

Dietary Patterns and Health

A Nutrition Science Approach

Rebecca Matthews

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Edited by Rebecca Matthews

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Associations of childhood, maternal and household dietary patterns with childhood stunting in Ethiopia: proposing an alternative and plausible dietary analysis method to dietary diversity scores

Yohannes Adama Melaku^{1,2*} , Tiffany K. Gill², Anne W. Taylor², Robert Adams³, Zumin Shi² and Amare Worku¹

Abstract

Background: Identifying dietary patterns that consider the overall eating habits, rather than focusing on individual foods or simple counts of consumed foods, better helps to understand the combined effects of dietary components. Therefore, this study aimed to use dietary patterns, as an alternative method to dietary diversity scores (DDSs), and investigate their associations with childhood stunting in Ethiopia.

Methods: Mothers and their children aged under 5 years ($n = 3788$) were recruited using a two-stage random cluster sampling technique in two regions of Ethiopia. Socio-demographic, dietary and anthropometric data were collected. Dietary intake was assessed using standardized dietary diversity tools. Household, maternal and child DDSs were calculated and dietary patterns were identified by *tetrachoric* (factor) analysis. Multilevel linear and Poisson regression analyses were applied to assess the association of DDSs and dietary patterns with height-for-age z score (HAZ) and stunting, respectively.

Results: The overall prevalence of stunting among children under-five was 38.5% ($n = 1459$). We identified three dietary patterns each, for households ("fish, meat and miscellaneous", "egg, meat, poultry and legume" and "dairy, vegetable and fruit"), mothers ("plant-based", "egg, meat, poultry and legume" and "dairy, vegetable and fruit" and children ("grain based", "egg, meat, poultry and legume" and "dairy, vegetable and fruit"). Children in the third tertile of the household "dairy, vegetable and fruit" pattern had a 0.16 ($\beta = 0.16$; 95% CI: 0.02, 0.30) increase in HAZ compared to those in the first tertile. A 0.22 ($\beta = 0.22$; 95% CI: 0.06, 0.39) and 0.19 ($\beta = 0.19$; 0.04, 0.33) increase in HAZ was found for those in the third tertiles of "dairy, vegetable and fruit" patterns of children 24–59 months and 6–59 months, respectively. Those children in the second ($\beta = -0.17$; 95% CI: -0.31, -0.04) and third ($\beta = -0.16$; 95% CI: -0.30, -0.02) tertiles of maternal "egg, meat, poultry and legume" pattern had a significantly lower HAZ compared to those in the first tertile. No significant associations between the household and child "egg, meat, poultry and legume" dietary patterns with HAZ and stunting were found. Statistically non-significant associations were found between household, maternal and child DDSs, and HAZ and stunting.

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Conclusion: A higher adherence to a “dairy, vegetable and fruit” dietary pattern is associated with increased HAZ and reduced risk of stunting. Dietary pattern analysis methods, using routinely collected dietary data, can be an alternative approach to DDSs in low resource settings, to measure dietary quality and in determining associations of overall dietary intake with stunting.

Keywords: Dietary data analysis, Dietary diversity score, Dietary pattern, Stunting

Background

Although the global burden of stunting decreased between 1990 and 2015 by more than 25%, it has continued to be a major nutrition-related risk factor causing 257 deaths per 100,000 globally [1]. In sub-Saharan Africa, 11.8 disability-adjusted life years (DALYs) and 136,455 childhood deaths in 2015 were attributable to stunting [2]. In Ethiopia, 38% of children under-five years of age were stunted [3] and it was a risk factor for 960,742 DALYs and 11,065 deaths in 2015 [2]. Stunting also halts the development of societies by negatively affecting mental and physical health [4]. Suboptimal nutrition is a major contributor to stunting in developing countries [5], although there are other causal and contextual factors [4].

Although there are well-established methods, collation and analysis of dietary data have remained challenging in low-income countries (LICs) for various reasons, including high costs, lack of centralized platforms for dietary data, little investment in research, low capacity and technical complexity [6]. As a result, dietary assessment is mainly dependent on approaches which require low cost and provide low quality. Dietary diversity assessment has remained the most commonly used method of data collection, analysis and interpretation approach in LICs. Dietary diversity scores (DDSs) of households, women and children [7–9] are important tools and the most commonly used indicators of assessing the adequacy of nutrient intake. In many studies, it has been also demonstrated that DDSs were useful indicators of micronutrient status [10–13] and a higher DDS is associated with a lower risk of stunting [14–16]. The indicators are relatively simple and suitable for use in large surveys [3]. However, data collected for the purpose of DDS analysis are qualitative, and in most cases, they are dichotomized (yes/no) [8] restricting further analyses. Thus, the analyses depend on a simple count of food groups and do not consider the correlations of the food groups and their impact on nutritional (disease) outcomes. In addition, because the main purpose of DDS analysis is on the number, rather than the type of foods consumed, this may ignore the antagonist, additive and synergistic effect of food groups.

Currently the focus of nutritional epidemiology is to investigate the patterns of multiple food and nutrient intakes without ignoring the interactions. Methodological development over the last two decades enables us to

explore the association between diet and disease outcomes through a systematic consideration of the correlation between the components of the overall diet, that is dietary patterns [17, 18]. A study by *Humphries et al.* reported that total food expenditures (using food groups for child DDSs) did not significantly predict HAZ in Ethiopia. In this study, household food group expenditure index, determined by factor analysis of disaggregated food expenditure, was found to be a significant predictor for HAZ [19]. This leads to a premise that a mere aggregate availability and accessibility of the included food groups are not the determining factors for HAZ, rather the specific types of food groups available and their consumption pattern. Another study in the same cohort strengthens this conclusion [20]. Therefore, identifying dietary patterns that consider the overall eating habits, rather than focusing on individual foods (simple counting of consumed foods), better reflect the complexity of dietary intakes and help to understand the combined effect of diet components [21]. In this study, we aimed to identify household, maternal and child dietary patterns and investigate their associations with childhood stunting in Ethiopia using the same dietary data collected for determining DDS. In addition, the study compares the findings with the estimates of associations between DDSs and stunting. To the best of our knowledge, this is the first study investigating the aforementioned objectives.

Methods

Study area and participants

A cross-sectional study was conducted in the South Nations, Nationalities and People (SNNP) and Tigray (northern Ethiopia) regions between June and September 2014. The two regions are geographically located at opposite ends of Ethiopia, in the south and north, with differences in agroecology, subsistence farming being the most common occupation in both regions. The SNNP is a larger geographic area and has a greater population size compared to the Tigray region. This study was part of a larger project of the Alive and Thrive’s (A&T) impact evaluation for community-based interventions. The major objectives of the evaluation included assessment of infant and young child feeding (IYCF) practices and stunting prevalence. The baseline and progress evaluation of the

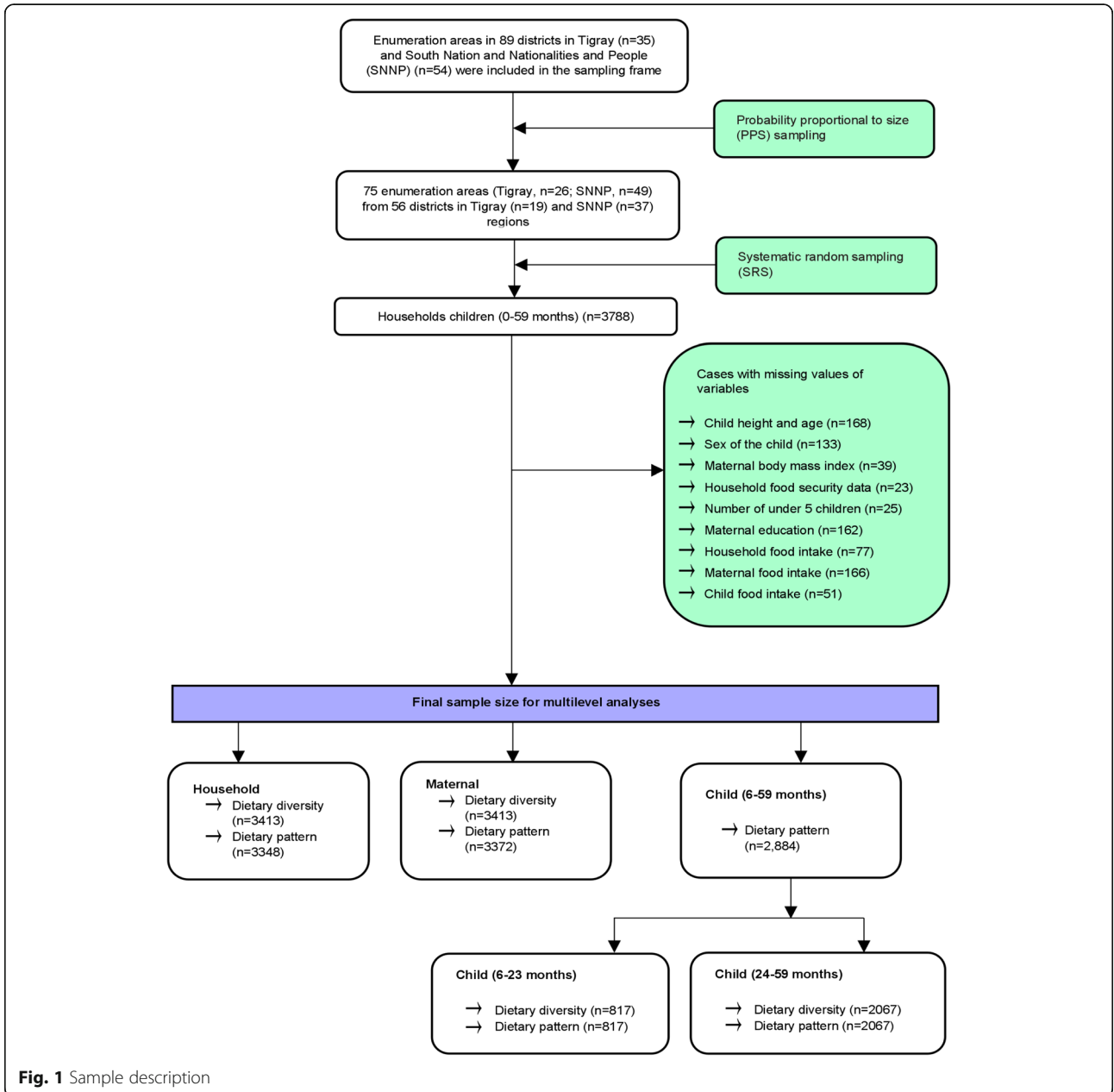


Fig. 1 Sample description

The maximum dietary diversity for women of reproductive age (MDD-W) assessment includes 10 food groups (grains, white roots and tubers, and plantains; pulses (beans, peas and lentils); nuts and seeds; dairy; meat, poultry and fish; eggs; dark green leafy vegetables; other vitamin A-rich fruits and vegetables; other vegetables; other fruits). Consumption of food by any of the household member from any of 12 food groups in the last 24 h was also assessed and the household DDS was determined. The food groups were cereals; roots and tubers; vegetables; fruits; meat, poultry, offal; eggs; fish and seafood; pulses/legumes/nuts; milk and milk products; oils/fats; sugar/honey and miscellaneous [23].

Other covariates

Data including socio-demographic (such as maternal age, maternal education, sex of the head of the household and paternal education), economic (household asset), environmental factors (such as water source and latrine type), health service utilization (such as place of delivery for index child), and household characteristics (such as the number of under-five children living in a household) were collected. Different socio-economic indicators were combined using principal component analysis to construct household wealth. The factor scores were divided into quantiles (poorest, poorer, middle, richer and richest) to indicate the relative socio-economic status of the

Table 2 Characteristics of study participants by age of children in Ethiopia, 2014

Characteristics	Overall	0–5 months	6–23 months	24–59 months	<i>p</i> -value
N	3788	601	896	2287	
Sex of children					
Male	1850 (48.8%)	284 (47.3%)	438 (48.9%)	1126 (49.2%)	0.680
Female	1805 (47.7%)	294 (48.9%)	433 (48.3%)	1076 (47.0%)	
Missing	133 (3.5%)	23 (3.8%)	25 (2.8%)	85 (3.7%)	
Sex of household head					
Male	3438 (90.8%)	560 (93.2%)	820 (91.5%)	2055 (89.9%)	0.098
Female	231 (6.1%)	26 (4.3%)	53 (5.9%)	151 (6.6%)	
Missing	119 (3.1%)	15 (2.5%)	23 (2.6%)	81 (3.5%)	
Number of under 5 children in the household					
One Child	2353 (62.1%)	259 (43.1%)	505 (56.4%)	1585 (69.3%)	<0.001
Two children	1313 (34.7%)	300 (49.9%)	372 (41.5%)	641 (28.0%)	
More than three children	97 (2.6%)	40 (6.7%)	18 (2.0%)	39 (1.7%)	
Missing	25 (0.7%)	2 (0.3%)	1 (0.1%)	22 (1.0%)	
Maternal age, median (IQR)	29.0 (25.0, 35.0)	27.0 (23.0, 32.0)	28.0 (23.0, 33.0)	30.0 (25.0, 35.0)	<0.001
Maternal education					
No education	2069 (54.6%)	274 (45.6%)	454 (50.7%)	1339 (58.5%)	<0.001
Primary	1364 (36.0%)	265 (44.1%)	351 (39.2%)	746 (32.6%)	
Secondary and above	193 (5.1%)	41 (6.8%)	50 (5.6%)	102 (4.5%)	
Missing	162 (4.3%)	21 (3.5%)	41 (4.6%)	100 (4.4%)	
Paternal education					
No education	1283 (33.9%)	177 (29.5%)	282 (31.5%)	823 (36.0%)	0.004
Primary	1644 (43.4%)	293 (48.8%)	397 (44.3%)	952 (41.6%)	
Secondary and above	417 (11.0%)	73 (12.1%)	106 (11.8%)	238 (10.4%)	
Missing	444 (11.7%)	58 (9.7%)	111 (12.4%)	274 (12.0%)	
Maternal body-mas index (kg/m ²), mean (SD)	20.2 (2.4)	20.9 (2.4)	20.0 (2.3)	20.1 (2.4)	<0.001
Maternal Height (meter), mean (SD)	1.6 (0.1)	1.6 (0.1)	1.6 (0.1)	1.6 (0.1)	0.550
Place of delivery					
Home	2371 (62.6%)	263 (43.8%)	483 (53.9%)	1623 (71.0%)	<0.001
Health facility	1346 (35.5%)	327 (54.4%)	398 (44.4%)	619 (27.1%)	
Other	71 (1.9%)	11 (1.8%)	15 (1.7%)	45 (2.0%)	
Water source					
Piped water	1694 (44.7%)	279 (46.4%)	399 (44.5%)	1014 (44.3%)	0.740
Other improved	1104 (29.1%)	168 (28.0%)	253 (28.2%)	683 (29.9%)	
Unimproved	990 (26.1%)	154 (25.6%)	244 (27.2%)	590 (25.8%)	
Latrine type					
Traditional pit latrine	3087 (81.5%)	493 (82.0%)	735 (82.0%)	1857 (81.2%)	0.015
Improved latrine	25 (0.7%)	10 (1.7%)	3 (0.3%)	12 (0.5%)	
No facility/bush/field	676 (17.8%)	98 (16.3%)	158 (17.6%)	418 (18.3%)	
Income quantile					
Poorest	603 (15.9%)	87 (14.5%)	151 (16.9%)	364 (15.9%)	0.470
Poorer	602 (15.9%)	96 (16.0%)	134 (15.0%)	372 (16.3%)	
Middle	602 (15.9%)	84 (14.0%)	142 (15.8%)	376 (16.4%)	
Richer	602 (15.9%)	109 (18.1%)	136 (15.2%)	356 (15.6%)	

Table 2 Characteristics of study participants by age of children in Ethiopia, 2014 (Continued)

Characteristics	Overall	0–5 months	6–23 months	24–59 months	<i>p</i> -value
Richest	602 (15.9%)	106 (17.6%)	144 (16.1%)	351 (15.3%)	
Missing	777 (20.5%)	119 (19.8%)	189 (21.1%)	468 (20.5%)	
Stunted					
No	2161 (57.0%)	510 (84.9%)	539 (60.2%)	1109 (48.5%)	<0.001
Yes	1459 (38.5%)	45 (7.5%)	330 (36.8%)	1084 (47.4%)	
Missing	168 (4.4%)	46 (7.7%)	27 (3.0%)	94 (4.1%)	
Height-for-age z-score, mean (SD)	−1.6 (1.8)	0.0 (1.6)	−1.5 (1.6)	−2.0 (1.6)	<0.001
Underweight					
No	2986 (78.8%)	563 (93.7%)	704 (78.6%)	1715 (75.0%)	<0.001
Yes	802 (21.2%)	38 (6.3%)	192 (21.4%)	572 (25.0%)	
Weight-for-age z-score, mean (SD)	−1.0 (2.3)	0.2 (3.7)	−1.0 (2.3)	−1.3 (1.6)	<0.001

participants. The highest level of education achieved was categorized into no education, primary, and secondary and above. Water source was classified as piped, other improved and unimproved. The type of functional latrine used in the household was categorized into traditional pit latrine, improved latrine and no facility/bush/field.

Anthropometry and dietary data analyses

Height-for-age z score (HAZ), an indicator of linear growth, was compared with reference data from the World Health Organization (WHO) Multicentre Growth Reference Study Group, 2006 [24] using the ENA (Emergency Nutrition Assessment) SMART (Standardized Monitoring and Assessment of Relief and Transitions) 2011 software. Children whose HAZ is <−2 SD from the median of the WHO reference population were considered stunted (short for their age). In our analysis, both HAZ (continuous) and stunting (categorical; stunted = 1/ not stunted = 0) were used as outcome variables. Maternal body mass index (BMI) was calculated based on the measured weight (kg) and height (meters) ($\text{weight [kg]} / \text{height[meters]}^2$).

For children aged 6 to 23, and 24 to 59 months, the minimum acceptable DDS was defined as consuming food from four or more of the standardized set of seven (6 to 23 months) or nine (24 to 59 months) food groups on the preceding day of the survey [7, 25]. For women (mothers/ caregivers of the index children), a threshold of at least 5 food groups of the 10 was considered acceptable [8]. The scores of household DDS were continuous, ranging from 0 to 12, based on whether any of the members of the household consumed any of the 12 food groups. Minimum household DDS was not determined because a dichotomous indicator has not been developed [8, 23]. However, we assumed that consumption of food groups above the median number as adequate. Nine Household Food Insecurity Access Scale (HFIAS) generic questions were used with a dichotomized response (0 = no/1 = yes)

to assess food insecurity [9]. Each of the questions were asked with a recall period of four weeks (30 days). If a respondent answers “yes” to any of the above nine questions, frequency-of-occurrence questions were asked to determine whether the condition happened rarely (1 = once or twice), sometimes (2 = three to ten times) or often (3 = more than ten times). The insecurity status was categorized into four groups (secured, mild, moderate, and severe) using the Food and Nutrition Technical Assistance (FANTA) algorithm [9].

Dietary patterns were identified by *polychoric (tetrachoric)* analysis—a family of factor analysis which uses a *tetrachoric* correlation matrix to construct latent variables from dichotomized (binary) observed data [26]. For each of the dietary patterns, factor scores were assigned for all study participants. Factor scores show the relative position of the study participants in each of the identified patterns, thus reflecting adherence to the patterns. Pattern-specific factor scores are calculated as the sum of the products of the factor loading coefficients and standardized daily consumption of food and nutrient groups related to the pattern. The factor scores were orthogonally (varimax) rotated to create less correlation among the patterns and to facilitate their interpretability. Participants were then assigned into tertiles (first [lowest adherence]; second; and third [highest adherence] tertiles) based on their factor scores. Eigenvalues (>1), scree plots, and interpretability of the factors were used to determine the number of dietary and nutrient patterns. Factor loadings (the correlation between each pattern and the food and nutrient groups) were calculated. Percentages of variances (the variations that were explained by the identified dietary and nutrient patterns) were also computed.

Statistical analyses

The chi-square (categorical variables), ANOVA (normally distributed continuous variables) and Kruskal-Wallis

Household dietary pattern	Pattern 1	Pattern 2	Pattern 3	Number of households(%), n=3788
	("Fish, meat and miscellaneous")	("Egg, meat, poultry and legume")	("Dairy, vegetable and fruit")	
Fish and seafood	1.40	0.02	0.01	10 (0.3%)
Fruits	-0.49	0.39	0.32	782 (20.7%)
Miscellaneous (Spices and commandments)	0.48	0.26	0.32	3619 (95.8%)
Eggs	0.05	0.68	0.09	576 (15.2%)
Meat, poultry, offal	0.13	0.67	0.01	349 (9.2%)
Legumes	0.02	0.61	-0.14	2187 (58.0%)
Oils and Fats	0.06	0.53	0.30	683 (18.1%)
Sugar/honey	0.06	0.51	0.14	1190 (31.5%)
Cereals	-0.06	0.48	-0.09	3411 (90.3%)
White tubers and roots	0.01	-0.31	0.51	1199 (31.7%)
Vegetables	0.08	0.18	0.50	2826 (74.8%)
Milk and milk products	-0.02	0.13	0.43	1237 (32.7%)

Maternal dietary pattern	Pattern 1	Pattern 2	Pattern 3	Number of women (%), n=3788
	("Plant based")	("Egg, meat, poultry and legume")	("Dairy, vegetable and fruit")	
Eggs	-0.09	0.84	0.20	473 (12.5%)
Meat, poultry and fish	-0.06	0.82	0.02	347 (9.2%)
Other fruits	-0.07	0.23	0.63	604 (16.0%)
Other vitamin A-rich fruits and vegetables	0.08	0.29	0.49	803 (21.3%)
Dairy	0.21	0.17	0.45	1058 (28.0%)
Other vegetables	0.01	0.04	0.34	2425 (64.1%)
Grains, white roots and tubers, and plantains	0.64	-0.09	0.19	1191 (31.5%)
Nuts and seeds	-0.50	0.23	0.34	3388 (89.6%)
Pulses (beans, peas and lentils)	-0.47	0.37	0.2	2179 (57.8%)
Dark green leafy vegetables	0.33	0.12	0.31	951 (25.2%)

Child dietary pattern	Pattern 1	Pattern 2	Pattern 3	Number of children (6-23 months, n=896) (%)	Number of children (24-59 months), n=2287 (%)
	("Grain based")	("Egg, meat, poultry and legume")	("Dairy, vegetable and fruit")		
Grains, roots and tubers	2.99	0.02	0.02	802 (89.5%)	2248 (98.3%)
Flesh foods (meat, fish, poultry and liver/organ meats)	0.07	0.69	-0.05	34 (3.8%)	176 (7.7%)
Eggs	0.11	0.68	0.01	170 (19.0%)	337 (14.7%)
legumes and nuts	0.18	0.58	0.04	318 (35.5%)	1129 (49.4%)
Oils and fats	0.18	0.51	0.29	1512 (66.1%)	1512 (66.1%)
Other fruits	0.12	-0.02	0.87	231 (25.8%)	921 (40.3%)
Other vegetables	0.29	0.32	0.38	26 (2.9%)	63 (2.8%)
Dairy products (milk, yogurt, cheese)	0.03	0.16	0.31	268 (29.9%)	688 (30.1%)
Vitamin-A rich fruits and vegetables	0.08	0.29	0.31	71 (7.9%)	251 (11.0%)

Fig. 2 Household, maternal and child dietary patterns and corresponding factor loadings and proportion of food groups. The colour gradation reflects how large and in which direction was the correlation between the food groups and the dietary patterns. Deep green colour refers a relatively higher correlation (a higher intake) of the food groups with the corresponding patterns. Deep red refers relatively a lower correlation (a lower intake) of the food groups with the corresponding dietary patterns

(continuous but not normally distributed) tests were used to compare differences of proportions, means and medians, respectively, between groups. Principal component analysis (PCA) was used to compute economic status (in quintiles) of households.

To assess the associations of household, maternal and child dietary diversity and patterns with HAZ and childhood stunting, β coefficients and the prevalence ratio (PR) with their corresponding 95% confidence intervals (CIs) were determined using multilevel linear and Poisson regression models, respectively [27]. Since the data were collected using a multi-stage cluster sampling

technique, stunting could potentially be correlated in clusters (EAs). We, therefore, used a two-level model with individual factors as level 1 and geographical areas (EAs) at level 2 (random effects). A stepwise backward elimination of covariates in the models was conducted and potential factors were retained at p -value < 0.20. This method was used for both individual and community level factors. Dietary diversity and pattern scores were treated as categorical (model 1) and continuous (model 2) variables. Estimates of associations were adjusted for socio-demographic factors (child age, sex, maternal age and education, number of under-five children in a household),

maternal anthropometry (height and BMI), infant and young child feeding practices (exclusive breastfeeding) and household food security at level 1. At level 2, water source was included. Model fit was assessed using Akaike's (AIC) and Bayesian (BIC) information criteria. We tested interactions between DDSs, dietary patterns, other covariates with HAZ and stunting using multiplicative terms. We conducted sensitivity analysis: 1) by labelling missing values of covariates as "missing" and including in the models; 2) by including and excluding covariates (such as household wealth, paternal education, place of delivery and latrine type). Further, the association between joint classifications of tertiles of dietary patterns and HAZ was explored. Statistical analyses were performed using Stata version 14.1 (Stata Corporation, College Station, TX, USA). A 2-sided *t*-test value of $P < 0.05$ was considered statistically significant.

Results

Participant characteristics

Almost half of the children (1805, 47.7%) were female. Only 3.1% of the households had a female head. In 2353 (90.8%) of households, there was only one child aged

under five. The median maternal age was 29.0 years (IQR = 25.0, 35.0). More than half (2069; 54.6%) of the mothers were illiterate. The mean maternal BMI was 20.2 kg/m² (SD = 2.4). Almost two-thirds (2371; 62.6%) of mothers delivered the index child at home. The prevalence of stunting among children aged 0–59 months was 38.5% with a mean (SD) HAZ of 1.6 (1.8). A fifth (777; 20.5%) of the study participants had missing values of household income (Table 2).

