# PRE-UNITED STATES HISTORY 1600–1699

Volume 2

**Arthur Graves** 



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 $\label{thm:condition} \textit{Pre-United States History: } 1600\text{--}1699, \textit{Volume 2}$ 

by Arthur Graves

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# **Contents**

Chapter 7	Starving Time	202
Chapter 8	Santa Fe, New Mexico Established by Spain	213
Chapter 9	Dutch Claim New Netherland	241
Chapter 10	Slavery in Africa	271
Chapter 11	House of Burgesses	312
Chapter 12	Mayflower Compact	323
Chapter 13	Indian Massacre of 1622 in Virginia	376
Chapter 14	Foundation of New Amsterdam by the Dutch West India	
	Company	386

### Chapter 7

# **Starving Time**

The **Starving Time** at Jamestown in the Colony of Virginia was a period of starvation during the winter of 1609–1610. There were about 500 Jamestown residents at the beginning of the winter. However, there were only 61 people still alive when the spring arrived.

The colonists, the first group of whom had originally arrived on May 13, 1607, had never planned to grow all of their own food. Their plans depended upon trade with the local Powhatan to supply them with food between the arrivals of periodic supply ships from England. Lack of access to water and a relatively dry rain season crippled the agricultural production of the colonists. Also, the water that the colonists drank was brackish and potable for only half of the year. A fleet from England, damaged by a hurricane, arrived months behind schedule with new colonists, but without expected food supplies.

On June 7, 1610, the survivors boarded ships, abandoned the colony site, and sailed towards the Chesapeake Bay. There, another supply convoy with new supplies, headed by newly appointed governor Francis West, intercepted them on the lower James River and returned them to Jamestown. Within a few years, the commercialization of tobacco by John Rolfe secured the settlement's long-term economic prosperity.

There is scientific evidence that the settlers at Jamestown had turned to cannibalism during the starving time.

# Dependency upon outside resources

The English settlement at Jamestown had been established on May 24, 1607, with the arrival of three ships commanded by Captain Christopher Newport. The initial small group of 104 men and boys chose the location because it was favorable for defensive purposes, but it offered poor hunting prospects and a shortage of drinking water. Although they did some farming, few of the original settlers were accustomed to manual labor or familiar with farming. Hunting on the island was poor, and they quickly exhausted the supply of small game. The colonists were largely dependent upon trade with the Native Americans and periodic supply ships from England for their food.

A series of incidents with the Native Americans soon developed into serious conflicts, ending any hope of a commercial alliance with them. This forced the settlers into close quarters, behind fortified walls, severely limiting their ability to farm the area and trade with other Indian tribes. Various attempts at farming led to kidnappings and killings by the Powhatans, while expeditions to establish relations with other Native Americans resulted either in the emissaries being ambushed and killed by the Powhatans, or proved fruitless in gaining sufficient supplies. The combination of disease, killings, and kidnapping almost obliterated the initial English population.

# First and second supply trips

After dropping off the settlers, and returning to England, Christopher Newport returned to Jamestown again in January 1608 from England with what was called the "First Supply",

and about 100 new settlers. Upon his return, he found that the effects of the lack of planning and lack of skills amongst the original colonists had combined with Powhatan attacks in reducing the original settlement to only thirty-eight survivors.

After expanding fortifications, reinforcing shelters, and placing armed men to defend crops from native attacks, Newport felt he had resecured the settlement by the end of winter. Therefore, he sailed for England again in April 1608, returning to Jamestown that October with the "Second Supply". On board were the colony's first two women—Mistress Forrest and her maid Anne Burras—as well as more supplies and additional settlers, including craftsmen trained to make glass.

# Trading with the natives for food

Among the leaders, Captain John Smith had emerged as most capable of successfully trading with the Natives. Over the first several months of settlement, the survivors (including Smith) had gained sufficient intelligence of the surrounding tribes to start more focused diplomatic initiatives with Powhatan's enemies. Using the *Discovery*, the smallest of the three ships which had been left behind for their use, the colonists explored the surrounding area including the Chesapeake Bay. Smith successfully traded for food with the Indian Nansemonds, who were located along the Nansemond River in the modern-day city of Suffolk, Virginia. He had mixed results dealing with the various other tribes, most of whom were affiliated with the Powhatan Confederacy.

With the coming arrival of the new supply fleet, Captain Smith felt the colony was sufficiently reinforced to engage the Powhatan directly with a diplomatic initiative aimed at securing at least a temporary respite from sniping, kidnapping, and assaulting. Taking a small escort they made their way through incessant attacks to the capital of the Powhatan Confederacy. During one legendary encounter with the warrior Opechancanough, Smith's life was spared (according to his later account) by the intervention of Pocahontas, the daughter of Chief Powhatan.

This event initially proved fortuitous for the English, as Chief Powhatan was obviously unaware of the dire straits of the Colony. However, shortly after Newport returned in early January 1608, bringing new colonists and supplies, one of the new colonists accidentally started a fire that leveled all of the colony's living quarters. The fire further deepened the settlement's dependence on the Native Americans for food, and revealed to Chief Powhatan the weakness of the English colony.

In August 1609, Smith, who had gained the respect of the Powhatans, was injured in a gunpowder accident and had to return to England for medical treatment, leaving on October 4, 1609. With Smith gone, the Chief Powhatan felt clear to end the truce and he began a campaign to starve the English out of Virginia. The Powhatans stopped trading with the colonists for food.

John Ratcliffe, captain of the *Discovery*, became colony president and tried to improve the colony's situation by obtaining food. Hoping to emulate Captain Smith, John Ratcliffe attempted a trade mission; shortly after being elected, he was captured by Chief Powhatan and tortured to death, leaving the colony without strong leadership. The Powhatans

carried out additional attacks on other colonists who came in search of trade. Hunting also became very dangerous, as they killed any Englishmen they found outside of the fort.

# Third supply

The Sea Venture was the new flagship of the Virginia Company of London. The Virginia Company's "Third Supply" mission was the largest yet, led by the Sea Venture, which had been especially built for the purpose. The Sea Venture was considerably larger than the other eight ships traveling with it. It carried a large portion of the supplies intended for the Virginia Colony.

The "Third Supply" to Jamestown with a nine-vessel fleet left London on June 2, 1609. Veteran Captain Christopher Newport commanded the *Sea Venture* as Vice Admiral. Also aboard the new flagship were the Admiral of the Company, Sir George Somers, Lieutenant-General Sir Thomas Gates, William Strachey and other notable personages in the early history of English colonization in North America.

While crossing the Atlantic Ocean, the convoy transporting 500 new colonists and supplies ran into a severe storm, possibly a hurricane, which lasted for three days.

The *Sea Venture* and one other ship were separated from the seven other vessels of the fleet. Admiral Somers had the Sea Venture deliberately driven onto the reefs of Bermuda to prevent its sinking. The 150 passengers and crew members all landed safely on July 28, 1609, but the ship was now permanently damaged.

In the aftermath of the storm, one ship returned to England. The other seven ships arrived safely at Jamestown, delivering 200–300 men, women, and children, but relatively few supplies, since most had been aboard the large flagship. In the Colony, there was no word of the fate of the *Sea Venture*, its supplies, passengers, or the leaders who had been aboard it.

Captain Samuel Argall, commanding one of the ships of the Third Supply which made it to Jamestown, was among those who hurried back to England to advise of Jamestown's plight. However, no further supply ships from England arrived that year, nor the following spring.

#### Winter 1609-1610

At Jamestown, a drought earlier in 1609 during the normal growing season had left the fields of the colonists of Virginia barren. Combined with the lack of trade with the Native Americans, and the failure of the Third Supply to arrive with expected supplies, the colony found itself with far too little food for the winter. With the new arrivals, there were many more mouths to feed. There are few records of the hardships the colonists experienced in Virginia that winter. Arms and valuable work tools were traded to the Powhatans for a pittance in food. Houses were used as firewood. Archaeologists have found evidence that they are cats, dogs, horses, and rats. Cannibalism has been confirmed to have occurred in at least one case; the remains of a teenage girl of about fourteen years of age has been forensically analyzed and shown to have telltale marks consistent with butchering meat. A scientist has suggested another sinister possibility: arsenic poisoning.

On Bermuda, shortly after they were shipwrecked, the survivors fitted the Sea Venture's longboat with a mast and sent it to sea to find Virginia; it and its crew were never seen again. The remaining survivors spent nine months on Bermuda building two smaller ships, Deliverance and Patience, from Bermuda cedar and materials salvaged from the Sea Venture. Then, leaving two men to maintain England's claim to the archipelago, newly discovered the remainder sailed to Jamestown, finally arriving on May 23, 1610.

The survivors of the *Sea Venture*, led by Sir Thomas Gates (the new governor) and Sir George Somers, assumed they would find a thriving colony in Virginia. Instead, they found the colony in ruins and practically abandoned. Of the 500 colonists living in Jamestown in the autumn, they found 60 survivors with many of those sick or dying. Worse yet, many supplies intended for Jamestown had been lost in the shipwreck at Bermuda, and Gates and Somers had brought along with them only a small food supply.

Viewing the fort, we found the palisades torn down, the ports open, the gates from off the hinges, and the empty houses (which owners had taken from them) rent up and burnt, rather than the dwellers would step into the woods a stone's cast off from them to fetch other firewood. And it is true, the Native Americans killed as fast without, if our men stirred but beyond the bounds of their blockhouse, ...

#### • — William Strachey

It was decided to abandon the colony. On June 7, 1610, everyone was placed aboard the ships to return to England, and they began to sail down the James River.

During the period that the *Sea Venture* suffered its misfortune, and its survivors were struggling in Bermuda to continue on to Virginia, back in England the publication of Captain John Smith's books of his adventures in Virginia sparked a resurgence of interest in the colony. This helped lead to new interest and investment in the Virginia Company. There was also a moral call in England by clergymen and others for support for the stranded colonists.

On April 1, 1610, three more ships were dispatched from England bound for Jamestown, equipped with additional colonists, a doctor, food, and supplies. Heading this group was the new governor, Thomas West, Baron De La Warr, better known in modern times as "Lord Delaware".

Governor West and his party arrived on the James River on June 9, just as the *Deliverance* and *Patience* were sailing downriver to leave Virginia. Intercepting them about 10 miles downstream from Jamestown near Mulberry Island (now a part of the massive U.S. Army Base at Fort Eustis in Newport News), the new governor forced the *Deliverance* and *Patience* to return to the abandoned colony. This was not a popular decision at the time, but Lord Delaware was to prove a new kind of leader for Virginia.

# Future of the colony

Among the survivors of the *Sea Venture* who arrived at Jamestown in May 1610, and were turned back by Lord Delaware, was a young Englishman named John Rolfe. His wife and young daughter had perished during the journey and delay at Bermuda. While it can be said that Lord Delaware literally

turned the colonists around, it could be equally said that John Rolfe (among the group sent back to Jamestown on June 9) was the individual most responsible for turning the failing economy of the young colony around. His fortunes and those of the Colony were both about to change.

Rolfe, a businessman from London, had planned to become a planter upon arrival in Virginia, and had some new ideas about exporting tobacco for profit. He knew that the native tobacco from Virginia was not liked by the English settlers, nor did it appeal to the market in England.

However, he brought with him some seeds for several new strains of tobacco to experiment with. Using the sweeter strains, Rolfe is credited with being the first to commercially cultivate *Nicotiana tabacum* tobacco plants in North America in 1611; the export of this sweeter tobacco beginning in 1612 helped turn the Virginia Colony into a profitable venture. Soon, Rolfe and others were exporting substantial quantities of the new cash crop.

New plantations began growing up all along the James River, from the mouth at Hampton Roads all the way west to Henricus, and on both sides of the river, where export shipments could use wharves to ship the product.

John Rolfe became prominent and wealthy, and soon held an interest in several plantations, including a large farm on Mulberry Island, just ashore from where Lord Delaware had met the group departing Jamestown only a few years earlier. John Rolfe is said to have founded Varina Farms near Sir Thomas Dale's progressive new city of Henricus and in 1614, he married Chief Powhatan's daughter, the Native American

princess Pocahontas, who had converted to Christianity and taken the name Rebecca. The couple had one son named Thomas Rolfe, who was born in 1615.

### **Aftermath**

The arrival of Lord De la Warr with a substantial armed force of pilgrims filled with patriotic fervor spreading Protestantism, resulted in a counter-offensive against the Powhatan Confederacy.

The campaign ended the Powhatan siege and resulted in the marriage of Pocahontas and John Rolfe which introduced a short period of truce between the English and the Powhatan Confederacy.

Although the truce was a short one, it allowed the English to fully secure the colony's fortifications and housing, expand its farming, develop a network of alliances with other Indian nations, and establish a series of outlying smaller settlements.

The Powhatan Confederacy attempted two other wars against the English, including the Second Powhatan War, which was initiated by the Massacre of 1622, and the Third Powhatan War, which was initiated by another surprise massacre of the colony's vulnerable women and children. However, each attack was met with stiff resistance, a counter-offensive, severe reprisals, and eventual defeat of the Powhatan Confederacy. After almost forty years of tenuous existence surrounded by a generally hostile Indian nation, the Virginia Colony emerged victorious and effectively devastated the Powhatan nation and broke up the Confederacy by 1646.

Through the descendants of Thomas Rolfe, many of the First Families of Virginia almost 400 years later trace their lineage to both the Native Americans of the Powhatan Confederacy and the English-born settlers of Jamestown. With tobacco as a successful export crop, the financial future and permanency of the Virginia Colony was assured.

# Santa Fe, New Mexico Established by Spain

**Santa Fe** is the capital of the U.S. state of New Mexico. It is the fourth-largest city in New Mexico with a population of 84,683 in 2019, the county seat of Santa Fe County, and its metropolitan area is part of the larger Albuquerque-Santa Fe-Las Vegas combined statistical area, with a population of 1,178,664 in 2018. The city was founded in 1610 as the capital of Nuevo México, after it replaced the capital San Juan de los Caballeros (near modern Española) at San Gabriel de Yungue-Ouinge, which makes it the oldest state capital in the United States. With an elevation of 7,199 feet (2,194 m), it is also the state capital with the highest elevation.

It is considered one of the world's great art cities, due to its many art galleries and installations, and is recognized by UNESCO's Creative Cities Network. Cultural highlights include Santa Fe Plaza and the Palace of the Governors, and the Fiesta de Santa Fe, as well as distinct New Mexican cuisine restaurants and New Mexico music performances. Among the numerous art galleries and installations are, for example, the Georgia O'Keeffe Museum, as is a gallery by cartoonist Chuck Jones, along with newer art collectives such as Meow Wolf.

The area surrounding Santa Fe was occupied for at least several thousand years by indigenous people who built villages several hundred years ago on the current site of the city. It was known by the Tewa inhabitants as *Ogha Po'oge* ("White Shell Water Place"). The name of the city of Santa Fe means "Holy Faith" in Spanish, and the city's full name as founded remains **La Villa Real de la Santa Fe de San Francisco de Asís** ("The Royal Town of the Holy Faith of Saint Francis of Assisi").

### **History**

#### **Spain and Mexico**

The area of Santa Fe was originally occupied by indigenous Tanoan peoples, who lived in numerous Pueblo villages along the Rio Grande. One of the earliest known settlements in what today is downtown Santa Fe came sometime after 900 CE. A group of native Tewa built a cluster of homes that centered around the site of today's Plaza and spread for half a mile to the south and west; the village was called *Oghá P'o'oge* in Tewa. The Tanoans and other Pueblo peoples settled along the Santa Fe River for its water and transportation.

The river had a year-round flow until the 1700s. By the 20th century the Santa Fe River was a seasonal waterway. As of 2007, the river was recognized as the most endangered river in the United States, according to the conservation group American Rivers.

Don Juan de Oñate led the first Spanish effort to colonize the region in 1598, establishing Santa Fe de Nuevo México as a province of New Spain. Under Juan de Oñate and his son, the capital of the province was the settlement of San Juan de los Caballeros north of Santa Fe near modern Ohkay Owingeh

Pueblo. Juan de Oñate was banished and exiled from New Mexico by the Spanish, after his rule was deemed cruel towards the indigenous population. New Mexico's second Spanish governor, Don Pedro de Peralta, however, founded a new city at the foot of the Sangre de Cristo Mountains in 1607, which he called *La Villa Real de la Santa Fe de San Francisco de Asís*, the Royal Town of the Holy Faith of Saint Francis of Assisi. In 1610, he designated it as the capital of the province, which it has almost constantly remained, making it the oldest state capital in the United States.

Lack of Native American representation within New Mexico's early government led to the 1680 Pueblo Revolt, when groups of different Native Pueblo peoples were successful in driving the Spaniards out of New Mexico to El Paso, the Pueblo continued running New Mexico proper from the Palace of the Governors in Santa Fe from 1680 to 1692.

The territory was reconquered in 1692 by Don Diego de Vargas through the war campaign called the "Bloodless Reconquest" which was criticized as violent even at the time, it was actually the following governor Francisco Cuervo y Valdez that truly started to broker peace, such as the founding of Albuquerque, to guarantee better representation and trade access for Pueblos in New Mexico's government.

Other governors of New Mexico, such as Tomás Vélez Cachupin, continued to be better known for their more forward thinking work with the indigenous population of New Mexico. Santa Fe was Spain's provincial seat at outbreak of the Mexican War of Independence in 1810. It was considered important to fur traders based in present-day Saint Louis,

Missouri. When the area was still under Spanish rule, the Chouteau brothers of Saint Louis gained a monopoly on the fur trade, before the United States acquired Missouri under the Louisiana Purchase of 1803. The fur trade contributed to the wealth of St. Louis. The city's status as the capital of the Mexican territory of Santa Fe de Nuevo México was formalized in the 1824 Constitution after Mexico achieved independence from Spain.

When the Republic of Texas seceded from Mexico in 1836, it attempted to claim Santa Fe and other parts of Nuevo México as part of the western portion of Texas along the Río Grande. In 1841, a small military and trading expedition set out from Austin, intending to take control of the Santa Fe Trail. Known as the Texan Santa Fe Expedition, the force was poorly prepared and was easily captured by the New Mexican military.

#### **United States**

In 1846, the United States declared war on Mexico. Brigadier General Stephen W. Kearny led the main body of his Army of the West of some 1,700 soldiers into Santa Fe to claim it and the whole New Mexico Territory for the United States. By 1848 the U.S. officially gained New Mexico through the Treaty of Guadalupe Hidalgo.

Colonel Alexander William Doniphan, under the command of Kearny, recovered ammunition from Santa Fe labeled "Spain 1776" showing both the lack of communications and quality of military support New Mexico received under Mexican rule.

Some American visitors at first saw little promise in the remote town. One traveller in 1849 wrote:

I can hardly imagine how Santa Fe is supported. The country around it is barren. At the North stands a snow-capped mountain while the valley in which the town is situated is drab and sandy. The streets are narrow ... A Mexican will walk about town all day to sell a bundle of grass worth about a dime. They are the poorest looking people I ever saw. They subsist principally on mutton, onions and red pepper.

In 1851, Jean Baptiste Lamy arrived, becoming bishop of New Mexico, Arizona, Utah, and Colorado in 1853. During his leadership, he traveled to France, Rome, Tucson, Los Angeles, St. Louis, New Orleans, and Mexico City. He built the Santa Fe Saint Francis Cathedral and shaped Catholicism in the region until his death in 1888.

As part of the New Mexico Campaign of the Civil War, General Henry Sibley occupied the city, flying the Confederate flag over Santa Fe for a few days in March 1862. Sibley was forced to withdraw after Union troops destroyed his logistical trains following the Battle of Glorieta Pass. The Santa Fe National Cemetery was created by the federal government after the war in 1870 to inter the Union soldiers who died fighting there.

On October 21, 1887, Anton Docher, "The Padre of Isleta", went to New Mexico where he was ordained as a priest in the St Francis Cathedral of Santa Fe by Bishop Jean-Baptiste Salpointe.

After a few years serving in Santa Fe, Bernalillo and Taos, he moved to Isleta on December 28, 1891. He wrote an ethnological article published in *The Santa Fé Magazine* in June 1913, in which he describes early 20th century life in the Pueblos.

As railroads were extended into the West, Santa Fe was originally envisioned as an important stop on the Atchison, Topeka and Santa Fe Railway. But as the tracks were constructed into New Mexico, the civil engineers decided that it was more practical to go through Lamy, a town in Santa Fe County to the south of Santa Fe. A branch line was completed from Lamy to Santa Fe in 1880.

The Denver and Rio Grande Western Railroad extended the narrow gauge Chili Line from the nearby city of Española to Santa Fe in 1886.

Neither was sufficient to offset the negative effects of Santa Fe's having been bypassed by the main railroad route. It suffered gradual economic decline into the early 20th century. Activists created a number of resources for the arts and archaeology, notably the School of American Research, created in 1907 under the leadership of the prominent archaeologist Edgar Lee Hewett.

In the early 20th century, Santa Fe became a base for numerous writers and artists. The first airplane to fly over Santa Fe was piloted by Rose Dugan, carrying Vera von Blumenthal as passenger.

Together the two women started the development of the Pueblo Indian pottery industry, helping native women to market their wares. They contributed to the founding of the annual Santa Fe Indian Market.

In 1912, New Mexico was admitted as the United States of America's 47th state, with Santa Fe as its capital.

# 20th century

#### 1912 plan

In 1912, when the town's population was approximately 5,000 people, the city's civic leaders designed and enacted a sophisticated city plan that incorporated elements of the contemporary City Beautiful movement, city planning, and historic preservation. The latter was particularly influenced by similar movements in Germany. The plan anticipated limited future growth, considered the scarcity of water, and recognized the future prospects of suburban development on the outskirts. The planners foresaw that its development must be in harmony with the city's character.

#### **Artists and tourists**

After the mainline of the railroad bypassed Santa Fe, it lost population. However, artists and writers, as well as retirees, were attracted to the cultural richness of the area, the beauty of the landscapes, and its dry climate. Local leaders began promoting the city as a tourist attraction. The city sponsored architectural restoration projects and erected new buildings according to traditional techniques and styles, thus creating the Santa Fe Style.

Edgar L. Hewett, founder and first director of the School of American Research and the Museum of New Mexico in Santa Fe, was a leading promoter. He began the Santa Fe Fiesta in 1919 and the Southwest Indian Fair in 1922 (now known as the Indian Market). When Hewett tried to attract a summer program for Texas women, many artists rebelled, saying the

city should not promote artificial tourism at the expense of its artistic culture. The writers and artists formed the Old Santa Fe Association and defeated the plan.

# Japanese American internment camp

During World War II, the federal government ordered Japanese American internment camp to be established. Beginning in June 1942, the Department of Justice arrested 826 Japanese-American men after the attack on Pearl Harbor; them near Santa Fe. in a former Conservation Corps site that had been acquired and expanded for the purpose. Although there was a lack of evidence and no due process, the men were held on suspicion of fifth column activity. Security at Santa Fe was similar to a military prison, with twelve-foot barbed wire fences, guard towers equipped with searchlights, and guards carrying rifles, side arms and tear gas. By September, the internees had been transferred to other facilities—523 to War Relocation Authority concentration camps in the interior of the West, and 302 to Army internment camps.

The Santa Fe site was used next to hold German and Italian nationals, who were considered enemy aliens after the outbreak of war. In February 1943, these civilian detainees were transferred to DOJ custody.

The camp was expanded at that time to take in 2,100 men segregated from the general population of Japanese American inmates. These were mostly Nisei and Kibei who had renounced their U.S. citizenship when asked to sign a loyalty oath that had confusing language, saying the person agreed to "give up

loyalty to the Japanese emperor." Men born in America who had never identified with the emperor were insulted, especially as they were being asked to enroll in the armed forces while their Japanese-born parents were interned in camps. and other "troublemakers" from the Tule Lake Segregation Center. In 1945, four internees were seriously injured when violence broke out between the internees and guards in an event known as the Santa Fe Riot. The camp remained open past the end of the war; the last detainees were released in mid 1946. The facility was closed and sold as surplus soon after. The camp was located in what is now the Casa Solana neighborhood.

# Geography

According to the United States Census Bureau, the city has a total area of 37.4 sq mi (96.9 km), of which 37.3 sq mi (96.7 km) are land and 0.077 sq mi (0.2 km) (0.21%) is covered by water.

Santa Fe is located at 7,199 feet (2,194 m) above sea level, making it the highest state capital in the United States.

#### Climate

• Santa Fe's climate is characterized by cool, dry winters, hot summers, and relatively low precipitation. According to the Köppen climate classification, depending on which variant of the system is used, the city has either a subtropical highland climate (*Cwb*) or a warm-summer humid continental climate (*Dwb*), unusual but not uncommon at 35°N. With low precipitation, though, it is more similar to the climates of Turkey that fall into this

category. The 24-hour average temperature in the city ranges from 30.3 °F (-0.9 °C) in December to 70.1 °F (21.2 °C) in July. Due to the relative aridity and elevation, average diurnal temperature variation exceeds 25 °F (14 °C) in every month, and 30 °F (17 °C) much of the year. The city usually receives six to eight snowfalls a year between November and April. The heaviest rainfall occurs in July and August, with the arrival of the North American Monsoon.

# Spanish and Pueblo influences

The Spanish laid out the city according to the "Laws of the Indies", town planning rules and ordinances which had been established in 1573 by King Philip II. The fundamental principle was that the town be laid out around a central plaza. On its north side was the Palace of the Governors, while on the east was the church that later became the Cathedral Basilica of Saint Francis of Assisi.

An important style implemented in planning the city was the radiating grid of streets centered on the central Plaza. Many were narrow and included small alley-ways, but each gradually merged into the more casual byways of the agricultural perimeter areas. As the city grew throughout the 19th century, the building styles evolved too, so that by statehood in 1912, the eclectic nature of the buildings caused it to look like "Anywhere USA". The city government realized that the economic decline, which had started more than twenty years before with the railway moving west and the federal government closing down Fort Marcy, might be reversed by the promotion of tourism.

To achieve that goal, the city created the idea of imposing a unified building style – the Spanish Pueblo Revival look, which was based on work done restoring the Palace of the Governors. The sources for this style came from the many defining features of local architecture: *vigas* (rough, exposed beams that extrude through supporting walls, and are thus visible outside as well as inside the building) and *canales* (rain spouts cut into short parapet walls around flat roofs), features borrowed from many old adobe homes and churches built many years before and found in the Pueblos, along with the earth-toned look (reproduced in stucco) of the old adobe exteriors.

After 1912 this style became official: all buildings were to be built using these elements. By 1930 there was a broadening to include the "Territorial", a style of the pre-statehood period which included the addition of *portales* (large, covered porches) and white-painted window and door pediments (and also sometimes terra cotta tiles on sloped roofs, but with flat roofs still dominating). The city had become "different". However, "in the rush to pueblofy" Santa Fe, the city lost a great deal of its architectural history and eclecticism. Among the architects most closely associated with this new style are T. Charles Gaastra and John Gaw Meem.

By an ordinance passed in 1957, new and rebuilt buildings, especially those in designated historic districts, must exhibit a Spanish Territorial or Pueblo style of architecture, with flat roofs and other features suggestive of the area's traditional adobe construction. However, many contemporary houses in the city are built from lumber, concrete blocks, and other common building materials, but with stucco surfaces

(sometimes referred to as "faux-dobe", pronounced as one word: "foe-dough-bee") reflecting the historic style.

In a September 2003 report by Angelou Economics, it was that Santa Fe should determined focus its economic development efforts in the following seven industries: Arts and Culture, Design, Hospitality, Conservation Technologies, Development, Publishing and Software Media. Outdoor Gear and Apparel. Three secondary targeted industries for Santa Fe to focus development in are health care, retiree services, and food & beverage. Angelou Economics recognized three economic signs that Santa Fe's economy was at risk of long-term deterioration.

These signs were; a lack of business diversity which tied the city too closely to fluctuations in tourism and the government sector; the beginnings of urban sprawl, as a result of Santa Fe County growing faster than the city, meaning people will move farther outside the city to find land and lower costs for housing; and an aging population coupled with a rapidly shrinking population of individuals under 45 years old, making Santa Fe less attractive to business recruits. The seven industries recommended by the report "represent a good mix for short-, mid-, and long-term economic cultivation."

#### Government

The city of Santa Fe is a charter city. It is governed by a mayor-council system. The city is divided into four electoral districts, each represented by two councilors. Councilors are elected to staggered four-year terms and one councilor from each district is elected every two years.

The municipal judgeship is an elected position and a requirement of the holder is that they be a member of the state bar. The judge is elected to four-year terms.

The mayor is the chief executive officer of the city and is a member of the governing body. The mayor has numerous powers and duties, and while previously the mayor could only vote when there was a tie among the city council, the city charter was amended by referendum in 2014 to allow the mayor to vote on all matters in front of the council.

Starting in 2018, the position of mayor will be a full-time professional paid position within city government. Day-to-day operations of the municipality are undertaken by the city manager's office.

#### Federal operations

The Joseph M. Montoya Federal Building and Post Office serves as an office for U.S. federal government operations. It also contains the primary United States Postal Service post office in the city.

Other post offices in the Santa Fe city limits include Coronado, De Vargas Mall, and Santa Fe Place Mall. The U.S. Courthouse building, constructed in 1889, was added to the National Register of Historic Places in 1973.

#### Arts and culture

The city is well known as a center for arts that reflect the multicultural character of the city; it has been designated as a UNESCO Creative City in Design, Crafts and Folk Art.

In 2012, the city was listed among the 10 best places to retire in the U.S. by CBS MoneyWatch and U.S. News & World Report.

#### Visual arts

Canyon Road, east of the Plaza, has the highest concentration of art galleries in the city, and is a major destination for international collectors, tourists and locals. The Canyon Road galleries showcase a wide array of contemporary, Southwestern, indigenous American, and experimental art, in addition to Russian, Taos Masters, and Native American pieces.

Since its opening in 1995, SITE Santa Fe has been committed to supporting new developments in contemporary art, encouraging artistic exploration, and expanding traditional museum experiences. Launched in 1995 to organize the only international biennial of contemporary art in the United States, SITE Santa Fe has drawn global attention. The biennials are on par with such renowned exhibitions as the Whitney Biennial and the Venice Biennale.

Santa Fe contains a lively contemporary art scene, with Meow Wolf as its main art collective. Backed by author George R. R. Martin, Meow Wolf opened an elaborate art installation space, called House of Eternal Return, in 2016.

There are many outdoor sculptures, including many statues of Francis of Assisi, and several other holy figures, such as Kateri Tekakwitha. The styles run the whole spectrum from Baroque to Post-modern.

#### Literature

Numerous authors followed the influx of specialists in the visual arts. Well-known writers like D. H. Lawrence, Cormac McCarthy, Michael Tobias, Kate Braverman, Douglas Adams, Tony Hillerman, Roger Zelazny, Alice Corbin Henderson, Mary Austin, Witter Bynner, Dan Flores, Paul Horgan, Rudolfo Anaya, George R. R. Martin, Mitch Cullin, David Morrell, Evan S. Connell, Richard Bradford, John Masters, Jack Schaefer, Hampton Sides, Ariel Gore and Michael McGarrity are or were residents of Santa Fe. Walker Percy lived on a dude ranch outside of Santa Fe before returning to Louisiana to begin his literary career.

#### Media

Santa Fe's daily newspaper is the *Santa Fe New Mexican* and each Friday, it publishes *Pasatiempo*, its long-running calendar and commentary on arts and events. *The Magazine* has been the arts magazine of Santa Fe since its founding by Guy Cross in 1992.

It publishes critical reviews and profiles New Mexico based artists monthly. Each Wednesday the alternative weekly newspaper, the *Santa Fe Reporter*, publishes information on the arts and culture of Santa Fe.

#### Video games

The 2006 racing video game *Need For Speed: Carbon* has an unused part of its Palmont City setting called San Juan, which you briefly play in, in the tutorial for the game's career mode. The San Juan setting is very loosely based on Santa Fe. It has New Mexico flags all over the roads.

#### Music, dance, and opera

Performance Santa Fe, formerly the Santa Concert Association, is the oldest presenting organization in Santa Fe. Founded in 1937, Performance Santa Fe brings celebrated and legendary musicians as well as some of the world's greatest dancers and actors to the city year-round. The Santa Fe Opera stages its productions between late June and late August each year. The city also hosts the Santa Fe Chamber Music Festival which is held at about the same time, mostly in the St. Francis Auditorium and in the Lensic Theater. Also in July and August, the Santa Fe Desert Chorale holds its summer festival. Santa Fe has its own professional ballet company, Aspen Santa Fe Ballet, which performs in both cities and tours nationally and internationally. Santa Fe is also home to internationally acclaimed Flamenco dancer's María Benítez Institute Spanish Arts which offers programs and performance in Flamenco, Spanish Guitar and similar arts year round. Other notable local figures include the National Dance Institute of New Mexico and German New Age musician Deuter.

