the worst violators of sexual minorities' human rights. For example, as this is being written, Amnesty International reports that Entre Amigos, an organization in El Salvador that provides sex education to gay, lesbian, bisexual, and trans gender persons, as well as the general public, is the target of intimidation and violence, including killings and death threats from members of the National Civilian Police.

 Amnesty International Urgent Action, Extra 159/99, Fear for Safety/Death threats, El Salvador, 12 November 1999.

In many countries, sexual minorities are so marginalized, they lack the most basic resources to defend themselves, publicize abuses, or rally support. For example, such an environment made it easier for the President of Zimbabwe, Robert Mugabe, to compare lesbians and gays to pigs. In 1996 his government prevented a gay and lesbian organization from participating in an international book fair in Harare, the capital. He said, I find it extremely outrageous and repugnant to my human conscience that such repulsive organizations, like those of homosexuals, who offend both against the laws of nature and the morals and religious beliefs espoused by our society, should have any advocate in our midst and even elsewhere in the world.'

Governments also hide their persecution of sexual minorities using the cover of other legal charges. Men and women who

are imprisoned, tortured, and even executed for no reason other than their sexual orientation or gender identity are often falsely charged with other crimes such as 'vagrancy,' 'hooliganism,' and 'causing a public disturbance.' In some countries, declaring oneself gay is seen as 'causing a public disturbance.' Once arrested, sexual minorities are sometimes subjected to cruel and unusual forms of punishment, including bogus 'medical treatments' to 'cure' them of their 'disease.

As a result of cultural and religious taboos, some governments are reluctant even to admit the existence of gays and lesbians. Not surprisingly, these same governments are even less willing to protect their human rights. They claim that abuses against sexual minorities are carried out by individuals and that the government cannot control such actions, ignoring that most countries have laws that do protect individuals from persecution based on religion or race by other individuals.

In some countries, protection for gays and lesbians may be labeled a foreign, 'western' concept being forced upon them. In other countries, governments maintain the right to discriminate against lesbian, gay, bisexual, and transgender persons based on religious authority and criminal law. Such laws, however, are vulnerable to challenge under international law. In 1994, the UN Human Rights Committee ruled that laws criminalizing homosexual acts in the Australian state of Tasmania violated Australia's obligations under Articles 2 (non-discrimination) and 17 (right to privacy) of the International Covenant on Civil and Political Rights. In 1997, Tasmania repealed its anti-gay law.

One of the most powerful ways to promote the continued evolution of LGBT rights as human rights and to interrupt the cycle of abuses against sexual minorities is through human rights education. Such education includes learning about human rights (for example, violations of rights and international laws protecting rights) and learning how to respect others and support and defend their human rights. Obviously, schools can play a key role in creating a culture that supports the human rights of all, including lesbian, gay, bisexual, and transgender persons.

Ironically, schools are sometimes among the least safe environments for LGBT youth.

Human Rights Watch, the largest U.S.-based human rights organization, is currently investigating whether the treatment of LGBT youth in schools constitutes a violation of fundamental

4. Quoted in Amnesty International, *Breaking the Silence:* Human Rights Violations Based on Sexual Orientation. London, 1997: 38.

Human Rights: Their investigation was initiated after a conversation with representatives from the Gay, Lesbian and Straight Education Network (GLSEN) and the Lambda Legal Defense and Education Fund. Lambda and GLSEN argued that youth under the age of 16 are legally required to attend school and that parents turn over their responsibility for the safety and well-being of their children to teachers and administrators. Schools, therefore, become custodial settings, responsible for the well-being of those placed in their charge. While human rights organizations have paid careful attention to the treatment of those placed in custodial institutions such as prisons and psychiatric hospitals, no human rights organization has looked at schools in the same way using a human rights perspective.

Such attention is needed in schools. GLSEN has collected compelling evidence that homophobia in schools is destructive to the education of all students, not only LGBT students who are direct targets. Straight students have been abused after being mistaken for gay, and all straight students are shortchanged a lesson in respect when school culture routinely marginalizes some students because of their sexual orientation or gender identity. In addition to the right to an education (Article 26 of the UDHR), all students in school have the right to be free from violence (Article 3), the right to freedom of expression (Article 19), and the right to freedom of assembly (Article 20). Statistics compiled by GLSEN suggest that violence against LGBT youth is pervasive. Recent school board decisions in Salt Lake City, Utah and Orange County, California to ban gay-straight alliances from meeting at public schools

demonstrate threats to the rights to assembly and free expression.

In addition to the unsafe environment for LBGT youth, school curriculum routinely ignores sexual minorities. Writers' sexual orientation is rarely mentioned, even when such information is crucial to understanding their work. LGBT persons are left out of almost every history textbook. Few teachers ask students to consider sexual minorities in the context of lessons about civil or human rights.

Lesbian, Gay, Bisexual, and Transgender Rights: A Human Rights Perspective is intended to help teachers introduce thoughtful examination and responsible action among high school students about the rights of sexual minorities. Unlike other curricula, however, this discussion is not set in the context of civil or political rights but in the broader context of human rights at the international level as well as at the most local level-school. By learning to examine thoughtfully the human rights of lesbian, gay, bisexual, and transgender persons and by gaining practice in the skills needed to prevent abuses and secure human rights, we can face the fear and shatter the silence that allows sexual minorities to be killed, tortured, and arbitrarily detained in countries throughout the world. We can also create schools where the human rights of all are respected.