Dietary patterns

Figure 2 depicts household, maternal and child dietary patterns and corresponding factor loadings. For each, we identified three dietary patterns. Pattern 2 ("egg, meat, poultry and legume") and pattern 3 ("dairy, vegetable and fruit pattern") were similar for all groups. The "egg, meat, poultry and legume pattern" was characterized by a high intake of eggs, meats, legumes, cereals, oils, fats and sweets. The "dairy, vegetable and fruit based" pattern was characterized by a high intake of fruits tubers, roots, vegetables and milk and milk products. A "plant-based pattern" and a "dairy, vegetable and fruit pattern"

Table 3 Household, maternal and child dietary diversity scores, food security and breastfeeding by stunting status in Ethiopia, 2014

Characteristics	Total	Normal	Stunted	<i>p</i> -value
N	3788	2161	1459	
Household dietary diversity score (HDDS), median (IQR)	5.0 (4.0, 7.0)	5.0 (4.0, 7.0)	5.0 (4.0, 6.0)	0.004
HDDS category				
<=5 HDDS	2031 (53.6%)	1131 (52.3%)	813 (55.7%)	0.045
> 5 HDDS	1757 (46.4%)	1030 (47.7%)	646 (44.3%)	
Women (DDS-W), median (IQR)	3.0 (2.0, 4.0)	3.0 (2.0, 5.0)	3.0 (2.0, 4.0)	<0.001
DDS-W category				
< 5 DDSW	2859 (75.5%)	1595 (73.8%)	1137 (77.9%)	0.005
> =5 DDSW	929 (24.5%)	566 (26.2%)	322 (22.1%)	
DDS 6–23 months, median (IQR)	2.0 (1.0, 3.0)	2.0 (0.0, 3.0)	2.0 (2.0, 3.0)	<0.001
DDS 6–23 months category				
0–3 food groups	779 (86.9%)	474 (87.9%)	282 (85.5%)	0.552
4–7 food groups	117 (13.1%)	65 (12.1%)	48 (14.6%)	
Child DDS (24–59 months), median (IQR)	3.0 (1.0, 4.0)	2.0 (0.0, 4.0)	3.0 (2.0, 4.0)	<0.001
Child DDS (24–59 months) category				
0–3 food groups	1411 (61.7%)	661 (59.6%)	684 (63.1%)	0.054
4–9 food groups	876 (38.3%)	448 (40.4%)	400 (36.9%)	
Household food security				
Food Secure	1744 (46.0%)	1026 (47.5%)	621 (42.6%)	0.005
Mildly Food Insecure Access	344 (9.1%)	201 (9.3%)	133 (9.1%)	
Moderately Food Insecure Access	1103 (29.1%)	626 (29.0%)	438 (30.0%)	
Severely Food Insecure Access	574 (15.2%)	298 (13.8%)	256 (17.5%)	
Missing	23 (0.6%)	10 (0.5%)	11 (0.8%)	
Exclusive breast feeding	2719 (71.8%)	1592 (73.7%)	998 (68.4%)	0.001

HDDS household dietary diversity score, DDSW women dietary diversity score

Table 4 Stunting prevalence among children across tertiles of household, maternal and child nutrient pattern scores in Ethiopia, 2014

	Tertiles of dietary patterns			
	T1	T2	T3	
Household dietary patterns				
	Pattern 1 ("fish, meat and miscellaneous")			
n	1298	1259	1154	
Normal	715 (55.1%)	734 (58.3%)	668 (57.9%)	0.097
Stunted	533 (41.1%)	472 (37.5%)	424 (36.7%)	
Missing	50 (3.9%)	53 (4.2%)	62 (5.4%)	
	Pattern 2 ("egg, meat, poultry and legume")			
n	1327	1291	1093	
Normal	760 (57.3%)	762 (59.0%)	595 (54.4%)	0.044
Stunted	516 (38.9%)	464 (35.9%)	449 (41.1%)	
Missing	51 (3.8%)	65 (5.0%)	49 (4.5%)	
	Pattern 3 ("dairy, vegetable and fruit")			
n	1441	1052	1218	
Normal	776 (53.9%)	584 (55.5%)	757 (62.2%)	<0.001
Stunted	599 (41.6%)	425 (40.4%)	405 (33.3%)	
Missing	66 (4.6%)	43 (4.1%)	56 (4.6%)	
Maternal dietary patterns				
	Pattern 1 ("plant-based")			
n	1270	1232	1235	
Normal	683 (53.8%)	701 (56.9%)	749 (60.6%)	0.002
Stunted	529 (41.7%)	481 (39.0%)	431 (34.9%)	
Missing	58 (4.6%)	50 (4.1%)	55 (4.5%)	
	Pattern 2 ("egg, meat, poultry and legume")			
n	1264	1291	1182	
Normal	755 (59.7%)	714 (55.3%)	664 (56.2%)	0.020
Stunted	447 (35.4%)	528 (40.9%)	466 (39.4%)	
Missing	62 (4.9%)	49 (3.8%)	52 (4.4%)	
	Pattern 3 ("dairy, vegetable and fruit")			
n	1262	1233	1242	
Normal	679 (53.8%)	708 (57.4%)	746 (60.1%)	0.002
Stunted	530 (42.0%)	476 (38.6%)	435 (35.0%)	
Missing	53 (4.2%)	49 (4.0%)	61 (4.9%)	
Child dietary patterns (6–59 months of age)				
	Pattern 1 ("grain-based")			
n	1274	950	963	
Normal	649 (50.9%)	509 (53.6%)	493 (51.2%)	0.430
Stunted	584 (45.8%)	409 (43.1%)	421 (43.7%)	
Missing	41 (3.2%)	32 (3.4%)	49 (5.1%)	
	Pattern 2 ("egg, meat, poultry and legume")			
n	1255	999	933	
Normal	640 (51.0%)	538 (53.9%)	473 (50.7%)	0.249
Stunted	561 (44.7%)	422 (42.2%)	431 (46.2%)	

Table 4 Stunting prevalence among children across tertiles of household, maternal and child nutrient pattern scores in Ethiopia, 2014 (Continued)

	Tertiles of dietary patterns			
	T1	T2	T3	
Missing	54 (4.3%)	39 (3.9%)	29 (3.1%)	
	Pattern 3 ("dairy, vegetable and fruit")			
n	1136	1029	1022	
Normal	577 (50.8%)	499 (48.5%)	575 (56.3%)	0.002
Stunted	505 (44.5%)	494 (48.0%)	415 (40.6%)	
Missing	54 (4.8%)	36 (3.5%)	32 (3.1%)	

were identified for mothers. While these two patterns appeared to be similar, the "plant-based" pattern was however characterized by a high intake of grains, tubers and leafy vegetables. Individual food items used for food groupings and the proportion of food groups consumed by households, mothers and children are depicted in Additional file 1: Tables S1, S2 and S3.

DDSs, dietary patterns, household food security and stunting

Table 3 and Additional file 1: Table S4 show the DDSs of households, mothers and children by whether or not stunting was present. Three-quarters (2859; 75.5%) of the mothers had a DDS less than five. Only 13.1% of children aged 6–23 months had a DDS greater than or equal to four. The proportion of children aged 24–59 months who had a DDS less than or equal to three was 61.7% (1411). The proportion of food secure households was 46.0% (1744). A marginally significant statistical difference in the proportion of stunting was found between those children with a household DDS ≤ 5 and >5 ($p = 0.045$). The prevalence of stunting was significantly different by maternal DDS ($p = 0.005$). No significant difference in the prevalence of stunting was found between the DDSs of children aged 6–23 and 24–59 months.

Except for the "fish, meat and miscellaneous" dietary pattern ($p = 0.097$), there were significant differences in the prevalence of stunting across the tertiles of the other household dietary patterns. The prevalence of stunting (41.6%) in the first tertile of household "dairy, vegetable and fruit" dietary pattern was higher compared to the third tertile (33.3%) ($p < 0.001$). Children in the third tertile of maternal and child "dairy, vegetable and fruit" dietary pattern were less likely to be stunted compared to the first and second tertiles ($p = 0.002$) (Table 4).

Associations of DDSs and dietary patterns with HAZ and stunting

After adjusting for potential individual and community level factors, no significant associations between household, maternal and child DDSs with stunting (HAZ) was

found. Children in the third tertile of the household "dairy, vegetable and fruit" pattern had a 0.16 ($\beta = 0.16$; 95% CI: 0.02, 0.30) increase in HAZ compared to those in the first tertile. Similarly, the prevalence of stunting among children in the third tertile of the pattern was lower (PR = 0.83; 95% CI: 0.72–0.95) compared to those in the first tertile. Those children in the second ($\beta = -0.17$; 95% CI: -0.31, -0.04) and third ($\beta = -0.16$; 95% CI: -0.30, -0.02) tertiles of maternal "egg, meat, poultry and legume" pattern had a significantly lower HAZ compared to those in the first tertile (Table 5 and Additional file 1: Table S5).

A 0.22 ($\beta = 0.22$; 95% CI: 0.06, 0.39) and 0.19 ($\beta = 0.19$; 95% CI: 0.04, 0.33) increase in HAZ was found for those in the third tertiles of the "dairy, vegetable and fruit" patterns for children 24–59 months and 6–59 months, respectively. The AIC and BIC were significantly lower for the household and maternal dietary patterns compared to the corresponding DDSs (Table 5). In the joint classification, children in the first tertile of maternal "egg, meat, poultry and legume" and the third tertile of child "dairy, vegetable and fruit" patterns had a 0.31 ($\beta = 0.31$; 95% CI: 0.05, 0.57) increase in HAZ compared to the respective third and first tertiles of the patterns. A 0.29 ($\beta = 0.29$; 95% CI: 0.07, 0.50) increase in HAZ was found for those children in the third tertiles of both the maternal and child "dairy, vegetable and fruit" dietary patterns, compared to the first tertiles of the patterns (Fig. 3).

The sensitivity analysis, which was undertaken by labelling missing values as "missing" and including them in the analysis, as well as incorporating additional covariates, did not change the findings materially. There were no significant interactions between the DDSs and dietary patterns, and the other covariates and HAZ (stunting). The interactions among dietary patterns for each of the levels (household, maternal and children) were also not significant (data not shown).

Discussion

For households, mothers and children, we computed the DDSs and identified three dietary patterns for each. At all levels, a dietary pattern characterized by high intake

Table 5 Adjusted β coefficients (95% confidence interval) for the associations of household, maternal and child dietary diversity scores and tertiles of dietary pattern scores with childhood height-for-age z score in Ethiopia, 2014

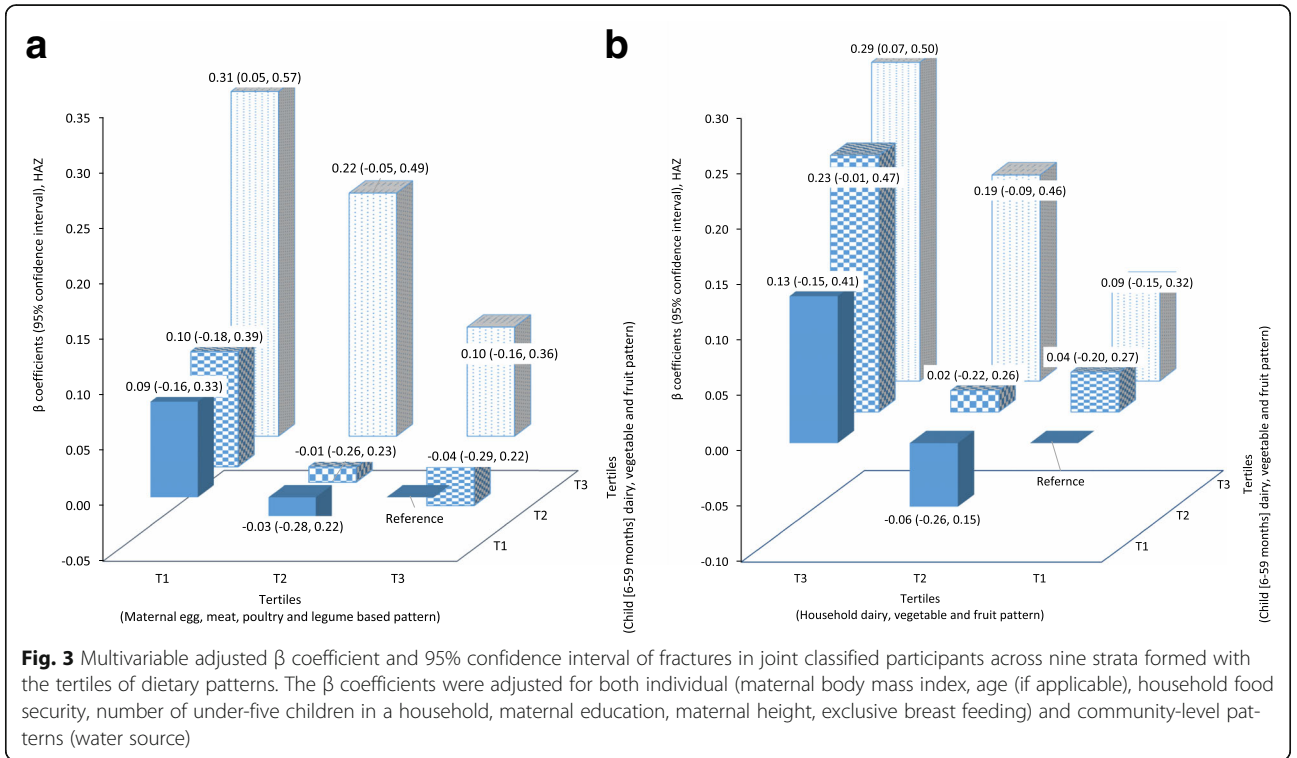
		Adjusted β coefficient (95% confidence interval) for height-for-age z score			
Household					
Dietary diversity score	<=4	> = 5		P value	AIC (BIC)
	Reference	0.03 (-0.80, 0.14)		0.624	12,722 (12850)
Tertiles					
Dietary patterns	T1	T2	T3	P for trend	AIC (BIC)
Pattern 1 ("fish, meat and miscellaneous")	Reference	-0.01 (-0.15, 0.12)	-0.07 (-0.21, 0.07)	0.312	12,466 (12600)
Pattern 2 ("egg, meat, poultry and legume")	Reference	-0.001 (-0.14, 0.13)	-0.03 (-0.19, 0.12)	0.681	12,467 (12601)
Pattern 3 ("dairy, vegetable and fruit")	Reference	0.07 (-0.07, 0.20)	0.16 (0.02, 0.30)*	0.026	12,462 (12597)
Maternal					
Dietary diversity score	<=4	> = 5		P value	AIC (BIC)
	Reference	0.03 (-0.10, 0.16)		0.672	12,722 (12851)
Tertiles					
Dietary patterns	T1	T2	T3	P for trend	AIC (BIC)
Pattern 1 ("plant-based")	Reference	0.07 (-0.07, 0.20)	0.09 (-0.06, 0.23)	0.229	12,564 (12699)
Pattern 2 ("egg, meat, poultry and legume")	Reference	-0.17 (-0.31, -0.04)*	-0.16 (-0.30, -0.02)*	0.025	12,558 (12693)
Pattern 3 ("dairy, vegetable and fruit")	Reference	0.06 (-0.07, 0.20)	0.10 (-0.05, 0.25)	0.229	12,564 (12698)
Children aged 6–23 months					
Dietary diversity score	<=3	> = 4		P value	AIC (BIC)
	Reference	-0.26 (-0.61, 0.78)		0.130	3107 (3196)
Tertiles					
Dietary patterns	T1	T2	T3	P for trend	AIC (BIC)
Pattern 1 ("grain-based")	Reference	0.07 (-0.18, 0.32)	-0.20 (-0.50, 0.10)	0.290	3124 (3219)
Pattern 2 ("egg, meat, poultry and legume")	Reference	0.03 (-0.30, 0.32)	-0.02 (-0.27, 0.24)	0.892	3127 (3222)
Pattern 3 ("dairy, vegetable and fruit")	Reference	-0.001 (-0.25, 0.25)	0.02 (-0.27, 0.32)	0.890	3128 (3222)
Children aged 24–59 months					
Dietary diversity score	<=3	> = 4		P value	AIC (BIC)
	Reference	0.12 (-0.02, 0.27)		0.095	7641 (7748)
Tertiles					
Dietary patterns	T1	T2	T3	P for trend	AIC (BIC)
Pattern 1 ("grain-based")	Reference	0.08 (-0.09, 0.25)	0.04 (-0.14, 0.21)	0.685	7663 (7776)
Pattern 2 ("egg, meat, poultry and legume")	Reference	0.13 (-0.3, 0.30)	-0.05 (-0.23, 0.13)	0.668	7659 (7772)
Pattern 3 ("dairy, vegetable and fruit")	Reference	-0.03 (-0.20, 0.14)	0.22 (0.06, 0.39)**	0.007	7654 (7766)
Children aged 6–59 months					
Tertiles					
Dietary patterns	T1	T2	T3	P for trend	AIC (BIC)
Pattern 1 ("grain-based")	Reference	0.10 (-0.04, 0.25)	-0.04 (-0.19, 0.11)	0.643	10,746 (10871)
Pattern 2 ("egg, meat, poultry and legume")	Reference	-0.07 (-0.7, 0.21)	-0.02 (-0.17, 0.13)	0.841	10,748 (10874)
Pattern 3 ("dairy, vegetable and fruit")	Reference	-0.02 (-0.16, 0.13)	0.19 (0.04, 0.33)*	0.014	10,741 (10867)

The β coefficients were adjusted for both individual (maternal body mass index, age (if applicable), household food security, number of under-five children in a household, maternal education, maternal height, exclusive breast feeding) and community-level patterns (water source)

P for trend was determined by including the tertiles of dietary patterns as continuous variables

AIC Akaike's information criterion, BIC Bayesian information criterion

* $p < 0.05$; ** $p < 0.01$



of dairy, vegetables and fruits was positively associated with HAZ and inversely related to stunting. In addition, a maternal “plant-based” pattern (characterized by high intake of grains, white tubers, roots, plantains and dark leafy vegetables) was inversely and significantly associated with childhood stunting. Statistically non-significant associations were found between household, maternal and child DDSs and stunting.

Dietary patterns show a better picture of eating habits compared to DDSs by reflecting mainly the behavioural aspect of food consumption that has a synergistic effect on health. Evidence shows that dietary patterns identified by factor analysis are associated with several non-communicable diseases [28, 29], highlighting the plausibility and validity of the approach. In low- and middle-income countries, a study also indicated that the application of factor analysis, using disaggregated food expenditure data to explore food consumption patterns, is an important approach to identify patterns and food groups that predict children’s nutritional status [19]. The dietary patterns are defined based on the factor loadings of individual food items which contribute at different levels. Unlike DDSs, factor analysis is an a posteriori statistical analysis method that creates unrelated food patterns that could potentially be associated with an outcome [17]. In addition, using this approach, it is possible to assess the relative intake level of the individual food groups within a dietary pattern. Dietary patterns defined the overall characteristics of the dietary habits of the study groups. In this study, we found that the “dairy,

vegetable and fruit” pattern was a common feature of household, maternal and childhood dietary habits.

The results indicate that a pattern characterised by a high intake of dairy, vegetables and fruits was positively associated with HAZ (inversely related to stunting). However, the proportion of households (32.7%), mothers (28.0%) and children (30.0%) consuming dairy products was low. In a recent study in Malawi, it was reported that frequent milk intake during pregnancy was positively associated with birth size [30]. In Ethiopia, a higher intake of cow’s milk, in addition to cereals and/or legumes, was associated with a higher length-for-age z-scores among children aged 5–11 months [31]. In our study area, cow’s milk is the most commonly consumed type of dairy product. It is believed that the milk contains important nutrients, including protein, calcium and vitamin A, which are important for development and bone growth [32]. A systematic review of dairy consumption and physical growth has shown that a daily intake of 245 ml of milk is associated with 0.4 cm increase in height per annum compared to non-consumers [33]. It was also reported that low consumption of vegetables and fruits was associated with stunting and poor linear growth in children aged 6–23 months [34]. This implies that, in addition to available interventions to increase dietary diversity, targeting to increase accessibility and consumption of dairy, vegetables and fruits specifically, could have an important contribution to the reduction of stunting prevalence.

Unlike other studies [14, 15, 35], we found a non-significant association of household, maternal and child DDSs with stunting. This difference could be explained by the differences in sample size, sampling and analysis methods. Particularly, with regard to the analysis methods, we used a multilevel Poisson regression model to determine the level of associations. This method allows for controlling for the geographical clustering effect of the samples, and accounting for potential correlations, under the premise that variations in childhood stunting could be due to both individual and community level factors [36]. Most studies that found a positive association between DDS and stunting did not consider this clustering effect [16, 37]. The association may also be confounded by income [38, 39]. However, in this study, even when we adjusted for income, the association still remained statistically non-significant.

A study by Daniels et al. suggested that the addition of portion size as part of data collection could improve the correlation of DDSs with nutrient adequacy [40]. Another study among Zambian infants suggested that although dietary diversity had a positive effect on linear growth, micronutrient adequacy among those who consumed fortified foods may be more accurately assessed using other food indicators [41]. In Eastern Kenya, a study reported that child DDS was not associated with childhood stunting [42]. In Ethiopia, while household food group expenditure index (identified using factor analysis) significantly predicted HAZ ($\beta = 0.067$; $p = 0.03$), dietary diversity was only marginally associated with HAZ ($\beta = 0.037$; $p = 0.05$) [19]. DDSs are important indicators of dietary quality in terms of micronutrient density and adequacy. However, DDSs only measure one dimension of dietary quality. Macronutrients (for instance, protein) also have an important role in growth and development in children [8]. Although DDS is an important approach to measure dietary quality, we recommend that the use of a posteriori dietary data analysis methods (such as factor analysis) as an alternative or complementary method, can give a further insight into the eating behaviours of a population group. These approaches are also important to understand the relative contribution of foods in a pattern that have a potential link with disease outcomes or nutritional status, eventually leading to identifying specific food items, which are most important in determining an outcome of interest (a disease or nutritional status).

Measures taken to ensure the quality of the data are a major strength of the study. Before, during and after the data collection, all possible quality control measures, including intensive training of data collectors, use of standard procedures and tools, intensive and supportive supervision and standardization of anthropometric measurements to minimize bias and associated errors were implemented. The use of qualitative dietary data without portion size and limited food items for the identification

of dietary patterns in the factor analysis could be a limitation. Therefore, further validation studies are needed. In addition, due to the cross-sectional design, we cannot claim a cause-effect relationship between dietary patterns and stunting.

Conclusions

Identification of dietary patterns using a posteriori dietary analysis methods can be an alternative and feasible method of diet quality assessment in LICs as an alternative approach to DDSs. We found that, while DDSs are not significantly associated with HAZ (stunting), a dietary pattern characterized by a high intake of dairy, vegetables and fruits by households, mothers and children is positively associated with HAZ and inversely associated with stunting. These findings could be of importance in developing food-based interventions targeting households, mothers and children. In addition, the study suggests an alternative approach of analysing dietary data to determine dietary quality using an a posteriori method with the same data collected for DDSs. More research is warranted to confirm the findings.

Abbreviations

AIC: Akaike's information criterion; BIC: Bayesian information criterion; BMI: Body mass index; CI: Confidence interval; DDS: Dietary diversity score; EA: Enumeration area; FANTA: Food and Nutrition Technical Assistance; HAZ: Height-for-age z score; LIC: Low income countries; PPS: Probability proportional to size; PR: Prevalence ratio; SNNP: South Nation and Nationalities and People; UNICEF: United Nations International Children's Emergency Fund; WHO: World Health Organization

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Authors' contribution

YAM and AW conceived the research idea. YAM analysed the data, interpreted the results and wrote the manuscript. AW gave critical comments at each stage of manuscript development. All authors critically reviewed the manuscript and approved for submission.

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Competing interests

The authors declare that they have no competing interests.

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Dietary selenium intake based on the Chinese Food Pagoda: the influence of dietary patterns on selenium intake

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Abstract

Background: Selenium (Se) is essential for humans, with many critical roles in physiological and pathophysiological processes. Fish, eggs and meats are usually the rich food sources of Se. To improve the nutritional status of population, a new version of balanced dietary pattern in the form of the Chinese Food Pagoda (2016) was proclaimed. This study aimed to evaluate the contribution of this balanced dietary pattern to daily Se intake, and to assess Se intake status of Chinese residents under this Food Pagoda scenario.

Methods: Based on the food consumption recommended in the Food Pagoda, this study collected the data of Se contents in various food composites and estimated dietary Se intakes (El_{TDS}) in 12 provinces from the 4th China Total Diet Study. The estimated Se intakes based on the Chinese Food Pagoda (El_{CHFP}) in 12 provinces were calculated. El_{TDS} and El_{CHFP} in various food groups among different regions were compared.

Results: The average El_{CHFP} in all regions, within the range of 66.23–145.20 $\mu\text{g}/\text{day}$, was greater than the China recommended nutrient intake (RNI) (60 $\mu\text{g}/\text{day}$). None of the highest El_{CHFP} went beyond the tolerable upper intake level of Se (400 $\mu\text{g}/\text{day}$). Animal source foods should be the primary source of daily Se intake according to the El_{CHFP} . The average El_{TDS} in China (88 $\mu\text{g}/\text{day}$) was in line with its range of El_{CHFP} (81.01–124.25 $\mu\text{g}/\text{day}$), but that in half of the regions failed to achieve their lowest El_{CHFP} . Significant differences between El_{TDS} and El_{CHFP} were observed in cereal food, aquatic and dairy products ($P < 0.05$), among which Se intake from aquatic and dairy products presented seriously insufficient in almost all regions.

Conclusions: The ideal dietary pattern recommended in the Food Pagoda can meet the daily requirements of Chinese population for Se intake to maintain optimal health. From the perspective of the balanced diet and Se-rich sources, the consumption of aquatic products should be increased appropriately to improve the general Se intake level of Chinese population.

Keywords: Selenium, Dietary intake, Chinese Food Pagoda, China Total Diet Study

Background

Selenium (Se) is an essential micronutrient for human health, with critical roles in redox homeostasis, antioxidant defense and immune system [1, 2]. Insufficient or excessive Se intakes are linked to many acute and chronic diseases [3–8]. In particular, problems related to Se deficiency are an emerging issue for human health

worldwide [9]. It is estimated that 15% of the global population suffers Se deficiency of different degrees [10]. China as one of the 40 Se-deficient countries has over 105 million people facing adverse health impacts due to Se deficiency [11, 12]. Owing to large variations in food Se, the dietary Se intake varies considerably among regions, normally being consistent with Se distribution in the environment. In China, low Se intakes are primarily found in the low-Se geographic belt from northeast to southwest, with a mean of 27.6 $\mu\text{g}/\text{day}$; while high Se intakes are observed in Se-rich areas, with a mean of 85.5 $\mu\text{g}/\text{day}$; in some selenosis areas, the Se intake can even reach up to 1253.7 $\mu\text{g}/\text{day}$ on average [12]. Considering its narrow range between the

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necessary and the toxic dose, an optimal daily Se intake is required to maintain public health.