#### Museums

Santa Fe has many museums located near the downtown Plaza:

- New Mexico Museum of Art collections of modern and contemporary Southwestern art
- Museum of Contemporary Native Arts contemporary
   Native American arts with political aspects
- Georgia O'Keeffe Museum devoted to the work of O'Keeffe and others whom she influenced
- New Mexico History Museum located behind the Palace of the Governors
- Site Santa Fe a contemporary art space

Several other museums are located in the area known as Museum Hill:

- Museum of International Folk Art folk art from around the world
- Museum of Indian Arts and Culture Native American arts
- Wheelwright Museum of the American Indian Native American art and history
- Museum of Spanish Colonial Art Tradition arts from the Spanish-colonial era to contemporary times.

#### **Sports**

The New Mexico Style were an American Basketball Association franchise founded in 2005, but reformed in Texas for the 2007–8 season as the El Paso S'ol (which folded without playing an ABA game in their new city). The Santa Fe Roadrunners were a North American Hockey League team, but moved to Kansas to become the Topeka Roadrunners. Santa Fe's rodeo, the Rodeo De Santa Fe, is held annually the last week of June. In May 2012 Santa Fe became the home of the Santa Fe Fuego of the Pecos League of Professional Baseball Clubs. They play their

home games at Fort Marcy Park. Horse racing events were held at The Downs at Santa Fe from 1971 until 1997.

# Science and technology

Santa Fe has had an association with science and technology since 1943 when the town served as the gateway to Los Alamos National Laboratory (LANL), a 45-minute drive from the city. In 1984, the Santa Fe Institute (SFI) was founded to research complex systems in the physical, biological, economic, and political sciences.

It has hosted such Nobel laureates as Murray Gell-Mann (physics), Philip Warren Anderson (physics), and Kenneth Arrow (economics).

The National Center for Genome Resources (NCGR) was founded in 1994 to focus on research at the intersection among bioscience, computing, and mathematics. In the 1990s and 2000s several technology companies formed to commercialize technologies from LANL, SFI and NCGR.

Due to the presence of Los Alamos National Laboratory, Sandia National Laboratories and the Santa Fe Institute, and because of its attractiveness for visitors and an established tourist industry, Santa Fe routinely serves as a host to a variety of scientific meetings, summer schools, and public lectures, such as International q-bio Conference on Cellular Information Processing, Santa Fe Institute's Complex Systems Summer School, and LANL's Center For Nonlinear Studies Annual Conference.

## **Tourism**

Tourism is a major element of the Santa Fe economy, with visitors attracted year-round by the climate and related outdoor activities (such as skiing in years of adequate snowfall; hiking in other seasons) plus cultural activities of the city and the region. Tourism information is provided by the convention and visitor bureau and the chamber of commerce.

Most tourist activity takes place in the historic downtown, especially on and around the Plaza, a one-block square adjacent to the Palace of the Governors, the original seat of New Mexico's territorial government since the time of Spanish colonization. Other areas include "Museum Hill", the site of the major art museums of the city as well as the Santa Fe International Folk Art Market, which takes place each year during the second full weekend of July. The Canyon Road arts area with its galleries is also a major attraction for locals and visitors alike.

Some visitors find Santa Fe particularly attractive around the second week of September when the aspens in the Sangre de Cristo Mountains turn yellow and the skies are clear and blue. This is also the time of the annual Fiestas de Santa Fe, celebrating the "reconquering" of Santa Fe by Don Diego de Vargas, a highlight of which is the burning Zozobra ("Old Man Gloom"), a 50-foot (15 m) marionette.

Popular day trips in the Santa Fe area include locations such as the town of Taos, about 70 mi (113 km) north of Santa Fe. The historic Bandelier National Monument and the Valles Caldera can be found about 30 mi (48 km) away. Santa Fe's ski

resort, Ski Santa Fe, is about 16 mi (26 km) northeast of the city. Chimayo is also nearby and many locals complete the annual pilgrimage to the Santuario de Chimayo.

# Architectural highlights

- New Mexico State Capitol
- Cathedral Basilica of Saint Francis of Assisi, the mother church of the Roman Catholic Archdiocese of Santa Fe
- Loretto Chapel
- Palace of the Governors
- San Miguel Mission and the rest of the Barrio De Analco Historic District
- Santuario de Guadalupe
- De Vargas Street House
- New Mexico Governor's Mansion

#### **Districts**

- Barrio De Analco Historic District
- Don Gaspar Historic District
- Santa Fe Historic District
- Santa Fe Railyard arts district

## **Demographics**

As of the 2010 census, there were 67,947 people living in the city. The racial makeup of the city residents was 78.9% White, 2.1% Native American; 1.4% Asian; and 3.7% from two or more races. A total of 48.7% of the population were Hispanic or Latino of any race. Non-Hispanic Whites were 46.2% of the

population. As of the census of 2000, there were 62,203 people, 27,569 households, and 14,969 families living in the city. The population density was 1,666.1 people per square mile (643.4/km). There were 30,533 housing units at an average density of 817.8 per square mile (315.8/km). According to the Census Bureau's 2006 American Community Survey, the racial makeup of the city was 75% White, 2.5% Native American, 1.9% Asian, 0.4% African American, 0.3% Pacific Islander, 16.9% from other races, and 3.1% from two or more races. Hispanics or Latinos of any race were 44.5% of the population.

There were 27,569 households, out of which 24.1% had children under the age of 18 living with them, 37.6% were married couples living together, 12.1% had a female householder with no husband present, and 45.7% were non-families. 36.4% of all households were made up of individuals living alone, and 10.2% had someone living alone who was 65 years of age or older. The average household size was 2.20 and the average family size was 2.90.

The age distribution was 20.3% under 18, 8.9% from 18 to 24, 29.0% from 25 to 44, 28.0% from 45 to 64, and 13.9% who were 65 or older. The median age was 40 years. For every 100 females, there were 91.7 males. For every 100 women age 18 and over, there were 89.0 men.

The median income for a household in the city was \$40,392, and the median income for a family was \$49,705. Men had a median income of \$32,373 versus \$27,431 for women. The per capita income for the city was \$25,454. About 9.5% of families and 12.3% of the population were below the poverty line,

including 17.2% of those under age 18 and 9.2% of those age 65 or over.

## **Transportation**

#### Air

Santa Fe is served by the Santa Fe Municipal Airport. Since June 2009, American Eagle has provided regional jet service to Dallas-Fort Worth International Airport. In November 2009, the airline expanded the Dallas service and added service to Phoenix. Since December 2012, Great Lakes Airlines has offered twice daily flight service between Santa Fe and Denver. Passengers may also fly into the Albuquerque International Sunport and connect via ground transportation.

#### Road

Santa Fe is located on I-25. In addition, U.S. Routes 84 and 285 pass through the city, along St. Francis Drive. NM-599 forms a limited-access road bypass around the northwestern part of the city.

In its earliest alignment (1926–1937), U.S. Route 66 ran through Santa Fe.

### **Public transportation**

Santa Fe Trails, run by the city, operates a number of bus routes within the city during business hours and also provides connections to regional transit.

The New Mexico Rail Runner Express is a commuter rail service operating in Valencia, Bernalillo (including Albuquerque), Sandoval, and Santa Fe Counties. In Santa Fe County, the service uses 18 miles (29 km) of new right-of-way connecting the BNSF Railway's old transcontinental mainline to existing right-of-way in Santa Fe used by the Santa Fe Southern Railway. Santa Fe is currently served by four stations, Santa Fe Depot, South Capitol, Zia Road, and Santa Fe County/NM 599.

New Mexico Park and Ride, a division of the New Mexico Department of Transportation, and the North Central Regional weekday Transit District operate primarily coach/bus service to Santa Fe from Torrance, Rio Arriba, Taos, San Miguel and Los Alamos Counties in addition to shuttle services within Santa Fe connecting major government activity centers. Prior to the Rail Runner's extension to Santa Fe, Park Ride commuter coach and operated service between Albuquerque and Santa Fe.

#### Rail

Along with the New Mexico Rail Runner Express, a commuter rail line serving the metropolitan areas of Albuquerque and Santa Fe, the city or its environs are served by two other railroads. The Santa Fe Southern Railway, now mostly a tourist rail experience but also carrying freight, operates excursion services out of Santa Fe as far as Lamy, 15 miles (24 km) to the southeast. The Santa Fe Southern line is one of the United States' few rails with trails. Lamy is also served by Amtrak's daily Southwest Chief for train service to Chicago, Los Angeles,

and intermediate points. Passengers transiting Lamy may use a special connecting coach/van service to reach Santa Fe.

#### **Trails**

Multi-use bicycle, pedestrian, and equestrian trails are increasingly popular in Santa Fe, for both recreation and commuting. These include the Dale Ball Trails, a 24.4-mile (39.3 km) network starting within two miles (3.2 km) of the Santa Fe Plaza; the long Santa Fe Rail Trail to Lamy; the Atalaya Trail up Atalaya Mountain; and the Santa Fe River Trail. Santa Fe is the terminus of three National Historic Trails: El Camino Real de Tierra Adentro National Historic Trail, the Old Spanish National Historic Trail, and the Santa Fe National Historic Trail.

### **Education**

Santa Fe has three public high schools:

- Santa Fe High School (1,500 students)
- Capital High School (1,300 students)
- New Mexico School for the Arts (200 students)

Public schools in Santa Fe are operated by Santa Fe Public Schools, with the exception of the New Mexico School for the Arts, which is a public/private partnership comprising the NMSA-Art Institute, a nonprofit art educational institution, and NMSA-Charter School, an accredited New Mexico state charter high school.

The city's institutions of higher education include St. John's College, a liberal arts college; the Institute of American Indian Arts, a tribal college for Native American arts; Southwestern College, a graduate school for counseling and art therapy; and Santa Fe Community College.

The city has six private college preparatory high schools: Santa Fe Waldorf School, St. Michael's High School, Desert Academy, New Mexico School For The Deaf, Santa Fe Secondary School, Santa Fe Preparatory School, and the Mandela International Magnet School. The Santa Fe Indian School is an off-reservation school for Native Americans. Santa Fe is also the location of the New Mexico School for the Arts, a public-private partnership, arts-focused high school. The city has many private elementary schools as well, including Little Earth School, Santa Fe International Elementary School, Rio Grande School, Desert Montessori School, La Mariposa Montessori, The Tara School, Fayette Street Academy, The Santa Fe Girls' School, The Academy for the Love of Learning, and Santa Fe School for the Arts and Sciences.

## Notable people

- David W. Alexander (1812-1886), Los Angeles politician and sheriff
- Antonio Armijo (1804–1850), explorer and merchant who led the first commercial caravan between Santa Fe, Nuevo México and Los Angeles, Alta California in 1829–1830
- Mary Hunter Austin (1868–1934), writer
- Gustave Baumann (1881–1971), print-maker, marionettemaker and painter; resident artist for more than fifty years; died in Santa Fe

- William Berra (born 1952), painter
- Florence Birdwell (1924-2021), musician, teacher
- Dana Tai Soon Burgess (born 1968), dancer, choreographer
- Paul Burlin (1886–1969), modern and abstract expressionist painter
- Witter Bynner (1881-1968), poet
- Julia Cameron (1948), author of The Artist's Way
- Zach Condon (born 1986), lead singer and songwriter of band Beirut
- Bronson M. Cutting (1888–1935), politician, newspaper publisher and military attaché
- Chris Eyre (born 1968), actor, director
- Tom Ford (born 1961), fashion designer
- Garance Franke-Ruta (born 1972), journalist
- T. Charles Gaastra (1879–1947), architect in the Pueblo Revival Style
- Greer Garson (1904–1996), actress and philanthropist
- Laura Gilpin, (1891-1979), photographer and author
- John Grubesic (born 1965), New Mexico State Senator, representing the 25th District as a Democrat
- Anna Gunn (born 1968), Emmy-winning actress
- Gene Hackman (born 1930), Oscar-winning actor
- Edgar Lee Hewett (1865–1946), archaeologist and anthropologist
- Dorothy B. Hughes (1904–1993), novelist and literary critic
- John Brinckerhoff Jackson (1909–1996), landscape architect
- Jeffe Kennedy, author
- Jean Kraft (born 1940), operatic singer (mezzo-soprano)
- Oliver La Farge (1901-1963), writer
- Jean Baptiste LeLande (1778-1821), merchant

- Jean-Baptiste Lamy (1814–1888), first Archbishop of Santa
   Fe
- Marjorie Herrera Lewis (born 1957), author
- Ali MacGraw (born 1939), actress
- Shirley MacLaine (born 1934), actress
- George R. R. Martin (born 1948), author and screenwriter, Game of Thrones
- Cormac McCarthy (born 1933), author, winner of Pulitzer
   Prize for Fiction
- Christine McHorse (1948–2021), ceramic artist
- Dorothy McKibbin (1897–1985), gatekeeper and point-ofcontact for personnel at the Manhattan Project
- John Gaw Meem (1894-1983) Architect who popularized the Pueblo Revival style
- Sylvanus Morley (1883-1948), archaeologist and Mayanist
- John Nieto (1936-2018, contemporary artist
- Jesse L. Nusbaum (1887–1975), archaeologist, anthropologist, photographer and National Park Service Superintendent
- Georgia O'Keeffe (1887–1986), artist, winner of National Medal of Arts
- Elliot Porter (1901–1990), photographer
- Robert Redford (born 1936), actor, director
- Wendy Rule (born 1966) Australian-born musician
- Hib Sabin (born 1935), indigenous-style sculptor
- Manuel de Sandoval, colonial governor of Texas. He was the only native of New Mexico that governed Spanish Texas
- Brad Sherwood (born 1964), actor and comedian
- Wes Studi (born 1947), actor and musician
- Teal Swan (born 1984), spiritual guru and author
- Sheri S. Tepper (1929–2016), writer
- Charlene Teters (born 1952), artist, activist

- Michael Charles Tobias (born 1951), author and global ecologist
- Stanislaw Ulam (1909–1984), mathematician associated with the Manhattan Project
- Jeremy Ray Valdez (born 1980), actor
- Lew Wallace (1827–1905), territorial governor 1878–1881, and author of Ben-Hur
- Josh West (born 1977), Olympic medalist rower and Earth Sciences professor
- Roger Zelazny (1937-1995), writer

### **Chapter 9**

# **Dutch Claim New Netherland**

New Netherland (Dutch: Nieuw Nederland; Latin: Nova Belgica or Novum Belgium) was a 17th-century colony of the Dutch Republic that was located on what is now the East Coast of the United States. The claimed territories extended from the Delmarva Peninsula to southwestern Cape Cod, while the more limited settled areas are now part of New York, New Jersey, Delaware, and Connecticut, with small outposts in Pennsylvania and Rhode Island.

The colony was conceived by the Dutch West India Company (WIC) in 1621 to capitalize on the North American fur trade. It was settled slowly at first because of policy mismanagement by the WIC and conflicts with Native Americans. The settlement of New Sweden by the Swedish South Company encroached on its southern flank, while its eastern border was redrawn to accommodate an expanding New England Confederation.

The colony experienced dramatic growth during the 1650s and became a major port for trade in the north Atlantic Ocean. The Dutch surrendered Fort Amsterdam on Manhattan island to England in 1664 (formalized in 1667), contributing to the Second Anglo-Dutch War. In 1673, the Dutch retook the area but relinquished it under the Treaty of Westminster (1674) that ended the Third Anglo-Dutch War the next year.

The inhabitants of New Netherland were European colonists, Native Americans, and Africans imported as slave laborers. Not including Native Americans, the colonial population was 1,500 to 2,000 in 1650; and 8,000 to 9,000 at the time of transfer to England in 1674, many of whom were not of Dutch descent.

# Origin

During the 17th century, Europe was undergoing expansive social, cultural, and economic growth known as the Dutch Golden Age in the Netherlands. Nations vied for domination of lucrative trade routes around the globe, particularly those to Asia. Simultaneously, philosophical and theological conflicts were manifested in military battles throughout the European continent. The Republic of the Seven United Netherlands had become a home to many intellectuals, international businessmen, and religious refugees. In the Americas, the English had a settlement at Jamestown, Virginia, the French had small settlements at Port Royal and Quebec, and the Spanish were developing colonies to exploit trade in South America and the Caribbean.

In 1609, English sea captain and explorer Henry Hudson was hired by the Dutch East India Company (VOC) located in Amsterdam to find a Northeast Passage to Asia, sailing around Scandinavia and Russia. He was turned back by the ice of the Arctic in his second attempt, so he sailed west to seek a Northwest Passage rather than return home. He ended up exploring the waters off the east coast of America aboard the Flyboat *Halve Maen*. His first landfall was at Newfoundland and the second at Cape Cod.

Hudson believed that the passage to the Pacific Ocean was between the St. Lawrence River and Chesapeake Bay, so he sailed south to the Bay, then turned northward, traveling close along the shore. He discovered Delaware Bay and began to sail upriver looking for the passage. This effort was foiled by sandy shoals, and the *Halve Maen* continued north. After passing Sandy Hook, Hudson and his crew entered the Narrows into the Upper New York Bay. (The Narrows was documented in 1524 by explorer Giovanni da Verrazzano, and the modern bridge spanning them is named after him.) Hudson believed that he had found the continental water route, so he sailed up the major river that now bears his name. He found the water too shallow to proceed several days later at the site of Troy, New York.

Upon returning to the Netherlands, Hudson reported that he had found a fertile land and an amicable people willing to engage his crew in small-scale bartering of furs, trinkets, clothes, and small manufactured goods. His report was first published in 1611 by Emanuel Van Meteren, the Dutch Consul at London.

This stimulated interest in exploiting this new trade resource, and it was the catalyst for Dutch merchant-traders to fund more expeditions. Merchants such as Arnout Vogels sent the first follow-up voyages to exploit this discovery as early as July 1610.

In 1611–12, the Admiralty of Amsterdam sent two covert expeditions to find a passage to China with the yachts *Craen* and *Vos*, captained by Jan Cornelisz Mey and Symon Willemsz Cat respectively. Adriaen Block, Hendrick Christiaensen, and Cornelius Jacobsen Mey explored, surveyed, and mapped the area between Maryland and Massachusetts in four voyages made between 1611 and 1614. These surveys and charts were

consolidated in Block's map, which used the name *New Netherland* for the first time; it was also called *Nova Belgica* on maps. During this period, there was some trading with the Indian population.

Fur trader Juan (Jan) Rodriguez was born in Santo Domingo of Portuguese and African descent. He arrived in Manhattan during the winter of 1613–14, trapping for pelts and trading with the Indians as a representative of the Dutch. He was the first recorded non-native inhabitant of New York City.

## **Development**

### Chartered trading companies

The immediate and intense competition among Dutch trading companies in the newly charted areas led to disputes in Amsterdam and calls for regulation. The States General was the governing body of the Republic of the Seven United Netherlands, and it proclaimed on March 17, 1614, that it would grant an exclusive patent for trade between the 40th and 45th parallels. This monopoly would be valid for four voyages, and all four voyages had to be undertaken within three years of the award. The New Netherland Company was an alliance of trading companies, and they used Adrian Block's map to win a patent that expired on January 1, 1618.

The New Netherland Company also ordered a survey of the Delaware Valley, and Cornelis Hendricksz of Monnickendam explored the *Zuyd Rivier* (South River) in 1616 from its bay to its northernmost navigable reaches. His observations were preserved in a map drawn in 1616. Hendricksz made his

voyages aboard the *IJseren Vercken* (Iron Hog), a vessel built in America. Despite the survey, the company was unable to secure an exclusive patent from the States General for the area between the 38th and 40th parallels.

The States General issued patents in 1614 for the development of New Netherland as a private, commercial venture. Soon after, traders built Fort Nassau on Castle Island in the area of Albany up Hudson's river. The fort was to defend river traffic against interlopers and to conduct fur trading operations with the Indians.

The location of the fort proved to be impractical, however, due to repeated flooding of the island in the summers, and it was abandoned in 1618 when the patent expired.

The Republic of the Seven United Netherlands granted a charter to the Dutch West India Company (WIC) (Geoctroyeerde Westindische Compagnie) on June 3, 1621, which gave the company the exclusive right to operate in West Africa (between the Tropic of Cancer and the Cape of Good Hope) and the Americas.

Willem Usselincx was one of the founders of the WIC, and he promoted the concept that a main goal of the company should be to establish colonies in the New World. In 1620, Usselincx made a last appeal to the States General, which rejected his principal vision as a primary goal.

The legislators preferred the formula of trading posts with small populations and a military presence to protect them, which was working in the East Indies, versus encouraging mass immigration and establishing large colonies. The company did not focus on colonization in America until 1654, when it was forced to surrender Dutch Brazil and forfeit the richest sugar-producing area in the world.

### Pre-colonial population

The first trading partners of the New Netherlanders were the Algonquins who lived in the area. The Dutch depended on the Indians to capture, skin, and deliver pelts to them, especially beaver. It is likely that Hudson's peaceful contact with the Mahicans encouraged them to establish Fort Nassau in 1614, the first of many garrisoned trading stations. In 1628, the Mohawks (members of the Iroquois Confederacy) conquered the Mahicans, who retreated to Connecticut. The Mohawks gained a near-monopoly in the fur trade with the Dutch, as they controlled the upstate Adirondacks and Mohawk Valley through the center of New York.

The Algonquin Lenape population around New York Bay and along the lower Hudson River were seasonally migrational people. The Dutch called the numerous tribes collectively the River Indians, known as the Wecquaesgeek, Hackensacks, Raritans, Canarsee, and Tappans. These groups had the most frequent contact with the New Netherlanders. The Munsee inhabited the Highlands, Hudson Valley, and northern New Jersey, while the Susquehannocks lived west of the Delaware River along the Susquehanna River, which the Dutch regarded as their boundary with Virginia.

Company policy required land to be purchased from the Indians. The Dutch West India Company would offer a land patent, and the recipient would be responsible for negotiating

a deal with representatives of the local tribes, usually the sachem or high chief. The Indians referred to the Dutch colonists as Swannekins, or salt water people; they had vastly different conceptions of ownership and use of land than the colonists did, and difficulties sometimes arose concerning the expectations on both sides.

The colonists thought that their proffer of gifts in the form of sewant or manufactured goods was a trade agreement and defense alliance, which gave them exclusive rights to farming, hunting, and fishing. Often, the Indians did not vacate the property, or reappeared seasonally according to their migration patterns. They were willing to share the land with the colonists, but the Indians did not intend to leave or give up access. This misunderstanding and other differences led to violent conflict later. At the same time, such differences marked the beginnings of a multicultural society.

### Early settlement

Like the French in the north, the Dutch focused their interest on the fur trade. To that end, they cultivated contingent relations with the Five Nations of the Iroquois to procure greater access to key central regions from which the skins came.

The Dutch encouraged a kind of feudal aristocracy over time, to attract settlers to the region of the Hudson River, in what became known as the system of the Charter of Freedoms and Exemptions. Further south, a Swedish trading company that had ties with the Dutch tried to establish its first settlement along the Delaware River three years later. Without resources

to consolidate its position, New Sweden was gradually absorbed by New Holland and later in Pennsylvania and Delaware.

The earliest Dutch settlement was built around 1613, and consisted of a number of small huts built by the crew of the "Tijger" (Tiger), a Dutch ship under the command of Captain Adriaen Block, which had caught fire while sailing on the Hudson. Soon after, the first of two Fort Nassaus was built, and small factorijen or trading posts went up, where commerce could be conducted with Algonquian and Iroquois population, possibly at Schenectady, Esopus, Quinnipiac, Communipaw, and elsewhere.

In 1617, Dutch colonists built a fort at the confluence of the Hudson and Mohawk Rivers where Albany now stands. In 1624, New Netherland became a province of the Dutch Republic, which had lowered the northern border of its North American dominion to 42 degrees latitude in acknowledgment of the claim by the English north of Cape Cod. The Dutch named the three main rivers of the province the *Zuyd Rivier* (South River), the *Noort Rivier* (North River), and the *Versche Rivier* (Fresh River). Discovery, charting, and permanent settlement were needed to maintain a territorial claim. To this end in May 1624, the WIC landed 30 families at Fort Orange and *Noten Eylant* (today's Governors Island) at the mouth of the North River.

They disembarked from the ship *New Netherland*, under the command of Cornelis Jacobsz May, the first Director of the New Netherland. He was replaced the following year by Willem Verhulst.

In June 1625, 45 additional colonists disembarked on *Noten Eylant* from three ships named *Horse*, *Cow*, and *Sheep*, which also delivered 103 horses, steers, cows, pigs, and sheep. Most settlers were dispersed to the various garrisons built across the territory: upstream to Fort Orange, to *Kievits Hoek* on the Fresh River, and Fort Wilhelmus on the South River. Many of the settlers were not Dutch but Walloons, French Huguenots, or Africans (most as enslaved labor, some later gaining "half-free" status).

#### North River and The Manhattans

Peter Minuit became Director of the New Netherland in 1626 and made a decision that greatly affected the new colony. Originally, the capital of the province was to be located on the South River, but it was soon realized that the location was susceptible to mosquito infestation in the summer and the freezing of its waterways in the winter. He chose instead the island of Manhattan at the mouth of the river explored by Hudson, at that time called the North River.

Minuit traded some goods with the local population, and reported that he had purchased it from the natives, as was company policy. He ordered the construction of Fort Amsterdam at its southern tip, around which grew the heart of the province called The Manhattoes in the vernacular of the day, rather than New Netherland.

The port city of New Amsterdam outside the walls of the fort became a major hub for trade between North America, the Caribbean, and Europe, and the place where raw materials were loaded, such as pelts, lumber, and tobacco. Sanctioned privateering contributed to its growth. It was given its municipal charter in 1653, by which time the Commonality of New Amsterdam included the isle of Manhattan, Staaten Eylandt, Pavonia, and the Lange Eylandt towns.

In the hope of encouraging immigration, the Dutch West India Company established the Charter of Freedoms and Exemptions in 1629, which gave it the power to offer vast land grants and the title of patroon to some of its invested members. The vast tracts were called patroonships, and the title came with powerful manorial rights and privileges, such as the creation of civil and criminal courts and the appointing of local officials. In return, a patroon was required by the Company to establish a settlement of at least 50 families within four years who would live as tenant farmers. Of the original five patents given, largest and only truly successful endeavour Rensselaerswyck, at the highest navigable point on the North River, which became the main thoroughfare of the province. Beverwijck grew from a trading post to a bustling, independent town in the midst of Rensselaerwyck, as did Wiltwyck, south of the patroonship in Esopus country.

#### **Kieft's War**

Willem Kieft was Director of New Netherland from 1638 until 1647. The colony had grown somewhat before his arrival, reaching 8,000 population in 1635.

Yet it did not flourish, and Kieft was under pressure to cut costs. At this time, Indian tribes which had signed mutual defense treaties with the Dutch were gathering near the colony due to widespread warfare and dislocation among the tribes to

the north. At first, he suggested collecting tribute from the Indians, as was common among the various dominant tribes, but his demands were simply ignored by the Tappan and Wecquaesgeek. Subsequently, a colonist was murdered in an act of revenge for some killings that had taken place years earlier and the Indians refused to turn over the perpetrator. Kieft suggested that they be taught a lesson by ransacking their villages. In an attempt to gain public support, he created the citizens commission the Council of Twelve Men.

The Council did not rubber-stamp his ideas, as he had expected them to, but took the opportunity to mention grievances that they had with the company's mismanagement and its unresponsiveness to their suggestions. Kieft thanked and disbanded them and, against their advice, ordered that groups of Tappan and Wecquaesgeek be attacked at Pavonia and Corlear's Hook, even though they had sought refuge from their more powerful Mahican enemies per their treaty understandings with the Dutch.

The massacre left 130 dead. Within days, the surrounding tribes united and rampaged the countryside, in a unique move, forcing settlers who escaped to find safety at Fort Amsterdam. For two years, a series of raids and reprisals raged across the province, until 1645 when Kieft's War ended with a treaty, in a large part brokered by the Hackensack sagamore Oratam.

The colonists were disenchanted with Kieft, his ignorance of indigenous peoples, and the unresponsiveness of the WIC to their rights and requests, and they submitted the Remonstrance of New Netherland to the States General. This document was written by Leiden-educated New Netherland

lawyer Adriaen van der Donck, condemning the WIC for mismanagement and demanding full rights as citizens of the province of the Netherlands.

### **Director-General Stuyvesant**

Peter Stuyvesant arrived in New Amsterdam in 1647, the only governor of the colony to be called Director-General. Some years earlier land ownership policy was liberalized and trading was somewhat deregulated, and many New Netherlanders considered themselves entrepreneurs in a free market. The population had reached about 15,000, including 500 on Manhattan Island.

During the period of governorship, the his province experienced exponential growth. Demands were made upon Stuyvesant from all sides: the West India Company, the States General, and the New Netherlanders. Dutch territory was being nibbled at by the English to the north and the Swedes to the south, while in the heart of the province the Esopus were trying to contain further Dutch expansion. Discontent in New Amsterdam led locals to dispatch Adriaen van der Donck back to the United Provinces to seek redress.

After nearly three years of legal and political wrangling, the Dutch Government came down against the WIC, granting the colony a measure of self-government and recalling Stuyvesant in April 1652. However, the orders were rescinded with the outbreak of the First Anglo-Dutch War a month later. Military battles were occurring in the Caribbean and along the South Atlantic coast. In 1654, the Netherlands lost New Holland in Brazil to Portugal, encouraging some of its residents to

emigrate north and making the North American colonies more appealing to some investors. The Esopus Wars are so named for the branch of Lenape that lived around Wiltwijck, today's Kingston, which was the Dutch settlement on the west bank of Hudson River between Beverwyk and New Amsterdam. These conflicts were generally over settlement of land by New Netherlanders for which contracts had not been clarified, and were seen by the natives as an unwanted incursion into their territory. Previously, the Esopus, a clan of the Munsee Lenape, had much less contact with the River Indians and the Mohawks. According to historian Eleanor Bruchey:

Peter Stuyvesant was essentially a difficult man thrust into a difficult position. Quick tempered, self-confident, and authoritarian, he was determined...to rule firmly and to repair the fortunes of the company. The company, however, had run the colony solely for trade profits, with scant attention to encouraging immigration and developing local government. Stuyvesant's predecessors...had been dishonest or, at best, inept, so there was no tradition of respect and support for the governorship on which he could build. Furthermore, the colonists were vocal and quick to challenge authority....Throughout his administration there were constant complaints to the company of his tyrannical acts and pressure for more local self-government....His religious intolerance also exacerbated relations with the colonists, most of whom did not share his narrow outlook.

# **Society**

New Netherlanders were not necessarily Dutch, and New Netherland was never a homogeneous society. Governor Peter Minuit was a Walloon born in Germany who spoke English and worked for a Dutch company. The term New Netherland Dutch generally includes all the Europeans who came to live there, but may also refer to Africans, Indo-Caribbeans, South Americans, and even the Indians who were integral to the society. Dutch was the official language and likely the lingua franca of the province, although other languages were also spoken.

There were various Algonquian languages; Walloons and Huguenots tended to speak French, and Scandinavians and Germans brought their own tongues. It is likely that the Africans on Manhattan spoke their mother tongues but were taught Dutch from 1638 by Adam Roelantsz van Dokkum. The arrival of refugees from New Holland in Brazil may have brought speakers of Portuguese, Spanish, and Ladino (with Hebrew as a liturgical language). Commercial activity in the harbor could have been transacted simultaneously in any of a number of tongues.

The Dutch West India Company introduced slavery in 1625 with the importation of 11 black slaves who worked as farmers, fur traders, and builders. They had a few basic rights and families were usually kept intact. They were admitted to the Dutch Reformed Church and married by its ministers, and their children could be baptized. Slaves could testify in court, sign legal documents, and bring civil actions against whites. Some were permitted to work after hours earning wages equal

to those paid to white workers. When the colony fell, the company freed the slaves, establishing early on a nucleus of free negros.