A reasonable diet is the crucial determinant for daily Se intake [13]. It is well known that cereals, fish, eggs and meats are the major dietary sources of Se [12, 14, 15]. In response to stronger demands for healthy growth of people, the Chinese government proclaimed a new version of the Dietary Guidelines for Chinese Residents in the form of the Food Pagoda (Fig. 1), based on principles of nutritional science and the current national situation [16]. Five levels of the recommended consumption corresponding to five food groups are involved in the Food Pagoda, covering the essential foods we should consume in daily life [17]. This Pagoda recommends a relatively ideal dietary pattern to improve the general nutrition of Chinese residents. However, whether it can meet the daily requirements of Se intake for general population and achieve the optimal daily Se intake has yet to be ascertained.

In the past decades of China, Se deficiency diseases, for e.g. Kashin-Beck disease and Keshan disease, have been prevalent in low-Se areas, with particularly high morbidity in underdeveloped regions. Apart from low Se contents in local foods, unreasonable food consumption patterns were also considered as one of the main reasons for deficient Se intake [18]. A study on dietary Se intake in 1990s found that urban residents consumed more Se-rich foods such as meats, seafood, eggs and dairy products than rural residents, resulting in contrasting Se intakes between the two populations [18]. With the rapid growth of China's economy, food supply and diversity increased dramatically [19]. Since the balanced diet conforming to the Chinese Food Pagoda is deemed as an ideal dietary pattern to promote nutrition, it is necessary to assess the Se intake level

under the scenario of this Food Pagoda, and to be clear about the gap between this level and the current Se intake status of Chinese population in different provinces. This is the first study to evaluate the Se intake of Chinese residents associated with the 2016 Chinese Food Pagoda and discuss the influence of dietary patterns on Se intake. It will be valuable for the future research on daily optimal Se intake and also for the government to put forward proper strategies on Se supplementation. Therefore, this study aimed to: 1) test whether compliance with the Food Pagoda could meet daily requirements of Se intake for Chinese residents; 2) make quantitative comparisons of the China Total Diet Study-based estimates of Se intake (EI_{TDS}) with the Food Pagoda-based estimates of Se intake (EI_{CHFP}) in different food groups.

Methods

Data source

The China TDS is a national survey to investigate the levels of various nutrients and chemical contaminants in foods and assess their dietary exposure for the Chinese population [20]. The data of food Se contents and the EI_{TDS} in China and 12 provinces used in this study were directly obtained from results of the 4th China TDS in 2007 [21]. Hereinto, the analysis of food Se was conducted by the National Institute of Nutrition and Food Safety. EI_{TDS} was calculated by multiplying determined food Se contents with the investigated food consumption data.

Food consumption survey

The 4th China TDS was carried out in 2007, with a similar design and experimental methods to the 3rd China TDS in 1990 [22]. The Chinese Centre for Disease

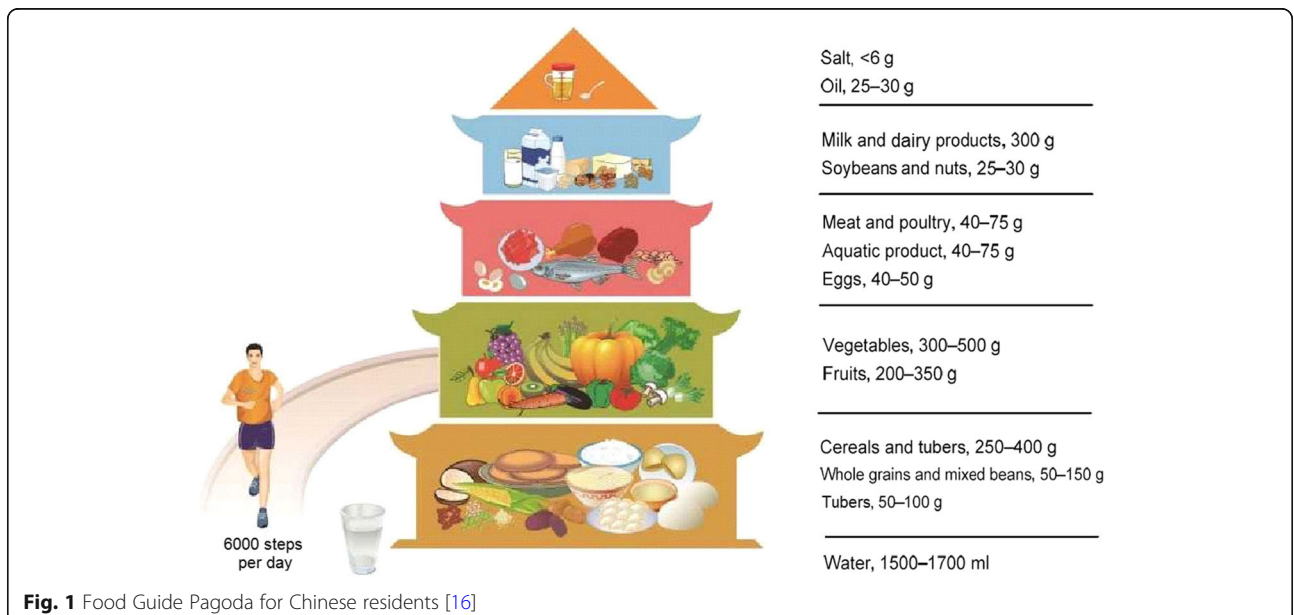


Fig. 1 Food Guide Pagoda for Chinese residents [16]

Control and Prevention organized the food consumption survey. Multistage random cluster sampling method and food composites approach were used in this survey. A total of 12 provinces were selected to represent the average dietary patterns of different areas of China, covering about 50% of the total Chinese population. These provinces consist of Heilongjiang (HLJ), Liaoning (LN), Hebei (HeB), Shaanxi (ShX), Ningxia (NX), Henan (HN), Shanghai (ShH), Fujian (FJ), Jiangxi (JX), Guangxi (GX), Hubei (HuB), and Sichuan (SC). Three survey sites (two rural counties and one urban city) were randomly selected in each province as food sampling sites, and 30 households were sampled randomly from each site. 1080 households in total were covered in the survey. The food consumption pattern in each province was determined by a 3-day household dietary survey (including weighing and recording) and 24-h recalls. The average daily consumption of each food category by a standard Chinese adult man (aged 18–45, 63 kg body weight, light physical activity) was used as the standard food consumption pattern, and was calculated from the total household food consumption [22].

Samples collection and analysis

Food samples were collected from local food markets, grocery stores and rural households in each survey site. All food items were aggregated into 12 groups, including cereals, beans, tubers, meat and poultry, eggs, aquatic products, milk and dairy products, vegetables, fruits, sugars, water and beverages, and alcohol. These samples were cooked and prepared according to the local habits, and then blended to form composites with weights proportional to the average daily consumption for the province [21]. The

prepared food composites were shipped to the National Institute of Nutrition and Food Safety for analysis [21]. Total Se content in food composites was determined by the inductively coupled plasma mass spectrometry (Agilent 7500a ICP-MS) after microwave digestion of 0.3–0.5 g (solid) or 4–5 mL (liquid) in a mixture of 6 mL of concentrated HNO₃ and 2 mL of 30% H₂O₂. Reagent blank, standard reference materials, and parallel samples were determined simultaneously to maintain the reliability of analysis. Limit of detection for Se was defined as three-times of the standard deviation of baseline value [21].

Calculation of dietary se intake based on the Chinese food pagoda (EI_{CHFP})

The ranges of EI_{CHFP} in China and 12 provinces were calculated according to the following equation [20]:

$$EI_{CHFP} = C \times m,$$

where C (µg/g) is the concentration of Se in each food group determined in the 4th China TDS, including 12 food groups in 12 provinces (as listed in Table 1); m (g/d) is the consumption of corresponding food groups recommended in the Dietary Guidelines and Food Pagoda for Chinese Residents (2016) (as shown in Fig. 1). The lower and upper limits of recommended consumption were used for calculating the lowest and highest EI_{CHFP} respectively. In terms of Se contents in food groups, the lowest values in staple food like cereals, beans and tubers were found in Hubei, Liaoning and Heilongjiang province, which was broadly consistent with the distribution of low-Se belt in China [23]. It can thus be confirmed that food Se contents determined in the TDS are reliable.

Table 1 Concentrations of Se in various food groups in China and 12 provinces (µg/g)^a

Food Group	HLJ	LN	HeB	ShX	HN	NX	ShH	FJ	JX	HuB	SC	GX	AVG
Cereals	0.004	0.003	0.028	0.062	0.010	0.036	0.013	0.008	0.031	0.003	0.020	0.016	0.020
Beans	0.027	0.022	0.022	0.048	0.023	0.017	0.012	0.020	0.011	0.028	0.043	0.053	0.027
Tubers	0.027	0.024	0.023	0.063	0.015	0.058	0.006	0.011	0.008	0.002	0.017	0.048	0.025
Meat	0.111	0.157	0.121	0.166	0.238	0.173	0.219	0.124	0.210	0.186	0.205	0.276	0.182
Eggs	0.172	0.146	0.338	0.549	0.266	0.120	0.291	0.276	0.164	0.285	0.375	0.249	0.269
Aquatic product	0.135	0.708	0.591	0.366	0.456	0.121	0.462	0.367	0.468	0.231	0.422	0.597	0.410
Dairy product	0.072	0.022	0.022	0.018	0.023	0.038	0.028	0.015	0.155	0.202	0.019	0.048	0.055
Vegetables	0.035	0.012	0.065	0.146	0.110	0.042	0.079	0.079	0.020	0.068	0.041	0.089	0.066
Fruits	0.002	0.002	0.002	0.002	0.022	0.008	0.002	0.002	0.002	0.002	0.002	0.025	0.006
Sugars	0.032	0.002	0.118	0.011	0.002	0.047	0.002	0.023	0.002	0.002	0.002	0.101	0.029
Water	0.002	0.005	0.002	0.002	0.002	0.004	0.002	0.002	0.002	0.002	0.002	0.002	0.002
Alcohol	0.006	0.005	0.002	0.002	0.002	0.002	0.009	0.008	0.002	0.004	0.002	0.008	0.004

Abbreviations: HLJ Heilongjiang, LN Liaoning, HeB Hebei, ShX Shaanxi, NX Ningxia, HN Henan, ShH Shanghai, FJ Fujian, JX Jiangxi, GX Guangxi, HuB Hubei, SC Sichuan, AVG average

^aAll the data of Se contents in different food groups were from the 4th China TDS [21]

Statistical analysis

Data processing and chart production were mainly performed with the Microsoft Office Excel 2013 and SPSS 23.0. Coefficients of variation (CV) were calculated for the average EI_{TDS} and EI_{CHFP} in each food group. *T* test was used when comparing the difference between the average EI_{TDS} and EI_{CHFP} in various food categories.

Results

EI_{CHFP} in China and different regions

Based on the concentrations of food Se in Table 1 and the food consumptions recommended in the Food Pagoda, results of the EI_{CHFP} were shown in Table 2. It was observed that the average EI_{CHFP} in 12 provinces were all greater than the China recommended nutrient intake (RNI) of Se (60 $\mu\text{g}/\text{day}$). The lowest EI_{CHFP} was also higher than the RNI in almost all regions with the exception of Heilongjiang and Ningxia province which might be related to the relatively low Se levels in their local food (Table 1). None of the highest EI_{CHFP} went beyond the tolerable upper intake level of Se (400 $\mu\text{g}/\text{day}$) set by the Chinese Nutrition Society [24]. Owing to the variation of Se levels in the local food, the average EI_{CHFP} varied greatly among regions, ranging from 66.23 to 145.20 $\mu\text{g}/\text{day}$. The highest average EI_{CHFP} was observed in Shaanxi province where Se levels in staple food and vegetables were the highest; while the lowest was found in Heilongjiang province where Se contents in all kinds of food groups were relatively low. It could be seen from Fig. 2 that differences of the average EI_{CHFP} among regions mainly lay in dairy products (CV = 1.05), cereals,

beans and tubers (CV = 0.70), as well as vegetables and fruits (CV = 0.56). Animal source foods including meat, eggs, aquatic and dairy products made the highest contribution to daily Se intake in all regions according to the Food Pagoda eating patterns, ranging from 41.8 to 81.9%.

EI_{TDS} in China and different regions

According to the survey data from the 4th China TDS, the EI_{TDS} of daily dietary Se in various food groups in 12 provinces were presented in Fig. 3. Generally, the level of average EI_{TDS} for Chinese adults (88 $\mu\text{g}/\text{day}$) was slightly higher than the China RNI of Se (60 $\mu\text{g}/\text{day}$). Large geographical variation of dietary Se intake was observed among regions in China. As shown in Fig. 3, the highest EI_{TDS} was found in Shaanxi province (135.31 $\mu\text{g}/\text{day}$) at 2.3 times of RNI, followed by Shanghai (134.58 $\mu\text{g}/\text{day}$); while the lowest EI_{TDS} was observed in Heilongjiang province (43.86 $\mu\text{g}/\text{day}$), followed by Liaoning (53.35 $\mu\text{g}/\text{day}$), which were the only two provinces below the RNI. The EI_{TDS} in most of the provinces, such as Hebei, Henan, Jiangxi, Hubei, Sichuan and Guangxi, was within the range of 71.5–95.72 $\mu\text{g}/\text{day}$. Great variations of EI_{TDS} in food groups among regions were observed in aquatic products (CV = 1.17), dairy products (CV = 1.07), and cereals, beans and tubers (CV = 0.82), making differences in the major contributors to dietary Se intake in 12 provinces. Animal source food was found making the highest contribution to dietary Se intake in more than half of the regions, including Heilongjiang, Liaoning, Shanghai, Fujian, Hubei, Sichuan, and Guangxi province ranging from 38.1 to 69.4%. Particularly, aquatic products corresponded to the highest contributors in Fujian (45.4%) and Liaoning (30.9%) province. By contrast, cereals and tubers contributed the most to daily dietary Se intake in Shaanxi, Hebei, Ningxia, and Jiangxi province within the range of 41.6–61.9%. Vegetables made a predominant contribution to daily Se intake in Henan and Hubei province, accounting for 41.2 and 41.7% of the total intake respectively. Despite all this, it can be observed that animal foods and cereals are still the major sources of daily dietary Se intake in most regions of China, which is similar to previous studies in China [25].

Comparison of EI_{TDS} with EI_{CHFP}

Results of the comparison between total EI_{TDS} and EI_{CHFP} were depicted in Fig. 4. In terms of the whole country, the average EI_{TDS} (88 $\mu\text{g}/\text{day}$) just fell into the range of its EI_{CHFP} (81.01–124.25 $\mu\text{g}/\text{day}$). This indicated that the daily dietary Se intake of Chinese population was overall in line with the recommendation proposed by the Chinese Food Pagoda. However, similar situation was only found in Hebei, Shaanxi, Ningxia, and Sichuan province. The majority of regions including Heilongjiang, Liaoning, Henan, Jiangxi, Hubei, and Guangxi

Table 2 The average EI_{CHFP} and its ranges in China and different provinces ($\mu\text{g}/\text{day}$)

Regions	The average EI_{CHFP} ^a	The lowest EI_{CHFP}	The highest EI_{CHFP}
HLJ	66.23	55.21	77.25
LN	79.75	60.90	98.60
HeB	105.32	79.39	131.25
ShX	145.20	112.65	177.75
HN	116.59	89.04	144.15
NX	74.41	58.92	89.90
ShH	100.32	77.59	123.05
FJ	84.81	65.05	104.58
JX	113.46	96.47	130.45
HuB	130.41	113.89	146.93
SC	86.75	67.42	106.08
GX	133.94	101.41	166.48
AVG	102.63	81.01	124.25

Abbreviations: HLJ Heilongjiang, LN Liaoning, HeB Hebei, ShX Shaanxi, NX Ningxia, HN Henan, ShH Shanghai, FJ Fujian, JX Jiangxi, GX Guangxi, HuB Hubei, SC Sichuan, AVG average, EI_{CHFP} estimated Se intake based on the Chinese Food Pagoda

^aThe average EI_{CHFP} = (the lowest EI_{CHFP} + the highest EI_{CHFP})/2

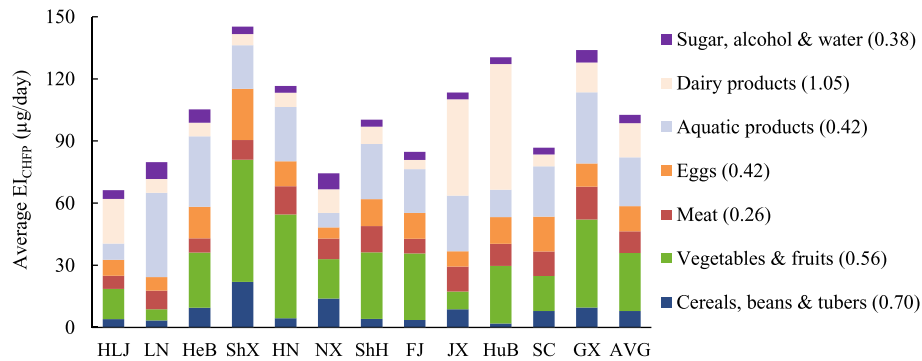


Fig. 2 The average EI_{CHFP} in different food groups in 12 provinces and China^aAbbreviations: *HLJ* Heilongjiang, *LN* Liaoning, *HeB* Hebei, *ShX* Shaanxi, *NX* Ningxia, *HN* Henan, *ShH* Shanghai, *FJ* Fujian, *JX* Jiangxi, *GX* Guangxi, *HuB* Hubei, *SC* Sichuan, *AVG* average, EI_{CHFP} estimated Se intake based on the Chinese Food Pagoda.^aNumbers in parentheses are coefficients of variation in each food group; the same as below

province had a lower EI_{TDS} when compared with their corresponding lowest EI_{CHFP} . The gap between them ranged from 3.03 µg/day to 26.86 µg/day, with relatively bigger gaps in Hubei (26.86 µg/day) and Jiangxi (24.97 µg/day) province. By contrast, the EI_{TDS} in Shanghai and Fujian province (134.58 and 111.31 µg/day) were even higher than their highest EI_{CHFP} (123.05 and 104.58 µg/day). This comparison was just between the EI_{TDS} and EI_{CHFP} which were calculated under different food consumption patterns, regardless of the China RNI.

The EI_{TDS} and their corresponding ranges of EI_{CHFP} from each food category among different regions were calculated and integrated according to the five levels of food groups classified by the Food Pagoda. As listed in Table 3, EI_{TDS} from the first level of the Food Pagoda (cereals, tubers and beans) in 12 provinces all exceeded their recommended ranges; while those from the fourth level (dairy products) were all far below their recommended amounts. This situation was more remarkable in Shaanxi, Hebei, Henan and Jiangxi province where the EI_{TDS} from cereals, tubers and beans were more than 3 times of their highest EI_{CHFP} , while those from dairy products were substantially poor. Additionally, Se intake

from the third level (meat, eggs and aquatic products) varied greatly among regions, where only Heilongjiang and Hubei province had appropriate Se intake from this level. Those in Shanghai and Fujian province were much higher than their upper limits of EI_{CHFP} which might account for their higher EI_{TDS} in Fig. 4. Almost all provinces had adequate Se intake from the second level of the Food Pagoda (vegetables and fruits) with the exception of Shaanxi, Henan and Guangxi province being slightly lower than their recommendations.

Discussion

Compared with other countries in the world, the dietary Se intake of Chinese population (88 µg/day) was relatively moderate. It was higher than numerous countries throughout Europe (including the UK) and the Middle East where the reported daily Se intake were less than 55 µg/day [26], but lower than those from certain developed countries, such as the US where 133.5 µg/day of average Se intake for men was reported [27]. However, great geographical variations of Se intake still existed in China. There are many possible factors that can have an effect on Se intake and result in these variations. These

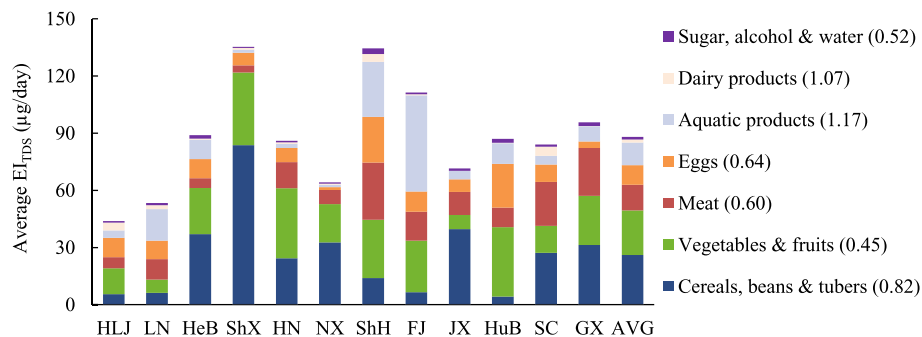
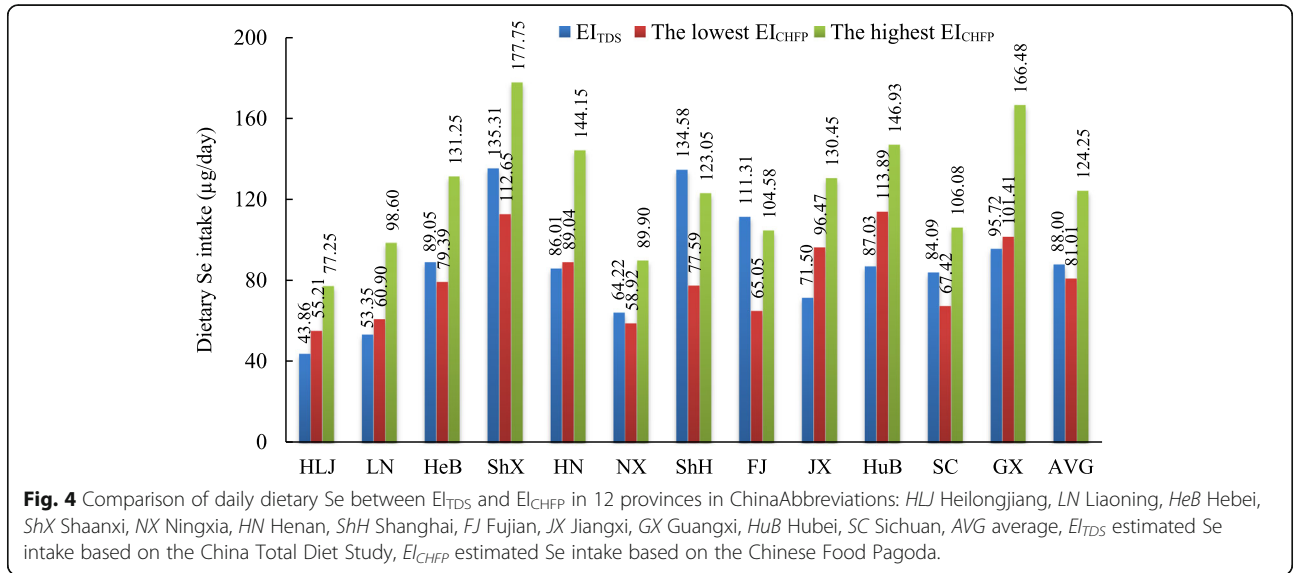


Fig. 3 The average EI_{TDS} in different food groups in 12 provinces and ChinaAbbreviations: *HLJ* Heilongjiang, *LN* Liaoning, *HeB* Hebei, *ShX* Shaanxi, *NX* Ningxia, *HN* Henan, *ShH* Shanghai, *FJ* Fujian, *JX* Jiangxi, *GX* Guangxi, *HuB* Hubei, *SC* Sichuan, *AVG* average, EI_{TDS} estimated Se intake based on the China Total Diet Study.



factors primarily include disparities in dietary patterns, consumption habits, food culture, economic levels, and also Se contents in food among different regions [18, 28, 29]. It is difficult to distinguish which factor plays a predominant role in dietary Se intake since the situation varies from regions to regions. For example, cereals and tubers made the highest contribution to daily dietary Se intake in Shaanxi, Hebei, Ningxia, and Jiangxi province, accounting for 41.6–61.9%; while 45.4 and 30.9% of daily Se intake were from aquatic products in Fujian and Liaoning province, making the highest contributors. The former is presumably ascribed to the relatively high Se levels in local crops (Table 1), as well as the food culture where tend to have large

consumptions of flour or rice [21]; the latter, however, may be attributed to the consumption habits developed by their water-adjacent living environment. Correlations between Se contents and EI_{TDS} in each food group also showed that highly significant correlations were only observed in foods with large consumptions, including cereals ($r = 0.936$, $P < 0.01$), tubers ($r = 0.787$, $P < 0.01$), vegetables ($r = 0.895$, $P < 0.01$) and fruits ($r = 0.769$, $P < 0.01$). Foods with relatively high Se contents but small consumptions, such as seafood, eggs, and meats, presented poor correlations with Se intake ($P > 0.05$). In this study, the influence of dietary patterns on Se intake was discussed separately by quantitative

Table 3 EI_{TDS} and EI_{CHFP} in different food categories in 12 provinces of China (µg/day)^a

Food category	Cereals, tubers and beans		Vegetables & Fruits		Meat, eggs and aquatic products		Dairy products	
	EI_{TDS}	EI_{CHFP} ranges	EI_{TDS}	EI_{CHFP} ranges	EI_{TDS}	EI_{CHFP} ranges	EI_{TDS}	EI_{CHFP}
HLJ	5.55	2.83–5.25	13.50	10.90–18.20	19.96	16.72–27.05	4.09	21.60
LN	6.16	2.35–4.40	6.98	4.00–6.70	37.01	40.44–72.18	2.04	6.60
HeB	37.02	7.30–11.80	24.17	19.90–33.20	25.37	42.00–70.30	0.57	6.60
ShX	83.70	16.75–27.30	38.19	44.20–73.70	11.99	43.24–67.35	0.86	5.40
HN	24.41	3.33–5.65	36.72	37.40–62.70	23.30	38.40–65.35	0.73	6.90
NX	32.66	10.53–17.45	20.10	14.20–23.80	10.19	16.56–28.05	0.58	11.40
ShH	14.01	3.20–5.10	30.54	24.10–40.20	82.92	38.88–65.63	4.05	8.40
FJ	6.57	2.65–4.50	27.05	24.10–40.20	76.30	30.68–50.63	0.48	4.50
JX	39.61	6.88–10.65	7.48	6.40–10.70	23.16	33.68–59.05	0.05	46.50
HuB	4.31	1.40–2.50	36.43	20.80–34.70	43.99	28.08–45.53	0.22	60.60
SC	27.19	5.93–9.85	14.21	12.70–21.20	36.68	40.08–65.78	4.79	5.70
GX	31.35	6.93–12.25	25.77	31.70–53.25	36.48	44.88–77.93	0.22	14.40
AVG	26.05	5.93–9.85	23.43	21.00–35.10	35.61	34.44–57.85	1.56	16.50

Abbreviations: HLJ Heilongjiang, LN Liaoning, HeB Hebei, ShX Shaanxi, NX Ningxia, HN Henan, ShH Shanghai, FJ Fujian, JX Jiangxi, GX Guangxi, HuB Hubei, SC Sichuan, AVG average, EI_{TDS} estimated Se intake based on the China Total Diet Study, EI_{CHFP} estimated Se intake based on the Chinese Food Pagoda

^aThe data of EI_{TDS} in different food categories were from the 4th China TDS [21]

comparison between EI_{TDS} and EI_{CHFP} which were calculated using the same food Se contents [21].