The Union of Utrecht is the founding document of the Dutch Republic, signed in 1579, and it stated "that everyone shall remain free in religion and that no one may be persecuted or investigated because of religion". The Dutch West India Company, however, established the Reformed Church as the official religious institution of New Netherland. Its successor church is the Reformed Church in America. The colonists had to attract the Indians and other non-believers to God's word. "through attitude and by example" but not "to persecute someone by reason of his religion, and to leave everyone the freedom of his conscience". In addition. the laws ordinances of the states of Holland were incorporated by reference in those first instructions to the Governors Island settlers in 1624.

There were two test cases during Stuyvesant's governorship in which the rule prevailed: the official granting of full residency for both Ashkenazi and Sephardi Jews in New Amsterdam in 1655, and the Flushing Remonstrance involving Quakers in 1657.

# **Expansion and incursion**

#### South River and New Sweden

Apart from the second Fort Nassau, and the small community that supported it, settlement along the Zuyd Rivier was limited. An attempt by *patroons* of Zwaanendael, Samuel

Blommaert and Samuel Godijn was destroyed by the local population soon after its founding in 1631 during the absence of their agent, David Pietersen de Vries.

Peter Minuit, who had construed a deed for Manhattan (and was soon after dismissed as director), knew that the Dutch would be unable to defend the southern flank of their North American territory and had not signed treaties with purchased land from the Minquas. After gaining the support from the Queen of Sweden, he chose the southern banks of the Delaware Bay to establish a colony there, which he did in 1638, calling it Fort Christina, New Sweden. As expected, the government at New Amsterdam took no other action than to protest. Other settlements sprang up as colony grew, mostly populated by Swedes, Finns, Germans, and Dutch. In 1651, Fort Nassau was dismantled and relocated in an attempt to disrupt trade and reassert control, receiving the name Fort Casimir. Fort Beversreede was built in the same year, but was short-lived. In 1655, Stuyvesant led a military expedition and regained control of the region, calling its main town "New Amstel" (Nieuw-Amstel). During this expedition, some villages and plantations at the Manhattans (Pavonia and Staten Island) were attacked in an incident that is known as the Peach Tree War.

These raids are sometimes considered revenge for the murder of an Indian girl attempting to pluck a peach, though it was likely that they were a retaliation for the attacks at New Sweden. A new experimental settlement was begun in 1673, just before the British takeover in 1674. Franciscus van den Enden had drawn up charter for a utopian society that included equal education of all classes, joint ownership of

property, and a democratically elected government. Pieter Corneliszoon Plockhoy attempted such a settlement near the site of Zwaanendael, but it soon expired under English rule.

### Fresh River and New England

Few Dutch settlers to New Netherland made their home at Fort Goede Hoop on the Fresh River. As early as 1637, English settlers from the Massachusetts Bay Colony began to settle along its banks and on Lange Eylandt, some with permission from the colonial government and others with complete disregard for it. The English colonies grew more rapidly than New Netherland as they were motivated by a desire to establish communities with religious roots, rather than for trade purposes. The *wal* or rampart was originally built at Wall Street due to fear of an invasion by the English.

Initially, there was limited contact between New Englanders and New Netherlanders, but the two provinces engaged in direct diplomatic relations with a swelling English population and territorial disputes. The New England Confederation was formed in 1643 as a political and military alliance of the English colonies of Massachusetts, Plymouth, Connecticut, and New Haven. Connecticut and New Haven were actually on land claimed by the United Provinces, but the Dutch were unable to populate or militarily defend their territorial claim and therefore could do nothing but protest the growing flood of English settlers. With the 1650 Treaty of Hartford, Stuyvesant provisionally ceded the Connecticut River region to New England, drawing New Netherland's eastern border 50 Dutch miles (approximately 250 km) west of the Connecticut's mouth on the mainland and just west of Oyster Bay on Long Island.

The Dutch West India Company refused to recognize the treaty, but it failed to reach any other agreement with the English, so the Hartford Treaty set the *de facto* border. Connecticut mostly assimilated into New England.

# Capitulation, restitution, and concession

In March 1664, Charles II of England, Scotland, and Ireland resolved to annex New Netherland and "bring all his Kingdoms under one form of government, both in church and state, and to install the Anglican government as in old England". The directors of the Dutch West India Company concluded that the religious freedom that they offered in New Netherland would dissuade English colonists from working toward their removal. They wrote to Director-General Peter Stuyvesant:

[W]e are in hopes that as the English at the north (in New Netherland) have removed mostly from old England for the causes aforesaid, they will not give us henceforth so much trouble, but prefer to live free under us at peace with their consciences than to risk getting rid of our authority and then falling again under a government from which they had formerly fled.

On August 27, 1664, four English frigates led by Richard Nicolls sailed into New Amsterdam's harbor and demanded New Netherland's surrender. They met no resistance to the capture of New Amsterdam because numerous citizens' requests had gone unheeded for protection by a suitable Dutch garrison against "the deplorable and tragic massacres" by the Indians. That lack of adequate fortification, ammunition, and manpower made New Amsterdam defenseless, and the West India

Company had been indifferent to previous pleas for reinforcement of men and ships against "the continual troubles, threats, encroachments and invasions of the English neighbors". Stuyvesant negotiated successfully for good terms from his "too powerful enemies". In the Articles of Surrender of New Netherland, he and his council secured the principle of religious tolerance in Article VIII, which assured that New Netherlanders "shall keep and enjoy the liberty of their consciences in religion" under English rule.

The Articles were largely observed in New Amsterdam and the Hudson River Valley, but were violated in another part of the conquest of New Netherland along the Delaware River, where Colonel Sir Robert Carr expropriated property for his own use and sold Dutch prisoners of war into slavery. Nicolls eventually forced Carr to return some of the expropriated property. In addition, a Mennonite settlement led by Pieter Corneliszoon Plockhoy near Lewes, Delaware was destroyed. The 1667 Treaty of Breda ended the Second Anglo-Dutch War; the Dutch did not press their claims on New Netherland, and the *status quo* was maintained, with the Dutch occupying Suriname and the nutmeg island of Run.

Within six years, the nations were again at war. The Dutch recaptured New Netherland in August 1673 with a fleet of 21 ships led by Vice Admiral Cornelius Evertsen and Commodore Jacob Binckes, then the largest ever seen in America. They chose Anthony Colve as governor and renamed the city New Orange, reflecting the installation of William of Orange as Stadtholder of Holland in 1672; he became King William III of England in 1689. Nevertheless, the Dutch Republic was bankrupt after the conclusion of the Third Anglo-Dutch War in

1672–1674, the historic "disaster years" in which the republic was simultaneously attacked by the French under Louis XIV, the English, the Prince-Bishop of Münster, and Archbishop-Elector of Cologne.

The States of Zeeland had tried to convince the States of Holland to take on the responsibility for the New Netherland province, but to no avail. In November 1674, the Treaty of Westminster concluded the war and ceded New Netherland to the English.

# Legacy

New Netherland grew into the largest metropolis in the United States, and it left an enduring legacy on American cultural and political life, "a secular broadmindedness and mercantile pragmatism" greatly influenced by the social and political climate in the Dutch Republic at the time, as well as by the character of those who immigrated to it. It was during the early British colonial period that the New Netherlanders actually developed the land and society that had an enduring impact on the Capital District, the Hudson Valley, North Jersey, western Long Island, New York City, Fairfield County, and ultimately the United States.

#### Political culture

The concept of tolerance was the mainstay of the province's Dutch mother country. The Dutch Republic was a haven for many religious and intellectual refugees fleeing oppression, as well as home to the world's major ports in the newly developing global economy. Concepts of religious freedom and free-trade

(including a stock market) were Netherlands imports. In 1682, visiting Virginian William Byrd commented about New Amsterdam that "they have as many sects of religion there as at Amsterdam".

The Dutch Republic was one of the first nation-states of Europe where citizenship and civil liberties were extended to large segments of the population. The framers of the U.S. Constitution were influenced by the Constitution of the Republic of the United Provinces, though that influence was more as an example of things to avoid than of things to imitate. In addition, the Act of Abjuration, essentially the declaration of independence of the United Provinces from the Spanish throne, is strikingly similar to the later American Declaration of Independence, though there is no concrete evidence that one influenced the other. John Adams went so far as to say that "the origins of the two Republics are so much alike that the history of one seems but a transcript from that of the other."

The Articles of Capitulation (outlining the terms of transfer to the English) in 1664 provided for the right to worship as one wished, and were incorporated into subsequent city, state, and national constitutions in the United States, and are the legal and cultural code that lies at the root of the New York Tri-State traditions.

Many prominent U.S. citizens are Dutch American directly descended from the Dutch families of New Netherland. The Roosevelt family produced two Presidents and are descended from Claes van Roosevelt, who emigrated around 1650. The Van Buren family of President Martin Van Buren also

originated in New Netherland. The Bush family descendants from Flora Sheldon are descendants from the Schuyler family.

#### **External threats**

The colony of New Netherland had severe external problems. population was too small and contentious, and the Company provided little military support. Stuyvesant was usually the loser. The most serious was the economic rivalry with England regarding trade. Secondarily there were small military conflicts with neighboring scale Indian involving fights between mobile bands on the one hand, and scattered small Dutch outposts on the other. With a large area limited population, defense was a major challenge. Stuyvesant greatest success came in dealing with nearby Swedish colonies, which he defeated and annexed in 1655. Relations with the English colony of Connecticut were strained, with disputes over ownership of land in the Connecticut valley, and in eastern Long island. The treaty of Hartford of 1650 was advantageous to the English, as Stuyvesant gave up claims to the Connecticut Valley while gaining only a small portion of Long island.

In any case Connecticut settlers ignored the treaty and steadily poured into the Hudson Valley, where they agitated against England moved to take over New Stuyvesant. In 1664 The Dutch colonists refused to fight, forcing Netherland. stuyvesant's surrender, demonstrating the dilemma of domestic dissatisfaction, small size, and overwhelming pressures with inadequate military support from the Company that was fixated on profits.

#### Lore

The blue, white, and orange on the flags of New York City, Albany and Jersey City are those of the *Prinsenvlag* ("Prince's Flag"), introduced in the 17th century as the *Statenvlag* ("States Flag"), the naval flag of the States General of the Netherlands. The flag and seal of Nassau County depicting the arms of the House of Nassau in the middle. The seven arrows in the lion's claw in the Dutch Republic's coat of arms was a precedent for the thirteen arrows in the eagle's claw in the Great Seal of the United States.

Washington Irving's satirical *A History of New York* and its famous fictional author Diedrich Knickerbocker had a large impact on the popular view of New Netherland's legacy. Irving's romantic vision of a Dutch yeomanry dominated the popular imagination about the colony since its publication in 1809. The tradition of Santa Claus is thought to have developed from a gift-giving celebration of the feast of Saint Nicholas on December 5 each year by the settlers of New Netherland. The Dutch Sinterklaas was changed to "Santa Claus", a name first used in the American press in 1773, when Nicholas was used as a symbol of New York's non-British past. However, many of the "traditions" of Santa Claus may have simply been invented by Irving in his 1809 *Knickerbocker's History of New York from The Beginning of the World To the End of The Dutch Dynasty*.

### Language and place names

Dutch continued to be spoken in the region for some time. President Martin Van Buren grew up in Kinderhook, New York speaking only Dutch, becoming the only president not to have

spoken English as a first language. A dialect known as Jersey Dutch was spoken in and around rural Bergen and Passaic counties in New Jersey until the early 20th century. Mohawk Dutch was spoken around Albany.

Early settlers and their descendants gave many place names that are still in use throughout the region of New Netherland. They adapted Indian names for locations such as Manhattan, Hackensack, Sing-Sing, and Canarsie. Peekskill, Catskill, and Cresskill all refer to the streams, or *kils*, around which they grew. Among those that use *hoek*, meaning *corner*, are Red Hook, Sandy Hook, Constable Hook, and Kinderhook.

### John Rolfe

**John Rolfe** (1585–1622) was one of the early English settlers of North America. He is credited with the first successful cultivation of tobacco as an export crop in the Colony of Virginia in 1611.

# Biography

Rolfe was born in Heacham, Norfolk, England, as the son of John Rolfe and Dorothea Mason, and was baptized on 6 May 1585. At that time, Spain held a virtual monopoly on the lucrative tobacco trade. Most Spanish colonies in the New World were located in southern climates more favorable to tobacco growth than the English settlements (founded in early 17th century, notably Jamestown in 1607). As the consumption of tobacco had increased, the balance of trade between England and Spain began to be seriously affected. Rolfe was one of a number of businessmen who saw the opportunity to

undercut Spanish imports by growing tobacco in England's new colony in Virginia. He had somehow obtained seeds to take with him from a special popular strain, then being grown in Trinidad, South America, even though Spain had declared a penalty of death to anyone selling such seeds to a non-Spaniard.

### Sailing with Third Supply to Virginia

A project of the proprietary Virginia Company of London, Jamestown had been established by an initial group of settlers on 14 May 1607. This colony proved as troubled as earlier English settlements. Two return trips with supplies by Christopher Newport arrived in 1608, while another large relief fleet was dispatched in 1609, carrying hundreds of new settlers and supplies across the Atlantic. Heading the Third Supply fleet was the new flagship of the Virginia Company, the *Sea Venture*, carrying Rolfe and his wife, Sarah Hacker.

The Third Supply fleet left England in May 1609 destined for Bermuda with seven large ships, towing two smaller pinnaces. In the southern region of the North Atlantic, they encountered three-day-long storm, thought to have been a hurricane. The ships of the fleet became separated. The new Sea Venture, whose caulking had not cured, was taking on water faster than it could be bailed. The Admiral of the Company, Sir George Somers, took the helm and the ship was deliberately driven onto the reefs of Bermuda to prevent its foundering. All aboard, 150 passengers and crew, and 1 dog, survived. Most remained for ten months in Bermuda, subsequently also known as "The Somers Isles", while they built two small ships to continue the voyage to Jamestown. A number of passengers and crew, however, did not complete this journey. Some had died or been killed, lost at sea (the *Sea Venture's* long boat had been fitted with a sail, and several men sent to take word to Jamestown, and they were never heard from again), or left behind to maintain England's claim to Bermuda. Because of this, although the Virginia Company's charter was not extended to Bermuda until 1612, the Colony at Bermuda dates its settlement from 1609. Among those left buried in Bermuda were Rolfe's wife and his infant daughter, Bermuda Rolfe.

In May 1610, the two newly constructed ships set sail from Bermuda, with 142 castaways on board, including Rolfe, George Somers, Stephen Hopkins, and Sir Thomas Gates. On arrival at Jamestown, they found the Virginia Colony almost destroyed by famine and disease during what has become known as the Starving Time. Very few supplies from the Third Supply had arrived because the same hurricane that caught the Sea Venture badly affected the rest of the fleet. Only 60 settlers remained alive. It was only through the arrival of the two small ships from Bermuda, and the arrival of another relief fleet commanded by Lord De La Warr on 10 June 1610, that the abandonment of Jamestown was avoided and the colony survived. After finally settling in Rolfe began his long-delayed work with tobacco.

## Orinoco tobacco: a cash crop

In competing with Spain for European markets, there was another problem beside the warmer climates the Spanish settlements enjoyed. The native tobacco from Virginia was not liked by the English settlers, nor did it appeal to the market in

England. However, Rolfe wanted to introduce sweeter strains from Trinidad, using the hard-to-obtain Spanish seeds he brought with him. In 1611, he was the first to commercially cultivate *Nicotiana tabacum* tobacco plants in North America; export of this sweeter tobacco beginning in 1612 helped turn the Virginia Colony into a profitable venture. He named his Virginia-grown strain of the tobacco "Orinoco", possibly in honour of tobacco popularizer Sir Walter Raleigh's expeditions in the 1580s up the Orinoco River in Guiana in search of the legendary City of Gold, El Dorado. The appeal of Orinoco tobacco was in its nicotine, and the conviviality of its use in social situations.

In 1612, Rolfe established Varina Farms, a plantation along the James River about 30 miles (50 km) upstream from Jamestown and across the river from Sir Thomas Dale's progressive development at Henricus. The first harvest of four barrels of tobacco leaf was exported from Virginia to England in March 1614. Soon afterwards, Rolfe and others were exporting vast quantities of the new cash crop. New plantations began growing along the James River, where export shipments could use wharfs along the river.

#### **Pocahontas**

Rolfe married Pocahontas, daughter of the local Native American leader Powhatan, on 5 April 1614. A year earlier, Alexander Whitaker had converted Pocahontas to Christianity and renamed her "Rebecca" when she had her baptism. Richard Buck officiated their wedding. Powhatan gave the newlyweds property just across the James River from Jamestown. They never lived on the land, which spanned thousands of acres,

and instead lived for two years on Rolfe's plantation, Varina Farms, across the James River from the new community of Henricus.

Their marriage created a climate of peace between the Jamestown colonists and Powhatan's tribes for several years; in 1615, Ralph Hamor wrote that "Since the wedding, we have had friendly commerce and trade not only with Powhatan but also with his subjects round about us." Their son, Thomas Rolfe, was born in January 1615.

John and Rebecca Rolfe traveled to England on the *Treasurer*, commanded by Samuel Argall, in 1615 with their young son. They arrived at the port of Plymouth on 12 June. Rebecca was widely received as visiting royalty, but settled in Brentford. However, as they were preparing to return to Virginia in March 1617, Rebecca became ill and died. Her body was interred in St George's Church, Gravesend. Their two-year-old son Thomas survived, but was adopted by Sir Lewis Stukley and later by John's brother, Henry Rolfe. John and Tomocomo returned to Virginia.

### Later life, death, and descendants

In 1619, Rolfe married Jane Pierce, daughter of the English colonist Captain William Pierce. They had a daughter, Elizabeth, in 1620, who married John Milner of Nansemond, Virginia, and died in 1635. Rolfe died in the Indian massacre of 1622. His widow Jane married Englishman Captain Roger Smith three years later.

The land given by Powhatan (now known as Smith's Fort Plantation, located in Surry County) was willed to Thomas

Rolfe, who in 1640 sold at least a portion of it to Thomas Warren. Smith's Fort was a secondary Fort to Jamestown, begun in 1609 by John Smith.

Thomas Rolfe, who had grown up in England, returned to Virginia as an adult and married Jane Poythress. Poythress's English parents were Francis Poythress and Alice Payton. Thomas and Jane Rolfe had one child, Jane Rolfe, who married Robert Bolling and had a son, John Bolling, in 1676. Jane Rolfe died shortly after giving birth. John Bolling married Mary Kennon, daughter of Richard Kennon and Elizabeth Worsham of Conjurer's Neck. The couple had six surviving children, each of whom married and had surviving children.

# Legacy

- The strain of tobacco cultivated by Rolfe was the export cash crop that helped make the Virginia Colony profitable. It was the mainstay of the farming plantations for generations. Huge warehouses, such as those on Richmond's Tobacco Row, attest to its popularity. Even almost 400 years later, tobacco figures prominently in Virginia's economy.
- In eastern Virginia, State Route 31 is named the John Rolfe Highway. It links Williamsburg with Jamestown, the southern entrance to the Colonial Parkway, and via the Jamestown Ferry leads to the rich farming area of Surry County and Sussex County, ending in Wakefield, Virginia.
- John Rolfe Drive, in the town of Smithfield in Isle of Wight County, Virginia, connects Battery Park Road with Magruder Road, and is named for Rolfe.

- John Rolfe Lane, in the town of Katy, Texas, goes through the suburban neighborhood of Williamsburg Colony.
- John Rolfe Middle School, in Henrico County, Virginia, one of Virginia's eight original shires of 1634, is named for him. Varina magisterial district in Henrico County is named for Rolfe's Varina Farms plantation, where the tiny village was also the first county seat (from 1634 to 1752).
- The abandoned corridor planned for State Route 288 in western Henrico County became a connector street, rather than a limited-access highway. It was named the John Rolfe Parkway.
- The city of Rolfe in Pocahontas County, Iowa, is named for Rolfe.

### Chapter 10

# Slavery in Africa

**Slavery** has historically been widespread in Africa. Systems of servitude and slavery were common in parts of Africa in ancient times, as they were in much of the rest of the ancient world. When the trans-Saharan slave trade, Indian Ocean slave trade and Atlantic slave trade (which started in the 16th century) began, many of the pre-existing local African slave systems began supplying captives for slave markets outside Africa. Slavery in contemporary Africa is still practised despite it being illegal.

In the relevant literature African slavery is categorized into indigenous slavery and export slavery, depending on whether or not slaves were traded beyond the continent. Slavery in historical Africa was practised in many different forms: Debt slavery, enslavement of war captives, military slavery, slavery for prostitution, and enslavement of criminals were all practised in various parts of Africa. Slavery for domestic and court purposes was widespread throughout Africa.

Plantation slavery also occurred, primarily on the eastern coast of Africa and in parts of West Africa. The importance of domestic plantation slavery increased during the 19th century, due to the abolition of the Atlantic slave trade. Many African states dependent on the international slave trade reoriented their economies towards legitimate commerce worked by slave labour.

# Forms of slavery

Multiple forms of slavery and servitude have existed throughout African history, and were shaped by indigenous practices of slavery as well as the Roman institution of slavery (and the later Christian views on slavery), the Islamic institutions of slavery via the Muslim slave trade, and eventually the Atlantic slave trade.

Slavery was a part of the economic structure of African societies for many centuries, although the extent varied. Ibn Battuta, who visited the ancient kingdom of Mali in the mid-14th century, recounts that the local inhabitants vied with each other in the number of slaves and servants they had, and was himself given a slave boy as a "hospitality gift." In sub-Saharan Africa, the slave relationships were often complex, with rights and freedoms given to individuals held in slavery and restrictions on sale and treatment by their masters. Many communities had hierarchies between different types of slaves: for example, differentiating between those who had been born into slavery and those who had been captured through war.

The forms of slavery in Africa were closely related to kinship structures. In many African communities, where land could not be owned, enslavement of individuals was used as a means to increase the influence a person had and expand connections. This made slaves a permanent part of a master's lineage, and the children of slaves could become closely connected with the larger family ties. Children of slaves born into families could be integrated into the master's kinship group and rise to prominent positions within society, even to the level of chief in some instances. However, stigma often remained attached, and

there could be strict separations between slave members of a kinship group and those related to the master.

### Chattel slavery

Chattel slavery is a specific servitude relationship where the slave is treated as the property of the owner. As such, the owner is free to sell, trade, or treat the slave as he would other pieces of property, and the children of the slave often are retained as the property of the master. There is evidence of long histories of chattel slavery in the Nile River valley, much of the Sahel and North Africa. Evidence is incomplete about the extent and practices of chattel slavery throughout much of the rest of the continent prior to written records by Arab or European traders, but it is thought to have been common and widely abusive.

### **Domestic service**

Many slave relationships in Africa revolved around domestic slavery, where slaves would work primarily in the house of the master, but retain some freedoms. Domestic slaves could be considered part of the master's household and would not be sold to others without extreme cause. The slaves could own the profits from their labour (whether in land or in products), and could marry and pass the land on to their children in many cases.

## **Pawnship**

Pawnship, or debt bondage slavery, involves the use of people as collateral to secure the repayment of debt. Slave labour is

performed by the debtor, or a relative of the debtor (usually a child). Pawnship was a common form of collateral in West Africa. It involved the pledge of a person or a member of that person's family, to serve another person providing credit. Pawnship was related to, yet distinct from, slavery in most conceptualizations, because the arrangement could include limited, specific terms of service to be provided, and because kinship ties would protect the person from being sold into slavery. Pawnship was a common practice throughout West Africa prior to European contact, including among the Akan people, the Ewe people, the Ga people, the Yoruba people, and the Edo people(in modified forms, it also existed among the Efik people, the Igbo people, the Ijaw people, and the Fon people).

### Military slavery

Military slavery involved the acquisition and training of conscripted military units which would retain the identity of military slaves even after their service.

Slave soldier groups would be run by a *Patron*, who could be the head of a government or an independent warlord, and who would send his troops out for money and his own political interests.

This was most significant in the Nile valley (primarily in Sudan and Uganda), with slave military units organized by various Islamic authorities, and with the war chiefs of Western Africa. The military units in Sudan were formed in the 1800s through large-scale military raiding in the area which is currently the countries of Sudan and South Sudan.

Moreover, a considerable number of the men born between 1800 and 1849 in West African regions (today Ghana and Burkina Faso) were abducted as slaves to serve in the army in Dutch Indonesia. Interestingly, soldiers were on average 3 cm taller than other West African population. Furthermore, data showed, West Africans were shorter than North Europeans but of almost equal height to South Europeans. This was mainly related to the quality of the nutrition and healthcare.

#### Slaves for sacrifice

Human sacrifice was common in West African states up to and during the 19th century. Although archaeological evidence is not clear on the issue prior to European contact, in those societies that practiced human sacrifice, slaves became the most prominent victims.

The Annual customs of Dahomey were the most notorious example of human sacrifice of slaves, where 500 prisoners would be sacrificed. Sacrifices were carried out all along the West African coast and further inland. Sacrifices were common in the Benin Empire, in what is now Ghana, and in the small independent states in what is now southern Nigeria. In the Ashanti Region, human sacrifice was often combined with capital punishment.

### Local slave trade

Many nations such as the Bono State, Ashanti of present-day Ghana and the Yoruba of present-day Nigeria were involved in slave-trading. Groups such as the Imbangala of Angola and the Nyamwezi of Tanzania would serve as intermediaries or roving bands, waging war on African states to capture people for export as slaves. Historians John Thornton and Linda Heywood of Boston University have estimated that of the Africans captured and then sold as slaves to the New World in the Atlantic slave trade, around 90% were enslaved by fellow Africans who sold them to European traders. Henry Louis Gates, the Harvard Chair of African and African American Studies. has stated that "without complex business partnerships between African elites and European traders and commercial agents, the slave trade to the New World would have been impossible, at least on the scale it occurred."

The entire Bubi ethnic group descends from escaped intertribal slaves owned by various ancient West-central African ethnic groups.

# Slavery practices throughout Africa

Like most other regions of the world, slavery and forced labour existed in many kingdoms and societies of Africa for hundreds of years. According to Ugo Kwokeji, early European reports of slavery throughout Africa in the 1600s are unreliable because they often conflated various forms of servitude as equal to chattel slavery.

The best evidence of slave practices in Africa come from the major kingdoms, particularly along the coast, and there is little evidence of widespread slavery practices in stateless societies. Slave trading was mostly secondary to other trade relationships; however, there is evidence of a trans-Saharan slave trade route from Roman times which persisted in the area after the fall of the Roman Empire. However, kinship

structures and rights provided to slaves (except those captured in war) appears to have limited the scope of slave trading before the start of the trans-Saharan slave trade, Indian Ocean slave trade and the Atlantic slave trade.

### **North Africa**

• Slavery in northern Africa dates back to ancient Egypt. The New Kingdom (1558–1080 BC) brought in large numbers of slaves as prisoners of war up the Nile valley and used them for domestic and supervised labour. Ptolemaic Egypt (305 BC–30 BC) used both land and sea routes to bring slaves in.

Chattel slavery had been legal and widespread throughout North Africa when the region was controlled by the Roman Empire (145 BC - ca. 430 AD), and by the Eastern Romans from 533 to 695). A slave trade bringing Saharans through the desert to North Africa, which existed in Roman continued and documentary evidence in the Nile Valley shows it to have been regulated there by treaty. As the Roman republic expanded, it enslaved defeated enemies and Roman conquests in Africa were no exception. For example, Orosius records that Rome enslaved 27,000 people from North Africa in 256 BC. Piracy became an important source of slaves for the Roman Empire and in the 5th century AD pirates would raid North African villages and enslave the captured. coastal Chattel slavery persisted after the fall of the Roman Empire in the largely Christian communities of the region. After the Islamic expansion into most of the region because of the trade expansion across the Sahara, the practices continued and eventually, the assimilative form of slavery spread to major societies on the southern end of the Sahara (such as Mali, Songhai, and Ghana). The medieval slave trade in Europe was mainly to the East and South: the Christian Byzantine Empire and the Muslim World were the destinations, Central and Eastern Europe an important source of slaves.

Slavery in medieval Europe was so widespread that the Roman Catholic Church repeatedly prohibited it—or at least the export of Christian slaves to non-Christian lands was prohibited at, for example, the Council of Koblenz in 922, the Council of London in 1102, and the Council of Armagh in 1171. Because of religious constraints, the slave trade was carried out in parts of Europe by Iberian Jews (known as Radhanites) who were able to transfer slaves from pagan Central Europe through Christian Western Europe to Muslim countries in Al-Andalus and Africa.

The Mamluks were slave soldiers who converted to Islam and served the Muslim caliphs and the Ayyubid Sultans during the Middle Ages. The first Mamluks served the Abbasid caliphs in 9th century Baghdad. Over time, they became a powerful military caste, and on more than one occasion they seized power for themselves, for example, ruling Egypt from 1250 to 1517. From 1250 Egypt had been ruled by the Bahri dynasty of Kipchak Turk origin. White enslaved people from the Caucasus served in the army and formed an elite corps of troops, eventually revolting in Egypt to form the Burgi dynasty. According to Robert Davis between 1 million and 1.25 million Europeans were captured by Barbary pirates and sold as slaves to North Africa and the Ottoman Empire between the 16th and 19th centuries. However, to extrapolate his numbers, Davis

assumes the number of European slaves captured by Barbary pirates were constant for a 250-year period, stating:

"There are no records of how many men, women and children were enslaved, but it is possible to calculate roughly the number of fresh captives that would have been needed to keep populations steady and replace those slaves who died, escaped, were ransomed, or converted to Islam. On this basis, it is thought that around 8,500 new slaves were needed annually to replenish numbers - about 850,000 captives over the century from 1580 to 1680. By extension, for the 250 years between 1530 and 1780, the figure could easily have been as high as 1.250.000."

Davis' numbers have been disputed by other historians, such as David Earle, who cautions that the true picture of European slaves is clouded by the fact the corsairs also seized non-Christian whites from eastern Europe and black people from West Africa.

In addition, the number of slaves traded was hyperactive, with exaggerated estimates relying on peak years to calculate averages for entire centuries, or millennia. Hence, there were wide fluctuations year-to-year, particularly in the 18th and 19th centuries, given slave imports, and also given the fact that, prior to the 1840s, there are no consistent records. Middle East expert John Wright cautions that modern based on back-calculations estimates are from human observation.

Such observations, across the late 1500s and early 1600s observers, estimate that around 35,000 European Christian slaves held throughout this period on the Barbary Coast,

across Tripoli, Tunis, but mostly in Algiers. The majority were sailors (particularly those who were English), taken with their ships, but others were fishermen and coastal villagers. However, most of these captives were people from lands close to Africa, particularly Spain and Italy.

The coastal villages and towns of Italy, Portugal, Spain, and Mediterranean islands were frequently attacked by the pirates, and long stretches of the Italian and Spanish coasts were almost completely abandoned by their inhabitants; after 1600 Barbary pirates occasionally entered the Atlantic and struck as far north as Iceland. The most famous corsairs were the Ottoman Barbarossa ("Redbeard"), and his older brother Oruç, Turgut Reis (known as Dragut in the West), Kurtoğlu (known as Curtogoli in the West), Kemal Reis, Salih Reis, and Koca Murat Reis.

In 1544, Hayreddin Barbarossa captured Ischia, taking 4,000 prisoners in the process, and deported to slavery some 9,000 inhabitants of Lipari, almost the entire population. In 1551, Dragut enslaved the entire population of the Maltese island Gozo, between 5,000 and 6,000, sending them to Libya. When pirates sacked Vieste in southern Italy in 1554 they took an estimated 7,000 slaves.

In 1555, Turgut Reis sailed to Corsica and ransacked Bastia, taking 6,000 prisoners. In 1558 Barbary corsairs captured the town of Ciutadella, destroyed it, slaughtered the inhabitants, and carried off 3,000 survivors to Istanbul as slaves. In 1563 Turgut Reis landed at the shores of the province of Granada, Spain, and captured the coastal settlements in the area like Almuñécar, along with 4,000 prisoners. Barbary pirates

frequently attacked the Balearic islands, resulting in many coastal watchtowers and fortified churches being erected. The threat was so severe that Formentera became uninhabited.

Early modern sources are full of descriptions of the sufferings of Christian galley slaves of the Barbary corsairs:

Those who have not seen a galley at sea, especially in chasing or being chased, cannot well conceive the shock such a spectacle must give to a heart capable of the least tincture of commiseration.

To behold ranks and files of half-naked, half-starved, half-tanned meagre wretches, chained to a plank, from whence they remove not for months together (commonly half a year), urged on, even beyond human strength, with cruel and repeated blows on their bare flesh...