In the first place, the calculated results of EI_{CHFP} being greater than the China RNI (Table 2) has well suggested that Se intake complying with the balanced dietary patterns recommended in the Chinese Food Pagoda could meet the daily requirements of the majorities in China, even though Se contents in various food groups vary greatly among regions. By comparison with the total EI_{TDS} , it was found that half of regions failed to meet the minimum Se intake requirements of the Food Pagoda, indicating that dietary patterns in these regions may exist irrationality. When compared within various food categories, it was noteworthy that significant differences between the average EI_{CHFP} and EI_{TDS} were found in cereals, tubers and beans ($t = -2.975$, $P = 0.007$), aquatic products ($t = 2.468$, $P = 0.021$) and dairy products ($t = 3.089$, $P = 0.005$). According to the average EI_{CHFP} in the whole country, there should be 7.7% of Se intake contributed from staple food, 23.0 and 16.1% contributed from aquatic and dairy products. Clearly, the current Se intake from staple food has been sufficient, accounting for 29.6% of the average EI_{TDS} in China; while that from aquatic and dairy products is largely deficient across the country, only accounting for 13.5 and 1.8% of the average EI_{TDS} in China. In fact, Chinese population consumed very limited milk and dairy products every day, with an average of 28.4 g/day per capita according to the food consumption data in the 4th China TDS [21], far below 300 g/day per capita recommended in the Pagoda. The consumption of aquatic products (29.0 g/day per capita) was also less than the lower limit of the recommended amount (40 g/day per capita) [16, 21]. However, compared with other animal source foods, milk and dairy products are not very good sources for Se. The average Se content in dairy products was only 0.055 $\mu\text{g/g}$, far less than that in aquatic products (0.410 $\mu\text{g/g}$) [21]. Therefore, from the view of the balanced dietary pattern and Se sources, Se nutrition for the general population can be further improved by properly increasing the consumption of seafood and its by-products.

It is well known that human Se intake is closely associated with Se levels in foodstuffs and dietary pattern. The former is strongly dependent on the Se in soil which varies significantly across different regions of world [30]; the latter, however, can be changed with the increase of food supply and diversity as well as dietary habits. In the present study, for instance, in Heilongjiang and Liaoning province where EI_{TDS} has not reached the China RNI, their Se intake can be further improved by slightly adjusting eating patterns on the basis of Food Pagoda, such as consuming more fish, milk and dairy products, and so on. Even in some regions where Se intake has

achieved the RNI, it can still be supplemented by adjusting the consumption of Se-rich foods within the recommended ranges to obtain Se-associated health benefit. The present study underestimated the influence of soil Se distribution on Se intake, because even though Se levels in food is a reflection of soil Se in most instances, soil Se contents do not help in cases where the food locally produced is sold and consumed by residents in other regions. Instead, by calculating the contribution of the balanced diet to daily Se intake, this study demonstrated that Se intake complying with balanced dietary patterns can achieve or even exceed the China RNI in different regions no matter how greatly their Se contents in food vary. Thus, it is believed that the daily requirement of the general population for Se can be satisfied if the Chinese Dietary Guidelines and the Food Guide Pagoda are strictly obeyed.

Conclusions

Attempts can be made to improve the general Se intake levels by adjusting eating patterns. The present study made it clear that the balanced dietary pattern based on the Chinese Dietary Guidelines and Food Pagoda could meet daily requirements of the majorities for Se in China under the current Se levels in food. However, the comparison between EI_{TDS} and EI_{CHFP} showed that Se intake in half of the regions could not achieve their lowest EI_{CHFP} . The differences between them among regions mainly lay in cereal food, aquatic and dairy products. Se intake from staple food for Chinese population may have been sufficient, and more Se nutrition can be taken from aquatic products in terms of a well-balanced diet.

Abbreviations

AVG: average; CV: coefficient of variation; EI_{CHFP} : Estimated Se intake based on the Chinese Food Pagoda; EI_{TDS} : Estimated Se intake based on the China Total Diet Study; FJ: Fujian; GX: Guangxi; HB: Hebei; HLJ: Heilongjiang; HN: Henan; HuB: Hubei; ICP-MS: inductively coupled plasma mass spectrometry; JX: Jiangxi; LN: Liaoning; NX: Ningxia; RNI: Recommended nutrient intake; SC: Sichuan; ShH: Shanghai; ShX: Shaanxi; TDS: Total diet study

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Authors' contributions

LSY designed the structure of this study; HRL helped to collect the data and contributed to revising the manuscript; JW analyzed the data and wrote the first draft. YHL and BGW had responsibility for final content. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests regarding this study.

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Development of a dietary index based on the Brazilian Cardioprotective Nutritional Program (BALANCE)

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Abstract

Background: The diet of the Brazilian Cardioprotective Nutritional Program (BALANCE) classifies food into four groups and sets the daily amount to be consumed. The dietary approach of BALANCE is different from other dietary recommendations; therefore, it is not possible to use existing dietary indexes (DI) to assess patient's adequacy to BALANCE diet. For this reason, it is important to develop a specific dietary index based on BALANCE diet. This study aims to describe the development of the BALANCE DI, evaluate its internal consistency, construct and content validity and population characteristics associated with the index.

Methods: We analyzed baseline data from the BALANCE randomized clinical trial (<https://www.clinicaltrials.gov/NCT01620398>). The four food groups of the diet were adopted as index components. Points ranging from 0 to 10 were given to each index component. Internal consistency was evaluated by correlation coefficients between total score and component scores, as well as Cronbach's Alpha. Content and construct validity were assessed by checking how nutrients are associated with the index and if the index could distinguish between groups with known differences in diet, respectively. Crude and adjusted linear regression analyses were performed to evaluate population characteristics associated with the index.

Results: The analysis included 2044 subjects (58.6% men). The average of the total index was higher among women ($p < 0,05$). The components of the index showed low correlations with each other. The correlations between each individual component with the total index were > 0.40 . Cronbach's alpha coefficient was 0.66. High scores in the index were inversely associated ($p < 0,05$) with energy, total fat, monounsaturated fat (MUFA) and cholesterol; they were positively associated ($p < 0,05$) with carbohydrates and fiber. Hypertensive men and diabetic women had higher scores, while male smokers had lower scores.

Conclusions: The BALANCE DI showed reliability and construct validity similar to other DI. It also detected characteristics of individuals that are associated with higher or lower index scores.

Keywords: Dietary assessment, Nutritional index, dietary patterns, Reliability and validity, Cardiovascular disease

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Background

Dietary habits are important modifiable risk factors for cardiovascular diseases (CVD), the first cause of death and burden of disease worldwide and in Brazil [1, 2]. The World Health Organization (WHO) emphasizes that a healthy diet, able to prevent CVD, is influenced by many complex interactions (income, food availability and affordability, individual preferences and beliefs, cultural traditions, geographical, environmental, social and economic factors) [3]. To take these complex interactions into account, the choice of foods that compose a healthy and cardioprotective diet should be regionalized.

Brazil has a variety of food rich in cardioprotective components such as fiber, vitamins and bioactive compounds that could be used to promote cardiovascular protection and minimize the burden of CVD. The Brazilian Cardioprotective Nutritional Program (BALANCE) is an educational intervention aimed at improving the consumption of foods available in the country with a potential cardioprotective role. The Brazilian Ministry of Health has been assessing the effectiveness of the BALANCE program in a multicentric and randomized clinical trial since 2013 [4].

The dietary component of the BALANCE program ranked foods in groups represented by the colours of the Brazilian flag. As green is the most abundant colour in the flag, the items included in the green group should be eaten more often. Yellow is the second most abundant flag colour, thus the advice is to eat foods in the yellow group in moderation. Blue represents foods that should be consumed in smaller quantities. Ultra-processed foods are not recommended in the BALANCE diet and are represented by red, which is not in the Brazilian flag. Details about the food classification criteria have been published elsewhere [4].

Several dietary indexes (DI) have been developed to study associations between dietary patterns and CVD in different populations [5–10]. A DI combines the many aspects of a nutritional recommendation or guideline and uses them as the items of the index. These aspects are generally the amount of nutrients, foods or food groups to be eaten in each period of time (day/week/month). Since the dietary aspects of the BALANCE program are different from other cardioprotective dietary patterns, it is not possible to use existing DI to assess the role of the BALANCE diet on cardiovascular protection. We therefore aim to describe the development of the BALANCE DI, to assess its internal consistency, construct and content validity, and to evaluate the population characteristics associated with the index.

Methods

Study population

To develop the DI we used data from the BALANCE study (<https://www.clinicaltrials.gov/>; NCT01620398), a

multicentric randomized clinical trial. The trial aims to assess the effects of the Brazilian Cardioprotective Nutritional Program in the secondary prevention of cardiovascular diseases. Between March 2013 and January 2015, 2535 adults over 44-years-old and with a personal history of cardiovascular disease were enrolled in the trial and followed-up until December 2017 in one of the 35 sites in Brazil. Details of the trial procedures are given elsewhere [4]. The 2044 individuals who had full dietary data at baseline were included in the present analysis, which was approved by the School of Public Health/University of São Paulo Research Ethics Committee.

Data collection and description of variables

Data were collected in the 35 sites by trained researchers following standardized procedures. Details of the data collection are given in the BALANCE research protocol, available on request from the authors [4].

Dietary intake was assessed from a single 24-h recall collected by trained interviewers who asked for a detailed description of all foods and drinks consumed the day before the interview, following an adaptation of the Multiple-Pass Method steps [11]. A photographic album of food portion sizes was available to help with the estimation of quantities of food consumed [12]. The food intake data was recorded in Nutriquant Software using household measures and then converted to grams. The software calculated the energy and nutrient amount using information from different food composition tables, including the Brazilian Food Composition Table and the USDA Food Composition Table. All the 24-h recalls that ranged over less than 1000 kcal or more than 3000 kcal were double-checked. Discrepant home measures, such as “zero tablespoon” and “150 teacups” were also double-checked. Records with less than the 1st or greater than the 99th percentile of energy were excluded [13]. The relative participation of macronutrients in the diet was expressed as the percentage of total energy intake. Cholesterol, sodium and fiber were presented as milligrams or grams per each 1000 kcal ingested.

Height was measured twice with wall-mounted stadiometers (0.5 cm precision) with the subject barefoot in a standing position. Weight was collected twice with calibrated scales (100 g precision) with the subject barefoot and wearing light clothes. Waist circumference (WC) was measured twice with an anthropometric tape in the midpoint between the lowest rib and the iliac crest. The average of the measurements was used. If the measurements differed more than 0.5 kg for weight, 0.5 cm for height and 1 cm for WC, the entire procedure was repeated until the difference between the two new measures was lower than the established cut-off. Body mass index (BMI) was calculated as weight divided by the square of height (kg/m^2) and classified according to age.

If age \leq 59 years-old, underweight = BMI $<$ 18.5 kg/m², normal weight = BMI \geq 18.5 kg/m² and $<$ 25 kg/m², overweight = BMI \geq 25 kg/m² [14]. If age \geq 60 years-old, underweight = BMI $<$ 23 kg/m², normal weight = BMI \geq 23 kg/m² and $<$ 28 kg/m², overweight = BMI \geq 28 kg/m² [14, 15].

A questionnaire was applied to investigate the personal history of CVD and smoking status. Diagnoses of diabetes, hypertension and dyslipidemia were self-reported.

The dietary component of the BALANCE program

The dietary component of the BALANCE program [4] is designed to meet the nutritional recommendations proposed by the Brazilian Cardiovascular Guidelines [16–20]. To implement these recommendations on nutritional advice, we compiled a list of cardioprotective foods, based on a set of qualitative criteria defined by nutrition experts considering the most relevant aspects of Brazilian nutritional guidelines: a) no added sugar; b) low-calorie content; c) lack of nutrients that increase cardiovascular risk (cholesterol, saturated fat, and sodium); and d) presence of cardioprotective nutrients (antioxidants and dietary fiber). The result of the compilation was a list consisting of low-fat yoghurt and milk, fruits and vegetables, and beans cooked with garlic, onion, soybean oil (up to 1%) and refined salt (up to 0.5%).

Energy, saturated fat, cholesterol and sodium densities [21] of foods in the list were evaluated to create cutoff values for food classification into the green, yellow and blue groups. The maximum density values of the list were 1.11 kcal per gram, 0.01 g of saturated fatty acid per gram, 0.04 mg of cholesterol per gram, and 2.01 mg of sodium per gram. These values were applied in the food categorization as follows: foods with all 4 density values equal to or less than the cutoffs were assigned to the green group (vegetables, fruits, beans, low-fat milk and yoghurt); foods with one or two densities above the cutoffs were assigned to the yellow group (rice, bread, pasta, oats, couscous, nuts, vegetable oil); foods with three or four nutrient densities above the cutoffs were categorized into the blue group (meat, fish, cheese, eggs).

Finally, the BALANCE diet contains a red group. The cutoffs were not adopted as criteria for assigning foods in this group. Instead, foods lacking beneficial components such as vitamins, antioxidants and dietary fiber and known as sources of trans fats, refined sugar, artificial sweeteners, and preservatives – mainly ultra-processed foods - compose the red group.

The BALANCE dietary index

We created the BALANCE DI considering the aspects of the existing DI that are used to analyze associations between dietary patterns and cardiovascular disease [7, 22–25]. The BALANCE Program recommends a number of servings in each food group depending on the energy level, as shown in Table 1. Energy need was calculated multiplying current body weight by 20 kcal in overweight or 25 kcal in normal weight or 32 kcal in underweight participants [17, 26]. Individuals with calculated need below 1400 kcal were set to 1400 kcal, those above 2400 kcal were set to 2400 kcal. Intermediate values were assessed accordingly to mathematical approximation rules. For example, if the calculated need is 1758 kcal, the nutritionist must assign into the 1800 kcal, the closest value.

The index has four components corresponding to the BALANCE food groups. The scoring criteria are summarized in Table 2 and were made a priori, based on the BALANCE principles and recommendations and the population distribution of consumed servings. Each component was given a score ranging from 0 (worst) to 10 (best), therefore the total score ranges from 0 to 40.

A score of zero is given to the green group when there is no consumption and a score of 10 when the consumption is equal or higher than the recommendation. A score of 10 is assigned to the yellow group when the number of servings is exactly that recommended. The minimum score is assigned to the yellow group when the number of servings is 50% over or below the recommendation. The blue and red groups received a reverse score (higher intakes are given lower scores). In the blue group, the maximum score is assigned to the number of servings equal to or lower than the recommendation

Table 1 BALANCE recommendation of servings per day in each food group according to the energy-level^a

BALANCE food groups	Number of servings per day					
	1400	1600	1800	2000	2200	2400
	Kcal	Kcal	Kcal	Kcal	Kcal	Kcal
Green (vegetables, fruits, beans and legumes, low-fat milk)	9	11	11	12	14	16
Yellow (rice, bread, pasta, oats, couscous, nuts, vegetable oil)	6	7	9	10	11	13
Blue (meat, fish, cheese, eggs)	2	2	3	3	4	4
Red (ultra-processed foods)	0	0	0	0	0	0

BALANCE = Brazilian Cardioprotective Nutritional Program

^aThis content was published in the BALANCE research protocol, is reproduced with permission and available on request from the authors [14]

Table 2 Scoring criteria for the BALANCE dietary index, mean score (95% confidence interval) among men and women with a history of cardiovascular disease

Index component (BALANCE food group)	Criteria for 0 point*	Criteria for 10 point*	Score for men	Score for women
Green (vegetables, fruits, beans and legumes, low-fat milk)	0	≥ the recommendation	4.49 (4.34; 4.65)	4.55 (4.36; 4.73)
Yellow (rice, bread, pasta, oats, couscous, nuts, vegetable oil)	50% > or < the recommendation	= the recommendation	3.50 (3.30; 3.69)	3.52 (3.29; 3.74)
Blue (meat, fish, cheese, eggs)**	≥ 2 beyond the recommended	≤ the recommendation	5.20 (4.95; 5.46)	6.09 (5.80; 6.38)
Red (ultra-processed foods)**	≥ 4	≤ 0	5.37 (5.14; 5.61)	5.90 (5.64; 6.16)
Total score (range)**	0	40	18.56 (18.11; 19.02)	20.05 (19.54; 20.56)

BALANCE = Brazilian Cardioprotective Nutritional Program

*Servings/d between the minimum and maximum criteria were given the scores proportionally

**Statistical differences between means $p < 0.05$ (Mann-Whitney test)

and the minimum when there are at least two servings above the recommendation. Finally, in the red group, a score of 10 is assigned when there is no consumption, and a score of 0 is given to 4 or more reported servings. Those participants consuming intermediate amounts were scored proportionally.

To calculate the score, we classified all food items reported in the 24-h recalls into the BALANCE food groups and defined the size of a serving. Then, we calculated the number of servings consumed and the corresponding score for each component. Finally, we summarized the component values to obtain the total score.

Because the BALANCE DI was developed to assess the consistency with the BALANCE Program dietary component, we decided to follow the rationale of the Program and use the BALANCE food groups as the items of the score. The foods in the green group have low energy, saturated fat, cholesterol and sodium density and higher levels of cardioprotective compounds [4]. Furthermore, the consumption of these foods is low in the Brazilian population [27] and we aim to improve the intake of such foods. For this reason, we did not assign a penalty for overconsumption and used a monotonic function to score this component (higher levels of intake received higher scores).

As the yellow group is the major provider of energy intake, we believe the consumption should be neither higher nor lower than the recommendation, to maintain the energy balance. Hence, the scoring system has two directions with intakes under or above the recommendation being penalized.

The blue group is mainly responsible for the saturated fat, cholesterol and sodium intake and received a reverse score (higher intakes get lower scores). The red group is not recommended in the BALANCE diet and therefore also gets a reverse score. For these items in the index there is no clear scientific evidence determining what

amount of intake should receive the minimum score of zero. To improve discriminatory power and the ability to detect differences in the population, we determined, as the cutoff, an approximate value of the 75th percentile of the population intake distribution. A similar strategy has been adopted in previous studies [28].

Statistical analysis

The scores of the overall index and its components were described in mean and 95% confidence interval (95% CI) for males and females and differences were assessed by Mann-Whitney tests. Subsequent analyses were performed separately by sex because the scores differed among men and women.

To evaluate the internal consistency of the index we analyzed the inter-item correlation matrix, and calculated the Spearman rank correlation coefficients between the components and total index. We also estimated the Cronbach's coefficient alpha. Values higher than 0.70 indicated reliability (internal consistency).

To assess construct validity, we checked if the index could distinguish between groups with known differences in diet (men and women). To assess the content validity, we verified if the index was associated with key nutrients in an expected direction, dividing it into quartiles and calculating the mean and 95% CI of energy and selected nutrient intakes in each quartile. The fourth quartile indicated the highest adequacy with the BALANCE, whereas the first quartile indicated the lowest adequacy with the diet. Tests for linear trends were performed to compare the population intakes across the quartiles.

The population characteristics associated with the index were assessed by linear regression, crude and adjusted for age, BMI, waist circumference, hypertension, dyslipidemia, diabetes and smoking status. All the analyses were conducted in STATA software, version 12, and statistical significance was defined as $p < 0.05$.

Results

The analysis included 2044 subjects with a mean (standard deviation) age of 63 [9] years. Among males (58.6% of the population), 88.3% were hypertensive, 78.5% dyslipidemic, 41.9% diabetic, 63.3% former smoker and 7.4% current smoker and the mean (SD) of BMI and WC was, respectively, 28.5 (4.4) Kg/m² and 101.1 (11.3) cm. Among females, 93.2% were hypertensive, 79.0% dyslipidemic, 45.7% diabetic, 41.6% former smoker and 8.0% current smoker and the mean (SD) of BMI and WC was, respectively, 29.8 (5.5) Kg/m² and 97.9 (12.9) cm.

Table 2 describes the criteria for minimum and maximum score in each component of the BALANCE DI and shows the mean (95% CI) for the components and total score among men and women. The results differed between sexes, with females having higher scores in the total index, and in the blue and red components (Table 2). The findings for the green and yellow groups were similar in both sexes.

The correlations among BALANCE DI components and total index are shown in Table 3. The components of the index had low correlations among themselves ($r < 0.10$). The blue and red groups showed similar correlations with the total index ($r = 0.61$ and $r = 0.62$, respectively) and were more correlated with the total index than the other components, indicating that their variance contributes more to the total score. Nevertheless, the green and yellow groups do add important information to the total score as they show correlations higher than 0.40. The Cronbach's coefficient alpha of the BALANCE dietary index was 0.66.

Table 4 presents the mean (95% CI) of energy and selected nutrient intakes across quartiles of the BALANCE index among men and women. Higher scores were inversely associated with intakes of energy, total fat, MUFA and cholesterol and positively associated with intakes of carbohydrate and fiber. Among males, there was no difference in the consumption of proteins across the quartiles of the score and among females, the intake of protein was higher in the 4th quartile. No difference was observed in the intake of polyunsaturated fat and sodium.

Table 5 presents population characteristics associated with the index. In general, males with hypertension and females with diabetes had higher scores in the index,

while male smokers had lower scores. On average, the adjusted analyses showed hypertensive men having 1.77 higher scores than non-hypertensives, male current smokers had 2.13 lower scores compared with males who never smoked and diabetic women had 1.56 higher scores than non-diabetics.

Discussion

BALANCE DI was developed to reflect the recommendations of the dietary component of the BALANCE Program. The index showed reasonable internal consistency, construct and content validity and detected population characteristics associated with higher or lower scores.

The ability to detect known differences in the population corroborates the construct validity of a DI [25]. It is well known that women in general eat more healthily than men and the BALANCE DI found variations across sex, with women having higher scores in the total index. This finding is consistent with previous studies assessing different diet indexes, which also observed women having a more favorable diet [25, 28–30]. The higher consumption of fruits and vegetables by women is usually the reason they obtain better scores in DI [28]. However, our study showed no differences in the consumption of fruits and vegetables (green food group) across sex, but found lower consumption of meat (blue food group) and ultra-processed foods (red food group) among women. The BALANCE DI also detected differences in the diet between smoker and non-smoker males. On average, smokers had lower scores than non-smokers.

The Cronbach's coefficient alpha measures the internal consistency and values higher than 0.70 indicate accepted reliability. Similar to other studies which found alphas between 0.6 and 0.7 [25, 31], the alpha coefficient for the BALANCE DI was 0.66. According to Guenther and colleagues [25], given the complex and multidimensional construct of DI and because individuals do not fully meet and do not fully fail to meet all the dietary standards used in the indexes, an alpha coefficient slightly lower than 0.70 is expected. The low inter-item correlation ($r < 0.10$) and correlations ≥ 0.40 between each item and total index strengthen the reliability of the index.

Table 3 Correlation between BALANCE diet components and total index

	Green group	Yellow group	Blue group	Red group	Total index
Green group	1				
Yellow group	0.0113	1			
Blue group	0.0096	0.0053	1		
Red group	0.0883	0.0430	0.0892	1	
Total index	0.4033	0.4594	0.6131	0.6157	1

BALANCE = Brazilian Cardioprotective Nutritional Program. Green group = vegetables, fruits, beans and legumes, fat free milk and yogurt. Yellow = rice, bread, pasta, oats, couscous, nuts, vegetable oil. Blue = meat, fish, cheese, eggs. Red = ultra-processed foods

Table 4 Energy and selected nutrient intake (mean and 95% confidence interval) across quartiles of the BALANCE dietary index among men and women with a history of cardiovascular disease

Dietary component	MEN				WOMEN			
	1	2	3	4	1	2	3	4
n	300	299	299	299	211	212	212	212
BALANCE index range	0; 13.31	13.32; 18.33	18.34; 24.79	24.80; 38.62	0; 14.51	14.52; 20.54	20.55; 25.59	25.61; 39.59
Energy, Kcal	1851 (1777; 1926)	1629 (1560; 1697)	1453 (1396; 1509)	1345 (1293; 1398)	1498 (1416; 1579)	1279 (1206; 1351)	1213 (1140; 1287)	1169 (1116; 1221)
Protein, % Kcal	19.2 (18.4; 20.0)	19.2 (18.3; 19.8)	19.2 (18.5; 19.9)	18.3 (17.7; 18.9) ¹	17.5 (16.6; 18.5)	18.7 (17.9; 19.7)	17.8 (17.1; 18.6)	18.8 (18.1; 19.6)
Carbohydrate, % Kcal	48.0 (46.6; 49.3)	50.8 (49.6; 52.0)	54.6 (53.4; 55.8)	58.7 (57.5; 59.9)	51.3 (49.8; 52.7)	54.1 (52.7; 55.6)	57.2 (55.6; 58.8)	59.3 (57.8; 60.7)
Total fat, % Kcal	32.9 (31.9; 33.8)	30.8 (29.9; 31.8)	27.2 (26.3; 28.1)	24.9 (24.0; 25.8)	31.7 (30.5; 32.9)	28.2 (27.0; 29.4)	26.9 (25.7; 28.2)	24.1 (23.0; 25.2)
Saturated, % Kcal	10.9 (10.5; 11.3)	8.4 (9.4; 10.1)	8.4 (8.0; 8.8)	7.1 (6.8; 7.5)	11.0 (10.5; 11.5)	9.2 (8.7; 9.7)	8.4 (7.9; 8.7)	7.2 (6.8; 7.7)
Monounsaturated, % Kcal	9.1 (8.7; 9.5)	9.8 (8.2; 9.0)	7.7 (7.3; 8.1)	6.9 (6.6; 7.2)	8.8 (8.3; 9.2)	7.5 (7.0; 7.9)	7.3 (6.8; 7.8)	6.9 (6.3; 7.4)
Polyunsaturated, % Kcal	7.5 (7.1; 7.9)	7.9 (7.5; 8.4)	7.4 (7.1; 7.8)	7.3 (7.0; 7.7) ¹	6.9 (6.4; 7.3)	7.3 (6.8; 7.8)	7.1 (6.6; 7.6)	6.7 (6.3; 7.1) ¹
Cholesterol, mg/1000 Kcal	162 (149; 174)	141 (130; 152)	133 (121; 145)	111 (102; 120)	147 (134; 160)	130 (119; 141)	113 (101; 125)	103 (94; 112)
Sodium, mg/1000 Kcal	1922 (1845; 2000)	1818 (1752; 1885)	1860 (1800; 1920)	2090 (1762; 2417) ¹	1760 (1678; 1852)	1873 (1748; 1999)	1798 (1711; 1884)	1777 (1690; 1863) ¹
Fiber, g/1000 Kcal	10.1 (9.6; 10.6)	12.3 (11.8; 12.9)	14.5 (13.8; 15.1)	17.8 (17.0; 18.6)	10.2 (9.5; 10.9)	13.2 (12.4; 13.9)	15.0 (14.0; 16.0)	17.2 (16.2; 18.2)

BALANCE = Brazilian Cardioprotective Nutritional Program. ¹The P-value for linear trend was not significant ($\geq 0,05$). All others were significant, $P < 0,05$

The BALANCE DI showed association with energy and key nutrients in an expected direction, because it was inversely associated with intakes of energy, total fat, MUFA and cholesterol and it was positively associated with intakes of fiber and carbohydrate. These findings are consistent with previous diet quality indexes for Swedish, Australian and American population without cardiovascular disease [9, 29, 32], except the 14-point Mediterranean diet adherence screener which was

inversely associated with carbohydrate and positively associated with MUFA [33]. In concordance with our findings, Mendes and colleagues found that higher intakes of total fat were significantly associated with lower index scores in the Healthy Eating Index for the Brazilian population [30].