As late as 1798, the islet near Sardinia was attacked by the Tunisians and over 900 inhabitants were taken away as slaves.

Sahrawi-Moorish society in Northwest Africa was traditionally (and still is, to some extent) stratified into several tribal castes, with the Hassane warrior tribes ruling and extracting tribute – horma – from the subservient Berber-descended znaga tribes. Below them ranked servile groups known as Haratin, a black population.

Enslaved Sub-Saharan Africans were also transported across North Africa into Arabia to do agricultural work because of their resistance to malaria that plagued the Arabia and North Africa at the time of early enslavement. Sub-Saharan Africans were able to endure the malaria-infested lands they were

transported to, which is why North Africans were not transported despite their close proximity to Arabia and its surrounding lands.

### **Horn of Africa**

• In the Horn of Africa, the Christian kings of the Ethiopian Empire often exported pagan Nilotic slaves from their western borderlands, or from newly conquered or reconquered lowland territories. The Somali and Afar Muslim sultanates, such as the medieval Adal Sultanate, through their ports also traded Zanj (Bantu) slaves who were captured from the hinterland.

Slavery, as practiced in Ethiopia, was essentially domestic and was geared more towards women; this was the trend for most of Africa as well. Women were transported across the Sahara, the Middle East, and the Mediterranean and the Indian Ocean trade more than men. Enslaved people served in the houses of their masters or mistresses, and were not employed to any significant extent for productive purpose.

The enslaved were regarded as second-class members of their owners' family. The first attempt to abolish slavery in Ethiopia was made by Emperor Tewodros II (r. 1855–68), although the slave trade was not abolished legally until 1923 with Ethiopia's ascension to the League of Nations. Anti-Slavery Society estimated there were 2 million slaves in the early 1930s, out of an estimated population of between 8 and 16 million. Slavery continued in Ethiopia until the Italian invasion in October 1935, when the institution was abolished by order of the Italian occupying forces. In response to pressure by Western

Allies of World War II, Ethiopia officially abolished slavery and involuntary servitude after having regained its independence in 1942. On 26 August 1942, Haile Selassie issued a proclamation outlawing slavery.

In Somali territories, slaves were purchased in the slave market exclusively to do work on plantation grounds. In terms of legal considerations, the customs regarding the treatment of Bantu slaves were established by the decree of Sultans and local administrative delegates. Additionally, freedom for these plantation slaves was also often acquired through eventual emancipation, escape, and ransom.

### **Central Africa**

Slaves were transported since antiquity along trade routes crossing the Sahara.

Oral tradition recounts slavery existing in the Kingdom of Kongo from the time of its formation with Lukeni lua Nimi enslaving the Mwene Kabunga whom he conquered to establish the kingdom. Early Portuguese writings show that the Kingdom did have slavery before contact, but that they were primarily war captives from the Kingdom of Ndongo.

Slavery was common along the Upper Congo River, and in the second half of the 18th century the region became a major source of slaves for the Atlantic Slave Trade, when high slave prices on the coast made long-distance slave trading profitable. When the Atlantic trade came to an end, the prices of slaves dropped dramatically, and the regional slave trade grew, dominated by Bobangi traders. The Bobangi also purchased a large number of slaves with profits from selling ivory, who they

used to populate their villages. A distinction was made between two different types of slaves in this region; slaves who had been sold by their kin group, typically as a result of undesirable behavior such as adultery, were unlikely to attempt to flee. In addition to those considered socially undesirable, the sale of children was also common in times of famine. Slaves who were captured, however, were likely to attempt to escape and had to be moved hundreds of kilometers from their homes as a safeguard against this.

The slave trade had a profound impact on this region of Central Africa, completely reshaping various aspects of society. For instance, the slave trade helped to create a robust regional trade network for the foodstuffs and crafted goods of small producers along the river. As the transport of only a few slaves in a canoe was sufficient to cover the cost of a trip and still make a profit, traders could fill any unused space on their canoes with other goods and transport them long distances without a significant markup on price. While the large profits from the Congo River slave trade only went to a small number of traders, this aspect of the trade provided some benefit to local producers and consumers.

### West Africa

Various forms of slavery were practiced in diverse ways in different communities of West Africa prior to European trade. Even though slavery did exist, it was not nearly as prevalent within most West African societies that were not Islamic before the Trans-Atlantic Slave Trade. The prerequisites for slave societies to exist weren't present in West Africa prior to the Atlantic slave trade considering the small market sizes and the

lack of a division of labour. Most West African societies were formed in Kinship units which would make slavery a rather marginal part of the production process within them. Slaves within Kinship-based societies would have had almost the same roles that free members had. Martin Klein has said that before the Atlantic trade, slaves in Western Sudan "made up a small part of the population, lived within the household, worked alongside free members of the household. and participated in a network of face-to-face links." With the development of the trans-Saharan slave trade the economies of gold in the western Sahel, a number of the major states became organized around the slave trade, including the Ghana Empire, the Mali Empire, the Bono State and Songhai Empire. However, other communities in West Africa largely resisted the slave trade.

The Jola refused to participate in the slave trade up into the end of the seventeenth century, and didn't use slave labour within their own communities until the nineteenth century. The Kru and Baga also fought against the slave trade. The Mossi Kingdoms tried to take over key sites in the trans-Saharan trade and, when these efforts failed, the Mossi became defenders against slave raiding by the powerful states of the western Sahel. The Mossi would eventually enter the slave trade in the 1800s with the Atlantic slave trade being the main market.

Senegal was a catalyst for slave trade, and from the Homann Heirs map figure shown, shows a starting point for migration and a firm port of trade. The culture of the Gold Coast was based largely on the power that individuals held, rather than the land cultivated by a family. Western Africa, and specifically

places like Senegal, were able to arrive at the development of slavery through analyzing the aristocratic advantages of slavery and what would best suit the region. This sort of governing that used "political tool" of discerning the different labours and methods of assimilative slavery. The domestic and agricultural labour became more evidently primary in Western Africa due to slaves being regarded as these "political tools" of access and status. Slaves often had more wives than their owners, and this boosted the class of their owners. Slaves were not all used for the same purpose. European colonizing countries were participating in the trade to suit the economic needs of their countries. The parallel of "Moorish" traders found in the desert compared to the Portuguese traders that were not as established pointed out the differences in uses of slaves at this point, and where they were headed in the trade.

Historian Walter Rodney identified no slavery or significant domestic servitude in early European accounts on the Upper Guinea region and I. A. Akinjogbin contends that European accounts reveal that the slave trade was not a major activity along the coast controlled by the Yoruba people and Aja people before Europeans arrived. In a paper read to the Ethnological Society of London in 1866, the viceroy of Lokoja Mr T. Valentine Robins, who in 1864 accompanied an expedition up the River Niger aboard HMS *Investigator*, described slavery in the region:

Upon slavery Mr Robins remarked that it was not what people in England thought it to be. It means, as continually found in this part of Africa, belonging to a family group-there is no compulsory labour, the owner and the slave work together, eat like food, wear like clothing and sleep in the same huts. Some

slaves have more wives than their masters. It gives protection to the slaves and everything necessary for their subsistence - food and clothing. A free man is worse off than a slave; he cannot claim his food from anyone.

With the beginning of the Atlantic slave trade, demand for slavery in West Africa increased and a number of states became centered on the slave trade and domestic slavery increased dramatically. Hugh Clapperton in 1824 believed that half the population of Kano were enslaved people.

In the Senegambia region, between 1300 and 1900, close to one-third of the population was enslaved. In early Islamic states of the western Sahel, including Ghana (750–1076), Mali (1235-1645), Segou (1712-1861), and Songhai (1275-1591), about a third of the population were enslaved. In Sierra Leone in the 19th century about half of the population consisted of enslaved people. Among the Vai people, during the 19th century, three quarters of people were slaves. In the 19th century at least half the population was enslaved among the Duala of the Cameroon and other peoples of the lower Niger, the Kongo, and the Kasanje kingdom and Chokwe of Angola. Among the Ashanti and Yoruba a third of the population consisted of enslaved people. The population of the Kanem (1600–1800) was about one-third enslaved. It was perhaps 40% in Bornu (1580-1890). Between 1750 and 1900 from one- to two-thirds of the entire population of the Fulani jihad states consisted of enslaved people. The population of the largest Fulani state, Sokoto, was at least half-enslaved in the 19th century. Among the Adrar 15 percent of people were enslaved, and 75 percent of the Gurma were enslaved. Slavery was extremely common among the Tuareg peoples and many still

hold slaves today. When British rule was first imposed on the Sokoto Caliphate and the surrounding areas in northern Nigeria at the turn of the 20th century, approximately 2 million to 2.5 million people there were enslaved. Slavery in northern Nigeria was finally outlawed in 1936.

### **African Great Lakes**

With sea trade from the eastern African Great Lakes region to Persia, China, and India during the first millennium AD, slaves are mentioned as a commodity of secondary importance to gold and ivory. When mentioned, the slave trade appears to be of a small-scale and mostly involves slave raiding of women and children along the islands of Kilwa Kisiwani, Madagascar, and Pemba. In places such as Uganda, the experience for women in slavery was different than that of customary slavery practices at the time. The roles assumed were based on gender and position within the society First one must make in Ugandan slavery of peasants distinction and Doyle and Henri Médard Researchers Shane assert the distinction with the following:

"Peasants were rewarded for valour in battle by the present of slaves by the lord or chief for whom they had fought. They could be given slaves by relatives who had been promoted to the rank of chiefs, and they could inherit slaves from their fathers. There were the abanyage (those pillaged or stolen in war) as well as the abagule (those bought). All these came under the category of abenvumu or true slaves, that is to say people not free in any sense. In a superior position were the young Ganda given by their maternal uncles into slavery (or pawnship), usually in lieu of debts... Besides such slaves both

chiefs and king were served by sons of well to do men who wanted to please them and attract favour for themselves or their children. These were the abasige and formed a big addition to a noble household.... All these different classes of dependents in a household were classed as Medard & Doyle abaddu (male servants) or abazana (female servants) whether they were slave or free-born.(175)"

In the Great Lakes region of Africa (around present-day Uganda), linguistic evidence shows the existence of slavery through war capture, trade, and pawning going back hundreds of years; however, these forms, particularly pawning, appear to have increased significantly in the 18th and 19th centuries. These slaves were considered to be more trustworthy than those from the Gold Coast. They were regarded with more prestige because of the training they responded to.

The language for slaves in the Great Lakes region varied. This region of water made it easy for capture of slaves and transport. Captive, refugee, slave, peasant were all used in order to describe those in the trade. The distinction was made by where and for what purpose they would be utilized for. Methods like pillage, plunder, and capture were all semantics common in this region to depict the trade.

Historians Campbell and Alpers argue that there were a host of different categories of labour in Southeast Africa and that the distinction between slave and free individuals was not particularly relevant in most societies. However. increasing international trade in the 18th and 19th century, Southeast Africa began to be involved significantly in the Atlantic slave trade; for example, with the king of Kilwa island

signing a treaty with a French merchant in 1776 for the delivery of 1,000 slaves per year.

At about the same time, merchants from Oman, India, and Southeast Africa began establishing plantations along the coasts and on the islands, To provide workers on these slave raiding and slave plantations, holding increasingly important in the region and slave traders (most Tip) became prominent in the environment of the region. The Southeast African trade reached its height in the early decades of the 1800s with up to 30,000 slaves sold per year. However, slavery never became significant part of the domestic economies except in Sultanate of Zanzibar where plantations and agricultural slavery were maintained. Author and historian Timothy Insoll wrote: "Figures record the exporting of 718,000 slaves from the Swahili coast during the 19th century, and the retention of 769,000 on the coast." At various times, between 65 and 90 percent of Zanzibar was enslaved. Along the Kenya coast, 90 percent of the population was enslaved, while half of Madagascar's population was enslaved.

# Transformations of slavery in Africa

Slave relationships in Africa have been transformed through four large-scale processes: the trans-Saharan slave trade, the Indian Ocean slave trade the Atlantic slave trade, and the slave emancipation policies and movements in the 19th and 20th centuries. Each of these processes significantly changed the forms, level, and economics of slavery in Africa.

Slave practices in Africa were used during different periods to justify specific forms of European engagement with the peoples of Africa. Eighteenth century writers in Europe claimed that slavery in Africa was quite brutal in order to justify the Atlantic slave trade.

Later writers used similar arguments to justify intervention and eventual colonization by European powers to end slavery in Africa.

Africans knew of the harsh slavery that awaited slaves in the New World. Many elite Africans visited Europe on slave ships following the prevailing winds through the New World. One example of this occurred when Antonio Manuel, Kongo's ambassador to the Vatican, went to Europe in 1604, stopping first in Bahia, Brazil, where he arranged to free a countryman who had been wrongfully enslaved. African monarchs also sent their children along these same slave routes to be educated in Europe, and thousands of former slaves eventually returned to settle Liberia and Sierra Leone.

### Trans-Saharan and Indian Ocean trade

Early records of trans-Saharan slave trade come from ancient Greek historian Herodotus in the 5th century BC. The Garamentes were by Herodotus recorded to engage in the trans-Saharan slave trade were they enslaved cave-dwelling Ethiopians or Troglodytae. The Garamentes relied heavily on labour from sub-Saharan Africa, in the shape of slaves, they used slaves in their own communities to construct and maintain underground irrigation systems known to Berbers as foggara.

In the early Roman Empire, the city of Lepcis established a slave market to buy and sell slaves from the African interior. The empire imposed customs tax on the trade of slaves. In 5th century AD, Roman Carthage was trading in black slaves brought across the Sahara. Black slaves seem to have been valued in the Mediterranean as household slaves for their exotic appearance. Some historians argue that the scale of slave trade in this period may have been higher than medieval times due to high demand of slaves in the Roman Empire.

Slave trading in the Indian Ocean goes back to 2500 BCE. Ancient Babylonians, Egyptians, Greeks, Indians and Persians all traded slaves on small scale across the Indian Ocean (and sometimes the Red Sea). Slave trading in the Red Sea around the time of Alexander the Great is described by Agatharchides. Strabo's Geographica (completed after 23 CE) mentions Greeks from Egypt trading slaves at the port of Adulis and other ports the Somali coast. Pliny the Elder's Natural History (published in 77 CE) also describes Indian Ocean slave trading. In the 1st century CE, Periplus of the Erythraean Sea advised of slave trading opportunities in the region, particularly in the trading of "beautiful girls for concubinage." According to this manual, slaves were exported from Omana (likely near modernday Oman) and Kanê to the west coast of India. The ancient Indian Ocean slave trade was enabled by building boats capable of carrying large numbers of human beings in the Gulf using wood imported from India. Persian shipbuilding activities go back to Babylonian and Achaemenid times.

After the involvement of the Byzantine Empire and Sassanian Empire in slave trading in the 1st century, it became a major enterprise. Cosmas Indicopleustes wrote in his *Christian Topography* (550 CE) that slaves captured in Ethiopia would be imported into Byzantine Egypt via the Red Sea. He also mentioned the import of eunuchs by the Byzantines from Mesopotamia and India. After the 1st century, the export of black Africans became a "constant factor". Under the Sassanians, Indian Ocean trade was used not just to transport slaves, but also scholars and merchants.

The enslavement of Africans for eastern markets started before 7th century but remained at low levels until 1750. The trade volume peaked around 1850 but would largely have ended around 1900. Muslim participation in the slave trade started in the eighth and ninth centuries AD, beginning with small-scale movement of people largely from the eastern Great Lakes region and the Sahel.

Islamic law allowed slavery, but prohibited slavery involving other pre-existing Muslims; as a result, the main target for slavery were the people who lived in the frontier areas of Islam in Africa.

The trade of slaves across the Sahara and across the Indian Ocean also has a long history beginning with the control of sea routes by Afro-Arab traders in the ninth century. It is estimated that, at that time, a few thousand enslaved people were taken each year from the Red Sea and Indian Ocean coast. They were sold throughout the Middle East. This trade accelerated as superior ships led to more trade and greater demand for labour on plantations in the region. Eventually, tens of thousands per year were being taken. On the Swahili Coast, the Afro-Arab slavers captured Bantu peoples from the

interior and brought them to the littoral. There, the slaves gradually assimilated in the rural areas, particularly on the Unguja and Pemba islands.

This changed the slave relationships by creating new forms of employment by slaves (as eunuchs to guard harems, and in military units) and creating conditions for freedom (namely conversion—although it would only free a slave's children). Although the level of the trade remained relatively small, the size of total slaves traded grew to a large number over the multiple centuries of its existence. Because of its small and gradual nature, the impact on slavery practices in communities that did not convert to Islam was relatively small. However, in the 1800s, the slave trade from Africa to the Islamic countries picked up significantly. When the European slave trade ended around the 1850s, the slave trade to the east picked up significantly only to be ended with European colonization of Africa around 1900. Between 1500 and 1900, up to 17 million Africans slaves were transported by Muslim traders to the coast of the Indian Ocean, the Middle East, and North Africa.

In 1814, Swiss explorer Johann Burckhardt wrote of his travels in Egypt and Nubia, where he saw the practice of slave trading: "I frequently witnessed scenes of the most shameless indecency, which the traders, who were the principal actors, only laughed at. I may venture to state, that very few female slaves who have passed their tenth year, reach Egypt or Arabia in a state of virginity."

David Livingstone while talking about the slave trade in East Africa in his journals:

To overdraw its evil is a simple impossibility.

Livingstone wrote about a group of slaves forced to march by Arab slave traders in the African Great Lakes region when he was travelling there in 1866:

19th June 1866 - We passed a woman tied by the neck to a tree and dead, the people of the country explained that she had bene unable to keep up with the other slaves in a gang, and her master had determined that she should not become anyone's property if she recovered.

26th June 1866 - ... We passed a slave woman shot or stabbed through the body and lying on the path: a group of mon stood about a hundred yards off on one side, and another of the women on the other side, looking on; they said an Arab who passed early that morning had done it in anger at losing the price he had given for her, because she was unable to walk any longer.

27th June 1866 – To-day we came upon a man dead from starvation, as he was very thin. One of our men wandered and found many slaves with slave-sticks on, abandoned by their masters from want of food; they were too weak to be able to speak or say where they had come from; some were quite young.

Zanzibar was once East Africa's main slave-trading port, and under Omani Arabs in the 19th century as many as 50,000 slaves were passing through the city each year.

European slave trade in the Indian Ocean began when Portugal established Estado da Índia in the early 16th century. From then until the 1830s, c. 200 slaves were exported from

Mozambique annually and similar figures has been estimated for slaves brought from Asia to the Philippines during the Iberian Union (1580–1640).

The establishment of the Dutch East India Company in the early 17th century lead to a quick increase in volume of the slave trade in the region; there were perhaps up to 500,000 slaves in various Dutch colonies during the 17th and 18th centuries in the Indian Ocean. For example, some 4000 African slaves were used to build the Colombo fortress in Dutch Ceylon. Bali and neighbouring islands supplied regional networks with c. 100,000–150,000 slaves 1620–1830. Indian and Chinese slave traders supplied Dutch Indonesia with perhaps 250,000 slaves during 17th and 18th centuries.

The East India Company (EIC) was established during the same period and in 1622 one of its ships carried slaves from the Coromandel Coast to Dutch East Indies. The EIC mostly traded in African slaves but also some Asian slaves purchased from Indian, Indonesian and Chinese slave traders. The French established colonies on the islands of Réunion and Mauritius in 1721; by 1735 some 7,200 slaves populated the Mascarene Islands, a number which had reached 133,000 in 1807. The British captured the islands in 1810, however, and because the British had prohibited the slave trade in 1807 a system of clandestine slave trade developed to bring slaves to French planters on the islands; in all 336,000–388,000 slaves were exported to the Mascarane Islands from 1670 until 1848.

In all, Europeans traders exported 567,900–733,200 slaves within the Indian Ocean between 1500 and 1850 and almost that same amount were exported from the Indian Ocean to the

Americas during the same period. Slave trade in the Indian Ocean was, nevertheless, very limited compared to c. 12,000,000 slaves exported across the Atlantic.

### Atlantic slave trade

The Atlantic slave trade or transatlantic slave trade took place across the Atlantic Ocean from the 15th through to the 19th centuries.

According to Patrick Manning, the Atlantic slave trade was significant in transforming Africans from a minority of the global population of slaves in 1600 into the overwhelming majority by 1800 and by 1850 the number of African slaves within Africa exceeded those in the Americas.

The slave trade was transformed from a marginal aspect of the economies into the largest sector in a relatively short span. In addition, agricultural plantations increased significantly and became a key aspect in many societies. Economic urban centers that served as the root of main trade routes shifted towards the West coast. At the same time, many African communities relocated far away from slave trade routes, often protecting themselves from the Atlantic slave trade but hindering economic and technological development at the same time.

In many African societies traditional lineage slavery became more like chattel slavery due to an increased work demand. This resulted in a general decrease in quality of life, working conditions, and status of slaves in West African societies. Assimilative slavery was increasingly replaced with chattel slavery. Assimilitave slavery in Africa often allowed eventual

freedom and also significant cultural, social, and/or economic influence. Slaves were often treated as part of their owner's family, rather than simply property.

The distribution of gender among enslaved peoples under traditional lineage slavery saw women as more desirable slaves due to demands for domestic labour and for reproductive reasons. Male slaves were used for more physical agricultural labour, but as more enslaved men were taken to the West Coast and across the Atlantic to the New World, female slaves were increasingly used for physical and agricultural labour and polygyny also increased. Chattel slavery in America was highly demanding because of the physical nature of plantation work and this was the most common destination for male slaves in the New World.

It has been argued that a decrease in able-bodied people as a result of the Atlantic slave trade limited many societies ability to cultivate land and develop. Many scholars argue that the trade. left transatlantic slave Africa underdeveloped, demographically unbalanced, and vulnerable to future European colonization.

The first Europeans to arrive on the coast of Guinea were the Portuguese; the first European to actually buy enslaved Africans in the region of Guinea was Antão Gonçalves, a Portuguese explorer in 1441 AD. Originally interested in trading mainly for gold and spices, they set up colonies on the uninhabited islands of São Tomé. In the 16th century the Portuguese settlers found that these volcanic islands were ideal for growing sugar. Sugar growing is a labour-intensive undertaking and Portuguese settlers were difficult to attract

due to the heat, lack of infrastructure, and hard life. To cultivate the sugar the Portuguese turned to large numbers of enslaved Africans. Elmina Castle on the Gold Coast, originally built by African labour for the Portuguese in 1482 to control the gold trade, became an important depot for slaves that were to be transported to the New World.

The Spanish were the first Europeans to use enslaved Africans in America on islands such as Cuba and Hispaniola, where the alarming death rate in the native population had spurred the first royal laws protecting the native population (Laws of Burgos, 1512–13). The first enslaved Africans arrived in Hispaniola in 1501 soon after the Papal Bull of 1493 gave almost all of the New World to Spain.

In Igboland, for example, the Aro oracle (the Igbo religious authority) began condemning more people to slavery due to small infractions that previously probably wouldn't have been punishable by slavery, thus increasing the number of enslaved men available for purchase.

The Atlantic slave trade peaked in the late 18th century, when the largest number of people were bought or captured from West Africa and taken to the Americas. The increase of demand for slaves due to the expansion of European colonial powers to the New World made the slave trade much more lucrative to the West African powers, leading to the establishment of a number of actual West African empires thriving on slave trade. These included the Bono State, Oyo empire (Yoruba), Kong Empire, Imamate of Futa Jallon, Imamate of Futa Toro, Kingdom of Koya, Kingdom of Khasso, Kingdom of Kaabu. Fante Confederacy, Ashanti Confederacy, and the kingdom

Dahomey. These kingdoms relied on a militaristic culture of constant warfare to generate the great numbers of human captives required for trade with the Europeans. It is documented in the Slave Trade Debates of England in the early 19th century: "All the old writers concur in stating not only that wars are entered into for the sole purpose of making slaves, but that they are fomented by Europeans, with a view to that object."

The gradual abolition of slavery in European colonial empires during the 19th century again led to the decline and collapse of these African empires. When European powers began to stop the Atlantic slave trade, this caused a further change in that large holders of slaves in Africa began to exploit enslaved people on plantations and other agricultural products.

### **Abolition**

The final major transformation of slave relationships came with the inconsistent emancipation efforts starting in the mid-19th century. As European authorities began to take over large parts of inland Africa starting in the 1870s, the colonial policies were often confusing on the issue. For example, even when slavery was deemed illegal, colonial authorities would return escaped slaves to their masters. Slavery persisted in some countries under colonial rule, and in some instances it was not until independence that slavery practices were significantly transformed.

Anti-colonial struggles in Africa often brought slaves and former slaves together with masters and former masters to fight for independence; however, this cooperation was short-

lived and following independence political parties would often form based upon the stratifications of slaves and masters.

In some parts of Africa, slavery and slavery-like practices continue to this day, particularly the illegal trafficking of women and children. The problem has proven to be difficult for governments and civil society to eliminate.

Efforts by Europeans against slavery and the slave trade began in the late 18th century and had a large impact on slavery in Africa. Portugal was the first country in the continent to abolish slavery in metropolitan Portugal and Portuguese India by a bill issued on 12 February 1761, but this did not affect their colonies in Brazil and Africa. France abolished slavery in 1794. However, slavery was again allowed by Napoleon in 1802 and not abolished for good until 1848. In 1803, Denmark-Norway became the first country from Europe to implement a ban on the slave trade. Slavery itself was not banned until 1848. Britain followed in 1807 with the passage of the Abolition of the Slave Trade Act by Parliament. This law allowed stiff fines, increasing with the number of slaves transported, for captains of slave ships. Britain followed this with the Slavery Abolition Act 1833 which freed all slaves in the British Empire. British pressure on other countries resulted in them agreeing to end the slave trade from Africa. For example, the 1820 U.S. Law on Slave Trade made slave trading piracy, punishable by death. In addition, the Ottoman Empire abolished slave trade from Africa in 1847 under British pressure.

By 1850, the year that the last major Atlantic slave trade participant (Brazil) passed the Eusébio de Queirós Law

banning the slave trade, the slave trades had been significantly slowed and in general only illegal trade went on. Brazil continued the practice of slavery and was a major source for illegal trade until about 1870 and the abolition of slavery became permanent in 1888 when Princess Isabel of Brazil and Minister Rodrigo Silva (son-in-law of senator Eusebio de Queiroz) banned the practice. The British took an active approach to stopping the illegal Atlantic slave trade during this period. The West Africa Squadron was credited with capturing 1,600 slave ships between 1808 and 1860, and freeing 150,000 Africans who were aboard these ships. Action was also taken against African leaders who refused to agree to British treaties to outlaw the trade, for example against 'the usurping King of Lagos', deposed in 1851. Anti-slavery treaties were signed with over 50 African rulers.

According to Patrick Manning, internal slavery was most important to Africa in the second half of the 19th century, stating "if there is any time when one can speak of African societies being organized around a slave mode production, [1850–1900] was it". The abolition of the Atlantic slave trade resulted in the economies of African states dependent on the trade being reorganized towards domestic plantation slavery and legitimate commerce worked by slave labour. Slavery before this period was generally domestic.

The continuing anti-slavery movement in Europe became an excuse and a casus belli for the European conquest and colonization of much of the African continent. It was the central theme of the Brussels Anti-Slavery Conference 1889-90. In the late 19th century, the Scramble for Africa saw the continent rapidly divided between imperialistic European

powers, and an early but secondary focus of all colonial regimes was the suppression of slavery and the slave trade. Seymour Drescher argues that European interests in abolition were primarily motivated by economic and imperial goals. Despite slavery often being a justification behind conquest, colonial regimes often ignored slavery or allowed slavery practices to continue. This was because the colonial state depended on the cooperation of indigenous political and economic structures which were heavily involved in slavery. As a result, early colonial policies usually sought to end slave trading while regulating existing slave practices and weakening the power of slave masters. Furthermore, the early colonial states had weak effective control over their territories, which precluded efforts to widespread abolition. Abolition attempts became more concrete later during the colonial period.

There were many causes for the decline and abolition of slavery in Africa during the colonial period including colonial abolition policies, various economic changes, and slave resistance. The economic changes during the colonial period, including the rise of wage labour and cash crops, hastened the decline of slavery by offering new economic opportunities to slaves. The abolition of slave raiding and the end of wars between African states drastically reduced the supply of slaves. Slaves would take advantage of early colonial laws that nominally abolished slavery and would migrate away from their masters although these laws often were intended to regulate slavery more than actually abolish it. This migration led to more concrete abolition efforts by colonial governments.

Following conquest and abolition by the French, over a million slaves in French West Africa fled from their masters to earlier

homes between 1906 and 1911. In Madagascar over 500,000 slaves were freed following French abolition in 1896. In response to this pressure, Ethiopia officially abolished slavery in 1932, Sokoto Caliphate abolished slavery in 1900, and the rest of the Sahel in 1911. Colonial nations were mostly successful in this aim, though slavery is still very active in Africa even though it has gradually moved to a wage economy. Independent nations attempting to westernize or impress Europe sometimes cultivated an image of slavery suppression, even as they, in the case of Egypt, hired European soldiers like Samuel White Baker's expedition up the Nile. Slavery has never been eradicated in Africa, and it commonly appears in African states, such as Chad, Ethiopia, Mali, Niger, and Sudan, in places where law and order have collapsed.

Although outlawed in all countries today, slavery is practised in secret in many parts of the world. There are an estimated 30 million victims of slavery worldwide. In Mauritania alone, up to 600,000 men, women and children, or 20% of the population, are enslaved, many of them used as bonded labour. Slavery in Mauritania was finally criminalized in August 2007. During the Second Sudanese Civil War people were taken into slavery; estimates of abductions range from 14,000 to 200,000. In Niger, where the practice of slavery was outlawed in 2003, a study found that almost 8% of the population are still slaves.

### **Effects**

### **Demographics**

Slavery and the slave trades had a significant impact on the size of the population and the gender distribution throughout

much of Africa. The precise impact of these demographic shifts has been an issue of significant debate. The Atlantic slave trade took 70,000 people, primarily from the west coast of Africa, per year at its peak in the mid-1700s. The trans-Saharan slave trade involved the capture of peoples from the continental interior, who were then shipped overseas through ports on the Red Sea and elsewhere.

It peaked at 10,000 people bartered per year in the 1600s. According to Patrick Manning, there was consistent a population decrease in large parts of Sub-Saharan Africa as a these slave trades. This population throughout West Africa from 1650 until 1850 was exacerbated by the preference of slave traders for male slaves. It is important to note that this preference only existed in the transatlantic slave trade. More female slaves than male were traded across the continent of Africa. In eastern Africa, the slave trade was multi-directional and changed over time. To meet the demand for menial labour, Zanj slaves captured from the southern interior were sold through ports on the northern seaboard in cumulatively large numbers over the centuries to customers in the Nile Valley, Horn of Africa, Arabian Peninsula, Persian Gulf, India, Far East and the Indian Ocean islands.

## **Extent of slavery**

The extent of slavery within Africa and the trade in slaves to other regions is not known precisely. Although the Atlantic slave trade has been best studied, estimates range from 8 million people to 20 million. The Trans-Atlantic Slave Trade Database estimates that the Atlantic slave trade took around

12.8 million people between 1450 and 1900. The slave trade across the Sahara and Red Sea from the Sahara, the Horn of Africa, and East Africa, has been estimated at 6.2 million people between 600 and 1600. Although the rate decreased from East Africa in the 1700s, it increased in the 1800s and is estimated at 1.65 million for that century.

Estimates by Patrick Manning are that about 12 million slaves entered the Atlantic trade between the 16th and 19th century, but about 1.5 million died on board ship. About 10.5 million slaves arrived in the Americas. Besides the slaves who died on the Middle Passage, more Africans likely died during the slave raids in Africa and forced marches to ports. Manning estimates that 4 million died inside Africa after capture, and many more died young. Manning's estimate covers the 12 million who were originally destined for the Atlantic, as well as the 6 million destined for Asian slave markets and the 8 million destined for African markets.

# Debate about demographic effect

The demographic effects of the slave trade are some of the most controversial and debated issues. Walter Rodney argued that the export of so many people had been a demographic disaster and had left Africa permanently disadvantaged when compared to other parts of the world, and that this largely explains that continent's continued poverty. He presents numbers that show that Africa's population stagnated during this period, while that of Europe and Asia grew dramatically. According to Rodney all other areas of the economy were disrupted by the slave trade as the top merchants abandoned traditional

industries to pursue slaving and the lower levels of the population were disrupted by the slaving itself.