The lower levels of MUFA in the highest quartile of the index were expected because the sources of MUFA in western diets are usually the same as the sources of

Table 5 Association between the BALANCE dietary index and characteristics of men and women with history of cardiovascular diseases

Population characteristics	MEN				WOMEN			
	Crude		Adjusted ^a		Crude		Adjusted ^a	
	Coefficient	95% CI	Coefficient	95% CI	Coefficient	95% CI	Coefficient	95% CI
Age, years (continuous)	0.06	0.01; 0.11	0.04	-0.01; 0.10	0.05	-0.01; 0.11	0.04	-0.022; 0.10
BMI, kg/m ² (continuous)	-0.09	-0.20; 0.01	-0.02	-0.24; 0.20	-0.08	-0.17; 0.01	0.02	-0.16; 0.20
Waist circumference, cm (continuous)	-0.04	-0.08; 0.00	-0.04	-0.12; 0.05	-0.04	-0.08; 0.00	-0.06	-0.13; 0.02
Hypertension (no = 0, yes = 1)	1.77	0.35; 3.18	1.86	0.41; 3.32	-2.38;	-2.26; 1.78	-0.37	-2.46; 1.73
Dyslipidemia (no = 0, yes = 1)	-0.20	-1.30; 0.91	-0.53	-1.67; 0.61	-0.18	-1.43; 1.07	-0.39	-1.68; 0.90
Diabetes (no = 0, yes = 1)	0.37	-0.55; 1.29	0.40	-0.54; 1.34	1.18	0.16; 2.20	1.56	0.48; 2.63
Smoking status (never smoked = 0)								
Former smoker	0.01	-1.00; 1.03	0.01	-1.01; 1.03	0.30	-1.67; 2.27	-0.12	-1.21; 0.96
Current smoker	-2.53	-4.41; -0.66	-2.13	-4.03; -0.22	0.64	-1.30; 2.58	-0.15	-2.14; 1.84

BALANCE = Brazilian Cardioprotective Nutritional Program

BMI Body mass index

^aAdjusted for age, BMI, waist circumference, hypertension, dyslipidemia, diabetes and smoking status

saturated fat [34] and they should be consumed in small quantities in the BALANCE diet. Furthermore, the BALANCE diet encourages the intake of foods available and accessible in Brazil, which are not beneficial sources of MUFA, contrary to the reality of the Mediterranean region [4, 35].

Nevertheless, the relative participation of carbohydrate increases as the score in the index increases. This could be explained by the lower relative participation of total fat in the higher levels of the score. Because the amount of fiber was also positively associated with the index, another explanation for the higher quantities of carbohydrate in the top levels of the index is the high intake of fruits in the BALANCE diet (data not shown), which has, as a benefit, elevated amounts of vitamins and bioactive compounds with a cardioprotective role [36].

The higher percentage of protein among women in the 4th quartile of the index is an unexpected result. We have two possible explanations for that. First, the macronutrients are presented as a percentage of energy, which means that if the percentage of one macronutrient changes the others will consequently change. Thus, the higher amount of protein could be explained by the lower relative participation of total fat in the highest quartile of the index. Second, an increase in protein intake from green group foods (beans and legumes, fat-free milk and yoghurt) may also have led to this result.

We were not able to detect associations between the index and the intake of sodium. A possible explanation is the fact that the sodium intake was estimated from 24-h recalls, which is not a robust instrument for estimating sodium intake. The gold standard method to estimate sodium intake is the 24-h urinary excretion, but these data were not available. A previous study estimated sodium intake from semi-quantitative food frequency questionnaire and was also unable to detect an association between the DI and the intake of sodium [27].

In our study, we observed lower index scores among males smoking and higher scores among males with hypertension and females with diabetes. Previous studies did not find associations between hypertension and diabetes diagnosis and conformance with DI [32, 37], but found associations between DI and BMI, WC and smoking status [29, 32, 33]. It is important to highlight that these studies included patients without previous CVD, while BALANCE included patients in the secondary prevention. Thus, subjects from BALANCE trial may have already received nutritional advice from dietitians, doctors, and nurses before being enrolled in the trial, making them aware of the eating choices they should make. This is a possible explanation for having hypertensive and diabetics subjects with better index scores.

The present study has limitations. The diagnoses of diabetes, hypertension and dyslipidemia were self-

reported. However, this is unlikely to have added bias to the study because participants are in the secondary prevention of cardiovascular disease, which requires them to take some medications daily. By the type of taken medication (antihypertensive, for example) patients and interviewers know their health condition.

Although the dietary data were collected by trained interviewers following standardized procedures and were submitted to quality control, the usual intake could not be estimated because we had only one 24-h recall. Usually intake is estimated with at least two 24-h recalls to soften the effects of within-person variance in the assessment of the diet-disease relationship. The present study described the development of a dietary index based on the BALANCE diet and did not assess diet-disease relationships. Furthermore, a single 24-h recall can characterize the average consumption of a group and can be used when the sample size is large (higher than 1000) [38].

The number of servings in the blue group is an upper limit, i.e. if the recommendation is two servings then two servings or less should be eaten, while foods in the red group are not supposed to be part of the diet. Blue and red groups are given a reverse score and there is no clear scientific evidence or logical value clarifying how high an intake should be to deserve the minimum score of zero. Thus, we decided to use data-driven cut-offs to avoid giving the maximum or minimum score to a large proportion of the population. The decision to use data-driven cut-offs could compromise the external validity of the index. It is also important to note that our findings are derived from a Brazilian population aged 45 years or older with a personal history of major cardiovascular events (stroke, coronary heart disease, peripheral vascular disease) and the BALANCE dietary index can characterise the diet of similar populations.

Conclusion

In this manuscript, we described the development of BALANCE DI and assessed some aspects of its validity, as well as population characteristics associated with higher or lower scores.

Regarding the internal consistency, BALANCE DI showed performance similar with other DI. The construct validity was corroborated by the ability to discriminate groups with known differences in the diet (males/females). The positive association with the consumption of carbohydrate and fiber, probably reflecting the intake of fruits, and the inverse association with intakes of energy, total fat, MUFA and cholesterol reinforces the content validity. Concerning population characteristics, we observed that diabetic women and hypertensive men were more likely to have higher index scores while smoking males were more likely to have lower scores.

The BALANCE DI has several potential uses: evaluation of the BALANCE Program dietary intervention; monitoring trial participants' compliance with the diet during the follow-up period; assessment of the association between the BALANCE diet and major CVD events; prediction of CVD risk (future). Before applying the index for all these purposes, we suggest studies with longitudinal designs to assess the validity of the index against health outcomes and its performance in detecting the relationship between overall diet and health.

Abbreviations

BALANCE: Brazilian Cardioprotective Nutritional Program; BMI: Body mass index; CI: Confidence interval; CVD: Cardiovascular disease; DI: Dietary index; MUFA: Monounsaturated fatty acids; SD: Standard deviation; USDA: United States Department of Agriculture; WC: Waist circumference; WHO: World Health Organization

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Authors' contributions

JTS conceived and conducted the present study, analyzed data, drafted the manuscript and has primary responsibility for the final content. ACBF, CRT and BW provided the database from the BALANCE trial and contributed to the development of the dietary index. RBL designed the research, analysed data, performed statistical analysis, and critically revised the manuscript for important intellectual content. All authors have read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Association of serum vitamin D concentrations with dietary patterns in children and adolescents

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Abstract

Background: Because children have been advised on the dangers of sun exposure, diet is an important contributor of serum 25 hydroxyvitamin D [25(OH)D] concentrations. Aim of this study was to determine whether serum 25(OH)D concentrations were associated with any specific dietary patterns in US children.

Methods: Data from 2 cycles of National Health and Nutrition Examination Survey (NHANES) 2003–2004 and 2005–2006 for individuals aged 2 to ≤ 19 y, were used to study relation between dietary patterns and serum 25(OH)D. We derived 2 major dietary patterns based on the food frequency questionnaire data. These were labeled as High-Fat-Low-Vegetable Dietary (HFLVD) pattern and Prudent Dietary (PD) pattern.

Results: In multivariate adjusted analysis, there was no significant relationship between serum 25(OH)D concentrations and tertiles of HFLVD and PD dietary pattern scores in all subjects, boys, and girls. When dietary patterns scores were used as a continuous variable in adjusted analysis, children (all) with higher PD contribution scores to overall diet showed a significant positive relation with serum 25(OH)D ($\beta = 59.1$, $P = 0.017$). When data were stratified by sex, a significant positive relation was observed in girls between serum 25(OH)D concentration and PD pattern scores ($\beta = 82.1$, $P = 0.015$). A significant negative relation was observed in girls between serum 25(OH)D and HFLVD pattern scores ($\beta = -88.5$, $P = 0.016$).

Conclusion: Overall, serum 25(OH)D were associated with PD pattern but not with HFLVD pattern in US children. In public health perspective, it is important to encourage children, especially girls who are consuming HFLVD pattern to shift to healthier diet.

Keywords: Dietary patterns, NHANES, Serum 25 hydroxyvitamin D, US children, Vitamin D

Background

A classical function of vitamin D is to regulate extracellular calcium and phosphorus. Current evidence suggests that vitamin D may play a role in various non-bone diseases such as autoimmune disease [1, 2], cardiovascular disease [3, 4], type-2 diabetes mellitus (T2DM) [5], depression [6], and cancer [7]. Dietary vitamin D sources include oily fish such as salmon, mackerel, bluefish, sardines and tuna, shiitake mushrooms (fresh or sun-dried), and egg yolks. Fortified dietary sources of vitamin

D include milk, orange juice, infant formulas, yogurts, butter, margarine, cheeses, and breakfast cereals [8].

Serum 25-hydroxyvitamin D [25(OH)D] is a commonly used marker of vitamin D nutritional status. Hypovitaminosis D is a widespread problem in the US, specifically in children and adolescents [9–12]. Prevention of suboptimal vitamin D status in childhood may reduce future adverse health conditions. The contribution of dietary sources to vitamin D status is not clearly known in children. Some studies have shown that dietary intake of certain vitamin D rich foods had a significant positive influence on serum 25(OH)D concentrations [13, 14], whereas other studies have shown that vitamin D intake did not affect serum 25(OH)D concentrations [15]. While sunlight exposure is the major

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source of circulating serum 25(OH)D [16], children and adolescents have been advised on the dangers of sun exposure [17] and are exposed to increased use of sunscreen lotions and time spent indoors which has likely contributed to the increasing prevalence of low vitamin D status [18]. Therefore, diet is an important contributor of circulating serum 25(OH)D in the absence of or in the presence of reduced sunlight exposure.

To our knowledge, no data are available on the relation between dietary patterns and serum 25(OH)D in US children and adolescents. Studies that have looked at diet and vitamin D status have addressed associations between individual food sources such as fortified milk or fatty fish. However, people consume a variety of foods in combination [9]. Dietary pattern analysis, an alternative approach to traditional single nutrient epidemiology, takes into account all nutrient interactions and allows for a more comprehensive approach to study the relation between disease and dietary intake [19]. Therefore, the objective of this study was to determine whether serum 25(OH)D concentrations were associated with any specific dietary patterns in US children and adolescents using assay-adjusted data from the National Health and Nutrition Examination Survey (NHANES) 2003–2006.

Methods

Brief NHANES survey methods

The National Center for Health Statistics (NCHS) conducts large, nationally representative, sample surveys known as NHANES on the noninstitutionalized US civilian population. A sample representative of individuals aged >2 months was selected by using a stratified, multistage, probability sample survey design. Beginning in 1999, NHANESs were conducted as annual surveys and data are released in 2-y cycles for public use. Certain subgroups including low-income persons, adolescents, persons aged ≥ 60 y, non-Hispanic black (NHB), and Hispanic/Mexican American (H/MA) are oversampled to yield more reliable estimates for these specific groups. The detailed descriptions of the survey design and methodologies are described elsewhere [20].

NHANES 2003–2004 was conducted between January 2003 and December 2004 in 12,761 individuals [9643 were examined in the Mobile Examination Centers (MEC)] and NHANES 2005–2006 was conducted between January 2005 and December 2006 in 12,862 individuals (9950 were examined in the MECs). Participants were interviewed in their homes to gather information on demographic characteristics, diet, and health. Additional health data were collected during a medical examination conducted in MECs. At the MECs, a physical exam, blood and urine sample collection, and other diagnostic measurements were performed. All NHANES protocols were approved by the NCHS Ethics Review

Board prior to data collection. Detailed description of these protocols is found elsewhere [21].

Households were randomly selected and all members within the household were screened for demographic characteristics. One or more individuals within the household were then selected for sample based on age, sex, and race-ethnicity. NHANES 2003–2006 sample included individuals ≥ 2 mo old. Race-ethnicity was categorized as non-Hispanic white (NHW), NHB, H/MA, and Other. Participants self-reported their race-ethnicity status. Poverty income ratio (PIR) was calculated as the ratio of income to the family's appropriate poverty threshold. To avoid damage to the MECs, examination data in the North were collected in spring/summer (May 1–October 31) and in South were collected in fall/winter (November 1–April 30). Data for body mass index (BMI) were obtained from the medical examination component of NHANES. Supplement users were defined based on participants who answered 'yes' to the question "Did you take supplements in the past 30 d?" Participants were asked about hours spent watching television, playing video games, and using the computer.

Blood samples were collected by venipuncture from participants in MECs according to standard protocols. Detailed specimen collection and processing methods have been previously reported [22, 23]. Serum 25(OH)D concentrations were analyzed and determined at the National Center for Environmental Health, Centers for Disease Control and Prevention using the Diasorin Radioimmunoassay (Stillwater, MN).

Periodically, NHANES data files are updated by the NCHS, replacing previous data files. In November 2010, an update occurred for serum 25(OH)D data because of changes and drifts in serum 25(OH)D assay over time. This was likely due to method variation that resulted from reagent and calibration lot-to-lot variation. The NCHS released a data advisory for vitamin D and recommended use of the assay-adjusted data by investigators rather than previously available unadjusted data. A detailed description of this data advisory for serum 25(OH)D is described elsewhere [24].

A 216-item food frequency questionnaire (FFQ) component was newly added to NHANES 2003–2004 and was used to gather information on the frequency of food consumption of participants over the past 12 months. The questionnaire was developed, tested, and validated by the National Institutes of Health, National Cancer Institute. Participants were asked the average number of times foods were consumed over the past 12 months and for certain types of foods, their seasonal intake were also gathered. Participants reported the number of times/d, wk., mo, or never that a food was consumed. All foods' frequency of consumption was standardized to a monthly intake by using a conversion factor of 30.4 d/mo

as this is the number of days in an average month. Frequency of consumption was collected for dairy products, meat, fish and seafood, poultry, eggs, fruits and juices, vegetables, grains and legumes, snacks and sweets, beverages, and added fats. Those participants who did not answer the FFQ were excluded from this study.

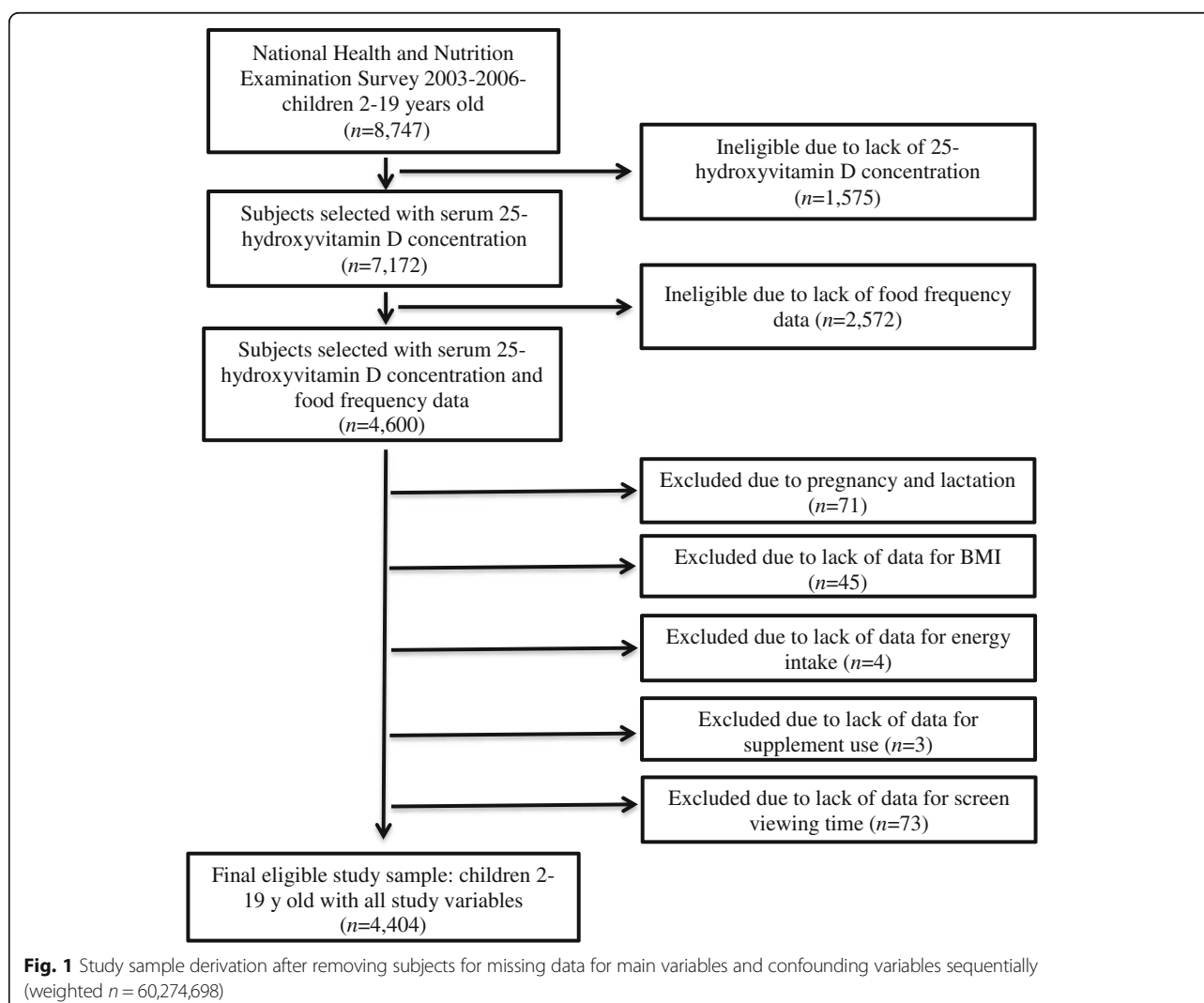
Study sample

Two cycles of NHANES 2003–2004 and 2005–2006 were used in this study. Although serum 25(OH)D concentrations are available publically in NHANES 2001–2002, this survey was not included in this current study because FFQ data were not collected. Data on children between ages 2 to ≤ 19 y from NHANES 2003–2004 and 2005–2006 were concatenated into one master analytic database, NHANES 2003–2006 ($n = 8747$). Subjects with serum 25(OH)D concentration data were then selected to include in this study ($n = 7172$). Of the remaining 7172 participants, children < 2 y old were excluded from

the data analysis due to lack of completed FFQ ($n = 2572$). Of the remaining 4600 children, 71 were excluded because they reported that they were lactating or pregnant, 45 were excluded due to lack of BMI measurement, 4 were excluded due to lack of calorie intake data, 3 were excluded due to lack of supplement use data, and 73 were excluded due to lack of screen viewing response. Thus, the final analytic sample consisted of 4404 children and adolescents (Fig. 1).

Study variables

In this study, the foods from the FFQ were categorized into 30 food groups. These 30 food groups were low-fat and high-fat dairy products, dairy alternatives, fish and other seafood, eggs, meat, processed meat, poultry, creamed soups, other soups, pizza, mixed foods, cereals, refined grains, whole grains, nuts, legumes, tomatoes, cruciferous, starchy, and other vegetables, fruit, fruit juices, snacks and sweets, butter and margarine, other



fats, added sugars, coffee/tea, energy drinks (high or low), and alcohol (Table 1). Foods were categorized based on nutrient profiles or culinary use and were grouped similar to those used in other studies [25]. Frequency of dietary intake of these 30 food groups for each individual was used to identify major dietary patterns. Age, sex, race-ethnicity, BMI, PIR, time of examination, use of supplements, energy intake, and screen use hours were considered as potential confounding variables as these are known to affect serum 25(OH)D concentrations [18, 26]. Participants were categorized into 2–3 y, 4–8 y, 9–13 y, and 14–19 y old

age groups. BMI was categorized as normal weight (<85th percentile) and overweight and obese (≥85th percentile) for age and sex. PIR was categorized as below poverty (< 1.0), middle income (1.0–2.5), higher income (> 2.5), and not reported. Combined television, computer, and video game use hours were categorized as ≤2 h, 3–4 h, or > 4 h/d. Smoking status and alcohol intake variables were also considered as potential confounding variables. However, smoking-related questions were only asked to children aged ≥12 y and alcohol-related questions were asked to adults aged ≥20 y; therefore, both were dropped from the analysis.

Table 1 Food groups used in the dietary pattern analysis: NHANES 2003-2006^a

Food Groups ^b	Foods from Food-Frequency Questionnaire ^c
Low-fat dairy	1, 2%, skim, nonfat, and evaporated milk; yogurt/frozen, low-fat cheese, and low-fat sour cream
High-fat dairy	Whole milk, cream, ice cream, pudding, cottage cheese, cheese, and sour cream
Dairy alternative	Soy, rice, and other milk; non-dairy creamer, and meal replacement beverage
Fish and other seafood	Oysters, clams, and shellfish; fish: fillets, sticks, tuna, salmon, and raw fish sushi
Eggs	Egg whites, whole egg, egg substitute, and egg salad
Meat	Beef, steak, roasts, hamburger, pork, ribs, and ham
Processed Meat	Bacon, Canadian bacon, sausage, hot dogs, luncheon meats, liver, and Liverwurst
Poultry	Chicken, all types; and turkey
Creamed soup	Creamed soups, all types; and chowders
Other soup	Broth-based soups and bean soups
Pizza	Pizza, all types
Mixed dishes	Casseroles, lasagna, macaroni and cheese, and chili
Cereal	Oatmeal, grits, and other cooked cereals; and cold cereal, all types
Refined grains	English muffin, bagel, roll, cracker, stuffing, cornbread, biscuit, pancake, waffle, pasta, and rice
Whole grains	Dark breads and rolls; brown rice, bulgur, cracked wheat and millet; and granola bars
Nuts	Peanuts, walnuts, and other nuts; seeds; and nut butters
Legumes	Pintos, kidney, blackeyed peas, lima, lentils, refried beans, baked beans, soybeans, and tofu
Starchy vegetables	White potatoes, french fries, and potato salad; squash, sweet potatoes, carrots, and yams
Tomatoes	Tomatoes, including fresh, tomato juice, and salsa
Cruciferous and green vegetables	Spinach, turnip, collard, chard, kale, broccoli, cabbage, cauliflower, Brussel sprouts, and lettuce
Other vegetables	Pickles, green beans, peas, peppers, onion, cucumber, corn, and mixed Vegetables
Fruit	Apples, pears, peaches, bananas, melons, strawberries, grapes, pineapple, and dried fruit
Fruit juices	Orange juice, grapefruit juice, apple juice, grape juice, and prune juice
Sweets and Snacks	Donuts, danish, cookie, brownie, cake, pie, cobbler, popcorn, pretzels, tortilla chips, and candy
Butter and Margarine	Butter and margarine, all types
Other fats	Olive oil, corn oil, canola oil, salad dressings, mayonnaise, and gravies
Condiments	Maple syrup, honey, jam, and jelly
Coffee/Tea	Coffee and tea, regular and decaffeinated
Energy drinks	Sodas and fruit drinks, including Hi-C, Kool-Aid, lemonade, and cranberry cocktail
Alcohol	Beer, wine, wine coolers, hard liquor, and mixed drinks

^a $n = 4404$; weighted $n = 60,274,698$. NHANES 2003–2004 and 2005–2006 were combined into one master database, NHANES 2003–2006

^bFoods consumed by survey participants were categorized into 30 food groups based on nutrient profiles or culinary use

^cFood consumption data were collected using a 216-item qualitative Food Frequency Questionnaire

Data analysis

Statistical analysis was performed using SAS statistical software (version 9.2, SAS Institute) as it is capable of handling the complex survey design of NHANES. The survey analysis procedures accounted for primary sampling unit, stratum, cluster, and observation weight in the calculation of variances used for interval estimation and hypothesis testing. The NOMCAR option was used in all analyses so that design variables with missing values are used in the domain analysis to estimate variances using Taylor series linearization method. Detailed guidelines on the sample weighting and the proper variance estimation procedures are outlined in the NHANES Analytic and Reporting Guidelines [20].