Others have challenged this view. J. D. Fage compared the number effect on the continent as a whole. David Eltis has compared the numbers to the rate of emigration from Europe during this period. In the 19th century alone over 50 million people left Europe for the Americas, a far higher rate than were ever taken from Africa.

Others in turn challenged that view. Joseph E. Inikori argues the history of the region shows that the effects were still quite deleterious. He argues that the African economic model of the period was very different from the European, and could not sustain such population losses. Population reductions in certain areas also led to widespread problems. Inikori also notes that after the suppression of the slave trade Africa's population almost immediately began to rapidly increase, even prior to the introduction of modern medicines.

#### Effect on the economy of Africa

There is a longstanding debate among analysts and scholars about the destructive impacts of the slave trades. It is often claimed that the slave trade undermined local economies and political stability as villages' vital labour forces were shipped overseas as slave raids and civil wars became commonplace. With the rise of a large commercial slave trade, driven by European needs, enslaving your enemy became less a consequence of war, and more and more a reason to go to war. The slave trade was claimed to have impeded the formation of larger ethnic groups, causing ethnic factionalism and

weakening the formation for stable political structures in many places. It also is claimed to have reduced the mental health and social development of African people.

In contrast to these arguments, J. D. Fage asserts that slavery did not have a wholly disastrous effect on the societies of Africa. Slaves were an expensive commodity, and traders received a great deal in exchange for each enslaved person. At the peak of the slave trade hundreds of thousands of muskets, vast quantities of cloth, gunpowder, and metals were being shipped to Guinea. Most of this money was spent on Britishmade firearms (of very poor quality) and industrial-grade alcohol. Trade with Europe at the peak of the slave trade—which also included significant exports of gold and ivory—was some 3.5 million pounds Sterling per year.

By contrast, the trade of the United Kingdom, the economic superpower of the time, was about 14 million pounds per year over this same period of the late 18th century. As Patrick Manning has pointed out, the vast majority of items traded for slaves were common rather than luxury goods. Textiles, iron ore, currency, and salt were some of the most important commodities imported as a result of the slave trade, and these goods were spread within the entire society raising the general standard of living.

Although debated, it is argued that the Atlantic slave trade devastated the African economy. In 19th century Yoruba Land, economic activity was described to be at its lowest ever while life and property were being taken daily, and normal living was in jeopardy because of the fear of being kidnapped. (Onwumah, Imhonopi, Adetunde, 2019)

#### Effects on Europe's economy

Karl Marx in his economic history of capitalism, *Das Kapital*, claimed that "...the turning of Africa into a warren for the commercial hunting of black-skins [that is, the slave trade], signalled the rosy dawn of the era of capitalist production. "He argued that the slave trade was part of what he termed the "primitive accumulation" of European capital, the non-capitalist accumulation of wealth that preceded and created the financial conditions for Britain's industrialisation and the advent of the capitalist mode of production.

Eric Williams has written about the contribution of Africans on the basis of profits from the slave trade and slavery, arguing that the employment of those profits were used to help finance Britain's industrialisation.

He argues that the enslavement of Africans was an essential element to the Industrial Revolution, and that European wealth was, in part, a result of slavery, but that by the time of its abolition it had lost its profitability and it was in Britain's economic interest to ban it. Joseph Inikori has written that the British slave trade was more profitable than the critics of Williams believe.

Other researchers and historians have strongly contested what has come to be referred to as the "Williams thesis" in academia: David Richardson has concluded that the profits from the slave trade amounted to less than 1% of domestic investment in Britain, and economic historian Stanley Engerman finds that even without subtracting the associated costs of the slave trade (e.g., shipping costs, slave mortality,

mortality of whites in Africa, defense costs) or reinvestment of profits back into the slave trade, the total profits from the slave trade and of West Indian plantations amounted to less than 5% of the British economy during any year of the Industrial Revolution. Historian Richard Pares, in an article written before Williams' book, dismisses the influence of wealth generated from the West Indian plantations upon the financing of the Industrial Revolution, stating that whatever substantial flow of investment from West Indian profits into industry there was occurred after emancipation, not before. Findlay and O'Rourke noted that the figures presented by O'Brien (1982) to back his claim that "the periphery was peripheral" suggest the opposite, with profits from the periphery 1784-1786 being £5.66 million when there was £10.30 million total gross investment in the British economy and similar proportions for 1824-1826.

They note that dismissing the profits of the enslavement of human beings from significance because it was a "small share of national income", could be used to argue that there was no industrial revolution, since modern industry provided only a small share of national income and that it is a mistake to assume that small size is the same as small significance. Findlay and O'Rourke also note that the share of American export commodities produced by enslaved human beings, rose from 54% between 1501 and 1550 to 82.5% between 1761 and 1780.

Seymour Drescher and Robert Anstey argue the slave trade remained profitable until the end, because of innovations in agriculture, and that moralistic reform, not economic incentive, was primarily responsible for abolition.

A similar debate has taken place about other European nations. The French slave trade, it is argued, was more profitable than alternative domestic investments, and probably encouraged capital accumulation before the Industrial Revolution and Napoleonic Wars.

#### Legacy of racism

Maulana Karenga states the effects of the Atlantic slave trade in African captives: "[T]he morally monstrous destruction of human possibility involved redefining African humanity to the world, poisoning past, present and future relations with others who only know us through this stereotyping and thus damaging the truly human relations among people of today". He says that it constituted the destruction of culture, language, religion and human possibility.

#### Chapter 11

# **House of Burgesses**

The **House of Burgesses** was the elected representative element of the Virginia General Assembly, the legislative body of the Colony of Virginia. With the creation of the House of Burgesses in 1642, the General Assembly, which had been established in 1619, became a bicameral institution.

From 1642 to 1776, the House of Burgesses was an instrument of government alongside the royally-appointed colonial governor and the upper-house Council of State in the General House.

When the Virginia colony declared its independence from the Kingdom of Great Britain at the Fifth Virginia Convention in 1776 and became the independent Commonwealth of Virginia, the House of Burgesses became the House of Delegates, which continues to serve as the lower house of the General Assembly.

### Early years

The Colony of Virginia was founded by a joint-stock company, the Virginia Company, as a private venture- though under a royal charter. Early governors provided the stern leadership and harsh judgments required for the colony to survive its early difficulties.

Early crises with famine, disease, Native American raids, the need to establish cash crops, and lack of skilled or committed labor, meant the colony needed to attract enough new and responsible settlers if it were to grow and prosper.

To encourage settlers to come to Virginia, in November 1618 the Virginia Company's leaders gave instructions to the new governor, Sir George Yeardley, which became known as "the great charter."

Emigrants who paid their own way to Virginia would receive fifty acres of land and not be mere tenants. The civil authority would control the military. In 1619, based on the instructions, Governor Yeardley initiated the election of 22 burgesses by the settlements and Jamestown. They, together with the royally-appointed Governor and six-member Council of State, would form the first General Assembly as a unicameral body.

The governor could veto its actions and the Company still maintained overall control of the venture, but the settlers would have a limited say in the management of their own affairs, including their finances.

A House of Assembly was created at the same time in Bermuda (which had also been settled by the Virginia Company, and was by then managed by its offshoot, the Somers Isles Company) and held its first session in 1620.

A handful of Polish craftsmen, brought to the colony to supply skill in the manufacture of pitch, tar, potash, and soap ash, were initially denied full political rights. They downed their tools in protest but returned to work after being declared free and enfranchised, apparently by agreement with the Virginia Company.

#### First session

On July 30, 1619, Governor Yeardley convened the General Assembly as the first representative legislature in the Americas for a six-day meeting at the new timber church on Jamestown Island, Virginia. The unicameral Assembly was composed of the Governor, a Council of State appointed by the Virginia Company, and the 22 locally elected representatives.

The Assembly's first session of July 30, 1619, was cut short by an outbreak of malaria and adjourned after five days. On the third day of the assembly, the assembly's Journal noted "Mr. Shelley, one of the Burgesses, deceased."

Twenty-two (22) members were sent to the assembly from the following constituencies: From James City: (Captain William Powell, Ensign William Spence of Spence); From Charles City: (Samuel Sharpe, Samuel Jordan); From the City of Henricus: (Thomas Dowse, John Pollington sometimes shown as Polentine or similar variations); From Kecoughtan: (Captain William Tucker, William Capps); From Smythe's Hundred (Captain Thomas Graves, Walter Shelley); From Martin's Hundred (John Boys, John Jackson); From Argall's Gift Plantation (Thomas Pawlett, Edward Gourgainy); From Flowerdew (or Flowerdieu) Plantation: (Ensign Edmund Rossingham, Jefferson (burgess); From Captain Lawne's Plantation: (Captain Christopher Lawne, Ensign Washer); From Captain Ward's Plantation: (Captain John Warde or Ward, Lieutenant John Gibbs or Gibbes); and From Martin's Brandon (Captain John Martin's Plantation): (Thomas Davis, Robert Stacy). The latter two burgesses were excluded from the assembly because John Martin refused to give up a clause in his land patent that exempted his borough "from any command of the colony except it be aiding and assisting the same against any foreign or domestic enemy."

#### Later 17th century

Especially after the massacre of almost 400 colonists on March 22, 1621/22 by Native Americans, and epidemics in the winters before and after the massacre, the governor and council ruled arbitrarily, showing great contempt for the assembly and allowing no dissent.

By 1624, the royal government in London had heard enough about the problems of the colony and revoked the charter of the Virginia Company. Virginia became a crown colony and the governor and council would be appointed by the Crown. Nonetheless, the Assembly maintained management of local affairs with some informal royal assent, although it was not royally confirmed until 1639.

In 1634, the General Assembly divided the colony into eight shires (later renamed counties) for purposes of government, administration, and the judicial system. By 1643, the expanding colony had 15 counties.

All of the county offices, including a board of commissioners, judges, sheriff, constable, and clerks, were appointed positions. Only the burgesses were elected by a vote of the people. Women had no right to vote. Only free and white men originally were given the right to vote, by 1670 only property owners were allowed to vote.

In 1642, Governor William Berkeley urged the creation of a bicameral legislature, which the Assembly promptly implemented; the House of Burgesses was thus formed and met separately from the Council of State.

In 1652, the parliamentary forces of Oliver Cromwell forced the colony to submit to being taken over by the English government.

Again, the colonists were able to retain the General Assembly as their governing body. Only taxes agreed to by the assembly were to be levied. Still, most Virginia colonists were loyal to Prince Charles and were pleased with his restoration as King Charles II in 1660.

He went on directly or indirectly to restrict some of the liberties of the colonists, such as requiring tobacco to be shipped only to England, only on English ships, with the price set by the English merchant buyers; but the General Assembly remained.

A majority of the members of the General Assembly of 1676 were supporters of Nathaniel Bacon. They enacted legislation designed to further popular sovereignty and representative government and to equalize opportunities. Bacon took little part in the deliberations since he was busy fighting the Native Americans.

The statehouse in Jamestown burned down for the fourth time on October 20, 1698. The General Assembly met temporarily in Middle Plantation, 11 miles (18 km) inland from Jamestown, and then in 1699 permanently moved the capital of the colony to Middle Plantation, which they renamed Williamsburg.

### Moving toward independence

The French and Indian War in North America from 1754 to 1763 resulted in local colonial losses and economic disruption. Higher taxes were to follow, and adverse local reactions to these and how they were determined would drive events well into the next decade.

In 1764, desiring revenue from its North American colonies, Parliament passed the first law specifically aimed at raising colonial money for the Crown. The Sugar Act increased duties on non-British goods shipped to the colonies. The same year, the Currency Act prohibited American colonies from issuing their own currency.

These angered many American colonists and began colonial opposition with protests. By the end of the year, many colonies were practicing non-importation, a refusal to use imported British goods.

1765, the British Quartering Act, which required the colonies to provide barracks and supplies to British troops, further angered American colonists; and to raise more money for Britain, Parliament enacted the Stamp Act on the American colonies, to tax newspapers, almanacs, pamphlets, broadsides, legal documents, dice, and playing cards. American colonists responded to Parliament's acts with organized throughout the colonies. A network of secret organizations known as the Sons of Liberty was created to intimidate the stamp agents collecting the taxes, and before the Stamp Act could take effect, all the appointed stamp agents in the colonies had resigned. The Massachusetts Assembly suggested a meeting of all colonies to work for the repeal of the Stamp Act, and all but four colonies were represented. The colonists also increased their non-importation efforts, and sought to increase in local production.

In May 1765, Patrick Henry presented a series of resolves that became known as the Virginia Resolves, denouncing the Stamp Act and denying the authority of the British parliament to tax the colonies, since they were not represented by elected members of parliament.

Newspapers around the colonies published all his resolves, even the most radical ones which had not been passed by the assembly. The assembly also sent a 1768 Petition, Memorial, and Remonstrance to Parliament.

From 1769 -1775 Thomas Jefferson represented Albemarle County as a delegate in the Virginia House of Burgesses. He pursued reforms to slavery and introduced legislation allowing masters to take control over the emancipation of slaves in 1769, taking discretion away from the royal Governor and General Court. Jefferson persuaded his cousin Richard Bland to spearhead the legislation's passage, but the reaction was strongly negative.

In 1769 the Virginia House of Burgesses passed several resolutions condemning Britain's stationing troops in Boston following the Massachusetts Circular Letter of the previous year; these resolutions stated that only Virginia's governor and legislature could tax its citizens. The members also drafted a formal letter to the King, completing it just before the legislature was dissolved by Virginia's royal governor.

In 1774, after Parliament passed the Boston Port Act to close Boston Harbor, the House of Burgesses adopted resolutions in support of the Boston colonists which resulted in Virginia's royal governor, John Murray, 4th Earl of Dunmore, dissolving the assembly.

The burgesses then reassembled on their own and issued calls for the first of five Virginia Conventions. These conventions were essentially meetings of the House of Burgesses without the governor and Council, Peyton Randolph the Speaker of the House would serve as the President of the convention, and they would elect delegates to the Continental Congress.

The First Continental Congress passed their Declaration and Resolves, which *inter alia* claimed that American colonists were equal to all other British citizens, protested against taxation without representation, and stated that Britain could not tax the colonists since they were not represented in Parliament.

In 1775 the burgesses, meeting in conventions, listened to Patrick Henry deliver his "give me liberty or give me death" speech and raised regiments. The House of Burgesses was called back by Lord Dunmore one last time in June 1775 to address British Prime Minister Lord North's Conciliatory Resolution. Randolph, who was a delegate to the Continental Congress, returned to Williamsburg to take his place as Speaker. Randolph indicated that the resolution had not been sent to the Congress (it had instead been sent to each colony individually in an attempt to divide them and bypass the Continental Congress).

The House of Burgesses rejected the proposal, which was also later rejected by the Continental Congress. The burgesses

formed a Committee of Safety to take over governance in the absence of the royal governor, Dunmore, who had organized loyalists forces but after defeats, he took refuge on a British warship.

In 1776 the House of Burgesses ended. The final entry in the Journals of the House of Burgesses is "6th of May. 16 Geo. III. 1776 ... FINIS." Edmund Pendleton, a member of the House of Burgesses (and President of the Committee of Safety) who was present at the final meeting, wrote in a letter to Richard Henry Lee on the following day, "We met in an assembly yesterday and determined not to adjourn, but let that body die." Later on the same morning, the members of the fifth and final Virginia Revolutionary Convention met in the chamber of the House of Burgesses in Williamsburg and elected Pendleton its president. The convention voted for independence from Britain. The former colony had become the independent Commonwealth of Virginia and the convention created the Constitution of Virginia with a new General Assembly, composed of an elected Senate and an elected House of Delegates. The House of Delegates acceded to the role of the former House of Burgesses.

### Meeting places

In 1619, the General Assembly first met in the church in Jamestown. Subsequent meetings continued to take place in Jamestown.

In 1700, the seat of the House of Burgesses was moved from Jamestown to Middle Plantation, near what was soon renamed Williamsburg.

The Burgesses met there, first (1700 to 1704) in the Great Hall of what is now called the Wren Building at the College of William and Mary, while the Capitol was under construction. When the Capitol burned in 1747, the legislature moved back into the college until the second Capitol was completed in 1754. The present Capitol building at Colonial Williamsburg is a reconstruction of the earlier of the two lost buildings.

In 1779, and effective in April 1780, the House of Delegates moved the capital city to Richmond during the American Revolutionary War for safety reasons.

## Legacy

The House of Burgesses became the House of Delegates in 1776, retaining its status as the lower house of the General Assembly, the legislative branch of the Commonwealth of Virginia. Through the General Assembly and House of Burgesses, the Virginia House of Delegates is considered the oldest continuous legislative body in the New World.

In honor of the original House of Burgesses, every four years, the Virginia General Assembly traditionally leaves the current Capitol in Richmond, and meets for one day in the restored Capitol building at Colonial Williamsburg. The most recent commemorative session (the 26th) was held in January 2016.

In January 2007, the Assembly held a special session at Jamestown to mark the 400th anniversary of its founding as part of the Jamestown 2007 celebration, including an address by then-Vice-President Dick Cheney.

In January 2019, to mark the 400th anniversary of the House of Burgesses, the Virginia House of Representatives Clerk's Office announced a new Database of House Members called "DOME" that "[chronicles] the 9,700-plus men and women who served as burgesses or delegates in the Virginia General Assembly over the past four centuries."

#### Chapter 12

# **Mayflower Compact**

The Mayflower Compact, originally titled Agreement Between the Settlers of New Plymouth, was the first governing document of Plymouth Colony. It was written by the male passengers of the *Mayflower*, consisting of separatist Puritans, adventurers, and tradesmen. The Puritans were fleeing from religious persecution by King James I of England.

The Mayflower Compact was signed aboard ship on November 21 [O.S. November 11], 1620. Signing the covenant were 41 of the ship's 101 passengers while the *Mayflower* was anchored in Provincetown Harbor within the hook at the northern tip of Cape Cod.

### **Reasons for the Compact**

The Pilgrims had originally hoped to reach America in early October using two ships, but delays and complications meant they could use only one, the *Mayflower*. Their intended destination had been the Colony of Virginia, with the journey financed by the Company of Merchant Adventurers of London. Storms forced them to anchor at the hook of Cape Cod in Massachusetts, however, as it was unwise to continue with provisions running short.

This inspired some of the non-Puritan passengers (whom the Puritans referred to as 'Strangers') to proclaim that they "would use their own liberty; for none had power to command

them" since they would not be settling in the agreed-upon Virginia territory. To prevent this, the Pilgrims determined to establish their own government, while still affirming their allegiance to the Crown of England. Thus, the Mayflower Compact was based simultaneously upon a majoritarian model and the settlers' allegiance to the king. It was in essence a social contract in which the settlers consented to follow the community's rules and regulations for the sake of order and survival.

The Pilgrims had lived for some years in Leiden, a city in the Dutch Republic. Historian Nathaniel Philbrick states, "Just as a spiritual covenant had marked the beginning of their congregation in Leiden, a civil covenant would provide the basis for a secular government in America."

#### **Text**

The original document has been lost, but three versions exist from the 17th century: printed in *Mourt's Relation* (1622), which was reprinted in *Purchas his Pilgrimes* (1625); handwritten by William Bradford in his journal *Of Plimoth Plantation* (1646); and printed by Bradford's nephew Nathaniel Morton in *New-Englands Memorial* (1669). The three versions differ slightly in wording and significantly in spelling, capitalization, and punctuation. William Bradford wrote the first part of *Mourt's Relation*, including its version of the compact, so he wrote two of the three versions. The wording of those two versions is quite similar, unlike that of Morton. Bradford's handwritten manuscript is kept in a vault at the State Library of Massachusetts.

#### • Modern version

IN THE NAME OF GOD, AMEN. We, whose names underwritten, the Loyal Subjects of our dread Sovereign Lord King James, by the Grace of God, of Great Britain, France, and Ireland, King, Defender of the Faith, &c. Having undertaken for the Glory of God, and Advancement of the Christian Faith, and the Honour of our King and Country, a Voyage to plant the first Colony in the northern Parts of Virginia; Do by these Presents, solemnly and mutually, in the Presence of God and one another, covenant and combine ourselves together into a civil Body Politick, for our better Ordering and Preservation, and Furtherance of the Ends aforesaid: And by Virtue hereof do enact, constitute, and frame, such just and equal Laws, Ordinances, Acts, Constitutions, and Offices, from time to time, as shall be thought most meet and convenient for the general Good of the Colony; unto which we promise all due Submission and Obedience. IN WITNESS whereof we have hereunto subscribed our names at Cape-Cod the eleventh of November, in the Reign of our Sovereign Lord King James, of England, France, and Ireland, the eighteenth, and of Scotland the fifty-fourth, Anno Domini; 1620.

The document was signed on November 21 [O.S. November 11].

### Signers

A list of 41 male passengers who signed the document was supplied by Bradford's nephew Nathaniel Morton in his 1669 New England's Memorial. Thomas Prince first numbered the names in his 1736 A Chronological History of New-England in the form of Annals. The original document has been lost, so

Morton is the sole source for the signers. He probably had access to the original document, but he could not have known the actual order in which it was signed simply by inspecting it. Morton's arrangement of names might not have been the arrangement on the original document, and the names on the original may not have been arranged in any orderly fashion. Prince's numbers are based solely on Morton, as he himself stated.

Morton's list of names was unnumbered and untitled in all six editions (1669-1855), although their order changed with successive editions. In his original 1669 edition, the names were placed on two successive pages forming six short columns, three per page. In subsequent editions, these six short columns were combined into three long columns on a single page in two different ways, producing two different orders in unnumbered lists of signers. The second (1721) and third (1772) editions changed the order of the first edition by combining the first and fourth columns into the first long column, and similarly for the other columns. The fifth (1826) and sixth (1855) editions returned the names to their original first edition order by combining the first and second short columns into the first long column, and similarly for the other columns. Prince numbered the names in their original 1669 Morton order. He added titles (Mr. or Capt.) to 11 names that were given those titles by William Bradford in the list of passengers at the end of his manuscript.

The following list of signers is organized into the six short columns of Morton (1669) with the numbers and titles of Prince. The names are given their modern spelling according to Morison. Use the numbers for the order used by genealogists

and half of unnumbered lists (Samuel Fuller will be the eighth name), but merge the half columns vertically into full columns for the order used by the other half of unnumbered lists (John Turner will be the eighth name).

<ul> <li>Mr. John Carver</li> <li>William</li> <li>Bradford</li> <li>Mr. Edward</li> <li>Wirslow</li> <li>Mr. William</li> <li>Mr. Isaac</li> <li>Allerton</li> <li>Mr. Richard</li> <li>John</li> <li>Capt. Myles</li> <li>Standish</li> <li>John Howland</li> <li>John Alden</li> <li>Mr. Stephen</li> <li>Hopkins</li> <li>Degory Priest</li> <li>Francis Eaton</li> <li>John Turner</li> <li>Francis Eaton</li> <li>John</li> <li>Crackstone</li> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Richard</li> <li>Edward</li> <li>Deter Browne</li> <li>Edward</li> </ul>		Mr. Samuel	• Edward
<ul> <li>William Bradford</li> <li>Mr. Edward Winslow</li> <li>Mr. William Brewster</li> <li>Mr. William Brewster</li> <li>Mr. Mr. William Brewster</li> <li>Mr. William Mullins Brewster Mr. William Mullins Francis Allerton Mr. Richard Mr. Richard Mr. Rigsdale Marren Mr. Stephen Hopkins</li> <li>Edward Fuller  Fuller  Degory Priest Francis Eaton Milliams Rigsdale Edward Fuller  Fuller  Fancis Eaton Milliams Richard Clarke Gardiner  Williams Richard Gardiner  John Crackstone Minslow Fedmund Minslow Allerton Margeson Moses Fletcher Peter Browne Moses Fletcher  Peter Browne English Edward Doty</li> </ul>	Mr John Carver		
Bradford  Mr. Edward Winslow  Mr. William Brewster  Mr. Isaac Allerton  Capt. Myles Standish  John Alden  John Turner  Francis Eaton  James Chilton  John Crackstone  John Billington  Mr. Milliam Mullins  Mullin			_
<ul> <li>Mr. Edward Winslow</li> <li>Mr. William Brewster</li> <li>Mr. William Mullins Brewster</li> <li>Mr. William Mullins Brewster</li> <li>Mr. William Mullins Rogers Rogers  Thomas Tinker Allerton</li> <li>Mr. Richard Martin Thomas Rogers  Towns Rogers  Tinker  Towns Tinker  John Rigsdale Standish John Howland Mr. Stephen Hopkins</li> <li>Edward Fuller  Thomas Clarke Francis Eaton James Chilton John Crackstone John Margeson Moses Fletcher John Goodman Richard Clarke Richard Gardiner John Allerton Allerton Margeson English Richard Edward Britteridge Doty</li> </ul>		• Mr.	John Tilley
Winslow  Mr. William Brewster  Mr. Isaac Allerton  Capt. Myles Standish  John Alden  Dohn Turner  Francis Eaton  James Chilton  John  Crackstone  John Billington  Mr. William  Mullins  Mullins  Mullins  Rogers  Thomas  Tinker  John  Rigsdale  John  Rigsdale  Edward  Fuller  Peter Browne  Edmund  Allerton  Margeson  Richard  Gardiner  John  Allerton  Margeson  Fichard  Peter Browne  Edward  Fromas  Allerton  Margeson  Fichard  Edward  Fromas  English  Edward  Doty		Christopher	• Francis
<ul> <li>Mr. William         Brewster</li> <li>Mr. Isaac         Allerton</li> <li>Capt. Myles         Standish</li> <li>John Alden</li> <li>Francis Eaton</li> <li>John         Crackstone</li> <li>John Billington</li> <li>Mr. William         White         Allertand         White         Allertand         White         Allertand         White         Allertand         Warren         Rigsdale         Standish         Peter Browne         Poty         Allertand         Allertand</li></ul>	• Mr. Edward	Martin	Cooke
Brewster  Mr. William  Mr. Isaac Allerton  Capt. Myles Standish  John Alden  Mr. Stephen Hopkins  Degory Priest  Tinker  Aligsdale  Edward  Fuller  Hopkins  Degory Priest  Tinker  Rigsdale  Edward  Fuller  Fuller  Williams  Richard  Clarke  Richard  Clarke  Francis Eaton  John Crackstone  John Billington  Margeson  Moses Fletcher  John Goodman  Richard  Thomas  Clarke  Richard  Gardiner  Gardiner  John  Margeson  Thomas  Edmund  Margeson  Thomas  English  Edward  Doty	Winslow	• Mr. William	• Thomas
<ul> <li>Mr. Isaac         Allerton</li> <li>Capt. Myles         Standish</li> <li>John Howland</li> <li>John Alden</li> <li>Mr. Stephen         Hopkins</li> <li>Degory Priest</li> <li>Francis Eaton</li> <li>John         Clarke</li> <li>Francis Eaton</li> <li>John         Crackstone</li> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Mr. Stephen         Hopkins</li> <li>Edward         Fuller</li> <li>Richard         Clarke</li> <li>Richard         Gardiner</li> <li>John         Crackstone</li> <li>Edmund         Margeson</li> <li>Thomas         English</li> <li>Edward         Britteridge</li> </ul>	• Mr. William	Mullins	Rogers
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<ul> <li>Capt. Myles         Standish         <ul> <li>John Howland</li> <li>John Alden</li> <li>Mr. Stephen</li></ul></li></ul>	• Mr. Isaac	White	Tinker
Standish John Alden  Mr. Stephen Hopkins  Degory Priest Thomas Clarke Francis Eaton James Chilton John Crackstone John Billington Moses Fletcher John Goodman  Fuller  Richard Gardiner  Allerton Margeson Fuller  Richard  Gardiner  Allerton  Margeson Fichard Fuller  Mr. Stephen Fuller  Allerton  Allerton  Margeson Fichard Fuller  Fuller  Allerton  Clarke  Francis Eaton  Minslow Fichard Fichard Fichard Fuller  Fuller  Allerton  Clarke  Fardiner  Gardiner  Fedmund Allerton  Margeson Fichard Fi	Allerton	• Mr. Richard	• John
<ul> <li>John Alden</li> <li>Mr. Stephen         Hopkins</li> <li>Degory Priest</li> <li>Thomas</li> <li>Gardiner</li> <li>John         Crackstone</li> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Mr. Stephen         Fuller</li> <li>Richard         Clarke</li> <li>Richard         Gardiner</li> <li>John         Allerton         Margeson</li> <li>Peter Browne</li> <li>Edward         Doty</li> </ul>	• Capt. Myles	Warren	Rigsdale
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<ul> <li>John Turner</li> <li>Francis Eaton</li> <li>James Chilton</li> <li>John Crackstone</li> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Peter Browne</li> <li>Richard Clarke</li> <li>Richard Gardiner</li> <li>John Goodman</li> <li>Peter Browne</li> <li>Edward Doty</li> </ul>	• John Alden	• Mr. Stephen	Fuller
<ul> <li>John Turner</li> <li>Francis Eaton</li> <li>James Chilton</li> <li>John</li> <li>Clarke</li> <li>Richard</li> <li>Gardiner</li> <li>John</li> <li>Crackstone</li> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Richard</li> <li>Edward</li> <li>Britteridge</li> </ul>		Hopkins	
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<ul> <li>John         Crackstone         John Billington         Moses Fletcher         John Goodman         Richard         Britteridge         John         Crackstone         John         Allerton         Allerton         Thomas         English         Edward         Doty</li> </ul>	• Francis Eaton	Williams	• Richard
Crackstone  • Edmund  • Margeson  • Moses Fletcher  • John Goodman  • Richard  Britteridge  • Boom  Allerton  • Thomas  • English  • Edward  Doty	• James Chilton	• Gilbert	Gardiner
<ul> <li>John Billington</li> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Margeson</li> <li>Peter Browne</li> <li>English</li> <li>Edward</li> <li>Doty</li> </ul>	• John	Winslow	• John
<ul> <li>Moses Fletcher</li> <li>John Goodman</li> <li>Richard</li> <li>Britteridge</li> <li>Thomas</li> <li>English</li> <li>Edward</li> <li>Doty</li> </ul>	Crackstone	• Edmund	Allerton
<ul> <li>John Goodman</li> <li>Richard</li> <li>Britteridge</li> <li>Doty</li> </ul>	• John Billington	Margeson	• Thomas
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	• John Goodman	• Richard	• Edward
George Soule     Edward		Britteridge	Doty
		George Soule	• Edward
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### Legacy

During the 300th anniversary of the Mayflower landing, Governor Calvin Coolidge, who became President a few years later, stated the following in an address:

The compact which they signed was an event of the greatest importance. It was the foundation of liberty based on law and order, and that tradition has been steadily upheld. They drew up a form of government which has been designated as the first real constitution of modern times. It was democratic, an acknowledgment of liberty under law and order and the giving to each person the right to participate in the government, while they promised to be obedient to the laws.

But the really wonderful thing was that they had the power and strength of character to abide by it and live by it from that day to this. Some governments are better than others. But any form of government is better than anarchy, and any attempt to tear down government is an attempt to wreck civilization.

### **Plymouth Colony**

**Plymouth Colony** (sometimes **Plimouth**) was an English colonial venture in America from 1620 to 1691 at a location that had previously been surveyed and named by Captain John Smith.

The settlement served as the capital of the colony and developed as the town of Plymouth, Massachusetts. At its height, Plymouth Colony occupied most of the southeastern portion of Massachusetts.

Plymouth Colony was founded by a group of Puritan Separatists initially known as the Brownist Emigration, who came to be known as the Pilgrims. It was the second successful colony to be founded by the English in the United States after Jamestown in Virginia, and it was the first permanent English settlement in the New England region. The colony established a treaty with Wampanoag Chief Massasoit which helped to ensure its success; in this, they were aided by Squanto, a member of the Patuxet tribe. Plymouth played a central role in King Philip's War (1675–1678), one of several Indian Wars, but the colony was ultimately merged with the Massachusetts Bay Colony and other territories in 1691 to form the Province of Massachusetts Bay.

Despite the colony's relatively short existence, Plymouth holds a special role in American history. Most of the citizens of Plymouth were fleeing religious persecution and searching for a place to worship as they saw fit, rather than being entrepreneurs like many of the settlers of Jamestown in Virginia. The social and legal systems of the colony became closely tied to their religious beliefs, as well as to English custom. Many of the people and events surrounding Plymouth Colony have become part of American folklore, including the American tradition of Thanksgiving and the monument of Plymouth Rock.