Factor analysis (principal component) was used to identify dietary patterns based on the frequency of dietary intake of the 30 predefined food groups. The PROC FACTOR procedure in SAS was used to conduct this analysis. The factors were rotated by orthogonal transformation to achieve a structure of independent factors with greater interpretability. The number of factors that were retained was determined based on an Eigenvalue (≥ 1.5), explained variance ($\geq 5\%$), and Cattell scree plot. The remaining factors were considered the main dietary patterns and were labeled based on interpretation of the data. Factor loadings were derived for each of the 30 food groups across the extracted factors. For each dietary pattern, a factor score was calculated for each participant by combining the frequency of dietary intake of the food groups weighted by their factor loadings. Dietary pattern scores were then stratified into tertiles (low, medium, and high) based on the factor scores for each dietary pattern.

Chi-square tests were used to identify associations between demographic, lifestyle, and health characteristics among the dietary pattern tertiles. Multivariate-adjusted regression analysis was used to determine the associations between serum 25(OH)D concentrations and dietary patterns. Associations were analyzed according to the participants' factor scores for each dietary pattern divided into tertiles (low, medium, and high), and to the factor scores as a continuous variable. This analysis included sex, age, race-ethnicity, use of supplements, time of examination, BMI, PIR, and screen use hours as potential confounding variables. Variables found to be non-significant such as PIR and use of supplements were dropped from the model. Because previous studies found differences of serum 25(OH)D concentrations by sex [11, 26], the present analysis for the relation between serum 25(OH)D and dietary patterns was then stratified by sex. Univariate ANOVA was used to establish if serum 25(OH)D concentrations varied across dietary patterns for all subjects, boys, and girls in an unadjusted analysis. Analysis of covariance (ANCOVA) was utilized to establish if serum

25(OH)D concentrations varied across dietary patterns after adjusting for various confounding variables. Multiple comparisons among dietary patterns for serum 25(OH)D concentrations were made using independent unpaired t-tests with a Bonferroni correction. Serum 25(OH)D concentrations were presented as mean \pm standard error (SE). Statistical significance was set at $\alpha = 0.05$.

Results

General demographic characteristics of study sample

The sample consisted of 51.5% ($n = 2154$) boys and 48.5% ($n = 2250$) girls. Of the 4404 participants, 62.5% ($n = 1293$) were NHW, 15.3% ($n = 1428$) were NHB, and 13.3% ($n = 1323$) were H/MA. The participants were distributed across the age categories: 8.4% ($n = 399$) 2–3 y, 26.4% ($n = 924$) 4–8 y, 29.9% ($n = 1282$) 9–13 y, and 35.3% ($n = 1799$) 14–19 y olds. Of the study population, 34.1% ($n = 1133$) reported having taken a supplement 30 d prior to the completing the survey. The majority (61.1%, $n = 2215$) of the participants were examined in the summer. 84.8% ($n = 3626$) were classified as healthy weight and 15.2% ($n = 778$) as overweight and obese based on the BMI. The majority (49.9%, $n = 1984$) reported ≤ 2 h/d of television, computer, and video game usage, although 28.5% ($n = 1296$) reported between 3 and 4 h/d of usage and 21.6% ($n = 1124$) reported > 4 h/d of usage.

Dietary patterns

Two major dietary patterns were identified based on the factor analysis from the 30 predefined food groups (Table 1). Higher positive factor loading scores are interpreted to contribute most to the factor score, and conversely, higher negative factor loading scores contribute least to the factor score. The 1st factor had heavy factor loading scores for meats, snacks and sweets, condiments, mixed dishes, pizza, processed meats, refined grains, high fat dairy, coffee/tea, poultry, starchy vegetables, and fish and other seafood. Thus, the 1st factor was labeled as the High-Fat-Low-Vegetable Dietary (HFLVD) pattern. This was the most dominant dietary food pattern in the population and explained largest variance in food intake. The 2nd factor had heavy factor loading scores for all vegetable groups, fruit, other fats, mixed dishes, fish and other seafood, tomatoes, and meats. Thus, the 2nd factor was labeled as the Prudent dietary (PD) pattern. The detailed factor loading matrixes are listed in Table 2.

Characteristics of study population by dietary pattern

The sample distribution by characteristics of the study population across tertiles of each dietary pattern is presented in Table 3. Proportion of persons belonging to NHW and H/MA race-ethnicities tended to decrease, while proportion of persons belonging to NHB tended

Table 2 Factor loading matrix for dietary patterns in National Health and Nutrition Examination Survey (NHANES) 2003-2006^{ab}

Category ^c	Factor 1: HFLVD Pattern ^d	Factor 2: PD Pattern ^d
Cruciferous & green vegetables	0.118	0.705
Other vegetables	0.144	0.734
Tomatoes	0.172	0.464
Starchy vegetables	0.514	0.518
Fruit	0.199	0.676
Fruit juice	0.364	0.243
Nuts	0.228	0.409
Legumes	0.085	0.406
Fish & other seafood	0.505	0.479
Meat	0.645	0.457
Poultry	0.519	0.392
Processed Meat	0.580	0.346
Whole grains	-0.049	0.448
Refined grains	0.570	0.448
Cereals	0.084	0.347
Eggs	0.279	0.298
Low fat dairy	-0.136	0.413
High fat dairy	0.543	0.133
Dairy Alternative/Meal Replacement	0.203	0.082
Creamed soups	0.163	0.196
Other soups	0.299	0.415
Mixed dishes	0.605	0.523
Pizza	0.604	0.089
Snacks & sweets	0.635	0.338
Butter & Margarine	0.423	0.288
Other fats	0.353	0.525
Condiments	0.632	0.173
Energy drinks	0.513	-0.074
Alcohol	0.120	-0.018
Coffee/Tea	0.523	-0.078

^a $n = 4404$; weighted, $n = 60,274,698$. Correlation coefficients

^bFactor procedure, principal component analysis. Two factors with Eigenvalues ≥ 1.5 were rotated and extracted. Factors were labeled according to the foods found to have the highest correlation coefficients within each factor (dietary pattern). Positive factor scores indicate that those foods are more likely to be consumed in that dietary pattern. Lower negative scores indicate that those foods are least likely to be consumed in that dietary pattern

^cFood categories were based on consumption data collected from a 216-item Food Frequency Questionnaire from NHANES 2003-2004 and 2005-2006. Individual foods were categorized into 30 food groups

^dHigh-Fat-Low-Vegetable Dietary Pattern or Prudent Dietary Pattern

to increase across the tertiles of HFLVD pattern scores ($P < 0.001$). Proportion of children in the 14-19 y old age group tended to increase from tertile 1 (30.1%) to tertile 3 (40.6%) ($P = 0.003$), while their proportion

tended to decrease from tertile 1 (48.8%) to tertile 3 (26.8%) ($P < 0.001$). In both the HFLVD and PD patterns, the proportion of children in the lowest PIR category tended to increase from the lowest tertile to the highest tertile pattern scores. In the HFLVD pattern, the proportion of children who viewed ≤ 2 h of combined television, computer, and video games tended to decrease from the lowest tertile (59.8%) to the highest tertile (41.6%) pattern scores ($P < 0.001$), while they tended to increase from the lowest (42.7%) to the highest tertile (55.4%) pattern scores ($P < 0.001$).

Subjects in the low-intake group of the HFLVD pattern (P for trend = 0.003) and the high-intake group of the PD pattern (P for trend < 0.001) were more likely to have consumed supplements. Subjects in the low-intake group of the PD pattern had a higher BMI (P for trend < 0.001). Subjects in the high-intake group of the HFLVD pattern had higher combined usage of television, computer, and video games/d than those in the low-intake group (≤ 2 h/d) (P for trend < 0.0001). Subjects in the high-intake group of the PD pattern had lower combined usage of television, computer, and video games/d (P for trend < 0.0001).

Relation between serum 25(OH)D and dietary patterns

The relation of mean serum 25(OH)D concentrations and dietary pattern according to the tertiles of factor scores are presented in Table 4. In the unadjusted regression analysis, serum 25(OH)D concentrations differed significantly across the tertiles of the HFLVD pattern scores ($P = 0.003$) and PD pattern scores ($P = 0.012$). Subjects who had low-intake HFLVD pattern scores had greater serum 25(OH)D concentrations compared to those with medium and high-intake pattern scores ($+ 1.2 \pm 0.1$ ng/mL and $+ 2.5 \pm 0.01$ ng/mL, respectively). When the model was adjusted to confounding variables, mean serum 25(OH)D concentrations of the boys and girls with low, medium, and high-intake HFLVD or PD patterns scores did not differ significantly. Girls with medium and high PD pattern scores had slightly higher mean serum 25(OH)D concentrations compared to those with low pattern score (21.5 ± 0.5 vs. 19.9 ± 0.6 ng/mL; $P = 0.064$).

The relation between serum 25(OH)D concentrations and dietary pattern scores as a continuous variable is presented in Table 5. In unadjusted analysis, subjects with higher HFLVD contributions scores to overall diet showed a significant negative relation to serum 25(OH)D concentrations ($\beta = -135.56$; $P < 0.001$). In the same unadjusted analysis, subjects with higher PD contribution scores to overall diet did not show a significant association with serum vitamin D concentrations ($\beta = -57.1$; $P = 0.073$). However when subjects were stratified into

Table 3 Sample distribution by demographic and health characteristics according to the tertiles of factor scores for dietary patterns: National Health and Nutrition Examination Survey (NHANES) 2003–2006^{a, b}

Characteristic	HFLVD Pattern Score ^c (n = 4404)				PD Pattern Score ^c (n = 4404)			
	Low (<−0.003875)	Medium (−0.003875 to 0.000925)	High (> 0.000925)	P for Trend ^d	Low (< 0.004175)	Medium (− 0.004175 to 0.001912)	High (> 0.001912)	P for Trend ^d
N	1338	1465	1601		1523	1376	1505	
Sex								
Boys, %	48.6	51.8	54.2	0.165	48.7	55.8	50.1	0.098
Girls, %	51.4	48.2	45.8		51.3	44.2	49.9	
Race-ethnicity								
NHW, %	70.0	62.2	55.2	< 0.001	61.0	66.4	60.0	0.003
NHB, %	7.3	13.9	24.7		18.0	13.2	14.7	
H/MA, %	14.3	14.1	11.5		10.9	13.1	15.9	
Other, %	8.3	9.8	8.6		10.9	7.3	9.4	
Age								
2–3 y, %	9.6	8.9	6.7	0.003	5.3	8.9	11.0	< 0.001
4–8 y, %	25.8	26.6	26.8		18.6	30.0	30.4	
9–13 y, %	34.6	29.4	25.8		27.3	30.7	31.8	
14–19 y, %	30.1	35.1	40.6		48.8	30.4	26.8	
Poverty income ratio ^e								
< 1.0, %	16.7	19.6	28.4	< 0.001	20.4	20.0	24.3	0.001
1.0–2.5, %	33.0	31.9	32.0		32.5	29.8	34.7	
≥2.5, %	48.1	44.7	37.2		42.3	48.2	39.3	
Not reported, %	2.1	3.8	2.4		4.8	2.0	1.6	
Season of Examination ^f								
Fall/Winter, %	40.2	39.6	36.8	0.693	39.0	36.3	41.4	0.395
Spring/Summer, %	59.8	60.4	63.2		61.0	63.7	58.6	
Use of Supplements ^g								
Yes, %	38.4	35.3	28.5	0.003	27.0	36.4	38.9	< 0.001
No, %	61.6	64.7	71.5		73.0	63.6	61.1	
Body mass index								
<85th percentile, %	86.0	84.0	84.5	0.610	81.8	86.2	86.4	0.028
≥85th percentile, %	14.0	16.0	15.5		18.2	13.8	13.6	
Daily screen viewing ^h								
≤2 h, %	59.8	48.4	41.6	< 0.001	42.7	51.6	55.4	< 0.001
3–4 h, %	25.1	28.8	31.5		30.2	27.8	27.4	
> 4 h, %	15.2	22.8	26.9		27.0	20.6	17.3	

^an = 4404; weighted n = 60,274,698. NHANES 2003–2004 and 2005–2006 were combined into one master database, NHANES 2003–2006

^bDietary pattern scores were stratified into tertiles (low, medium, and high) based on factor scores for each dietary pattern

^cHigh-Fat-Low-Vegetable Dietary pattern or Prudent Dietary pattern

^dSignificance determined by Rao-Scott chi-square test

^eRatio of income to the family's appropriate poverty threshold. A ratio of < 1.0 is characterized as below poverty

^fData collected during May 1–October 31 (spring/summer) and November 1–April 30 (fall/winter)

^gParticipants who took supplements 30 days before survey was conducted

^hData collected on the combined hours of television, computer, and video games usage per day

boys and girls, only girls showed significant relation with serum 25(OH)D concentrations for both dietary pattern scores. Girls with higher HFLVD contribution scores showed a significant negative relation ($\beta = -193$; $P < 0.001$) and girls with higher PD contribution scores

showed a significant positive association to serum 25(OH)D concentrations ($\beta = 79.8$; $P = 0.035$).

In the adjusted multivariate regression analysis, all subjects with higher PD contribution scores to overall diet showed a significant positive relation with serum

Table 4 Relation of serum 25(OH)D concentrations with dietary pattern scores: National Health and Nutrition Examination Survey (NHANES) 2003-2006^{a,b}

	HFLVD Pattern Score (<i>n</i> = 4404) ^{c, d}				PD Pattern Score (<i>n</i> = 4404) ^{c, d}			
	Low (< -0.003875)	Medium (-0.003875 to 0.000925)	High (> 0.000925)	<i>P</i> -value ^e	Low (< 0.004175)	Medium (-0.004175 to 0.001912)	High (> 0.001912)	<i>P</i> -value ^e
	ng/mL	ng/mL	ng/mL		ng/mL	ng/mL	ng/mL	
Unadjusted analysis								
All subjects (<i>n</i> = 4404)	27.3 ± 0.5	26.1 ± 0.6	24.8 ± 0.7	0.003	24.7 ± 0.7	26.8 ± 0.5	26.7 ± 0.6	0.012
Boys (<i>n</i> = 2154)	27.4 ± 0.6	26.8 ± 0.7	25.8 ± 0.8	0.123	25.8 ± 0.7	26.9 ± 0.7	27.3 ± 0.7	0.151
Girls (<i>n</i> = 2250)	27.2 ± 0.7	25.2 ± 0.8	23.6 ± 0.8	0.003	23.6 ± 0.9	26.6 ± 0.6	26.1 ± 0.7	0.005
Adjusted analysis								
All subjects (<i>n</i> = 4404) ^f	22.1 ± 0.4	22.1 ± 0.3	21.7 ± 0.5	0.594	21.4 ± 0.5	22.1 ± 0.3	22.5 ± 0.4	0.195
Boys (<i>n</i> = 2154) ^g	22.9 ± 0.5	23.3 ± 0.4	23.1 ± 0.6	0.810	23.0 ± 0.5	22.9 ± 0.4	23.5 ± 0.5	0.370
Girls (<i>n</i> = 2250) ^g	21.4 ± 0.5	20.9 ± 0.5	20.4 ± 0.7	0.529	19.9 ± 0.6	21.5 ± 0.5	21.5 ± 0.5	0.064

^a*n* = 4404; weighted *n* = 60,274,698. NHANES 2003–2004 and 2005–2006 were combined into one master database, NHANES 2003–2006. Regression analysis of dietary patterns scores and serum 25(OH)D concentrations

^bDietary pattern scores were stratified into tertiles (low, medium, and high) based on factor scores for each dietary pattern

^cMean ± standard error

^dHigh-Fat-Low-Vegetable Dietary Pattern or Prudent Dietary Pattern

^eSignificance determined by F-test in analysis of variance for unadjusted analysis and in analysis of covariance for adjusted analysis

^fAnalysis was adjusted for sex, race-ethnicity, age, season of examination, body mass index, and daily screen viewing. Poverty income ratio, supplement use, and energy intake were not found significant in this model and therefore those variables dropped

^gAnalysis was adjusted for race-ethnicity, age, time of examination, body mass index, and daily screen viewing. Poverty income ratio, supplement use, and energy intake were not found significant in this model and therefore these variables were dropped

25(OH)D ($\beta = 59.1$; $P = 0.017$). Similarly, when subjects were stratified into boys and girls in the adjusted analysis, only girls showed a significant relation with serum 25(OH)D concentrations for both pattern scores. Girls with higher HFLVD contribution scores showed a significant negative relation ($\beta = -88.5$; $P = 0.016$) and girls with higher PD contribution scores showed a significant positive association ($\beta = 82.1$; $P = 0.015$) to serum 25(OH)D concentrations.

Discussion

To our knowledge, this is the most comprehensive study that investigated the relation between serum 25(OH)D concentrations and dietary patterns in children and adolescents in a nationally representative sample survey. Using factor analysis, we derived HFLVD and PD patterns. Serum 25(OH)D was significantly lower in HFLVD compared to PD pattern, and the highest serum 25(OH)D concentrations for all subjects were in those with low HFLVD or medium and high-intake PD patterns scores. In the multivariate adjusted analysis, a significant positive relation was found between PD pattern factor scores and serum 25(OH)D concentrations. When data were stratified by sex, a significant positive relation was observed in girls who consumed the PD dietary pattern and a significant negative relation was observed in girls who consumed the HFLVD dietary pattern.

Dietary patterns derived in this study were similar to the dietary patterns reported in the literature on US

children [27]. Poti et al. [27] using the NHANES data derived Western and Prudent dietary patterns. Studies relating vitamin D intake with the vitamin D status have shown conflicting results [13, 15, 27]. We found that subjects with high PD pattern scores had significantly higher serum 25(OH) concentrations compared to those with HFLVD pattern scores. In contrast, Polish vegetarian children had 2-fold lower serum 25(OH)D concentrations than in their omnivorous counterparts [28]. While in this study those who consumed the PD pattern were not necessarily vegetarians, they did have higher factor scores for many similar type of foods found in a vegetarian diet and lesser factor scores for foods typical in an omnivorous diet. However Chan et al. [29] found no association between serum 25(OH)D and vegetarian status. Differences between studies may be due to differences in subject characteristics and confounding variables used in the statistical analysis.

The highest serum concentrations for all subjects were found in those with low-intake HFLVD scores or in those with medium- and high-intake PD pattern scores. In this analysis individuals were scored on each pattern, therefore a person's diet would be represented by a combination of both factors. A high factor score from one dietary pattern does not necessarily mean a low factor score from the other dietary pattern for an individual. However, these results seem to suggest that the greatest serum 25(OH)D concentrations occurred in individuals who consumed a healthier type diet that had a higher

Table 5 Relation of serum 25(OH)D concentrations with dietary pattern scores: National Health and Nutrition Examination Survey (NHANES) 2003–2006^{a,b}

	β^c	Standardized β	SE for β^d	P-value ^e
Unadjusted analysis				
HFLVD Pattern Score ^f				
All subjects	-135.6	-0.13	32.3	< 0.001
Boys	-81.4	-0.08	47.8	0.099
Girls	-193.0	-0.19	36.7	< 0.001
PD Pattern Score ^f				
All subjects	57.12	0.06	30.8	0.073
Boys	36.13	0.04	31.8	0.265
Girls	79.75	0.08	36.1	0.035
Adjusted analysis				
HFLVD Pattern Score ^f				
All subjects ^g	-39.1	-0.04	26.6	0.153
Boys ^h	17.7	0.02	36.3	0.631
Girls ^h	-88.5	-0.09	34.6	0.016
PD Pattern Score ^f				
All subjects ^g	59.1	0.06	23.5	0.017
Boys ^h	35.7	0.03	24.1	0.149
Girls ^h	82.1	0.08	31.8	0.015

^a $n = 4404$; weighted $n = 60,274,698$. NHANES 2003–2004 and 2005–2006 were combined into one master database, NHANES 2001–2006

^bRegression analysis using factor scores as continuous variable and dependent variable, serum 25(OH)D concentrations

^cMultivariate regression coefficient

^dStandard error for multivariate regression coefficient

^eSignificance between dietary patterns and serum 25(OH)D in the regression model

^fHigh-Fat-Low-Vegetable Dietary pattern or Prudent Dietary pattern

^gAnalysis was adjusted for sex, race-ethnicity, age, season of examination, body mass index, and daily screen viewing. Poverty income ratio, supplement use, and energy intake were not found significant in this model, therefore those variables were dropped from the analysis

^hAnalysis was adjusted for race-ethnicity, age, season of examination, body mass index, and daily sun screen viewing. Poverty income ratio, supplement use, and energy intake were not found significant in this model, therefore those variables were dropped from the analysis

emphasis on vegetables, fruits, and some emphasis on mixed dishes, fish, and meats. The differences seen in serum 25(OH)D concentrations may be due to the factors other than diet; because when the analysis was adjusted for confounding variables, the association between serum 25(OH)D concentrations and dietary patterns was no longer present. This was seen in other studies such that other factors such as race, season, and sun exposure were more significant predictors of serum 25(OH)D concentrations than dietary intake [30, 31].

The higher serum 25(OH)D concentrations in those who adhere more closely to a PD pattern may be related to certain lifestyle and health-related factors. In this study, we found that children with high PD pattern scores had consumed more supplements compared to

this with high HFLVD pattern scores. Consumption of dietary supplements has been associated with an increase of serum 25(OH)D concentration [32]. Children who consumed vitamin D supplements were less likely to be vitamin D deficient [9]. Additionally, it has been suggested that the bioavailability of vitamin D may be low in those who are overweight or obese because of excessive sequestering of vitamin D in adipose tissue [33]. Gordon et al. [12] found that a higher BMI and being African American was associated with decreased serum 25(OH)D. Similarly, we found that subjects with high HFLVD pattern scores were tend to be overweight or obese and NHB. This could be a possible explanation of the lower serum 25(OH)D concentrations in those who adhered more closely to the HFLVD pattern. Furthermore, greater indoor activity measured by hours spent watching television, using computers, or playing video games has also been found to be a factor associated with lower 25(OH)D concentrations [9]. In the present study, there was a greater proportion of children who had ≤ 2 h/d of screen viewing time had higher PD pattern scores compared to those with high HFLVD pattern score suggesting decreased overall screen viewing time is an important factor for the increased serum 25(OH)D concentrations in those who adhere to a PD.

Furthermore, the relation of serum 25(OH)D with both dietary patterns remained for girls only in the adjusted analysis. Nanri et al. [34] found higher serum 25(OH)D concentrations in women who had higher fish/shellfish consumption and lower BMI. They proposed the difference may be related to body composition of females compared to males. Because women generally have higher fat mass than men and vitamin D is fat-soluble, this could result in higher amounts being stored in the fat tissue of females and lower serum vitamin D concentrations. This could be a possible explanation for why in the present study we have observed girls who adhere most closely to the HFLVD pattern have significantly lower serum vitamin D concentrations.

The present study has several strengths that included a nationally representative survey with a large sample size of children and adolescents. Because of a wide range of data are available on demographic characteristics, dietary information, and other health-related factors, we were able to adjust serum 25(OH)D concentrations for several known confounding variables. Results in this study can be interpreted towards the general US children and adolescents population because NHANES is based on a probability sample survey design and is representative of the US population. Because of cross-sectional nature of this study, cause and effect measurement is not possible. In addition, dietary intakes of children estimated by a FFQ may be underreported due to subjects' inability to recall intakes accurately [35].

The errors in reporting food intakes may be minimal because the FFQ used in NHANES had been previously tested and validated by the National Cancer Institute.

Conclusions

Overall, serum 25(OH)D concentration was associated with the PD pattern but not with the HFLVD pattern in US children and adolescents. When stratified by sex, the relation between dietary patterns and serum 25(OH)D was confined to only girls. Although vitamin D status has improved slightly recently, the hypovitaminosis D is still evident in US children and adolescents [36]. Because of hypovitaminosis D is linked to several chronic diseases [3–5], it is prudent to improve the vitamin D status of children to reduce the future risk for chronic diseases. In public health perspective, it is important to encourage children, especially girls who are consuming HFLVD pattern to shift to healthier diet.

Abbreviations

25(OH)D: 25-hydroxyvitamin D; ANCOVA: Analysis of Covariance; BMI: Body mass index; FFQ: Food frequency questionnaire; H/MA: Hispanic/Mexican American; HFLVD: High Fat-Low-Vegetable Dietary Pattern; MEC: Mobile Examination Centers; NCHS: National Center for Health Statistics; NHANES: National Health and Nutrition Examination Survey; NHB: non-Hispanic black; NHW: non-Hispanic white; PD: Prudent Dietary Pattern; PIR: Poverty Income Ratio; SE: Standard error; T2DM: type-2 diabetes mellitus

Authors' contributions

All authors substantially contributed to the conception, design, acquisition of data, analysis, and interpretation of results. VG and BM wrote the manuscript. WEVF was in charge of data management and data analysis. All authors reviewed, revised, and edited the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Adaptation and validation of a food frequency questionnaire (FFQ) to assess dietary intake in Moroccan adults

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Abstract

Background: To date, no culture-specific food frequency questionnaires (FFQ) are available in North Africa. The aim of this study was to adapt and examine the reproducibility and validity of an FFQ or use in the Moroccan population.

Methods: The European Global Asthma and Allergy Network (GA²LEN) FFQ was used to assess its applicability in Morocco. The GA²LEN FFQ is comprised of 32 food sections and 200 food items. Using scientific published literature, as well as local resources, we identified and added foods that were representative of the Moroccan diet. Translation of the FFQ into Moroccan Arabic was carried out following the World Health Organization (WHO) standard operational procedure. To test the validity and the reproducibility of the FFQ, 105 healthy adults working at Hassan II University Hospital Center of Fez were invited to answer the adapted FFQ in two occasions, 1 month apart, and to complete three 24-h dietary recall questionnaires during this period. Pearson correlation, and Bland-Altman plots were used to assess validity of nutrient intakes. The reproducibility between nutrient intakes as reported from the first and second FFQ were calculated using intra-class correlation coefficient (ICC). All nutrients were log-transformed to improve normality and were adjusted using the residual method.