### **History**

#### Origin

Plymouth Colony was founded by a group of English Puritans who came to be known as the *Pilgrims*. The core group (roughly

40% of the adults and 56% of the family groupings) were part of a congregation led by William Bradford. They began to feel the pressures of religious persecution while still in the English village of Scrooby, near East Retford, Nottinghamshire. In 1607, Archbishop Tobias Matthew raided homes and imprisoned several members of the congregation. The congregation left England in 1608 and emigrated to the Netherlands, settling first in Amsterdam and then in Leiden.

In Leiden, the congregation gained the freedom to worship as they chose, but Dutch society was unfamiliar to them. Scrooby had been an agricultural community, whereas Leiden was a thriving industrial center, and they found the pace of life The community remained close-knit, but their children began adopting the Dutch language and customs, and some also entered the Dutch Army. They also were still not free of persecutions the English from the Crown. authorities came to Leiden to arrest William Brewster in 1618 after he published comments highly critical of the King of England and the Anglican Church. Brewster escaped arrest, but the events spurred the congregation to move farther from England.

The congregation obtained a land patent from the Plymouth Company in June 1619. They had declined the opportunity to settle south of Cape Cod in New Netherland because of their desire to avoid the Dutch influence. This land patent allowed them to settle at the mouth of the Hudson River. They sought to finance their venture through the Merchant Adventurers, a group of businessmen who principally viewed the colony as a means of making a profit. Upon arriving in America, the Pilgrims began working to repay their debts.

Using the financing secured from the Merchant Adventurers, the Colonists bought provisions and obtained passage on the *Mayflower* and the *Speedwell*. They had intended to leave early in 1620, but they were delayed several months due to difficulties in dealing with the Merchant Adventurers, including several changes in plans for the voyage and in financing. The congregation and the other colonists finally boarded the *Speedwell* in July 1620 in the Dutch port of Delfshaven.

#### Mayflower voyage

Speedwell was re-rigged with larger masts before leaving Holland and setting out to meet Mayflower in Southampton, England, around the end of July 1620. The Mayflower was purchased in London. The original captains were Captain Reynolds for Speedwell and Captain Christopher Jones for Mayflower. Other passengers joined the group in Southampton, including William Brewster, who had been in hiding for the better part of a year, and a group of people known to the Leiden congregation as "The Strangers." This group was largely made up of people recruited by the Merchant Adventurers to provide practical assistance to the colony and additional hands to work for the colony's ventures. The term was also used for many of the indentured servants.

Among the Strangers were Myles Standish, who was the colony's military leader; Christopher Martin, who had been designated by the Merchant Adventurers to act as shipboard governor during the trans-Atlantic trip; and Stephen Hopkins, a veteran of a failed colonial venture that may have inspired Shakespeare's *The Tempest*. The group who later became the

Leiden Leaders after the merging of ships included John Carver, William Bradford, Edward Winslow, William Brewster, and Isaac Allerton.

The departure of the Mayflower and Speedwell was beset by delays. Further disagreements with the Merchant Adventurers held up the departure in Southampton. A total of 120 passengers finally departed on August 5-90 on the Mayflower and 30 on the Speedwell. Leaving Southampton, the Speedwell suffered significant leakage, which required the ships to immediately put in at Dartmouth. The leakage was partly caused by being overmasted and being pressed too much with sail. Repairs were completed, and a further delay ensued as they awaited favorable winds. The two ships finally set sail on August 23; they traveled only two hundred miles beyond Land's End before another major leak in the Speedwell forced the expedition to return again to England, this time to the port of Plymouth. The Speedwell was found to be unseaworthy; some passengers abandoned their attempt to emigrate, while others joined the Mayflower, crowding the already heavily burdened ship. Later, it was speculated that the crew of the Speedwell had intentionally sabotaged the ship to avoid having to make treacherous trans-Atlantic voyage. The delays significant consequences; the cost of the repairs and port fees required that the colonists sell some of their vital provisions. More importantly, the late-autumn voyage meant that everyone had to spend the coming winter on board the Mayflower off Cape Cod in increasingly squalid conditions.

The *Mayflower* departed Plymouth, England on September 6, 1620 with 102 passengers and about 30 crew members in the small, 106 feet (32 m) long ship. The seas were not severe

during the first month in the Atlantic but, by the second month, the ship was being hit by strong north-Atlantic winter gales, causing it to be badly shaken with water leaks from structural damage. There were many obstacles throughout the trip, including multiple cases of seasickness and the bending and cracking of a main beam of the ship. One death occurred, that of William Button.

After two months at sea, they sighted land on November 9, 1620 off the coast of Cape Cod. They attempted to sail south to the designated landing site at the mouth of the Hudson but ran into trouble in the region of Pollock Rip, a shallow area of shoals between Cape Cod and Nantucket Island. With winter approaching and provisions running dangerously low, the passengers decided to return north to Cape Cod Bay and abandon their original landing plans.

#### Prior exploration and settlements

The Pilgrims were not the first Europeans in the area. John Cabot's discovery of Newfoundland in 1497 had laid the foundation for the extensive English claims over the east coast of North America. Cartographer Giacomo Gastaldi made one of the earliest maps of New England c. 1540, but he erroneously identified Cape Breton with the Narragansett Bay and completely omitted most of the New England coast. European fishermen had also been plying the waters off the New England coast for much of the 16th and 17th centuries.

Frenchman Samuel de Champlain had explored the area extensively in 1605. He had specifically explored Plymouth Harbor, which he called "Port St. Louis," and he made an

extensive and detailed map of it and the surrounding lands. He showed the Patuxet village (where the town of Plymouth was later built) as a thriving settlement. However, an epidemic up to 90 percent of the Indians along the wiped out Massachusetts coast in 1617-1619, including the Patuxets, before the arrival of the Mayflower. The epidemic has traditionally been thought to be smallpox, but a recent analysis has concluded that it may have been a lesser-known disease called leptospirosis. The absence of any serious Indian opposition to the Pilgrims' settlement may have been a pivotal event to their success and to English colonization in America.

Popham Colony, also known as Fort St. George, was organized by the Plymouth Company (unrelated to Plymouth Colony) and founded in 1607. It was settled on the coast of Maine and was beset by internal political struggles, sickness, and weather problems. It was abandoned in 1608.

Captain John Smith of Jamestown had explored the area in 1614 and is credited with naming the region New England. He named many locations using approximations of Indian words. He gave the name "Accomack" to the Patuxet settlement on which the Pilgrims founded Plymouth, but he changed it to New Plymouth after consulting Prince Charles, son of King James. A map published in his 1616 work *A Description of New England* clearly shows the site as "New Plimouth."

In the *Mayflower* settlers' first explorations of Cape Cod, they came across evidence that Europeans had previously spent extensive time there. They discovered remains of a European fort and uncovered a grave that contained the remains of both an adult European male and an Indian child.

#### Landings at Provincetown and Plymouth

The *Mayflower* anchored at Provincetown Harbor on November 11, 1620. The Pilgrims did not have a patent to settle this area, and some passengers began to question their right to land, complaining that there was no legal authority to establish a colony. In response to this, a group of colonists drafted and signed the first governing document of the colony, the Mayflower Compact, while still aboard the ship as it lay off-shore. The intent of the compact was to establish a means of governing the colony, though it did little more than confirm that the colony would be governed like any English town. It did, however, serve the purpose of relieving the concerns of many of the settlers.

This social contract was written and signed by 41 Puritan men. It was modeled on the church covenants that Congregationalists used to form new congregations. It made clear that the colony should be governed by "just and equal laws," and those who signed it promised to keep those laws.

The group remained on board the ship through the next day, a Sunday, for prayer and worship. They finally set foot on land at Provincetown on November 13. The first task was to rebuild a shallop, a shallow draft boat that had been built in England and disassembled for transport aboard the *Mayflower*. It would remain with the Pilgrims when the *Mayflower* returned to England. On November 15, Captain Myles Standish led a party of 16 men on an exploratory mission, during which they disturbed an Indian grave and located a buried cache of Indian corn. The following week, Susanna White gave birth to son Peregrine White on the *Mayflower*. He was the first child born

to the Pilgrims in the New World. The shallop was finished on November 27, and a second expedition was undertaken using it, under the direction of Mayflower master Christopher Jones. Thirty-four men went, but the expedition was beset by bad weather; the only positive result was that they found an Indian burial ground and corn that had been intended for the dead, taking the corn for future planting. A third expedition along Cape Cod left on December 6; it resulted in a skirmish with the "First Encounter" near Eastham. Indians known as Massachusetts. The colonists decided to look elsewhere, having failed to secure a proper site for their settlement, and fearing that they had angered the Indians by taking their corn and firing upon them. The Mayflower left Provincetown Harbor and set sail for Plymouth Harbor.

The *Mayflower* dropped anchor in Plymouth Harbor on December 16 and spent three days looking for a settlement site. They rejected several sites, including one on Clark's Island and another at the mouth of the Jones River, in favor of the site of a recently abandoned settlement which had been occupied by the Patuxet tribe. The location was chosen largely for its defensive position.

The settlement would be centered on two hills: Cole's Hill, where the village would be built, and Fort Hill, where a defensive cannon would be stationed. Also important in choosing the site was that the prior villagers had cleared much of the land making agriculture relatively easy. Fresh water for the colony was provided by Town Brook and Billington Sea. There are no contemporaneous accounts to verify the legend, but Plymouth Rock is often hailed as the point where the colonists first set foot on their new homeland.

The area where the colonists settled had been identified as "New Plymouth" in maps which John Smith published in 1614. The colonists elected to retain the name for their own settlement, in honor of their final point of departure from England: Plymouth, Devon.

#### First winter

On December 21, 1620, the first landing party arrived at the site of Plymouth. Plans to build houses, however, were delayed by bad weather until December 23. As the building progressed, 20 men always remained ashore for security purposes while the rest of the work crews returned each night to the *Mayflower*. Women, children, and the infirm remained on board the *Mayflower*, and many had not left the ship for six months. The first structure was a common house of wattle and daub, and it took two weeks to complete in the harsh New England winter. In the following weeks, the rest of the settlement slowly took shape. The living and working structures were built on the relatively flat top of Cole's Hill, and a wooden platform was constructed atop nearby Fort Hill to support the cannon that would defend the settlement.

During the winter, the *Mayflower* colonists suffered greatly from lack of shelter, diseases such as scurvy, and general conditions on board ship. Many of the men were too infirm to work; 45 out of 102 pilgrims died and were buried on Cole's Hill.

Thus, only seven residences and four common houses were constructed during the first winter out of a planned 19. By the

end of January, enough of the settlement had been built to begin unloading provisions from the *Mayflower*.

The men of the settlement organized themselves into military orders in mid-February, after several tense encounters with local Indians, and Myles Standish was designated as the commanding officer. By the end of the month, five cannon had been defensively positioned on Fort Hill. John Carver was elected governor to replace Governor Martin.

On March 16, 1621, the first formal contact occurred with the Indians. Samoset was an Abenaki sagamore who was originally from Pemaquid Point in Maine. He had learned some English from fishermen and trappers in Maine, and he walked boldly into the midst of the settlement and proclaimed, "Welcome, Englishmen!" It was during this meeting that the Pilgrims learned how the previous residents of Patuxet had died of an epidemic. They also learned that an important leader of the region was Wampanoag Indian chief Massasoit, and they learned about Squanto (Tisquantum) who was the sole survivor from Patuxet. Squanto had spent time in Europe and spoke English quite well. Samoset spent the night in Plymouth and agreed to arrange a meeting with some of Massasoit's men.

Massasoit and Squanto were apprehensive about the Pilgrims, as several men of his tribe had been killed by English sailors. He also knew that the Pilgrims had taken some corn stores in their landings at Provincetown. Squanto himself had been abducted in 1614 by English explorer Thomas Hunt and had spent five years in Europe, first as a slave for a group of Spanish monks, then as a freeman in England. He had returned to New England in 1619, acting as a guide to explorer

Capt. Robert Gorges, but Massasoit and his men had massacred the crew of the ship and had taken Squanto.

Samoset returned to Plymouth on March 22 with a delegation from Massasoit that included Squanto; Massasoit joined them shortly after, and he and Governor Carver established a formal treaty of peace after exchanging gifts. This treaty ensured that each people would not bring harm to the other, that Massasoit would send his allies to make peaceful negotiations with Plymouth, and that they would come to each other's aid in a time of war.

The *Mayflower* set sail for England on April 5, 1621, after being anchored for almost four months in Plymouth Harbor. Nearly half of the original 102 passengers had died during the first winter. As William Bradford wrote, "of these one hundred persons who came over in this first ship together, the greatest half died in the general mortality, and most of them in two or three months' time". Several of the graves on Cole's Hill were uncovered in 1855; their bodies were disinterred and moved to a site near Plymouth Rock.

## First Thanksgiving

In November 1621, the surviving pilgrims celebrated a harvest feast which became known in the 1800s as "The First Thanksgiving". The feast was probably held in early October 1621 and was celebrated by the 53 surviving Pilgrims, along with Massasoit and 90 of his men. Three contemporaneous accounts of the event survive: *Of Plymouth Plantation* by William Bradford; *Mourt's Relation* probably written by Edward Winslow; and *New England's Memorial* by Plymouth Colony

Secretary (and Bradford's nephew) Capt. Nathaniel Morton. The celebration lasted three days and featured a feast that included numerous types of waterfowl, wild turkeys and fish procured by the colonists, and five deer brought by the indigenous people.

#### Early relations with the Native Americans

After the departure of Massasoit and his men, Squanto remained in Plymouth to teach the Pilgrims how to survive in New England, such as using dead fish to fertilize the soil. For the first few years of colonial life, the fur trade was the dominant source of income beyond subsistence farming, buying furs from Natives and selling to Europeans. Governor Carver suddenly died shortly after the *Mayflower* returned to England. William Bradford was elected to replace him and went on to lead the colony through much of its formative years.

As promised by Massasoit, numerous indigenous arrived at Plymouth throughout the middle of 1621 with pledges of peace. On July 2, a party of Pilgrims led by Edward Winslow (who later became the chief diplomat of the colony) set out to continue negotiations with the chief.

The delegation also included Squanto, who acted as a translator. After traveling for several days, they arrived at Massasoit's village of Sowams near Narragansett Bay. After meals and an exchange of gifts, Massasoit agreed to an exclusive trading pact with the Plymouth colonists. Squanto remained behind and traveled throughout the area to establish trading relations with several tribes.

In late July, a boy named John Billington became lost for some time in the woods around the colony. It was reported that he was found by the Nausets, the same native tribe on Cape Cod from whom the Pilgrims had unwittingly stolen corn seed the prior year upon their first explorations. The colonists organized a party to return Billington to Plymouth, and they agreed to reimburse the Nausets for the corn which they had taken in return for the boy. This negotiation did much to secure further peace with the tribes in the area.

During their dealings with the Nausets over the release of John Billington, the Pilgrims learned of troubles that Massasoit was experiencing. Massasoit. Squanto, and several Wampanoags had been captured by Corbitant, sachem of the Narragansett tribe. A party of ten men under the leadership of Myles Standish set out to find and execute Corbitant. While hunting for him, they learned that Squanto had escaped and Massasoit was back in power. Standish and his men had injured several Native Americans, so the colonists offered them medical attention in Plymouth. They had failed to capture Corbitant, but the show of force by Standish had garnered respect for the Pilgrims and, as a result, nine of the most powerful sachems in the area signed a treaty in September, including Massasoit and Corbitant, pledging their loyalty to King James.

In May 1622, a vessel named the *Sparrow* arrived carrying seven men from the Merchant Adventurers whose purpose was to seek out a site for a new settlement in the area. Two ships followed shortly after carrying 60 settlers, all men. They spent July and August in Plymouth before moving north to settle in Weymouth, Massachusetts at a settlement which they named

Wessagussett. The settlement of Wessagussett was short-lived, but it provided the spark for an event that dramatically changed the political landscape between the local native tribes and the settlers.

Reports reached Plymouth of a military threat to Wessagussett, and Myles Standish organized a militia to defend them. However, he found that there had been no attack. He therefore decided on a pre-emptive strike, an event which historian Nathaniel Philbrick calls "Standish's raid". He lured two prominent Massachusett military leaders into a house at Wessagussett under the pretense of sharing a meal and making negotiations. Standish and his men then stabbed and killed them. Standish and his men pursued Obtakiest, a local he escaped with sachem. but three prisoners from Wessagussett; he then executed them. Within a short time, disbanded. and Wessagussett was the survivors were integrated into the town of Plymouth.

Word quickly spread among the indigenous tribes of Standish's attack; many natives abandoned their villages and fled the area. As noted by Philbrick: "Standish's raid had irreparably damaged the human ecology of the region.... It was some time before a new equilibrium came to the region." Edward Winslow reports in his 1624 memoirs Good News from New England that "they forsook their houses, running to and fro like men distracted, living in swamps and other desert places, and so brought manifold diseases amongst themselves, whereof very many are dead". The Pilgrims lost the trade in furs which they had enjoyed with the local tribes, and which was their main source of income for paying off their debts to the Merchant Adventurers. Rather than strengthening their position,

Standish's raid had disastrous consequences for the colony, as attested by William Bradford in a letter to the Merchant Adventurers: "we had much damaged our trade, for there where we had most skins the Indians are run away from their habitations". The only positive effect of Standish's raid seemed to be the increased power of the Massasoit-led Wampanoag tribe, the Pilgrims' closest ally in the region.

### **Growth of Plymouth**

A second ship arrived in November 1621 named the *Fortune*, sent by the Merchant Adventurers one year after the Pilgrims first set foot in New England. It arrived with 37 new settlers for Plymouth. However, the ship had arrived unexpectedly and also without many supplies, so the additional settlers put a strain on the resources of the colony. Among the passengers of the *Fortune* were several of the original Leiden congregation, including William Brewster's son Jonathan, Edward Winslow's brother John, and Philip Delano (the family name was earlier "de la Noye") whose descendants include President Franklin Delano Roosevelt.

The Fortune also carried a letter from the Merchant Adventurers chastising the colony for failure to return goods with the Mayflower that had been promised in return for their support. The Fortune began its return to England laden with £500 worth of goods (equivalent to £78,000 in 2010, or \$118,799 at PPP), more than enough to keep the colonists on schedule for repayment of their debt. However, the Fortune was captured by the French before she could deliver her cargo to England, creating an even larger deficit for the colony.

In July 1623, two more ships arrived: the *Anne* under the command of Captain "Master" William Peirce and Master John Bridges, and the *Little James* under the command of Captain Emanuel Altham.

These ships carried 96 new settlers, among them Leideners, including William Bradford's future wife Alice and William and Mary Brewster's daughters Patience and Fear. Some of the passengers who arrived on the Anne were either unprepared for frontier life or undesirable additions to the colony, and they returned to England the next year. According to Gleason Archer, "those who remained were not willing to join the colony terms of the agreement with the the Merchant Adventurers. They had embarked for America upon understanding with the Adventurers that they might settle in a community of their own, or at least be free from the bonds by which the Plymouth colonists were enslaved. A letter addressed to the colonists and signed by thirteen of the merchants recited these facts and urged acceptance of the new comers on the specified terms." The new arrivals were allotted land in the area of the Eel River known as Hobs Hole, which became Wellingsley, a mile south of Plymouth Rock.

In September 1623, another ship arrived carrying settlers destined to refound the failed colony at Weymouth, and they stayed temporarily in Plymouth. In March 1624, a ship arrived bearing a few additional settlers and the first cattle. A 1627 division of cattle lists 156 colonists divided into twelve lots of thirteen colonists each. Another ship arrived in August 1629, also named the *Mayflower*, with 35 additional members of the Leiden congregation. Ships arrived throughout the period between 1629 and 1630 carrying new settlers, though the exact

number is unknown; contemporaneous documents indicate that the colony had almost 300 people by January 1630. In 1643, the colony had an estimated 600 males fit for military service, implying a total population of about 2,000. The estimated total population of Plymouth County was 3,055 by 1690, on the eve of the colony's merger with Massachusetts Bay.

It is estimated that the entire population of the colony at the point of its dissolution was around 7,000. For comparison, it is estimated that more than 20,000 settlers had arrived in Massachusetts Bay Colony between 1630 and 1640 (a period known as the Great Migration), and the population of all New England was estimated to be about 60,000 by 1678. Plymouth was the first colony in the region, but it was much smaller than Massachusetts Bay Colony by the time they merged.

# Military history

## **Myles Standish**

Myles Standish was the military leader of Plymouth Colony from the beginning. He was officially designated as the captain of the colony's militia in February 1621, shortly after the arrival of the *Mayflower* in December 1620. He organized and led the first party to set foot in New England, an exploratory expedition of Cape Cod upon arrival in Provincetown Harbor. He also led the third expedition, during which Standish fired the first recorded shot by the Pilgrim settlers in an event known as the First Encounter. Standish had training in military engineering from the University of Leiden, and it was he who decided the defensive layout of the settlement when

they finally arrived at Plymouth. Standish also organized the able-bodied men into military orders in February of the first winter. During the second winter, he helped design and organize the construction of a large palisade wall surrounding the settlement. Standish led two early military raids on Indian villages: the raid to find and punish Corbitant for his attempted coup, and the killing at Wessagussett called "Standish's raid". The former had the desired effect of gaining the respect of the local Indians; the latter only served to frighten and scatter them, resulting in loss of trade and income.

# **Pequot War**

The first major war in New England was the Pequot War of 1637. The war's roots go back to 1632, when a dispute arose between Dutch fur traders and Plymouth officials over control of the Connecticut River Valley near modern Hartford, Connecticut. Representatives from the Dutch East India Company and Plymouth Colony both had deeds which claimed that they had rightfully purchased the land from the Pequots. A sort of land rush occurred as settlers from Massachusetts Bay and Plymouth colonies tried to beat the Dutch in settling the area; the influx of English settlers also threatened the Pequot.

Other confederations in the area sided with the English, including the Narragansetts and Mohegans, who were the traditional enemies of the Pequots. The event that sparked formal hostilities was the capture of a boat and the murder of its captain John Oldham in 1636, an event blamed on allies of the Pequots. In April 1637, a raid on a Pequot village by John

Endicott led to a retaliatory raid by Pequot warriors on the town of Wethersfield, Connecticut, where some 30 English settlers were killed. This led to a further retaliation, where a raid led by Captain John Underhill and Captain John Mason burned a Pequot village to the ground near modern Mystic, Connecticut, killing 300 Pequots. Plymouth Colony had little to do with the actual fighting in the war.

When it appeared that the war would resume, four of the New England colonies (Massachusetts Bay, Connecticut, New Haven, and Plymouth) formed a defensive compact known as the United Colonies of New England. Edward Winslow was already known for his diplomatic skills, and he was the chief architect of the United Colonies. His experience in the United Provinces of the Netherlands during the Leiden years was key to organizing the confederation. John Adams later considered the United Colonies to be the prototype for the Articles of Confederation, which was the first attempt at a national government.

# King Philip's War

Metacomet was the younger son of Massasoit and the heir of Massasoit's position as sachem of the Pokanoket and supreme leader of the Wampanoag. Known to the English as King Philip, he became sachem upon the sudden death of his older brother Wamsutta, also known as Alexander, in 1662.

The cause of the war stems from the increasing numbers of English colonists and their demand for land. As more land was purchased from the Native Americans, they were restricted to smaller territories for themselves. Native American leaders such as King Philip resented the loss of land and looked for a means to slow or reverse it. Of specific concern was the founding of the town of Swansea, which was located only a few miles from the Wampanoag capital at Mount Hope. The General Court of Plymouth began using military force to coerce the sale of Wampanoag land to the settlers of the town.

The proximate cause of the conflict was the death of a Praying Indian named John Sassamon in 1675. Sassamon had been an advisor and friend to King Philip; however, Sassamon's conversion to Christianity had driven the two apart. Accused in the murder of Sassamon were some of Philip's most senior lieutenants. A jury of twelve Englishmen and six Praying Indians found the Native Americans guilty of murder and sentenced them to death. To this day, some debate exists whether King Philip's men actually committed the murder.

Philip had already begun war preparations at his home base near Mount Hope where he started raiding English farms and pillaging their property. In response, Governor Josiah Winslow called out the militia, and they organized and began to move on Philip's position. King Philip's men attacked unarmed women and children in order to receive a ransom. One such attack resulted in the capture of Mary Rowlandson. The memoirs of her capture provided historians with much information on Native American culture during this time period.

The war continued through the rest of 1675 and into the next year. The English were constantly frustrated by the Native Americans' refusal to meet them in pitched battle. They employed a form of guerrilla warfare that confounded the

English. Captain Benjamin Church continuously campaigned to enlist the help of friendly Native Americans to help learn how to fight on an even footing with Philip's warrior bands, but he was constantly rebuffed by the Plymouth leadership who mistrusted all Native Americans, thinking them potential enemies. Eventually, Governor Winslow and Plymouth military commander Major William Bradford (son of the late Governor William Bradford) relented and gave Church permission to organize a combined force of English and Native Americans. After securing the alliance of the Sakonnet, he led his combined force in pursuit of Philip, who had thus far avoided any major battles in the war that bears his name. Throughout 1676, Church's band captured hundreds of Native American warriors, often without much of a fight, though Philip eluded him. Church was given permission to grant amnesty to any captured Native Americans who would agree to join the English side, and his force grew immensely. Philip was killed by a Pocasset Indian, and the war soon ended as an overwhelming English victory.

Eight percent of the English adult male population is estimated to have died during the war, a rather large percentage by most standards. The impact on the Native Americans was far higher, however. So many were killed, fled, or shipped off as slaves that the entire Native American population of New England fell by sixty to eighty percent.

## Final years

In 1686, the entire region was reorganized under a single government known as the Dominion of New England; this included the colonies of Plymouth, Rhode Island,

Massachusetts Bay, Connecticut, and New Hampshire. In 1688, New York, West Jersey, and East Jersey were added. The President of the Dominion Edmund Andros was highly unpopular, and the union did not last. The union was dissolved after news of the Glorious Revolution reached Boston in April 1689, and the citizens of Boston rose up and arrested Andros. When news of these events reached Plymouth, its magistrates reclaimed power.

The return of self-rule for Plymouth Colony was short-lived, however. A delegation of New Englanders led by Increase Mather went to England to negotiate a return of the colonial charters that had been nullified during the Dominion years. The situation was particularly problematic for Plymouth Colony, as it had existed without a formal charter since its founding. Plymouth did not get its wish for a formal charter; instead, a new charter was issued, combining Plymouth Colony, Massachusetts Bay Colony, and other territories. The official date of the proclamation was October 17, 1691, ending the existence of Plymouth Colony, though it was not put into force until the arrival of the charter of the Province of Massachusetts Bay on May 14, 1692, carried by the new royal governor Sir William Phips. The last official meeting of the Plymouth General Court occurred on June 8, 1692.

## Life

## Religion

The most important religious figure in the colony was John Robinson, an original pastor of the Scrooby congregation and religious leader of the separatists throughout the Leiden years.

He never actually set foot in New England, but many of his theological pronouncements shaped the nature and character of the Plymouth church. For example, Robinson stated that women and men have different social roles but neither was lesser in the eyes of God. He taught that men and women have distinct but complementary roles in church, home, and society as a whole, and he referred to women as the "weaker vessel", Peter 3:7. In quoting from matters of religious understanding, he proclaimed that it was the man's role to "guide and go before" women.

The Pilgrims themselves were separatist Puritans, Protestant Christians who separated from the Church of England. They sought to practice Christianity as was done in the times of the Apostles. Following Martin Luther's and John Calvin's Reformation, they believed that the Bible was the only true source of religious teaching and that any additions made to Christianity had no place in Christian practice, especially with regard to church traditions such as clerical vestments or the use of Latin in church services. In particular, they were strongly opposed to the Anglicans' episcopal form of church government.

They believed that the church was a community of Christians who made a covenant with God and with one another. Their congregations had a democratic structure. Ministers, teachers, and lay church elders were elected by and responsible to the entire congregation (Calvinist Federalism). Each congregation was independent of all the others and directly subject to Christ's government (theocracy), hence the name Congregationalism. The Pilgrims distinguished themselves from another branch of Puritans in that they sought to "separate"

themselves from the Anglican Church, rather than reform it from within. It was this desire to worship from outside of the Anglican Communion that led them first to the Netherlands and ultimately to New England.

Each town in the colony was considered a single church congregation; in later years, some of the larger towns split into two or three congregations. Church attendance was mandatory for all residents of the colony, while church membership was restricted to those who had converted to the faith. In Plymouth Colony, it seems that a simple profession of faith was all that was required for acceptance.

This was a more liberal doctrine than the congregations of the Massachusetts Bay Colony, where it was common to conduct detailed interviews with those seeking formal membership. There was no central governing body for the churches. Each individual congregation was left to determine its own standards of membership, hire its own ministers, and conduct its own business.

The church was the most important social institution in the colony. The Bible was the primary religious document of the society, and it also served as the primary legal document. Church membership was socially vital. Education was carried out for religious purposes, motivated by a determination to teach the next generation how to read the Bible. The laws of the colony specifically asked parents to provide for the education of their children, "at least to be able duly to read the understand "the main Grounds Scriptures" and to Principles of Christian Religion". It was expected that the male head of the household would be responsible for the religious well-being of all its members, children and servants alike.

Most churches used two acts to sanction its members: censure and being "put out". Censure was a formal reprimand for behavior that did not conform with accepted religious and social norms, while being "put out" meant to be removed from church membership. Many social breaches were dealt with church discipline rather through than through punishment, from fornication to public drunkenness. Church sanctions seldom held official recognition outside church seldom resulted in civil membership and proceedings. Nevertheless, such sanctions were a powerful tool of social stability.

The Pilgrims practiced infant baptism. The public baptism ceremony was usually performed within six months of birth. Marriage was considered a civil ceremony, rather than a religious one. Such an arrangement may have been a habit that had developed during the Leiden years, as civil marriage was common in the Netherlands. However, the Pilgrims saw this arrangement as biblical, there being no evidence from Scripture that a minister should preside over a wedding.

Besides the theology espoused by their religious leaders, the people of Plymouth Colony had a strong belief in the supernatural. Richard Greenham was a Puritan theologian whose works were known to the Plymouth residents, and he counseled extensively against turning to magic or wizardry to solve problems. The Pilgrims saw Satan's work in nearly every calamity that befell them; the dark magical arts were very real and present for them. They believed in the presence of

malevolent spirits who brought misfortune to people. For example, in 1660, a court inquest into the drowning death of Jeremiah Burroughs determined that a possessed canoe was to blame. Massachusetts Bay Colony experienced an outbreak of witchcraft scares in the 17th century, but there is little evidence that Plymouth was engulfed in anything similar. capital Witchcraft was listed as a crime in the 1636 codification of the laws by the Plymouth General Court, but there were no actual convictions of witches in Plymouth Colony. The court records only show two formal accusations of witchcraft. The first was of Goodwife Holmes in 1661, but it never went to trial. The second was of Mary Ingram in 1677 which resulted in trial and acquittal.

### Marriage and family life

Edward Winslow and Susanna White both lost their spouses during the harsh winter of 1620–1621, and the two became the first couple to be married in Plymouth. Governor Bradford presided over the civil ceremony.

In Plymouth Colony, "courtships were usually initiated by the young people themselves, but as a relationship progressed toward something more permanent, the parents became more directly involved." Parents were concerned with the moral and religious qualities of the proposed spouse, as well as the financial means of each party's family. The first step toward marriage was generally a betrothal or pre-contract, a ceremony carried out before two witnesses in which the couple pledged to wed in due time. The couple's intentions were published several weeks or months after the betrothal was contracted. "A betrothed couple was considered to have a special status, not

married but no longer unmarried either." Sexual contact was prohibited between a betrothed couple, but the penalty for it was one-fourth of what it was for single persons, and records indicate a relatively high number of babies born less than nine months after a wedding ceremony.

Marriage was considered the normal state for all adult residents of the colony. Most men first married in their midtwenties and women around age 20. Second marriages were not uncommon, and widows and widowers faced social and economic pressures to remarry; most widows and widowers remarried within six months to a year. Most adults who reached marriageable age lived into their sixties, so effectively two-thirds of a person's life was spent married.