Results: The adapted FFQ was comprised of 255 items that included traditional Moroccan foods. Eighty-seven adults (mean age 27.3 ± 5.7 years) completed all the questionnaires. For energy and nutrients, the intakes reported in the FFQ1 were higher than the mean intakes reported by the 24-h recall questionnaires. The Pearson correlation coefficients between the first FFQ and the mean of three 24-h recall questionnaires were statistically significant. For validity, de-attenuated correlations were all positive, statistically significant and ranging from 0.24 (fiber) to 0.93 (total MUFA). For reproducibility, the ICCs were statistically significant and ranged between 0.69 for fat and 0.84 for Vitamin A.

Conclusion: This adapted FFQ is an acceptable tool to assess usual dietary intake in Moroccan adults. Given its representativeness of local food intake, it can be used as an instrument to investigate the role of diet on health and disease outcomes.

Keywords: Food frequency questionnaire, Morocco, North Africa, Validity, Reproducibility, Diet, GA²LEN

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Background

The burden of chronic non-communicable diseases (NCD) in African countries continues to rise [1]. The epidemiological profile of North Africa increasingly mirrors that of more developed societies, where cancer, cardiovascular, and respiratory diseases represent a major societal and health burden. Prevalence of these, and other NCDs related to diet, has continuously increased in the last two decades [2–4], but there is scant scientific evidence on the role of dietary habits on disease risk and prevalence in the Moroccan population [5, 6].

Food frequency questionnaires (FFQs) are a helpful instrument to ascertain usual dietary intake and its relationship with health and disease outcomes [7, 8]. Although FFQs are widely used in Europe and America [9, 10], nutritional epidemiology in Morocco remains hindered by the lack of locally representative dietary questionnaires, particularly FFQs. We are only aware of one FFQ recently developed to ascertain usual fruit and vegetable intake in Moroccan adults [11]. To date, the vast majority of what we know about dietary habits and chronic disease in this country relates to their association with Ramadan and obesity [2, 12].

The rapid socio-economic transition in North Africa has been accompanied by changes in the way the population eat, which are not easily captured with dietary questionnaires from, for example, high income countries. Morocco is a fast-growing developing country with a diet characterised by intake of vegetable-based dish, spices, and meat [11–13], and a rich combination of very traditional dishes with a more modern cuisine. Having FFQs that reflect such transitions and cultural features are urgently needed to identify regionally and locally relevant dietary risk factors for health and disease outcomes. To implement these FFQs, the validity and reproducibility of the instrument needs to be assessed [14, 15].

Our study was aimed at adapting the international GA²LEN FFQ to include staple foods consumed in Morocco, and at validating it in a sample of health Moroccan adults.

Methods

Participants

One hundred five adults working at Hassan II University Hospital Center of Fez were invited to answer the three 24-Hour Recall and the FFQ in two occasions. Eligibility to take part in the study was defined as having a regular diet over the previous 12 months and not have used any medications known to affect food intake or appetite during this period. The subjects had a stable weight. Data collection was conducted over a period of 4 months (July to October) in 2009.

FFQ adaptation

The Global Asthma and Allergy Network (GA²LEN) FFQ was adapted to reflect the Moroccan diet. The GA²LEN FFQ was designed to be used as a single, common instrument to assess dietary intake across Europe [9]. It was initially piloted and validated in five European countries, and it has been subsequently used in several multi-national studies including high and low income countries [16].

To adapt the GA²LEN FFQ to the Moroccan diet we compiled information published in the scientific literature on usual foods commonly consumed in Morocco and these were added to each section. In order to retain its international comparability, several food items from the original GA²LEN FFQ were kept in each of the sections even though they were not necessarily relevant to the Moroccan diet (e.g. pork or alcohol intake).

The Standard Operational Procedure (SOP) of the World Health Organization [17] was followed for the forward and back translations from English to Moroccan Arabic. A first translation from English into Moroccan Arabic (*version 1*) was carried out by a bilingual person. This version was then tested amongst five people from the respiratory unit of the University Hospital of Fez. Doubts and difficulties in answering the questions were investigated and after this initial assessment, a second Arabic version was produced (*version 2*). To improve the identification of foods relevant to the Moroccan population, the research team in Fez also visited several local markets and supermarkets to identify common brand names and foods that could be relevant and were added accordingly, adding up to a total of 255 food items in the FFQ (Table 1). Subsequent back-translation into English was performed by another translator with a good knowledge of English but who had not seen the FFQ before. A final draft of the FFQ (*version 3*) was agreed in Moroccan Arabic and English (Table 1).

Each food item in the FFQ was assigned a portion size using standard local household units such as plate, bowl, spoons of different size (tablespoon, teaspoon), tea-pot, tea-glass, and glass of water, as well as using photographs from a booklet ('Food and typical preparations of the Moroccan population' [14]).

Frequency of dietary intake reported in the FFQ was estimated by selecting one of eight categories: never, once to three times per month, once a week, twice to four per week, five to six times per week, once per day, twice to three times, more than four times.

Validation of the FFQ

The FFQ was validated against the average of three 24-h recall questionnaires over a period of 1 month (Fig. 1). Participants were first asked to answer a 24-h recall questionnaire, where they reported all the foods and beverages consumed the day before, providing

Table 1 Foods included in FFQ for Morocco

Name
1-Bread
Any type of bread
Bread, whole meal, average (Durum Wheat)
Bread, white, French stick
Bread of zouane (Rye)
Mllaoui/rghaif/mssemen/batbout/matlouaa
Bread of smida/harcha (Semolina)
Homemade bread
Other type of bread (barley)
2-Breakfast with grains
Any type of grains
Assida/Smida
Dchicha/belboula
Porridge (herbel), mflak
All-Bran
Corn Flakes
3-Couscous
Barley Couscous, cooked with meat, vegetables and dried grape
Barley Couscous, cooked with sugar and cinnamon
Wheat Couscous, cooked with meat, vegetables and dried grape
Wheat Couscous, cooked with sugar and cinnamon
Corn Couscous, cooked with meat, vegetables and dried grape
Corn Couscous, cooked with sugar and cinnamon
4-Pasta
Any type of Pasta
Pasta white boiled (Spaghetti, Macaroni)
Pasta, whole meal, boiled
Pasta with meat vegetables and cheese
Chaarria Mhammsa
5-Cake
Any type of cake, cherry
Madeleine cake
Cake with date
Croissants
Moroccan sweetees
Basboussa Maqrout
Aassida
Doughnuts, ring
Rice pudding, canned
Pancake roll
Cake, coconut
Sellou Zammita
Chabbakia Mkharrka
6-Rice

Table 1 Foods included in FFQ for Morocco (*Continued*)

Name
Any type of rice, brown, boiled
Rice, white, easy cook, boiled
Rice, brown, boiled
Noodles, rice, dried
7-Sugar
Sugar, white
Jam, fruit spread
Honey
Syrup, golden
8-Sweets without chocolate
Chew sweets
Fudge
Toffees
Cereal chewy bar
Polo skimo glace
9-Chocolate
Any type of chocolate
Chocolate covered bar with fruit/nut/bix
Natural white and black chocolate
10-Vegetable oil
Oil, vegetable, blended, average
Oil, safflower
Oil, olive
Oil, Argan
Oil, corn
11-Margarine and vegetable fat
Any margarine and vegetable fat, (except soya fat)
Light margarine or less fat (30% fat)
Margarine (from 40 to 60% fat)
Normal margarine (more than 70% fat)
Mixed fat (except soya)
Original fat of soya (any type)
12-Butter and animals fat
Any animal fat (butter)
Butter with less fat (40% less fat)
Butter with less fat (from 40 to 60% fat)
Smen (traditional butter)
13-Nuts
Any type of dried Fruit
Peanuts, plain
Cashew nuts, roasted & salted
Almonds toasted
Walnuts
Pistachio nuts, roasted & salted

Table 1 Foods included in FFQ for Morocco (Continued)

Name
Chestnuts
Oak nut
14-Legumes
Any legumes
white beans, boiled
Lentils, red, split, boiled
Chick peas, whole, dried, boiled unsal
Green beans/French beans, raw
Broad beans, frozen, boiled in unsalted
Soya beans, dried, boiled
Peas, raw
15-Vegetables (mean dish)
Any vegetables except potatoes
Lettuce, average, raw
Spinach, raw
Fenugreek seeds
Rejla; Bakkoula
Mloukhia (jews Mallow)
Tomatoes, raw
Aubergine, raw (Eggplant)
Courgette, raw (squash)
Peppers, red, raw, yellow
Cucumber, raw
Carrots, raw
Parsnip, raw
Swede, raw
Artichoke globe, raw
Radish, white, mooli, raw
Beetroot, raw
Chilli peppers, green, raw
Sweet corn Kernels, raw
Asparagus, raw
Aromatic herbs (Mint basilica, parsley basil coriander)
Leeks, raw
Mushrooms, black, white
Onions, raw
Garlic, raw
Cauliflower, raw
Pumpkin red
Brussels sprouts, raw
Broccoli, green, raw
Cabbage white, red, green
Tomatoes stuffed with vegetables
Pickle, mixed veg

Table 1 Foods included in FFQ for Morocco (Continued)

Name
Ginger, root
16-Potatoes (mean dish)
Any type of potatoes
Potatoes, old mashed with hard marg
Potatoes, old, baked, flesh & skin
Chips, homemade, fried in blended oil
Salad, potato with French dressing
Potato cakes fried in veg oil
Tortillas
Sweet potato
17-Fruits (one unit)
Any type of fruits
Apples
Pears
Bananas
Peaches
Avocado
Cherries
Lemon pickles
Mulberries, raw, Blackcurrants, Raspberries
Watermelon
Grapes
Mangoes
Apricots
Nectarines
Plums
Dried mixed fruit
Pineapple
Kiwi Fruit
Juice, lemon
Oranges
Mandarine
Grapefruit
Fruit cocktail, conserved in syrup
Figs, raw, dried
Black or green olives
Raisins
Dates, dried with stones
18-Juice
Orange juice (concentrate)
Pomegranate juice (pomegranate, raw)
Any other type of juice
19-Non-alcoholic beverages
Lemonade

Table 1 Foods included in FFQ for Morocco (Continued)

Name
Beet juice
Mineral water
20-Coffee/Tea
Tea, infusion
Coffee, instant, made up
Zizwa (coffee, liquid)
Tea, Chinese, leaves, infusion
Mint, fresh
Other herbal infusions
21-Beer
Any type of beer
22-Wine
Any type of wine
Wine, red
Wine, white, dry
Wine, rose
23- Other-alcoholic beverages
Port, sherry, liqueur,
Spirits 37.5%
24-Red meat
Any type of red meat (beef, cow, lamb, goat)
Beef, fillet steak, forerib, lean & fat, roast, steamed, grilled
Beef in tagine
Minced meat of beef
Lamb, grilled, steamed, roasted
Lamb cooked in tagine, Mrouzia
Minced meat of lamb
Goat meat
Veal, fillet, roast
Camel meat
Rabbit, Duck, partridge
Sausage of beef, lamb, cow, chilled, fried
kocha or bread filled with meat
Kabab, chawarma
Pork
Khliaa/Dried meat
Khliaa (dried meat with salt and cooked with fat), cow
Khliaa (dried meat with salt and cooked with fat), sheep
Qaddid (dried meat with salt), cow, sheep
Dried pork meat
25-Poultry
Any type of chicken
Chicken steamed
Chicken cooked in tagine

Table 1 Foods included in FFQ for Morocco (Continued)

Name
Chicken grilled and roasted
Turkey steamed
Turkey cooked in tagine
Turkey grilled and roasted
Sausage and skewer of turkey
Poultry smoked, conserved
Any poultry smoked, conserved (e.g. mortadella, casheer)
26-Offal (sekat)
Liver of beef, lamb
Tongue, heart, kidney, head, brain, of cow or beef or sheep, lamb
27-Fish
Any fish fresh, smoked, white, fat
Fresh fat fish (e.g. salamon, tuna, truite, sardine, bouri)
White fresh fish (e.g. sole, merlan)
Fresh fish / other sea foods (eggs of fish)
Seafood shrimp, squid, mussels
Frozen seafood
Frozen fat fish (e.g. salamon, tuna, truite, sardine, bouri)
Frozen white fish (e.g. sole, merlan)
Conserved fat fish (e.g. salamon, tuna, truite, sardine, bouri)
Fat fish dried or smoked (e.g. salamon, tuna, truite, sardine, bouri)
White fish dried or smoked (e.g. sole, merlan)
Conserved seafood shrimp, squid, mussels
28-Eggs
Farmer eggs
Farmer egg boiled or sandwich
Farmer eggs's meals: Omlet, eggs with tomatos, eggs with pepper and tomatos
Dessert with Farmer eggs (Cake, egg tart)
Industrial eggs
Industrial egg boiled or sandwich
Industrial egg's meals: Omlet, eggs with tomatos, eggs with pepper and tomatos
Dessert with Industrial eggs (Cake, egg tart)
29-Milk of cow/Milk of soya
Whole milk (milk,cow,whole,3,5%fat)
Lben (alone or with fruit)
Skimmed milk (Milk, cow, skimmed, 0,5% fat)
Semi skimmed milk (Milk, cow, partly skimmed, 1.5% fat)
Milk free fat
Raib
Soya milk
Saykook
Yaourt
Yaourt Activia

Table 1 Foods included in FFQ for Morocco (Continued)

Name
Soya yaourt
30-Cheese
Any type of cheese
Hard cheese (e.g. Cheddar, Parmesan)
Soft cheese (Camembert, Brie, Philadelphia)
Semi hard Cheese (Gouda, Emmental/Edam)
Jben (Natural or aromatic)
Fresh cheese (e.g. Vita, Mozarella)
Others: La vache qui rit, Kiri, Coeur du lait, Junior
31-Other dairy products
Ice cream
Cream
Fresh cream
Double cream
32- Miscellaneous foods
Soup with vegetables and meat
Soup with vegetables and grains (e.g. Dchicha, Smida)
Soup with meat or offal
Soup with fish
Tagine with meat or poultry
Salt brik
Pizza
Sorghum
Chilli sauce
Ketchup
Salad sauce
Mayonnaise
Mustard

qualitative (e.g. type of food) and quantitative (e.g. portions) details. Each of the three 24-h recall questionnaires was administered 10 days apart, on two working days and 1 week-end day. The recalled food items were assigned to the food groups of the adapted FFQ.

The FFQ was completed in two occasions, a month apart, a day after participants completed the first and last 24-h recall questionnaires.

Nutritional composition data for Moroccan foods

Available Food Composition Tables from Morocco were used to derive nutrient composition for several traditional dishes and for some modern products [14, 15]. Additional information needed for non-traditional ('modern') foods was obtained from other regional sources of data, namely the Tunisian food composition table [18], the food composition table for African countries (FAO) [19], the French food composition table (CIQUAL) [20] and the United States department of agriculture nutrient database (USDA) [21].

To calculate total energy intake (TEI), macro-, and micro-nutrient intakes, we created a syntax using the SPSS.20 software. First, the amount of servings consumed was estimated using the standard food portion sizes and these were converted into grams per day [14]. For seasonal foods, participants were asked to answer the question based on intake when these foods were available. The daily intake was calculated according to the number of months per year that each seasonal food was available. TEI and nutrient intakes were calculated by multiplying the frequency of consumption of each food item by the content (per 100 g) and by the specified portion, and then adding the contribution from all food items.

Socio-demographic characteristics

The FFQ had an additional section enquiring about general characteristics namely age, sex, educational level, and occupation. To estimate body mass index (BMI), height and weight were measured using a calibrated equipment (stadiometer and weighing scale, respectively) and BMI was derived using the formula weight (kg) divided by height² (m²).

Statistical analyses

Descriptive results were expressed as means standard deviations, or as percentages and frequencies for continuous and qualitative variables, respectively.

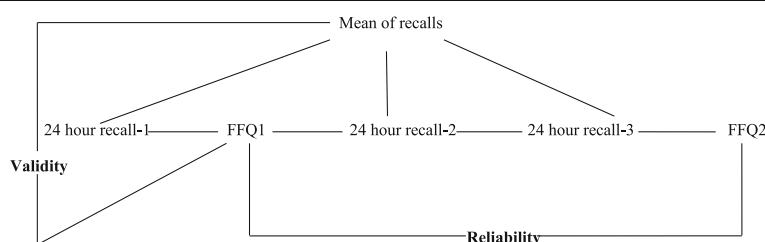


Fig. 1 Schematic representation of the study aiming to test the relative validity and reliability of the Moroccan Food Frequency Questionnaire against 24-hour recalls

The mean daily intake of the three 24-h recall questionnaires was used as a representative average of the consumption reported in these questionnaires. Descriptive means and standard deviations of nutrient intakes estimated by the FFQ the first and second time (FFQ1 and FFQ2), and the average of the three 24-h recall questionnaires are presented as untransformed values. As nutrient variables were not normally distributed these were log-transformed (log10) to reduce skewness and optimize the normality of the distribution.

Validity of the FFQ1 was compared with the average of three 24-h recall questionnaires using Pearson correlation coefficients. Adjustment correlation coefficients for TEI were calculated using the residual method [22] (with TEI as the independent variable and the nutrient as the dependent variable). Energy adjusted intakes were calculated by adding the mean nutrient intake to the residual derived from the regression analysis. The de-attenuated correlations [23] were calculated to remove the within-person variability found in the 24-h recall questionnaires using the following formula:

$$r_t = r_0 \sqrt{1 + r/n}$$

r_t is the corrected correlation between the energy adjusted nutrient derived from the FFQ and 24-h recall questionnaires, r_0 is the observed correlation, r is the ratio of estimated within-person and between-person variation in nutrient intake derived from the three 24-h recall questionnaires, and n is the number of replicated recalls ($n = 3$).

Bland–Altman plots [24, 25] were used to assess agreement between the two methods. For this analysis, the average values of FFQ1 and three 24 Hour Recalls ((FFQ1 + Mean 24 HRs)/2) were plotted against the difference in intake between the two methods, and the limits of agreements (mean difference \pm 1.96 SD (differences)) were used to show how large the disagreements between the two methods.

For the reproducibility of the FFQ, the agreement between FFQ1 and FFQ2 was assessed by Pearson product-moment correlation coefficients and intra-class correlation coefficients (ICC) of transformed nutrients and energy-adjusted nutrient intakes. Statistical analyses were performed using SPSS 20.0.

Participant's consent and ethics

All participants were informed about their role in the study and gave formal consent before being interviewed. The study was approved by the Ethics Committee at University of Fez.

Results

The final version of the adapted FFQ contained 255 foods, which were classified into 32 groups as follows:

(1) bread, (2) breakfast with grains, (3) couscous, (4) pasta, (5) cake, (6) rice, (7) sugar, (8) sweets without chocolate, (9) chocolate, (10) vegetable oil, (11) margarine and vegetable fat, (12) butter and animals fat, (13) dried fruit, (14) legumes, (15) vegetables, (16) potatoes, (17) fruits, (18) juice, (19) non-alcoholic beverages, (20) coffee/tea, (21) beer, (22) wine, (23) other-alcoholic beverages, (24) red meat, (25) poultry, (26) sekat (offal), (27) fish, (28) eggs, (29) milk of cow/milk of soya, (30) cheese, (31) other dairy products, and (32) miscellaneous foods (Table 1).

A total of 87 participants completed all the dietary questionnaires (two FFQs and three 24-h recall questionnaires). Most of the participants were females (70.1%) and young adults (mean age 27.3 ± 5.7 years). Over two thirds of participants (70.6%) had a normal BMI (Table 2). Eighteen subjects did not complete the second FFQ, with the main reason being declining to participate again ($n = 12$), or not being available after several attempts were made to contact them ($n = 6$).

The mean intake of TEI, macro-nutrients and micro-nutrients measured by FFQ1, FFQ2, and the 24-h recall questionnaires are presented in Tables 3. For TEI and nutrients intakes, the means reported in the FFQ1 were higher than the means reported using the average of the three 24-h recall questionnaires. The Bland-Altman plots for energy, and macronutrients (carbohydrates, proteins, and fat) are shown in Fig. 2. The Bland Altman plots confirmed an over-estimation of nutrient intakes consumptions by the FFQ.

Correlations between nutrient intakes derived from the FFQ1 and the mean of the 24-hour recall questionnaires are presented in Table 4. Crude correlation coefficients between the two methods varied from 0.23 (fiber) to

Table 2 Socio-demographic characteristics and anthropometric measurements of study participants ($N = 87$)

Characteristics	Results
Age (mean \pm SD)	27.3 \pm 5.6
Gender (%)	
Female	70.1
Male	29.9
Education (%)	
Primary	2.3
Secondary	10.3
University	87.4
Body masse index category (%)	
Underweight (< 18.5)	3.5
Normal (18.5–24.9)	70.6
Overweight (25–29.9)	22.4
Obese (BMI \geq 30)	3.5

Table 3 Daily consumption of nutrients estimated by the first and second Food Frequency Questionnaire and mean of three 24 Hour Recalls

Nutrients	FFQ1	FFQ2	24 Hour Recalls
	Mean \pm SD	Mean \pm SD	Mean \pm SD
Energy (kcal)	2546.5 \pm 719.5	2392.5 \pm 738.9	1926.2 \pm 589.6
Carbohydrates(g)	452.1 \pm 149.7	430.4 \pm 148.6	321.9 \pm 103.3
Proteins (g)	135.3 \pm 61.6	128.9 \pm 57.4	87.1 \pm 38.2
Fat (g)	108.2 \pm 39.9	103.9 \pm 44.3	71.8 \pm 39.0
Total MUFA(g)	110.2 \pm 64.0	104.6 \pm 57.8	45.8 \pm 32.9
Total PUFA(g)	78.3 \pm 53.8	72.5 \pm 47.1	31.1 \pm 29.9
Total SFA(g)	80.9 \pm 55.6	75.6 \pm 54.3	41.4 \pm 33.1
Vitamin A (μ g)	445.1 \pm 220.9	439.9 \pm 259.2	533.1 \pm 680.8
Vitamin C (mg)	221.6 \pm 141.6	196.3 \pm 114.0	129.3 \pm 93.4
Vitamin E (mg)	73.4 \pm 53.1	70.1 \pm 48.7	28.0 \pm 30.2
Selenium (μ g)	138.4 \pm 74.0	144.7 \pm 67.2	91.1 \pm 63.7
Magnesium (mg)	567.3 \pm 237.0	556.7 \pm 230.3	324.7 \pm 143.7
Calcium (mg)	1241.6 \pm 600.6	1188.7 \pm 576.2	755.0 \pm 408.2
Iron (mg)	28.5 \pm 22.4	26.7 \pm 20.6	16.9 \pm 11.4
Fiber (g)	49.4 \pm 58.5	44.9 \pm 52.1	26.3 \pm 37.4

0.89 (total monounsaturated fatty acids [MUFA]), and were statistically significant. Adjusting for TEI was statistically significant for all nutrients but it decreased the value of correlation coefficients. However, de-attenuation (adjustment for residual measurement error) increased all correlation coefficients, ranging from 0.24 (fiber) to 0.93 (total MUFA).

The intra-class correlation coefficients (ICC) and Pearson's correlation coefficients for both the unadjusted and the energy adjusted nutrient intakes estimated from FFQ1 and FFQ2 were presented in Table 5. The Pearson correlations (unadjusted) between nutrient intakes assessed by two FFQ varied from 0.62 (carbohydrates) to 0.76 (Vitamin A). Adjusting for total energy intake decreased all correlation coefficients, ranging from 0.53 (fat) to 0.73 (Vitamin A). The ICCs unadjusted ranged from 0.76 (carbohydrates) to 0.86 (Vitamin A and Vitamin C). The ICCs energy adjusted ranged from 0.69 (fat) to 0.84 (Vitamin A). All correlations were statistically significant.

Discussion

Our study described the process of adaptation of the international GA²LEN FFQ for use in Moroccan adults, and its relative validity and reproducibility to estimate usual food intake. The adapted FFQ contained 255 items, including staple foods consumed by the Moroccan population. The FFQ was classified into 32 food groups or sections, to mirror the structure of the GA²LEN FFQ, which facilitates international comparability. To our knowledge, this is the first FFQ in Morocco to include a

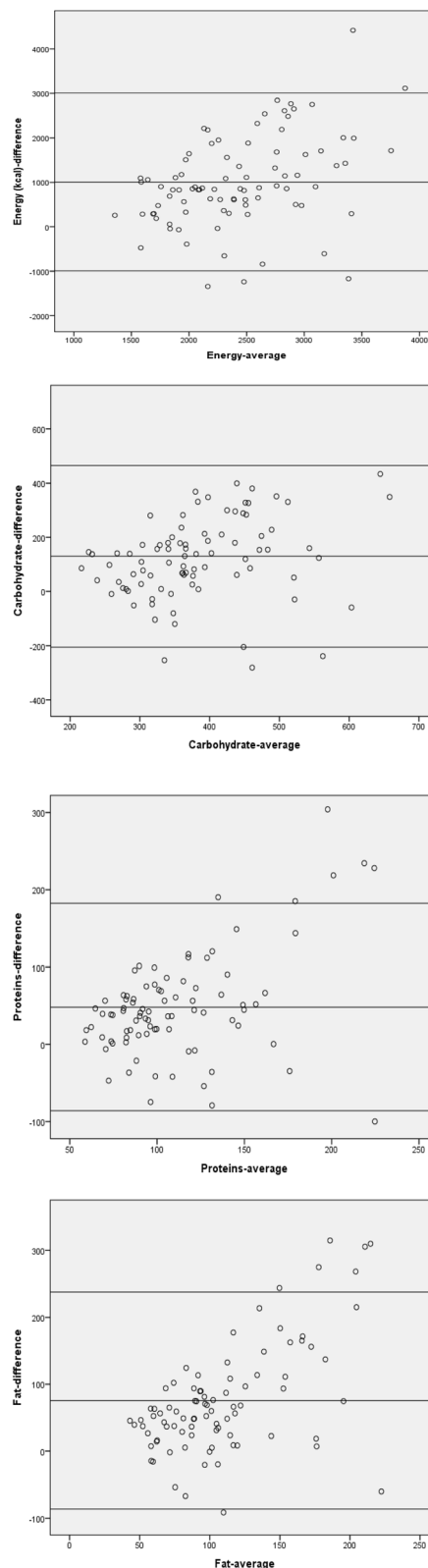
**Fig. 2** Bland altman plots of difference between energy and macronutrients (carbohydrate, proteins, and fat) as predicted by the first FFQ and the mean of three 24-hour recalls

Table 4 Validity of Food Frequency Questionnaire: Pearson correlations between first food frequency questionnaire and mean of three 24 Hour Recalls

Nutrients	24 Hour Recalls Vs Food Frequency Questionnaire1		
	Unadjusted	Energy adjusted	De-attenuated
Energy (kcal)	0.67*	–	0.69*
Carbohydrates(g)	0.63*	0.60*	0.66*
Proteins (g)	0.34*	0.29*	0.35*
Fat (g)	0.26*	0.19*	0.28*
Total MUFA(g)	0.89*	0.86*	0.93*
Total PUFA(g)	0.87*	0.84*	0.91*
Total SFA(g)	0.79*	0.82*	0.90*
Vitamin A (µg)	0.55*	0.52*	0.72*
Vitamin C (mg)	0.62*	0.40*	0.63*
Vitamin E (mg)	0.71*	0.70*	0.74*
Selenium (µg)	0.36*	0.33*	0.38*
Magnesium (mg)	0.56*	0.43*	0.66*
Calcium (mg)	0.46*	0.42*	0.55*
Iron (mg)	0.69*	0.58*	0.74*
Fiber (g)	0.23*	0.21*	0.24*

*Energy and nutrients were transformed (log10) to improve normality * $p \leq 0.01$

comprehensive list of both traditional and ‘modern’ foods, providing a reasonable assessment of relative dietary intake over a 1-year period. We are aware of another FFQ developed in Morocco by Landais et al., but it is limited to intake of fruits and vegetables only [11]. The energy

adjusted Pearson correlation between the FFQ and the mean 24-HRs showed that the relative validity findings were moderately consistent across the majority of nutrients, they ranged between 0.19 for fat to 0.86 for total MUFA, and these observed values were comparable to other FFQs validation studies [26–28].