Women in Plymouth Colony had more extensive legal and social rights compared to 17th-century European norms. They were considered equal to men before God from the perspective of the Church. Women were, however, expected to take traditionally feminine roles, such as child-rearing and maintaining the household. Plymouth women enjoyed extensive property and legal rights, unlike European women who had few rights. A wife in Plymouth could not be "written out" of her husband's will and was guaranteed a full third of the family's property upon his death. Women were parties to contracts in Plymouth, most notably prenuptial agreements. It was common for bridesto-be (rather than their fathers) to enter into contractual agreements on the consolidation of property upon marriage. In some cases, especially in second marriages, women were given exclusive right to retain control of their property separately from their husbands. Women were also known to occasionally sit on juries in Plymouth, a remarkable circumstance in seventeenth century legal practice. Historians James and Patricia Scott Deetz cite a 1678 inquest into the death of Anne Batson's child, where the jury was composed of five women and seven men.

Family size in the colony was large by modern American standards, though childbirth was often spaced out, with an average of two years between children. Most families averaged five to six children living under the same roof, though it was not uncommon for one family to have grown children moving out before the mother had finished giving birth. Maternal mortality rates were fairly high; one birth in thirty ended in the death of the mother, resulting in one in five women dying in childbirth. However, "the rate of infant mortality in Plymouth seems to have been relatively low." Demos concludes that "it appears that the rate of infant and child mortality in Plymouth was no more than 25 per cent".

#### Childhood, adolescence, and education

Children generally remained in the direct care of their mothers until about the age of 8, after which it was not uncommon for the child to be placed in the foster care of another family. Some children were placed into households to learn a trade, others to be taught to read and write. It was assumed that children's own parents would love them too much and would not properly discipline them. By placing children in the care of another family, there was little danger of them being spoiled.

Adolescence was not a recognized phase of life in Plymouth colony, and there was no rite of passage which marked transition from youth to adulthood. Several important

transitions occurred at various ages, but none marked a single "coming of age" event. Children were expected to begin learning their adult roles in life quite early by taking on some of the family work or by being placed in foster homes to learn a trade. Orphaned children were given the right to choose their own guardians at age 14. At 16, males became eligible for military duty and were also considered adults for legal purposes, such as standing trial for crimes. Age 21 was the youngest at which a male could become a freeman, though for practical purposes this occurred some time in a man's mid-twenties. Twenty-one was the assumed age of inheritance, as well, although the law respected the rights of the deceased to name an earlier age in his will.

Actual schools were rare in Plymouth colony. The first true school was not founded until 40 years after the foundation of the colony. The General Court first authorized colony-wide funding for formal public schooling in 1673, but only the town of Plymouth made use of these funds at that time. By 1683, though, five additional towns had received this funding.

Education of the young was never considered to be the primary domain of schools, even after they had become more common. Most education was carried out by a child's parents or foster parents.

Formal apprenticeships were not the norm in Plymouth; it was expected that a foster family would teach the children whatever trades they themselves practiced. The church also played a central role in a child's education. As noted above, the primary purpose of teaching children to read was so that they could read the Bible for themselves.

### Government and laws

## **Organization**

Plymouth Colony did not have a royal charter authorizing it to form a government, yet some means of governance was needed. The Mayflower Compact was the colony's first governing document, signed by the 41 Puritan men aboard the *Mayflower* upon their arrival in Provincetown Harbor on November 21, 1620. Formal laws were not codified until 1636. The colony's laws were based on a hybrid of English common law and religious law as laid out in the Bible. The colonial authorities were deeply influenced by Calvinist theology, and were convinced that democracy was the form of government mandated by God.

The colony offered nearly all adult males potential citizenship. Full citizens, or "freemen", were accorded full rights and privileges in areas such as voting and holding office. To be considered a freeman, adult males had to be sponsored by an existing freeman and accepted by the General Court. Later restrictions established a one-year waiting period between nominating and granting of freeman status, and also placed religious restrictions on the colony's citizens, specifically preventing Quakers from becoming freemen.

Freeman status was also restricted by age; the official minimum age was 21, although in practice most men were elevated to freeman status between the ages of 25 and 40, averaging somewhere in their early thirties. The colony established a disabled veterans' fund in 1636 to support veterans who returned from service with disabilities. In 1641,

the Body of Liberties developed protections for people who were unable to perform public service. In 1660, the colonial government restricted voting with a specified property qualification, and they restricted it further in 1671 to only freemen who were "orthodox in the fundamentals of religion".

The colony's most powerful executive was its Governor, who was originally elected by the freemen but was later appointed by the General Court in an annual election. The General Court also elected seven Assistants to form a cabinet to assist the governor. The Governor and Assistants then appointed Constables who served as the chief administrators for the towns, and Messengers who were the main civil servants of the colony. They were responsible for publishing announcements, performing land surveys, carrying out executions, and a host of other duties.

The General Court was the chief legislative and judicial body of the colony. It was elected by the freemen from among their own number and met regularly in Plymouth, the capital town of the colony. As part of its judicial duties, it would periodically call a Grand Enquest, which was a grand jury of sorts elected from the freemen, who would hear complaints and swear out indictments for credible accusations. The General Court, and later lesser town and county courts, would preside over trials of accused criminals and over civil matters, but the ultimate decisions were made by a jury of freemen.

The General Court as the legislative and judicial bodies, and the Governor as the chief executive of the colony constituted a political system of division of power. It followed a recommendation in John Calvin's political theory to set up several institutions which complement and control each other in a system of checks and balances in order to minimize the misuse of political power. In 1625, the settlers had repaid their debts and thus gained complete possession of the colony. The colony was a de facto republic, since neither an English company nor the King and Parliament exerted any influence—a representative democracy governed on the principles of the Mayflower Compact ("self-rule").

#### Laws

body, the General Court could make As legislative proclamations of law as needed. These laws were not formally compiled anywhere in the early years of the colony; they were first organized and published in the 1636 Book of Laws. The book was reissued in 1658, 1672, and 1685. These laws included the levying of "rates" or taxes and the distribution of colony lands. The General Court established townships as a means of providing local government over settlements, but reserved for itself the right to control specific distribution of land to individuals within those towns. When new land was granted to a freeman, it was directed that only the person to whom the land was granted was allowed to settle it. It was forbidden for individual settlers to purchase land from Native Americans without formal permission from the General Court. The government recognized the precarious peace that existed with the Wampanoag, and wished to avoid antagonizing them by buying up all of their land.

The laws also set out crimes and their associated punishment. There were several crimes that carried the death penalty: treason, murder, witchcraft, arson, sodomy, rape, bestiality, adultery, and cursing or smiting one's parents. The actual exercise of the death penalty was fairly rare; only one sex-related crime resulted in execution, a 1642 incidence of bestiality by Thomas Granger. Edward Bumpus was sentenced to death for "striking and abusing his parents" in 1679, but his sentence was commuted to a severe whipping by reason of insanity. Perhaps the most notable use of the death penalty was in the execution of the Native Americans convicted of the murder of John Sassamon; this helped lead to King Philip's War.

Though nominally a capital crime, adultery was usually dealt with by public humiliation only. Convicted adulterers were often forced to wear the letters "A.D." sewn into their garments, much in the manner of Hester Prynne in Nathaniel Hawthorne's novel *The Scarlet Letter*.

Several laws dealt with indentured servitude, a legal status whereby a person would work off debts or be given training in exchange for a period of unrecompensed service. The law required that all indentured servants had to be registered by the Governor or one of the Assistants, and that no period of indenture could be less than six months. Further laws forbade a master from shortening the length of time of service required for his servant, and also confirmed that any indentured servants whose period of service began in England would still be required to complete their service while in Plymouth.

#### Official seal

The seal of the Plymouth Colony was designed in 1629 and is still used by the town of Plymouth. It depicts four figures

within a shield bearing St George's Cross, apparently in Native-American style clothing, each carrying the burning heart symbol of John Calvin. The seal was also used by the County of Plymouth until 1931.

# Geography

#### **Boundaries**

Without a clear land patent for the area, the settlers settled without a charter to form a government and, as a result, it was often unclear in the early years what land was under the colony's jurisdiction. In 1644, "The Old Colony Line"—which had been surveyed in 1639—was formally accepted as the boundary between Massachusetts Bay and Plymouth.

The situation was more complicated along the border with Rhode Island. Roger Williams settled in the area of Rehoboth in 1636, near modern Pawtucket. He was forcibly evicted in order to maintain Plymouth's claim to the area. Williams moved to the west side of the Pawtucket River to found the settlement of Providence, the nucleus for the colony of Rhode Island, which was formally established with the "Providence Plantations Patent" of 1644. Various settlers from both Rhode Island and Plymouth began to settle along the area, and the exact nature of the western boundary of Plymouth became unclear. The issue was not fully resolved until the 1740s, long after the dissolution of Plymouth Colony itself. Rhode Island had received a patent for the area in 1693, which had been by Massachusetts Bay Colony. Rhode disputed successfully defended the patent, and a royal decree in 1746 transferred the land to Rhode Island along the eastern shore of the Narragansett Bay, including the mainland portion of Newport County and all of modern Bristol County, Rhode Island. The border itself continued to be contested by Massachusetts, first as a colony and later as a state, until as late as 1898, when the boundary was settled and ratified by both states.

#### Counties and towns

For most of its history, the town was the primary administrative unit and political division of the colony. Plymouth Colony was not formally divided into counties until June 2, 1685, during the reorganization that led to the formation of the Dominion of New England. Three counties were composed of the following towns.

#### Barnstable County on Cape Cod:

- Barnstable, the shire town (county seat) of the county, first settled in 1639 and incorporated 1650.
- Eastham, site of the "First Encounter", first settled 1644 and incorporated as the town of Nauset in 1646, name changed to Eastham in 1651.
- Falmouth, first settled in 1661 and incorporated as Succonesset in 1686.
- Rochester, settled 1638, incorporated 1686.
- Sandwich, first settled in 1637 and incorporated in 1639.
- Yarmouth, Originally named *Mattacheese* by the native Americans, it was named for a seaport at the mouth of the Yar river in England and incorporated in 1639.

Bristol County along the shores of Buzzards Bay and Narragansett Bay; part of this county was later ceded to Rhode Island:

- Taunton, the shire town of the county, incorporated 1639 and grew due to the early discovery of bog-iron.
- Bristol, incorporated 1680 and including the former locations of Sowams and Montaup (Mount Hope), which were Massasoit's and King Philip's capitals, respectively.
   Ceded to Rhode Island in 1746 and is now part of Bristol County, Rhode Island.
- Dartmouth, incorporated 1664. Dartmouth was the site of a significant massacre by the Indian forces during King Philip's War. It was also the location of a surrender of a group of some 160 of Philip's forces who were later sold into slavery.
- Freetown, Originally known as "Assonet" to the natives, and "Freemen's Land" by its first settlers. Settlement records are lost however it was incorporated under its current name in July 1683.
- Little Compton, incorporated as Sakonnet in 1682, ceded to Rhode Island in 1746 and is now part of Newport County, Rhode Island.
- Rehoboth, first settled 1644 and incorporated 1645.
   Nearby to, but distinct from the Rehoboth settlement of Roger Williams, which is now the town of Pawtucket,
   Rhode Island.
- Swansea, founded as the township of Wannamoiset in 1667, incorporated as town of Swansea in 1668. It was here that the first English casualty occurred in King Philip's War.

Plymouth County, located along the western shores of Cape Cod Bay:

- Plymouth, the shire town of the county and capital of the colony. This was the original 1620 settlement of the *Mayflower* Pilgrims, and continued as the largest and most significant settlement in the colony until its dissolution in 1691.
- Bridgewater, purchased from Massasoit by Myles Standish, and originally named Duxburrow New Plantation, it was incorporated as Bridgewater in 1656.
- Duxbury, founded by Myles Standish, it was incorporated in 1637. Other notable residents of Duxbury included John Alden, William Brewster, and Governor Thomas Prence.
- Marshfield, settled 1632, incorporated 1640. Home to Governor Edward Winslow. Also home to Josiah Winslow, who was governor of the colony during King Philip's War, and to Peregrine White, the first English child born in New England.
- Middleborough, incorporated 1669 as Middleberry. Named for its location as the halfway point on the journey from Plymouth to Mount Hope, the Wampanoag capital.
- Scituate, settled 1628 and incorporated 1636. The town was the site of a major attack by King Philip's forces in 1676.

# **Demographics**

## **English**

The settlers of Plymouth Colony fit broadly into three categories: *Pilgrims*, *Strangers*, and *Particulars*. The Pilgrims

were a Puritan group who closely followed the teachings of John Calvin, like the later founders of Massachusetts Bav north. (The difference Colony to the was that the Massachusetts Bay Puritans hoped to reform the Anglican church from within, whereas the Pilgrims saw it as a morally defunct organization and removed themselves from it.) The "Pilgrims" was actually not used by the settlers name themselves. William Bradford used the term to describe the group, but he was using it generically to define them as travelers on a religious mission. The Pilgrims referred to themselves as the Saints, First Comers, Ancient Brethren, or Ancient Men. They used such terms to indicate their place as God's elect, as they subscribed to the Calvinist belief in predestination. "The First Comers" was a term more loosely used in their day to refer to any of the Mayflower passengers. There were also a number of indentured servants among the colonists. Indentured servants were mostly poor children whose families were receiving church relief and "homeless waifs from the streets of London sent as laborers".

In addition to the Pilgrims, the *Mayflower* carried "Strangers", the non-Puritan settlers placed on the *Mayflower* by the Merchant Adventurers who provided various skills needed to establish a colony. This also included later settlers who came for other reasons throughout the history of the colony and who did not adhere to the Pilgrim religious ideals. A third group known as the "Particulars" consisted of later settlers who paid their own "particular" way to America, and thus were not obligated to pay the colony's debts.

The presence of outsiders such as the Strangers and the Particulars was a considerable annoyance to the Pilgrims. As

early as 1623, a conflict broke out between the Pilgrims and the Strangers over the celebration of Christmas, a day of no particular significance to the Pilgrims. Furthermore, a group of Strangers founded the nearby settlement of Wessagussett and the Pilgrims were highly strained by their lack of discipline, both emotionally and in terms of resources. They looked at the eventual failure of the Wessagussett settlement as Divine Providence against a sinful people. The residents of Plymouth also used terms to distinguish between the earliest settlers of the colony and those who came later. The first generation of settlers called themselves the *Old Comers* or *Planters*, those who arrived before 1627. Later generations of Plymouth residents referred to this group as the *Forefathers*.

Historian John Demos did a demographic study in *A Little Commonwealth* (1970). He reports that the colony's average household grew from 7.8 children per family for first-generation families to 8.6 children for second-generation families and to 9.3 for third-generation families. Child mortality also decreased over this time, with 7.2 children born to first-generation families living until their 21st birthday.

That number increased to 7.9 children by the third generation. Life expectancy was higher for men than for women. Of the men who survived until age 21, the average life expectancy was 69.2 years. Over 55 percent of these men lived past 70; less than 15 percent died before the age of 50. The numbers were much lower for women owing to the difficulties of childbearing. The average life expectancy of women at the age of 21 was 62.4 years. Of these women, fewer than 45 percent lived past 70, and about 30 percent died before the age of 50.

During King Philip's War, Plymouth Colony lost eight percent of its adult male population. By the end of the war, one-third of New England's approximately 100 towns had been burned and abandoned, and this had a significant demographic effect on the population of New England.

#### **Native Americans**

The Native Americans in New England were organized into loose tribal confederations, sometimes called "nations". Among these confederations were the Nipmucks, the Massachusett, Narragansett, the Niantics, the Mohegan, the Wampanoag. Several significant events dramatically altered the demographics of the Native American population in the region. "Standish's raid" on Wessagussett, which first was frightened Native American leaders to the extent that many abandoned their settlements, resulting in many deaths through starvation and disease. The second, the Pequot War, resulted in the dissolution of the Pequot tribe and a major shift in the local power structure. The third, King Philip's War, had the most dramatic effect on local populations, resulting in the death or displacement of as much as 80% of the total number of Native Americans of southern New England and the enslavement and removal of thousands of Native Americans to the Caribbean and other locales.

## Black and indigenous slaves

Some of the wealthier families in Plymouth Colony owned slaves that were considered the property of their owners, unlike indentured servants, and passed on to heirs like any other property. Slave ownership was not widespread and very

few families possessed the wealth necessary to own slaves. In 1674, the inventory of Capt. Thomas Willet of Marshfield includes "8 Negroes" at a value of £200. In the July 29th, 1680 codicil to the will of Peter Worden of Yarmouth, he bequeathed ownership of his "Indian servant" to his wife Mary, to be passed on to their son Samuel upon her decease. The unnamed slave was dutifully recorded in the January 21st, 1681 inventory of Worden's estate at the original purchase price of £4 10s. Other inventories of the time valued "Negro" slaves at £24–25 each (equivalent to £2,809 in 2010, or \$4,300 at PPP), well out of the financial ability of most families. A 1689 census of the town of Bristol shows that, of the 70 families that lived there, only one had a black slave. So few were black and indigenous slaves in the colony that the General Court never saw fit to pass any laws dealing with them.

## **Economy**

The largest source of wealth for Plymouth Colony was the fur trade. The disruption of this trade caused by Myles Standish's raid at Wessagussett created great hardship for the colonists for many years and was directly cited by William Bradford as a contributing factor to the economic difficulties in their early years.

The colonists attempted to supplement their income by fishing; the waters in Cape Cod bay were known to be excellent fisheries. However, they lacked any skill in this area, and it did little to relieve their economic hardship. The colony traded throughout the region, establishing trading posts as far away as Penobscot, Maine. They were also frequent trading partners with the Dutch at New Amsterdam.

The economic situation improved with the arrival of cattle in the colony. It is unknown when the first cattle arrived, but the division of land for the grazing of cattle in 1627 represented one of the first moves towards private land ownership in the colony. Cattle became an important source of wealth in the colony; the average cow could sell for £28 in 1638 (£3,400 in 2010, or \$5,200 at parity). However, the flood of immigrants during the Great Migration drove down the price of cattle. The same cows sold at £28 in 1638 were valued in 1640 at only £5 (£700.00 in 2010, or \$1,060 at parity). Besides cattle, there were also pigs, sheep, and goats raised in the colony.

Agriculture also made up an important part of the Plymouth economy. The colonists adopted Indian agricultural practices and crops. They planted maize, squash, pumpkins, and beans. Besides the crops themselves, the Pilgrims learned productive farming techniques from the Indians, such as proper crop rotation and the use of dead fish to fertilize the soil. In addition to these native crops, the colonists also successfully planted Old World crops such as turnips, carrots, peas, wheat, barley, and oats.

Overall, there was very little cash in Plymouth Colony, so most wealth was accumulated in the form of possessions. Trade goods such as furs, fish, and livestock were subject to fluctuations in price and were unreliable repositories of wealth. Durable goods such as fine wares, clothes, and furnishings represented an important source of economic stability for the residents. Currency was another issue in the colonies. In 1652 the Massachusetts legislature authorized John Hull to produce coinage (mintmaster). "The Hull Mint produced several denominations of silver coinage, including

the pine tree shilling, for over 30 years until the political and economic situation made operating the mint no longer practical." Mostly political for King Charles II of England, Scotland and Ireland deemed the "Hull Mint" high treason in the United Kingdom which had a punishment of Hanging, and quartering. "On April 6, 1681, drawing petitioned the king, informing him the colony was still pressing their own coins which he saw as high treason and believed it was enough to void the charter. He asked that a writ of Quo warranto (a legal action requiring the defendant to show what authority they have for exercising some right, power, or franchise they claim to hold) be issued against Massachusetts for the violations."

## Legacy

The events surrounding the founding and history of Plymouth Colony have had a lasting effect on the art, traditions, mythology, and politics of the United States of America, despite its short history of fewer than 72 years.

### Art, literature, and film

The earliest artistic depiction of the Pilgrims was actually done before their arrival in America; Dutch painter Adam Willaerts painted a portrait of their departure from Delfshaven in 1620. The same scene was repainted by Robert Walter Weir in 1844, and hangs in the Rotunda of the United States Capitol building.

Numerous other paintings have been created memorializing various scenes from the life of Plymouth Colony, including

their landing and the "First Thanksgiving", many of which have been collected by Pilgrim Hall, a museum and historical society founded in 1824 to preserve the history of the Colony.

Several contemporaneous accounts of life in Plymouth Colony have become both vital primary historical documents and literary classics. *Of Plimoth Plantation* (1630 and 1651) by William Bradford and *Mourt's Relation* (1622) by Bradford, Edward Winslow, and others are both accounts written by *Mayflower* passengers that provide much of the information which we have today regarding the trans-Atlantic voyage and early years of the settlement.

Benjamin Church wrote several accounts of King Philip's War, including Entertaining Passages Relating to Philip's War, which remained popular throughout the 18th century. An edition of the work was illustrated by Paul Revere in 1772. The Sovereignty and Goodness of God provides an account of King Philip's War from the perspective of Mary Rowlandson, an Englishwoman who was captured and spent some time in the company of Native Americans during the war.

Later works, such as "The Courtship of Miles Standish" by Henry Wadsworth Longfellow, have provided a romantic and partially fictionalized account of life in Plymouth Colony.

There are also numerous films about the Pilgrims, including the several film adaptations of "The Courtship of Miles Standish"; the 1952 film Plymouth Adventure starring Spencer Tracy; and Desperate Crossings: The True Story of the Mayflower, a 2006 television documentary produced by the History Channel.

In 1970, the United States Postal Service issued a three hundred and fiftieth-year commemorative stamp recognizing the English dissenters first landing at the modern day settlement of Provincetown, Massachusetts in 1620.

## **Thanksgiving**

Each year, the United States celebrates a holiday known as Thanksgiving on the fourth Thursday of November. It is a federal holiday and frequently involves a family gathering with large feast. traditionally featuring a turkey. Civic recognitions of the holiday typically include parades and football games. The holiday is meant to honor the First Thanksgiving, which was a harvest feast held in Plymouth in 1621, as first recorded in the book New England's Memorial by Nathaniel Morton, secretary of Plymouth Colony and nephew of Governor William Bradford.

The annual Thanksgiving holiday is a fairly recent creation. Throughout the early 19th century, the U.S. government had declared a particular day as a national day of Thanksgiving, but these were one-time declarations meant to celebrate a significant event, such as victory in a battle. The northeastern states began adopting an annual day of Thanksgiving in November shortly after the end of the War of 1812. Sarah Josepha Hale, editor of Boston's *Ladies' Magazine*, wrote editorials beginning in 1827 which called for the nationwide expansion of this annual day of thanksgiving to commemorate the Pilgrim's first harvest feast. After nearly 40 years, Abraham Lincoln declared the first modern Thanksgiving to fall on the last Thursday in November in 1863. Franklin Delano Roosevelt and Congress ultimately moved it to the fourth Thursday in

November. After some sparring as to the date, the holiday was recognized by Congress as an official federal holiday in 1941.

Some of the modern traditions which have developed alongside the Thanksgiving holiday are the National Football League's Thanksgiving Day games and the annual Macy's Thanksgiving Day Parade in New York City.

### Plymouth Rock

One of the enduring symbols of the landing of the Pilgrims is Plymouth Rock, a large granodiorite boulder that was near their landing site at Plymouth. However, none of the contemporary accounts of the actual landing makes any mention that the Rock was the specific place of landing. The Pilgrims chose the site for their landing not for the rock, but for a small brook nearby that was a source of fresh water and fish.

The first identification of Plymouth Rock as the actual landing site was in 1741 by 90-year-old Thomas Faunce, whose father had arrived in Plymouth in 1623, three years after the supposed event. The rock was later covered by a solid-fill pier. In 1774, an attempt was made to excavate the rock, but it broke in two.

The severed piece was placed in the Town Square at the center of Plymouth. In 1880, the intact half of the rock was excavated from the pier, and the broken piece was reattached to it.

Over the years, souvenir hunters have removed chunks from the rock, but the remains are now protected as part of the complex of living museums. These include the *Mayflower II*, a recreation of the original ship; Plimoth Plantation, a historical recreation of the original 1620 settlement; and the Wampanoag Homesite, which recreates a 17th-century Indian village.

## Political legacy

democratic setup of Plymouth Colony had a strong The influence on shaping democracy in both England and America. William Bradford's History of Plimoth Plantation was widely read in the motherland. It influenced the political thought of Puritan politician and poet John Milton, assistant to Oliver Cromwell, and philosopher John Locke. For example, Locke referred to the Mayflower Compact in his Letters Concerning Toleration. In America, Plymouth Colony initiated a democratic tradition that was followed by Massachusetts Bay Colony (1628), Connecticut Colony (1636), the Colony of Rhode Island and Providence Plantations (1636), the Province of New Jersey, Pennsylvania (1681).Roger Williams Providence Plantations specifically as a safe haven for those who experienced religious persecution, thereby adding freedom of conscience to Plymouth's democratic model.

## The Mayflower Society

The General Society of Mayflower Descendants, or The Mayflower Society, is a genealogical organization of individuals who have documented their descent from one or more of the 102 passengers who arrived on the *Mayflower* in 1620. The Society, founded at Plymouth in 1897, claims that tens of millions of Americans are descended from these passengers, and it offers research services for people seeking to document their descent.

# Indian Massacre of 1622 in Virginia

The **Indian massacre** of 1622, popularly known as the **Jamestown massacre**, took place in the English Colony of Virginia, in what is now the United States, on 22 March 1622. John Smith, though he had not been in Virginia since 1609 and was not an eyewitness, related in his *History of Virginia* that warriors of the Powhatan "came unarmed into our houses with deer, turkeys, fish, fruits, and other provisions to sell us". The Powhatan then grabbed any tools or weapons available and killed all the English settlers they found, including men, women, and children of all ages. Chief Opechancanough led the Powhatan Confederacy in a coordinated series of surprise attacks; they killed a total of 347 people, a quarter of the population of the Virginia colony.

Jamestown, founded in 1607, was the site of the first successful English settlement in North America, and was the capital of the Colony of Virginia. Its tobacco economy led to constant expansion and seizure of Powhatan lands, which ultimately provoked resistance as the Powhatan defended their territory.

# **Background**

Upon the settlement's founding in 1607, the local indigenous tribes were willing to trade provisions to the Jamestown

colonists for metal tools, though by 1609 governor of the colony John Smith had begun to send raiding parties to demand for provisions from local indigenous settlements. These raiding parties burned down settlements which refused their demands, and frequently stole provisions, leading to resentment towards the colonists and precipitating conflict. The raiding parties further alienated the colonists from the indigenous tribes, who eventually laid siege to the Jamestown fort for several months. Unable to secure more provisions, many colonists in Jamestown died of starvation during the "Starving Time" in 1609–1610.

The London Company's primary concern was the survival of the colony. Due to the interests of the company, the colonists would required to maintain civil relations with the Powhatan. The Powhatan and the English realized that they could benefit from each other through trade once peace was restored. In exchange for food, the chief asked the colonists to provide him with metal hatchets and copper. Unlike John Smith, other early leaders of Virginia, such as Thomas Dale and Thomas Gates, based their actions on different thinking. They were military men and considered the Powhatan Confederacy as essentially a "military problem."

The Powhatan peoples concluded that the English were not settling in Jamestown for the purposes of trade but rather to "possess" the land. As Chief Powhatan said:

 Your coming is not for trade, but to invade my people and possess my country...Having seen the death of all my people thrice... I know the difference of peace and war better than any other Country. [If he fought the English, Powhatan predicted], he would be so haunted by Smith that he can neither rest eat nor sleep, but his tired men must watch, and if a twig but break, every one cry, there comes Captain John Smith; then he must fly he know not whether, and thus with miserable fear end his miserable life.

## First Anglo-Powhatan War

In 1610, the London Company instructed Gates, the newly appointed colonial governor, to Christianise the natives and absorb them into the colony. As for Chief Powhatan, Gates was told, "If you finde it not best to make him your prisoner yet you must make him your tributary, and all the other his *weroances* [subordinate chiefs] about him first to acknowledge no other Lord but King James".

When Gates arrived at Jamestown, he decided to evacuate the settlement because he thought the government's plan was not feasible. As the colonists were sailing down the James River towards the open sea they were met by the incoming fleet of Thomas West, 3rd Baron De La Warr off Mulberry Island. Taking command as governor, de la Warr ordered the fort reoccupied. He plotted conquest of the surrounding tribes.

In July 1610, West sent Gates against the Kecoughtan people. "Gates lured the Indians into the open by means of music-and-dance act by his drummer, and then slaughtered them". This was the First Anglo-Powhatan War.

The English, led by Samuel Argall, captured Pocahontas, daughter of Powhatan, and held her hostage until he would agree to their demands. The English "demanded that all

Powhatan captives be released, return all English weapons taken by his warriors, and agree upon a lasting peace".

While Pocahontas was held by the English, she met John Rolfe, whom she later married. While in captivity, Pocahontas was taught the English language, customs and the Anglican religion. She was baptized as a Christian and took the name Rebecca. Rolfe wrote that the way to maintain peace between the Powhatan and the English, was to marry Pocahontas, not "with the unbridled desire of carnal affection but for the good of the colony and the glory of God. Such a marriage might bring peace between the warring English and Powhatan, just as it would satisfy Pocahontas's desire." After they married, more peaceful relations were maintained for a time between the English colonists and the Powhatan Confederacy. Edward Waterhouse, secretary of the Virginia Company, wrote:

• [S]uch was the conceit of firme peace and amitie, as that there was seldome or never a sword worne, and a Peece [firearm] seldomer, except for a Deere or Fowle....The Plantations of particular Adventurers and Planters were placed scatteringly and straglingly as a choyce veyne of rich ground invited them, and the further from neighbors held the better. The houses generally set open to the Savages, who were alwaies friendly entertained at the tables of the English, and commonly lodged in their bedchambers.

## New governance

In 1618, after the death of Powhatan, his brother Opitchapam, a lame and quiet old man, became paramount chief of the

confederacy. Their youngest brother, Opechancanough, was probably the effective leader, with his friend, war-chief and advisor Nemattanew. Neither of the younger men believed that peaceful relations with the colonists could be maintained.

Perhaps in 1620–1621, Opitchapam retired or he was deposed (but possibly he died in 1630), and he was succeeded by his youngest brother. Opechancanough and Nemattanew began to develop plans for the unavoidable war. Having recovered from their defeat commanding Pamunkey warriors during the First Anglo-Powhatan War, they planned to shock the English with an attack that would leave them contained in a small trading outpost, rather than expanding throughout the area with new plantations. In the spring of 1622, after a settler murdered his adviser Nemattanew, Opechancanough launched a campaign of surprise attacks on at least 31 separate English settlements and plantations, mostly along the James River, extending as far as Henricus.

## Jamestown forewarned

Jamestown was saved by the warning of an Indian youth living in the home of Richard Pace, one of the colonists. The youth woke Pace to warn him of the planned attack. Living across the river from Jamestown, Pace secured his family and rowed to the settlement to spread the alarm. Jamestown increased its defenses.

The name of the Indian who warned Pace is not recorded in any of the contemporary accounts. Although legend has named him "Chanco", this may be wrong. An Indian named "Chauco" is mentioned in a letter from the Virginia Council to the Virginia

Company of London dated April 4, 1623. He is described not as a youth but as "one...who had lived much amongst the English, and by revealinge yt pl[ot] To divers appon the day of Massacre, saved theire lives..." "Chauco" may be the same person as "Chacrow", an Indian mentioned in a court record of 25 October 1624 as living with Lt Sharpe, Capt. William Powell, and Capt. William Peirce "in the tyme of Sir Thos Dale's government"—that is, before 1616. It is possible that the older Indian, Chauco, and the youth who warned Richard Pace, have been conflated.

## **Destruction of other settlements**

During the one-day surprise attack, the Powhatan tribes attacked many of the smaller communities, including Henricus and its fledgling college for children of natives and settlers alike. In the neighborhood of Martin's Hundred, 73 people were killed. More than half the population died in Wolstenholme Towne, where only two houses and a part of a church were left standing. In all, the Powhatan killed about four hundred colonists (a third of the white population) and took 20 women captive. The captives lived and worked as Powhatan Indians until they died or were ransomed. The settlers abandoned the Falling Creek Ironworks, Henricus, and Smith's Hundred.

## **Aftermath**

The surviving English settlers were in shock after the attacks. As they began to recover, the men worked on a plan of action. "By unanimous decision both the council and planters it was agreed to draw people together into fewer settlements" for

better defense. The colony intended to gather men together to plan a retaliatory attack, but this was difficult. Of the survivors "two-thirds were said to have been women and children and men who were unable to work or to go against the Indians".

Opechancanough withdrew his warriors, believing that the English would behave as Native Americans would when defeated: pack up and leave, or learn their lesson and respect the power of the Powhatan.

Following the event, Opechancanough told the Patawomeck, who were not part of the Confederacy and had remained neutral, that he expected "before the end of two Moones there should not be an Englishman in all their Countries." He misunderstood the English colonists and their backers overseas.

In May 1623, plans were made with Opechancanough to negotiate peace and the release of the missing women. He released Mistress Boyse as a good faith gesture, with the implied message that he would negotiate for the release of the remaining women. Captain Tucker and a group of musketeers met with Opechancanough and members of a Powhatan village along the Potomac River on May 22. In preparation for the event, Dr. John Potts prepared poisoned wine. Captain Tucker and others offered ceremonial toasts and 200 Powhatans died after drinking the wine.

Another 50 people were killed. Opechancanough escaped, but a number of tribal leaders were killed. The English retaliated by attacking and burning down Powhatan villages. Tribal members and the captive women fled the English attacks. They also were

hungry due to lost corn crops. Three of the women included Jane Dickenson and Mistress Jeffries, the wife of Nathaniel Jeffries, who died in the massacre.

In England when the massacre occurred, John Smith believed that the settlers would not leave their plantations to defend the colony. He planned to return with a ship filled with soldiers, sailors, and ammunition, to establish a "running Army" able to fight the Powhatan.

Smith's goal was to "inforce the Savages to leave their Country, or bring them in the feare of subjection that every man should follow their business securely." But Smith never returned to Virginia.

The colonists used the 1622 massacre as a justification for seizing Powhatan land for the next ten years. Historian Betty Wood writes:

• What is usually referred to as the "Massacre of 1622," the native American attack that resulted in the death of 347 English settlers and almost wiped out Jamestown, which was the catalyst for the settlers actions. As far as the survivors of the Massacre of 1622 were concerned, by virtue of launching this unprovoked assault native Americans had forfeited any legal and moral rights they might previously have claimed to the ownership of the lands they occupied.

#### Wood quotes a Virginian settler:

• We, who hitherto have had possession of no more ground than their waste and our purchase at a valuable

consideration to their own contentment (...) may now by right of war, and law of nations, invade the country, and those who sought to destroy us: whereby we shall enjoy their cultivated places.

The colonists, in revenge for the massacre, attacked the Powhatan thorough "the use of force, surprise attacks, famine resulting from the burning of their corn, destroying their boats, canoes, and houses, breaking their fishing weirs and assaulting them in their hunting expedition, pursuing them with horses and using bloodhounds to find them and mastiffs to seaze them, driving them to flee within reach of their enemies among other tribes, and 'assimilating and abetting their enemies against them".

## Indian decline and defeat

In 1624 Virginia was made an English royal colony by King James I. This meant that the Crown took direct authority rather than allowing guidance by the London Company. The Crown could exercise its patronage for royal favorites. Settlers continued to encroach on land of the Powhatan tribes, and the colonial government tended to change or ignore agreements with the natives when no longer in the colony's interest. The tribes felt increasing frustration with the settlers

The next major confrontation with the Powhatan, the Third Anglo-Powhatan War, occurred in 1644, resulting in the deaths of about 500 colonists. While similar to the death toll in 1622, the loss a generation later represented less than ten percent of the population, and had far less impact upon the colony. This time, the elderly Opechancanough, who was being transported

by litter, was captured by the colonists. Imprisoned at Jamestown, he was killed by one of his guards.

His death marked the beginning of the increasingly precipitous decline of the once-powerful Powhatan. Its member tribes eventually left the area entirely, gradually lived among the colonists, or lived on one of the few reservations established in Virginia. Most of these were also subject to incursion and seizure of land by the ever-expanding European population.

In modern times, seven tribes of the original Powhatan Confederacy are recognized in the Commonwealth of Virginia. The Pamunkey and Mattaponi still have control of their reservations established in the 17th century, each located between the rivers of the same names within the boundaries of present-day King William County.

# Foundation of New Amsterdam by the Dutch West India Company

New Amsterdam 17th-century Dutch settlement was a established at the southern tip of Manhattan Island that the seat of the colonial government in Netherland. The initial trading factory gave rise to the settlement around Fort Amsterdam. The fort was situated on the strategic southern tip of the island of Manhattan and was meant to defend the fur trade operations of the Dutch West India Company in the North River (Hudson River). In 1624, it became a provincial extension of the Dutch Republic and was designated as the capital of the province in 1625.

By 1655, the population of New Netherland had grown to 2,000 people, with 1,500 living in New Amsterdam. By 1664, the population of New Netherland had risen to almost 9,000 people, 2,500 of whom lived in New Amsterdam, 1,000 lived near Fort Orange, and the remainder in other towns and villages.

In 1664 the English took over New Amsterdam and renamed it New York City after the Duke of York (later James II & VII). After the Second Anglo-Dutch War of 1665–67, England and the United Provinces of the Netherlands agreed to the status quo in the Treaty of Breda. The English kept the island of Manhattan, the Dutch giving up their claim to the town and the rest of the colony, while the English formally abandoned

Surinam in South America, and the island of Run in the East Indies to the Dutch, confirming their control of the valuable Spice Islands. What was once New Amsterdam became New York City's downtown.

# **History**

## Early settlement (1609-1624)

In 1524, nearly a century before the arrival of the Dutch, the site that later became New Amsterdam was named New Angoulême by the Italian explorer Giovanni da Verrazzano, to commemorate his patron King Francis I of France, former Count of Angoulême. The first recorded exploration by the Dutch of the area around what is now called New York Bay was in 1609 with the voyage of the ship *Halve Maen* (English: "Half Moon"), commanded by Henry Hudson in the service of the Dutch Republic, as the emissary of Maurice of Nassau, Prince of Orange, Holland's stadholder. Hudson named the river the Mauritius River. He was covertly attempting to find the Northwest Passage for the Dutch East India Company. Instead, he brought back news about the possibility of exploitation of beaver by the Dutch who sent commercial, private missions to the area the following years.

At the time, beaver pelts were highly prized in Europe, because the fur could be felted to make waterproof hats. A by-product of the trade in beaver pelts was castoreum—the secretion of the animals' anal glands—which was used for its medicinal properties and for perfumes. The expeditions by Adriaen Block and Hendrick Christiaensen in 1611, 1612, 1613 and 1614, resulted in the surveying and charting of the region from the

38th parallel to the 45th parallel. On their 1614 map, which gave them a four-year trade monopoly under a patent of the States General, they named the newly discovered and mapped territory New Netherland for the first time. It also showed the first year-round trading presence in New Netherland, Fort Nassau, which would be replaced in 1624 by Fort Orange, which eventually grew into the town of Beverwijck, now Albany.

Spaniard trader Juan Rodriguez (rendered in Dutch as Jan Rodrigues), was born in the Captaincy General of Santo Domingo, the first Spanish Colony in the Americas. Allegedly of Portuguese and African descent, he arrived on Manhattan Island during the winter of 1613–1614 under the captaincy of Thijs Volckenz Mossel commander of the *Jonge Tobias*, trapping for pelts and trading with the local population as a representative of the Dutch. He was the first recorded non-Native American inhabitant of what would eventually become New York City.

The territory of New Netherland was originally a private, profit-making commercial enterprise focused on cementing alliances and conducting trade with the diverse Native American ethnic groups. Surveying and exploration of the region was conducted as a prelude to an anticipated official settlement by the Dutch Republic, which occurred in 1624.

# Pilgrims' attempt to settle in the Hudson River area

In 1620 the Pilgrims attempted to sail to the Hudson River from England. However, *Mayflower* reached Cape Cod (now part

of Massachusetts) on November 9, 1620, after a voyage of 64 days. For a variety of reasons, primarily a shortage of supplies, *Mayflower* could not proceed to the Hudson River, and the colonists decided to settle near Cape Cod, establishing the Plymouth Colony.

## **Dutch return**

The mouth of the Hudson River was selected as the ideal place for initial settlement as it had easy access to the ocean while also securing an ice-free lifeline to the beaver trading post near present-day Albany. Here, Native American hunters supplied them with pelts in exchange for European-made trade goods and wampum, which was soon being made by the Dutch on Long Island.

In 1621, the Dutch West India Company was founded. Between 1621 and 1623, orders were given to the private, commercial traders to vacate the territory, thus opening up the territory to Dutch settlers and company traders. It also allowed the laws and ordinances of the states of Holland to apply. Previously, during the private, commercial period, only the law of the ship had applied.

In May 1624, the first settlers in New Netherland arrived on Noten Eylandt (Nut or Nutten Island, now Governors Island) aboard the ship *New Netherland* under the command of Cornelius Jacobsen May, who disembarked on the island with thirty families to take legal possession of the New Netherland territory. The families were then dispersed to Fort Wilhelmus on Verhulsten Island (Burlington Island) in the South River (now the Delaware River), to Kievitshoek (now Old Saybrook,

Connecticut) at the mouth of the Verse River (now the Connecticut River) and further north at Fort Nassau on the Mauritius or North River (now the Hudson River), near what is now Albany.

A fort and sawmill were soon erected at Nut Island. The latter was constructed by Franchoys Fezard and was taken apart for iron in 1648.

## Fort Amsterdam (1624)

The threat of attack from other European colonial powers prompted the directors of the Dutch West India Company to formulate a plan to protect the entrance to the Hudson River. In 1624, 30 families were sponsored by Dutch West India Company moving from Nut Island to Manhattan Island, where a citadel to contain Fort Amsterdam was being laid out by Cryn Frederickz van Lobbrecht at the direction of Willem Verhulst. By the end of 1625, the site had been staked out directly south of Bowling Green on the site of the present U.S. Custom House. The Mohawk-Mahican War in the Hudson Valley led the company to relocate even more settlers to the vicinity of the new Fort Amsterdam.

In the end, colonizing was a prohibitively expensive undertaking, only partly subsidized by the fur trade. This led to a scaling back of the original plans. By 1628, a smaller fort was constructed with walls containing a mixture of clay and sand.

The fort also served as the center of trading activity. It contained a barracks, the church, a house for the West India Company director and a warehouse for the storage of company

goods. Troops from the fort used the triangle between the *Heerestraat* and what came to be known as Whitehall Street for marching drills.

### 1624-1664

Verhulst, with his council, was responsible for the selection of Manhattan as a permanent place of settlement and for situating Fort Amsterdam. He was replaced as the company director of New Netherland by Peter Minuit in 1626. According to the writer Nathaniel Benchley, to legally safeguard the settlers' investments, possessions and farms on Manhattan island, Minuit negotiated the "purchase" of Manhattan from a band of Canarse from Brooklyn who occupied the bottom quarter of Manhattan, known then as the Manhattoes, for 60 guilders' worth of trade goods. Minuit conducted transaction with the Canarse chief Seyseys, who was only too happy to accept valuable merchandise in exchange for an island that actually mostly controlled was the Weckquaesgeeks.

The deed itself has not survived, so the specific details are unknown. A textual reference to the deed became for foundation the legend that Minuit had purchased Manhattan from the Native Americans for twenty-four dollars' worth of trinkets and beads, the guilder rate at the time being about two and a half to a Spanish dollar. The price of 60 Dutch guilders in 1626 amounts to around \$1,100 in 2012 dollars. Further complicating the calculation is that the value of goods in the area would have been different than the value of those same goods in the developed market of the Netherlands.

The Dutch exploited the hydropower of existing creeks by constructing mills at Turtle Bay (between present-day East 45th-48th Streets) and Montagne's Kill, later called Harlem Mill Creek (East 108th Street). In 1639 a sawmill was located in the northern forest at what was later the corner of East 74th Street and Second Avenue, at which African slaves cut lumber.

The New Amsterdam settlement had a population of approximately 270 people, including infants. In 1642 the new director Willem Kieft decided to build a stone church within the fort. The work was carried out by recent English immigrants, the brothers John and Richard Ogden. The church was finished in 1645 and stood until destroyed in the Slave Insurrection of 1741.

A pen-and-ink view of New Amsterdam, drawn on-the-spot and discovered in the map collection of the Austrian National Library in Vienna in 1991, provides a unique view of New Amsterdam as it appeared from Capske (small Cape) Rock in 1648. Capske Rock was situated in the water close to Manhattan between Manhattan and Noten Eylant, and signified the start of the East River roadstead.

New Amsterdam received municipal rights on February 2, 1653, thus becoming a city. Albany, then named *Beverwyck*, received its city rights in 1652. *Nieuw Haarlem*, now known as Harlem, was formally recognized in 1658.

The first Jews known to have lived in New Amsterdam arrived in 1654. First to arrive were Solomon Pietersen and Jacob Barsimson, who sailed during the summer of 1654 directly from Holland, with passports that gave them permission to trade in the colony. Then in early September, 23 Jewish

refugees arrived from the Brazilian city of Recife, which had been conquered by the Portuguese in January 1654. The director-general of New Netherland, Peter Stuyvesant, sought to turn them away but was ultimately overruled by the directors of the Dutch West India Company in Amsterdam. Asser Levy, an Ashkenazi Jew who was one of the 23 refugees, eventually prospered and in 1661 became the first Jew to own a house in New Amsterdam, which also made him the first Jew known to have owned a house anywhere in North America.

In 1661 the Communipaw ferry was founded and began a long history of trans-Hudson ferry and ultimately rail and road transportation. On September 15, 1655, New Amsterdam was attacked by 2,000 Native Americans as part of the Peach Tree War. They destroyed 28 farms, killed 100 settlers, and took 150 prisoners.

In 1664, Jan van Bonnel built a saw mill on East 74th Street and the East River, where a 13,710-meter long stream that began in the north of today's Central Park, which became known as the Saw Kill or Saw Kill Creek, emptied into the river. Later owners of the property George Elphinstone and Abraham Shotwell replaced the sawmill with a leather mill in 1677. The Saw Kill was later redirected into a culvert, arched over, and its trickling little stream was called Arch Brook.

## **English capture**

On August 27, 1664, while England and the Dutch Republic were at peace, four English frigates sailed into New Amsterdam's harbor and demanded New Netherland's surrender, effecting the bloodless capture of New Amsterdam.

On September 6, the local Dutch deciding not to offer resistance, Stuyvesant's lawyer Johannes de Decker and five other delegates signed the official Articles of Surrender of New Netherland. This was swiftly followed by the Second Anglo-Dutch War, between England and the Dutch Republic. In June 1665, New Amsterdam was reincorporated under English law as New York City, named after the Duke of York (later King James II). He was the brother of the English King Charles II, who had been granted the lands.

In 1667 the Treaty of Breda ended the conflict in favor of the Dutch. The Dutch did not press their claims on New Netherland but did demand control over the valuable sugar plantations and factories captured by them that year on the coast of Surinam, giving them full control over the coast of what is now Guyana and Suriname.

In July 1673, during the Third Anglo-Dutch War, the Dutch quickly but briefly retook the colony of New Netherland, what the English called "New York", with a combined fleet of a squadron of Amsterdam and a squadron of Zeeland. The commanders were Jacob Benckes (Koudum, 1637–1677) and Cornelis Evertsen de Jongste (Vlissingen, 1642–1706) under instruction of the States General of the Dutch Republic. Anthony Colve was installed as the first Dutch governor of the province. Previously there had only been West India Company Directors and a Director-General.

Amidst the recapture, New York City would be again renamed, this time to **New Orange**. However, after the signing of the Treaty of Westminster in November 1674, both the Dutch territories were relinquished to the English. With the transfer

of control, the names New Netherland and New Orange reverted to the English versions of "New York" and "New York City", respectively. Surinam became an official Dutch possession in return.

# Cartography

The beginnings of New Amsterdam, unlike most other colonies in the New World, were thoroughly documented in city maps. During the time of New Netherland's colonization, the Dutch were the pre-eminent cartographers in Europe. The delegated authority of the Dutch West India Company over New Netherland required maintaining sovereignty on behalf of the States General, generating cash flow through commercial enterprise for its shareholders, and funding the province's growth.

Thus its directors regularly required that censuses be taken. These tools to measure and monitor the province's progress were accompanied by accurate maps and plans.

These surveys, as well as grassroots activities to seek redress of grievances, account for the existence of some of the most important of the early documents.

There is a particularly detailed city map called the Castello Plan produced in 1660. Virtually every structure in New Amsterdam at the time is believed to be represented, and by cross-referencing the *Nicasius de Sille List* of 1660, which enumerates all the citizens of New Amsterdam and their addresses, it can be determined who resided in every house.

The city map known as the Duke's Plan probably derived from the same 1660 census as the Castello Plan. The Duke's Plan includes two outlying areas of development on Manhattan along the top of the plan.

The work was created for James (1633-1701), the Duke of York and Albany, after whom New York, New York City, and New York's Capital - Albany, were named just after the seizure of by the British. After Amsterdam that provisional relinquishment of New Netherland, Stuyvesant reported to his superiors that he "had endeavored to promote the increase of agriculture and commerce...the population, flourishing condition which might have been more flourishing if the now inhabitants had been protected by a afflicted garrison...and had been helped with the long sought for settlement of the boundary, or in default thereof had they been seconded with the oft besought reinforcement of men and ships against the continual troubles, threats, encroachments and invasions of the British neighbors and government of Hartford Colony, our too powerful enemies".

The existence of these city maps has proven to be very useful in the archaeology of New York City. For instance, the Castello map aided the excavation of the Stadthuys (City Hall) of New Amsterdam in determining the exact location of the building.

## Layout

The maps enable a precise reconstruction of the town. Fort Amsterdam was located at the southernmost tip of the island of Manhattan, which today is surrounded by Bowling Green. The Battery is a reference to its battery of cannon.

Broadway was the main street that led out of town north towards Harlem. The town was surrounded to the north by a wall leading from the eastern to the western shore. Today, where the course of this city wall was, is Wall Street. Nearby, a canal which led from the harbor inland was filled in 1676, and is today Broad Street.

The layout of the streets was winding, as in a European city. Only starting from Wall Street going toward uptown did the typical grid become enforced long after the town ceased to be Dutch. Most of the Financial District overlaps with New Amsterdam and has retained its original street layout.

# Legacy

The 1625 date of the founding of New Amsterdam is now commemorated in the official Seal of New York City. (Formerly, the year on the seal was 1664, the year of the provisional Articles of Transfer, assuring New Netherlanders that they "shall keep and enjoy the liberty of their consciences in religion", negotiated with the English by Peter Stuyvesant and his council.)

Sometimes considered a dysfunctional trading post by the English who later acquired it from the Dutch, Russell Shorto, author of *The Island at the Center of the World*, suggests that the city left its cultural marks on later New York and, by extension, the United States as a whole.

Major recent historical research has been based on a set of documents that have survived from that period, untranslated. They are the administrative records of the colony, unreadable

by most scholars. Since the 1970s, Charles Gehring of the New Netherland Institute has made it his life's work to translate this first-hand history of the Colony of New Netherland.

The scholarly conclusion has largely been that the settlement of New Amsterdam is much more like current New York than previously thought. Cultural diversity and a mindset that resembles the American Dream were already present in the first few years of this colony. Writers like Russell Shorto argue that the large influence of New Amsterdam on the American psyche has largely been overlooked in the classic telling of American beginnings, because of animosity between the English victors and the conquered Dutch.

The original 17th-century architecture of New Amsterdam has completely vanished (affected by the fires of 1776 and 1835), leaving only archaeological remnants. The original street plan of New Amsterdam has stayed largely intact, as have some houses outside Manhattan.

The presentation of the legacy of the unique culture of 17th-century New Amsterdam remains a concern of preservationists and educators. In 2009 the National Park Service celebrated the 400th anniversary of Henry Hudson's 1609 voyage on behalf of the Dutch with the New Amsterdam Trail.

The Dutch-American historian and journalist Hendrik Willem van Loon wrote in 1933 a work of alternative history entitled "If the Dutch Had Kept Nieuw Amsterdam" (in *If, Or History Rewritten*, edited by J. C. Squire, 1931, Simon & Schuster).

A similar theme, at greater length, was taken up by writer Elizabeth Bear, who published the "New Amsterdam" series of detective stories that take place in a world where the city remained Dutch until the Napoleonic Wars and retained its name also afterward.

One of New York Broadway theatres is the New Amsterdam Theatre. The name New Amsterdam is also written on the architrave situated on top of the row of columns in front of the Manhattan Municipal Building, commemorating the name of the Dutch colony.

Although no architectural monuments or buildings have survived, the legacy lived on in the form of Dutch Colonial Revival architecture. A number of structures in New York City were constructed in the 19th and 20th centuries in this style, such as Wallabout Market in Brooklyn, South William Street in Manhattan, West End Collegiate Church at West 77th Street, and others.

# **Dutch West India Company**

The **Dutch West India Company** was a chartered company of Dutch merchants as well as foreign investors. Among its founders was Willem Usselincx (1567–1647) and Jessé de Forest (1576–1624). On 3 June 1621, it was granted a charter for a trade monopoly in the Dutch West Indies by the Republic of the Seven United Netherlands and given jurisdiction over Dutch participation in the Atlantic slave trade, Brazil, the Caribbean, and North America. The area where the company could operate consisted of West Africa (between the Tropic of Cancer and the Cape of Good Hope) and the Americas, which included the Pacific Ocean and the eastern part of New Guinea. The intended purpose of the charter was to eliminate

competition, particularly Spanish or Portuguese, between the various trading posts established by the merchants. The company became instrumental in the largely ephemeral Dutch colonization of the Americas (including New Netherland) in the seventeenth century. From 1624 to 1654, in the context of the Dutch-Portuguese War, the GWC held Portuguese territory in northeast Brazil, but they were ousted from Dutch Brazil following fierce resistance.

After several reversals, GWC reorganized and a new charter was granted in 1675, largely on the strength in the Atlantic slave trade. This "New" version lasted for more than a century, until after the Fourth Anglo-Dutch War, during which it lost most of its assets.

# **Origins**

When the Dutch East India Company (VOC) was founded in 1602, some traders in Amsterdam did not agree with its monopolistic policies. With help from Petrus Plancius, a Dutch-Flemishastronomer, cartographer and clergyman, they sought for a northeastern or northwestern access to Asia to circumvent the VOC monopoly. In 1609, English explorer Henry Hudson, in employment of the VOC, landed on the coast of New England and sailed up what is now known as the Hudson River in his quest for the Northwest Passage to Asia. However, he failed to find a passage. Consequently, in 1615 Isaac Le Maire and Samuel Blommaert, assisted by others, focused on finding a south-westerly route around South America's Tierra del Fuego archipelago in order to circumvent the monopoly of the VOC.

One of the first sailors who focused on trade with Africa was Balthazar de Moucheron. The trade with Africa offered several possibilities to set up trading posts or factories, an important starting point for negotiations.

It was Blommaert, however, who stated that, in 1600, eight companies sailed on the coast of Africa, competing with each other for the supply of copper, from the Kingdom of Loango. Pieter van den Broecke was employed by one of these companies. In 1612, a Dutch fortress was built in Mouree (present day Ghana), along the Dutch Gold Coast.

Trade with the Caribbean, for salt, sugar and tobacco, was hampered by Spain and delayed because of peace negotiations. Spain offered peace on condition that the Dutch Republic would withdraw from trading with Asia and America. Spain refused to sign the peace treaty if a West Indian Company would be established.

At this time, the Dutch War of Independence (1568–1648) between Spain and the Dutch Republic was occurring. Grand PensionaryJohan van Oldenbarnevelt offered to only suspend trade with the West in exchange for the Twelve Years' Truce. The result was that, during a few years, the company sailed under a foreign flag in South America.

However, ten years later, StadtholderMaurice of Orange, proposed to continue the war with Spain, but also to distract attention from Spain to the Republic. In 1619, his opponent Johan van Oldenbarnevelt was beheaded, and when two years later the truce expired, the West Indian Company was established.

The West India Company received its charter from the States-General in 1621, but its foundation had been suggested much earlier in the 17th century only to be delayed by the conclusion of the Twelve Years' Truce between Spain and the United Provinces in 1609.

# The West India Company

The Dutch West India Company was organized similarly to the Dutch East India Company (VOC). Like the VOC, the GWC company had five offices, called chambers (kamers), Amsterdam, Rotterdam, Hoorn, Middelburg and Groningen, of which the chambers in Amsterdam and Middelburg contributed most to the company. The board consisted of 19 members, known as the Heeren XIX (the Nineteen Gentlemen). The structure of the institutional GWC followed the federal which entailed extensive structure. discussion for anv decision, with regional representation: 8 from Amsterdam; 4 from Zeeland, 2 each from the Northern Quarter (Hoorn and Enkhuizen), the Maas (Rotterdam and Dordrecht), the region of Groningen, and one representative from the States General. Each region had its own chamber and board of directors. The validity of the charter was set at 24 years.

Only in 1623 was funding arranged, after several bidders were put under pressure. The States General of the Netherlands and the VOC pledged one million guilders in the form of capital and subsidy. Although Iberian writers said that crypto-Jews or Marranos played an important role in the formation of both the VOC and the GWC, research has shown that initially they played a minor role, but expanded during the period of the Dutch in Brazil. Emigrant Calvinists from the Spanish

Netherlands did make significant investments in the GWC. Investors did not rush to put their money in the company in 1621, but the States-General urged municipalities and other institutions to invest. Explanations for the slow investment by individuals were that shareholders had "no control over the directors' policy and the handling of ordinary investors' money," that it was a "racket" to provide "cushy posts for the directors and their relatives, at the expense of ordinary shareholders". The VOC directors invested money in the GWC, without consulting their shareholders, causing dissent among number of shareholders. In order to attract foreign shareholders, the GWC offered equal standing to foreign investors with Dutch, resulting in shareholders from France, Switzerland, and Venice.

A translation of the original 1621 charter appeared in English, Orders and Articles granted by the High and Mightie Lords the States General of the United Provinces concerning the erecting of a West-Indies Companie, Anno Dom. MDCXII. by 1623, the capital for the GWC at 2.8 million florins was not as great the VOC's original capitalization of 6.5 million, but it was still a substantial sum. The GWC had 15 ships to carry trade and plied the west African coast and Brazil.

Unlike the VOC, the GWC had no right to deploy military troops. When the Twelve Years' Truce in 1621 was over, the Republic had a free hand to re-wage war with Spain. A *Groot Desseyn* ("grand design") was devised to seize the Portuguese colonies in Africa and the Americas, so as to dominate the sugar and slave trade. When this plan failed, privateering became one of the major goals within the GWC. The arming of merchant ships with guns and soldiers to defend themselves

against Spanish ships was of great importance. On almost all ships in 1623, 40 to 50 soldiers were stationed, possibly to assist in the hijacking of enemy ships. It is unclear whether the first expedition was the expedition by Jacques l'Hermite to the coast of Chile, Peru and Bolivia, set up by Stadtholder Maurice with the support of the States General and the VOC.

The company was initially a dismal failure, in terms of its expensive early projects, and its directors shifted emphasis from conquest of territory to pursue plunder of shipping. The most spectacular success for the GWC was Piet Heyn's seizure of the Spanish silver fleet, which carried silver from Spanish colonies to Spain. He had also seized a consignment of sugar from Brazil and a galleon from Honduras with cacao, indigo, and other valuable goods. Privateering was its most profitable activity in the late 1620s. Despite Heyn's success at plunder, the company's directors realized that it was not a basis to build long-term profit, leading them to renew their attempts to seize Iberian territory in the Americas. They decided their target was Brazil.

There were conflicts between directors from different areas of The Netherlands, with Amsterdam less supportive of the company. Non-maritime cities, including Haarlem, Leiden, and Gouda, along with Enkhuizen and Hoorn were enthusiastic about seizing territory.

They sent a fleet to Brazil, capturing Olinda and Pernambuco in 1630 in their initial foray to create a Dutch Brazil, but could not hold them due to a strong Portuguese resistance. Company ships continued privateering in the Caribbean, as well seizing vital land resources, particularly salt pans. The

company's general lack of success saw their shares plummet and the Dutch and The Spanish renewed truce talks in 1633.

In 1629 the GWC gave permission to a number of investors in New Netherlands to found patroonships, enabled by the Charter of Freedoms and Exemptions which was ratified by the Dutch States-General on June 7, 1629. The patroonships were created to help populate the colony, by providing investors grants providing land for approximately 50 people and "upwards of 15 years old", per grant, mainly in the region of New Netherland. Patroon investors could expand the size of their land grants as large as 4 miles, "along the shore or along one bank of a navigable river..." Rensselaerswyck was the most successful Dutch West India Company patroonship.

The New Netherland area, which included New Amsterdam, covered parts of present-day New York, Connecticut, Delaware, and New Jersey. Other settlements were established on the Netherlands Antilles, and in South America, in Dutch Brazil, Suriname and Guyana. In Africa, posts were established on the Gold Coast (now Ghana), the Slave Coast (now Benin), and briefly in Angola. It was a neo-feudal system, where patrons were permitted considerable powers to control the overseas colony. In the Americas, fur (North America) and sugar (South America) were the most important trade goods, while African settlements traded the enslaved (mainly destined for the plantations on the Antilles and Suriname), gold, and ivory.

### Decline

• In North America, the settlers Albert Burgh, Samuel Blommaert, Samuel Godijn, Johannes de Laet had little

success with populating the colony of New Netherland, and to defend themselves against local Amerindians. Only maintain Kiliaen Van Rensselaer managed to settlement in the north along the Hudson. Samuel Blommaert secretly tried to secure his interests with the founding of the colony of New Sweden on behalf of Sweden on the Delaware in the south. The main focus of the GWC now went to Brazil. Only in 1630 did the West India Company manage to conquer a part of Brazil. In 1630, the colony of New Holland (capital Mauritsstad, present-day Recife) was founded, taking over Portuguese possessions in Brazil. In the meantime, the war demanded so many of its forces that the company had to operate under a permanent threat of bankruptcy. In fact, the GWC went bankrupt in 1636 and all attempts at rehabilitation were doomed to failure.

Because of the ongoing war in Brazil, the situation for the GWC in 1645, at the end of the charter, was very bad. An attempt to compensate the losses of the GWC with the profits of the VOC failed because the directors of the VOC did not want to. Merging the two companies was not feasible. Amsterdam was not willing to help out, because it had too much interest in peace and healthy trade relations with Portugal. This indifferent attitude of Amsterdam was the main cause of the slow, half-hearted policy, which would eventually lead to losing the colony. In 1647 the company made a restart using 1.5 million guilders, capital of the VOC. The States General took responsibility for the warfare in Brazil.

Due to the Peace of Westphalia, the attacks on Spanish shipping were forbidden to the GWC. Many merchants from

Amsterdam and Zeeland decided to work with marine and merchants from the Holy Roman Empire, Denmark-Norway, England and other European countries. In 1649, the GWC obtained a monopoly on gold and enslaved Africans with the kingdom of Accra (present-day Ghana). In 1662 the GWC obtained several asiento contracts with the Spanish Crown, under which the Dutch were obliged to deliver 24,000 enslaved Africans. The influence of the GWC in Africa was threatened during the Second and Third Anglo-Dutch Wars, but English efforts to displace the Dutch from the region ultimately proved unsuccessful.

The first West India Company suffered a long agony, and its end in 1674 was painless. The reason that the GWC could drag on for twenty years was due to its valuable West African possessions, due to its slaves.

# **New West India Company**

When the GWC could not repay its debts in 1674, the company was dissolved. But because of high demand for trade with the West (mainly slave trade), and the fact that still many colonies existed, it was decided to establish the Second Chartered West India Company (also called New West India Company) in 1675. This new company had the same trade area as the first.

All ships, fortresses, etc. were taken over by the new company. The number of directors was reduced from 19 to 10, and the number of governors from 74 to 50. The new GWC had a capital that was slightly more than 6 million guilders around 1679, which was largely supplied by the Amsterdam Chamber.

From 1694 until 1700, the GWC waged a long conflict against the Eguafo Kingdom along the Gold Coast, present-day Ghana. The Komenda Wars drew in significant numbers of neighbouring African kingdoms and led to replacement of the gold trade with enslaved Africans.

After the Fourth Anglo-Dutch War, it became apparent that the Dutch West India Company was no longer capable of defending its own colonies, as Sint Eustatius, Berbice, Essequibo, Demerara, and some forts on the Dutch Gold Coast were rapidly taken by the British. In 1791, the company's stock was bought by the Dutch government, and on 1 January 1792, all territories previously held by the Dutch West India Company reverted to the rule of the States General of the Dutch Republic. Around 1800 there was an attempt to create a third West Indian Company, without any success.