The nutrient intakes reported with the use of the FFQ were higher than those reported using the 24-h recall questionnaires. This over-reporting is not uncommon when validating an FFQ with a relatively large number of food items [26, 29–33]. We used the average of three 24-h recall questionnaires, which is considered an acceptable number of days to capture usual intake [34]. A systematic review found that 75% of validation studies use the 24-h recall questionnaires as reference method against FFQs [35], preferred for the accuracy to capture daily consumption of a varied diet, and for their relatively easier administration and analysis compared to other dietary questionnaires. The FFQ and the 24-h recall questionnaire have some differences in their error sources, which make them sufficiently independent [36]. Both instruments are prone to memory bias (long-term vs short term in the FFQ vs the 24-h recall questionnaire, respectively) and have differences in the perception of portion sizes (usually pre-defined in the FFQ) [35, 37, 38]. The 24-h recall questionnaire method is based on open-ended questions; while the FFQ is usually designed to have close-ended questions.

The acceptable correlations between the the FFQs and 24-HRs and the overestimation of energy and nutrient

Table 5 FFQ reproducibility: Pearson correlation coefficients and intra-class correlation coefficients (ICC) for nutrient intake as reported in FFQ_{t1} and FFQ_{t2} in Moroccan adults

Nutrients	Pearson correlation coefficient		Intra-class correlation coefficient	
	Unadjusted	Energy-adjusted	Unadjusted	Energy-adjusted
Energy (kcal)	0.73**	–	0.84**	–
Carbohydrates(g)	0.62**	0.56**	0.76**	0.72**
Proteins (g)	0.68**	0.60**	0.81**	0.75**
Fat (g)	0.69**	0.53**	0.81**	0.69**
Total MUFA(g)	0.71**	0.61**	0.82**	0.76**
Total PUFA(g)	0.70**	0.61**	0.83*	0.76**
Total SFA(g)	0.73*	0.64**	0.84**	0.78**
Vitamin A (µg)	0.76**	0.73**	0.86**	0.84**
Vitamin C (mg)	0.75**	0.67**	0.86**	0.80**
Vitamin E (mg)	0.71**	0.60**	0.83**	0.75**
Selenium (µg)	0.66**	0.60**	0.80**	0.75**
Magnesium (mg)	0.64**	0.59**	0.78**	0.74**
Calcium (mg)	0.69**	0.64**	0.81**	0.78**
Iron (mg)	0.71**	0.66**	0.83**	0.80**
Fiber (g)	0.72**	0.65**	0.84**	0.79**

*Energy and nutrients were transformed (log10) to improve normality; ** $p \leq 0.001$

intakes between the two methods were confirmed by the Bland-Altman plots. These figures indicated a positive mean difference for TEI and macronutrients. These results are in agreement with those reported by other studies [39–41].

Since no dietary method can assess nutrient intake without error [35], we used energy adjusted nutrient estimates in our analyses as a way to reduce correlated errors between the two dietary methods [22, 38]. Energy-adjustment decreased correlation coefficients for all nutrients, which often happens when variability is more related to systematic errors of under/overestimation than to energy intake [42–44]. Similarly, other studies have not reported that energy-adjusted estimates improved crude correlations [45–47]. The de-attenuated correlations were increased because of the correction for the day to day variation in intakes.

The reproducibility of the FFQ was examined by the administration of the questionnaire in two occasions, 1 month apart. As reported in other studies [48, 49], we found that the estimates observed in FFQ1 were slightly higher than in the second FFQ. This could be partly explained by the level of engagement of the participants and the attention required to complete the FFQ in full. The ICCs showed a good level of agreement for the reporting of macro- and micronutrients, ranging from 0.69 (fat) to 0.75 (proteins for macro-nutrients, and over 0.7 for most micro-nutrients, suggesting that the FFQ has a good repeatability and reproducibility [50].

Our study has several strengths. The structure of the FFQ was adapted from the international GA²LEN FFQ, whose applicability has been demonstrated in multinational studies in high [9] and low income countries [51]. In order to make the FFQ representative of the Moroccan population, we endeavored to identify traditional foods that are part of the staple diet of the country, while also maintaining the international structure of the food classification to facilitate international comparisons. We followed a strict protocol to ensure the FFQ was correctly translated into Moroccan Arabic, which is different from the written and spoken Arabic in other North African countries. The FFQ also takes into account seasonal variations in food consumption, an important feature in North Africa where seasonality strongly influences dietary choices.

We acknowledge this validation study has some limitations. The FFQ captures usual intake of foods over a longer period of time than a 24-h recall questionnaire, which could lead to errors in the results. We compared the FFQ to the average intake reported in three 24-h recall questionnaires. Although this is an acceptable number of interviews, several studies recommend seven recall days (replicates) to capture a better estimate of the habitual intake. However, three recording days per

subject are considered feasible and sufficient to estimate within-person variability (day-to-day variability). Due to the length of the validation study (1 month), some seasonal variations might not have been captured accurately with the 24-h recall questionnaire. This may negatively impact the correlation results, reflecting differences between the two instruments, rather than limitations of the FFQ. The length of the FFQ (255 food items) might have discouraged the participants to respond it fully. We designed the FFQ bearing in mind the current gap in nutritional epidemiology in North Africa, creating a tool that captures the usual diet of Morocco, and that it estimates intake of other foods that are associated with the nutritional transition of the region. Finally, the majority of the study sample was comprised of women with a high level of education. This does not represent the general population of Morocco, where illiteracy and poverty are common. The use of the FFQ in the general population would probably require a close interaction between an interviewer and the participant to overcome communication and educational limitations.

Conclusions

This adaptation and validation study showed that the FFQ has a good relative validity and a good reproducibility for most nutrients. It is the first complete and validated tool to assess usual dietary intake in the Moroccan population that includes a wide range of traditional, as well as more ‘modern’ food items. Given its representativeness of local foods and habits, it can be used as an instrument to assess the relation of dietary habits and diseases in which diet might play a role.

Abbreviations

BMI: Body mass index; EPIC: European Prospective Investigation into Cancer and Nutrition; FAO: Food and Agriculture Organization; FCT: Food composition table; FFQ: Food frequency questionnaire; GA²LEN: The European Global Asthma and Allergy Network; ICC: Intra-class correlation coefficient; MUFA: Monounsaturated fatty acids; NCD: Non-communicable diseases; PUFA: Polyunsaturated fatty acids; SFA: Saturated fatty acids; SOP: The standard operational procedure; WHO: World Health Organization

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Authors' contributions

KE and VGL conceived the study idea, its design, and led the analyses and interpretation of the data. KR supervised the data collection. VGL wrote the final version of the manuscript. MK contributed to the conception and the design of the study. MMSD contributed to the conception of the study, and the acquisition of data. AB, AI, MMSD and MCB contributed to the study design and to the data collection. All authors have read and approved the manuscript.

Competing interests

The authors declare that they have no competing interests.

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Maternal dietary patterns during pregnancy and preterm delivery: a large prospective cohort study in China

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Abstract

Background: Evidence about the associations between maternal dietary patterns and preterm delivery is scarce in Eastern countries. The purpose of this study was to examine the associations between maternal dietary patterns during pregnancy and preterm delivery in a Chinese population.

Methods: A total of 7352 mothers were included in the Born in Guangzhou Cohort Study, a prospective study in China. A validated self-administered food frequency questionnaire (FFQ) was used to assess maternal diet at 24–27 weeks of gestation. Dietary patterns were identified by cluster analysis. Gestational age was obtained from routine medical records. Preterm delivery was defined as delivery before 37 completed weeks of gestation, and was further classified into spontaneous and iatrogenic preterm delivery, and also early/moderate and late preterm delivery. Associations between dietary patterns and preterm delivery outcomes were assessed using logistic regression analyses.

Results: Six dietary patterns were identified, including ‘Milk’, ‘Cereals, eggs, and Cantonese soups’, ‘Meats’, ‘Fruits, nuts, and Cantonese desserts’, ‘Vegetables’, and ‘Varied’. There were 351 (4.8%) preterm deliveries in this study population. Among those of preterm delivery, 16.2 and 83.8% were early/moderate and late preterm delivery, respectively. Compared with women of ‘Vegetables’ pattern, those of ‘Milk’ pattern had greater odds of overall preterm delivery (adjusted odds ratio [OR] 1.59, 95% confidence interval [CI] 1.11, 2.29, $p < 0.05$), spontaneous preterm delivery (adjusted OR 1.73, 95% CI 1.14, 2.62, $p < 0.05$) and late preterm delivery (adjusted OR 1.73, 95% CI 1.08, 2.62, $p < 0.05$); those of ‘Cereals, eggs, and Cantonese soups’ and ‘Fruits, nuts, and Cantonese desserts’ patterns had greater odds of late preterm delivery (adjusted OR 1.54, 95% CI 1.01, 2.35 for ‘Cereals, eggs, and Cantonese soups’, adjusted OR 1.61, 95% CI 1.04, 2.50 for ‘Fruits, nuts, and Cantonese desserts’, respectively).

Conclusion: Maternal diet with frequent consumption of milk and less frequent consumption of vegetables during pregnancy might be associated with increased odds of preterm delivery. Future interventions should investigate whether increasing vegetable intake reduces preterm deliveries.

Keywords: Pregnant women, Dietary pattern, Preterm delivery, Cluster analysis, Birth cohort, Chinese women

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Background

Preterm delivery, defined as birth before 37 completed weeks of gestation, is associated with short-term and long-term neonatal morbidity, and is one of the leading causes of neonatal mortality [1, 2]. Women who deliver preterm have a higher risk of developing cardiovascular disease than those who deliver at full-term [3]. Maternal nutrition can directly affect the growing fetus [3, 4] and considerable amount of evidence has strongly supported the role of diet in preterm delivery [5, 6].

The incidence of preterm delivery is about 11.1% globally [2]. There are geographical variations of the prevalence of preterm delivery; and a maximum 10% of preterm births survive in low-resource settings compared with over 90% in high resource countries. China is one of the ten countries with the highest numbers of preterm deliveries [2]. The rate of preterm delivery in China was 7.1% in 2011 [7], and was estimated to increase in future years [8]. Country specific actions might be considered to tackle factors influencing preterm delivery.

As an important modifiable factor, maternal diet has received considerable amount of attention in previous studies of preterm delivery. However, these studies have mainly focused on assessing the associations between single foods or nutrients and preterm delivery, and yielded mixed results [9–11]. It is not yet known whether the associations between maternal nutrition and preterm delivery are due to overall nutrition or deficiency of a particular nutrient [11]. It is challenging to distinguish the specific effects of single foods or nutrients because of their highly interconnected nature [12]. It might therefore be more useful to assess the whole foods or dietary pattern [12], in order to obtain information valuable for nutrition interventions during pregnancy.

Dietary patterns can represent the combined effects of all foods consumed in a person's diet. To date, few studies investigated the associations between maternal dietary patterns and preterm delivery, and had varied findings [13–17]. Most of the evidence focused in western countries, including Denmark [13], Norway [14], America [15], and Australia [16]; while only one study was conducted among the Asian population [17]. Chia et al. in the Growing Up in Singapore Towards healthy Outcomes study (GUSTO) reported that vegetables, fruits, and white rice consumption is associated with a lower incidence of preterm delivery among a multiethnic sample in Singapore [17]. Dietary habits are population specific. Distinctive differences exist between the Chinese and the Western diets [18]. Chinese pregnant women have complex and diverse eating behaviors, and follow a set of dietary customs which are not extensively explored in the literature [19, 20]. The aim of this study is therefore to examine the associations between dietary patterns during pregnancy among the Chinese pregnant women and the incidence of preterm delivery.

Methods

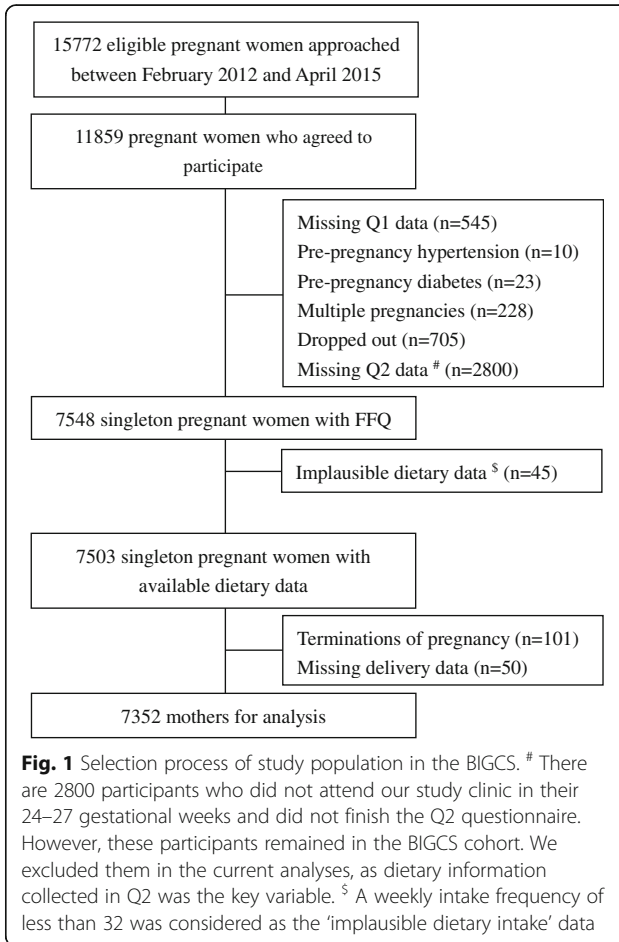
Study design and population

The present study used data from the Born in Guangzhou Cohort Study (BIGCS), an ongoing prospective cohort study conducted in the Guangzhou Women and Children's Medical Center (GWCMC). The BIGCS aims to elicit the role of social, biological and environmental influences on pregnancy and child health and development. Methods of the BIGCS are detailed elsewhere [21]. Briefly, women were recruited during their first routine antenatal examinations (normally around 16 weeks, Q1) at two campuses of the GWCMC, and followed up at the second trimester (about 24 to 27 weeks, Q2) and at delivery. The inclusion criteria were women of less than 20 weeks gestation, of Chinese nationality, living in Guangzhou, intended to stay in Guangzhou with their child for at least three years after delivery. The protocols of the BIGCS were reviewed and approved in accordance with the standards of the Institutional Ethics Committee of the GWCMC. All participants gave written consent at the time of recruitment.

A flowchart of the selection process of the study population is shown in Fig. 1. During February 2012 and April 2015, 15,772 eligible pregnant women were invited and 11,859 (75.2%) of them agreed to participate in the BIGCS. After excluding participants who had missing Q1 data ($n = 545$), pre-pregnancy hypertension ($n = 10$), pre-pregnancy diabetes ($n = 23$), occurrence of twin pregnancy ($n = 228$) or dropped out ($n = 705$), missing Q2 data ($n = 2800$), implausible dietary data (a weekly intake frequency of < 32 , $n = 45$), terminations of pregnancy ($n = 101$), and missing delivery data ($n = 50$), there were 7352 mothers included in this study. Compared to the remaining 7352 women in the present analysis, women who missed Q2 data were younger, and more likely to have lower levels of education, income and pre-pregnancy body mass index (BMI) (Additional file 1: Table S1).

Dietary assessment

Information about dietary intake was obtained from a self-administered, non-quantitative food frequency questionnaire (FFQ) at the Q2 interview. This FFQ is a structured questionnaire on 64 specified food items (Additional file 1: Table S2), as well as additional questions regarding cooking oil, beverages, Chinese soup (normally cooked with meat and/or vegetables, of salty flavor and water-like texture [22]), processed meats (such as Lap-mei, Siu mei) and Cantonese desserts. For each food item, participants were asked to indicate their frequency of consumption 'in the past week'. The FFQ has previously been validated using the BIGCS cohort data [23]. Briefly, a subsample of cohort participants ($n = 210$) completed (1) the first FFQ (FFQ1) at 24–27 weeks of gestation, (2) three inconsecutive 24 h dietary recalls during 29–31 weeks of gestation, and (3) the second FFQ (FFQ2) at 33–35 weeks of gestation. The crude



Spearman correlation coefficients for consumption frequencies of food groups between FFQ1 and FFQ2 (0.33–0.71) and between FFQ2 and the average of three 24 h dietary recalls (0.23–0.62) are considered acceptable for dietary assessment during pregnancy [23].

Individual food items were combined into 30 food groups according to a similar nutrient profile or culinary uses (Additional file 1: Table S3). The frequencies of intake of food groups were calculated by summing the weekly consumption frequencies of each food item in the group. The percentages (%) of weekly intake of the food groups were calculated as frequency of the food group intake divided by total frequencies of food intake for each participant. The variables of ‘frequency’ and ‘percentage’ reflect dietary intake from different perspectives. The variable ‘frequency’ reflects the exact frequency of individual food intake; while the variable ‘percentage’ considers the balance of frequencies from different food intakes. Both ‘percentage’ and ‘frequency’ variables were used to construct dietary pattern, respectively, with the application of cluster analysis. Since our purpose was to provide dietary advice for the general pregnant women, we believed the balancing of diet may be more informative

than the specific frequency as the ideal amount of food intake should depend on individual energy expenditure status. Therefore, in this paper, results obtained from the ‘percentage’ variables were presented as the main results; while results obtained from the ‘frequency’ variables were presented as supplementary.

Cluster analysis was performed using the k-means procedure, as described elsewhere [24]. The K-means method was applied to classify participants into a predetermined number of mutually exclusive groups, by comparing Euclidean distances between each participant and each cluster center in an interactive process until no further changes occur. Several runs were conducted by varying the number of clusters from two to six, in order to identify the optimal one. The ratios of between-cluster variance to within-cluster variance for each food group [25] were compared across the number of clusters when the variables ‘percentage’ were used as input variables in cluster analysis (Additional file 1: Table S4). We believed that clusters identified from six-cluster solution could better reflect the diversity of participants’ dietary characteristics and are more nutritional meaningful than that from three-cluster solutions. Based on the aforementioned determinations, we selected the six-cluster solution as the most appropriate solution when used ‘percentage’ variables as input variables. We also selected the six-cluster solution when used ‘frequency’ variables as input variables based on the nutritional meaningfulness of clusters. Cluster names were based on the food groups with highest content. These clusters are explained in the result section.

Assessment of preterm delivery

The primary outcome of this study was preterm delivery, defined as delivery at 36⁺⁶ weeks or below. Gestational age was confirmed by ultrasound examination in the first- or early second-trimester, and was documented in the routine medical record. Secondary outcomes of this study included spontaneous, iatrogenic, early/moderate, and late preterm delivery. Preterm delivery was classified into spontaneous (spontaneous onset of preterm delivery) and iatrogenic (induced or caesarean delivery on maternal or fetal indications) preterm delivery. Preterm delivery was also categorized into early/moderate ($\leq 33^{+6}$ weeks) and late (34⁺⁰ to 36⁺⁶ weeks) preterm delivery, according to the gestational age.

Covariates

Information regarding potential confounders was assessed by self-reported comprehensive baseline questionnaire at Q1, including maternal age, education level (high school or below, vocational/technical college, undergraduate, post-graduate), monthly income (≤ 1500 , 1501–4500, 4501–9000, or ≥ 9001 yuan), parity (primiparous, multiparous), passive smoking during pregnancy (no, yes), supplementation with

folic acid (no, started during pregnancy, or started pre-conception), pre-pregnancy weight (kg) and height (m), previous preterm delivery (no, yes). Pre-pregnancy BMI (kg/m^2) was calculated by dividing weight in kilograms by height in meters squared. Study participants were divided into three groups as follows: BMI $< 18.5 \text{ kg}/\text{m}^2$ (underweight), BMI $18.5\text{--}23.9 \text{ kg}/\text{m}^2$ (normal), BMI $\geq 24 \text{ kg}/\text{m}^2$ (overweight or obese), according to the Guidelines for Prevention and Control of Overweight and Obesity in Chinese Adults [26].

Statistical analyses

Descriptive statistics (i.e., mean, standard deviation, frequencies, and percent frequencies) were reported for all socio-demographic factors (age, education level, and monthly income), health characteristics (parity, passive smoking during pregnancy, pre-pregnancy BMI, previous preterm delivery, and supplementation with folic acid). These variables were cross-tabulated by dietary patterns, and significant differences were assessed by ANOVA for continuous variables or chi-square tests for categorical variables. Logistic regression was conducted to assess the independent effect of dietary patterns on preterm delivery related outcomes, including overall preterm delivery, spontaneous preterm delivery, iatrogenic preterm delivery, early/moderate preterm delivery as well as late preterm delivery. All covariates described above were entered into each regression model as potential confounders. The Firth's correction was applied to improve the accuracy of the logit coefficients in the adjusted models referring to iatrogenic preterm delivery and early/moderate preterm delivery.

$P < 0.05$ was considered statistically significant. Cluster analysis was performed by R version 3.2.3 [27], and the remaining analyses were performed using SPSS software version 20.0 (SPSS, Inc., Chicago, USA).

Results

Six clusters of dietary pattern were identified (Table 1), namely the 'Milk' (n 1090, 14.8%), 'Cereals, eggs, and Cantonese soups' (n 1078, 14.7%), 'Meats' (n 1125, 15.3%), 'Fruits, nuts, and Cantonese desserts' (n 875, 11.9%), 'Vegetables' (n 1442, 19.6%), and 'Varied' (n 1742, 23.7%) patterns. 'Milk' had the most frequently consumed of milk products (including fresh milk, pasteurized milk, milk powder, and formula for pregnant women) and less frequently consumed of whole vegetables. 'Cereals, eggs, and Cantonese soups' had the most frequently consumed of staples such as rice, pasta, porridge, eggs, and Cantonese soups. 'Meats' had the most frequently consumed of red meat and processed meat. 'Fruits, nuts, and Cantonese desserts' had the most frequently consumed of fruits, nuts, and Cantonese desserts. 'Vegetables' had the most frequently consumed of leafy and cruciferous vegetables. 'Varied' was characterized by relatively frequent consumption

of mixed foods, including noodles, bread, root vegetables, melon vegetables, mushrooms, sea vegetables, bean vegetables, processed vegetables, poultry, animal organ meat, fish, other seafood, bean products, yoghurt, sweet beverages, puffed food, confectioneries, and snacks.

Subject characteristics across the six dietary patterns are shown in Table 2. There were significant differences in maternal age, education level, monthly income, parity, and passive smoking during pregnancy among subjects in these six groups. No significant difference regarding supplementation with folic acid, pre-pregnancy BMI and previous preterm delivery was found among subjects in these six groups.

The gestational length range was 27^{+6} to 42^{+1} weeks in our study. There were 351 women delivered preterm, taking up 4.8% of the total participants. Among these 351 women, 262 (74.6%) were spontaneous preterm delivery, 62 (17.7%) were iatrogenic preterm delivery, and the rest 27 (7.7%) cases were either spontaneous or iatrogenic preterm delivery. We are unable to classify these 27 cases because they did not deliver their babies at the GWCMC. Among 351 women who delivered preterm, 294 (83.8%) were late preterm and 57 (16.2%) were moderately or early preterm. The incidence of preterm delivery was highest (66 cases, 6.1%) in the 'Milk' group. Table 3 shows associations between dietary patterns and preterm delivery. Because the beneficial value of vegetables, we selected 'Vegetables' pattern as reference pattern. Compared with women in the 'Vegetables' group (reference), those in the 'Milk' group had significantly higher odds of preterm delivery after adjustment for potential confounders (OR 1.59, 95% CI 1.11, 2.29, $p < 0.05$). No significant difference in the odds of preterm delivery was observed among subjects in other patterns neither in the crude nor adjusted models.

When we analyzed secondary outcomes individually, we found significantly greater odds of spontaneous preterm delivery for women in the 'Milk' groups in comparison to those in the 'Vegetables' groups (adjusted OR 1.73, 95% CI 1.14, 2.62, $p < 0.05$). No significant association was found between iatrogenic preterm delivery and maternal dietary pattern. We also found significantly greater odds of late preterm delivery for women in the 'Milk' (adjusted OR 1.73, 95% CI 1.08, 2.62, $p < 0.05$), 'Cereals, eggs, and Cantonese soups' (adjusted OR 1.54, 95% CI 1.01, 2.35, $p < 0.05$) and 'Fruits, nuts, and Cantonese desserts' (adjusted OR 1.61, 95% CI 1.04, 2.50, $p < 0.05$) groups in comparison to those in the 'Vegetables' groups. No significant association was found between moderately or early preterm delivery and maternal dietary pattern (Table 3).

By using 'frequencies' of 30 food groups as input variables in the cluster analysis, we also identified six dietary patterns and labeled them as 'Rich' (n 381, 5.2%), 'Milk-S'

Table 1 Percentages (%) of weekly intake of 30 food groups assessed with a self-administered food frequency questionnaire across the six dietary patterns identified among 7352 pregnant Chinese women from the Born in Guangzhou Cohort Study

Food groups ^c	Dietary patterns											
	Varied (n = 1742)		Milk (n = 1090)		Pattern ^a (n = 1078)		Meats (n = 1125)		Pattern ^b (n = 875)		Vegetables (n = 1442)	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Cereals												
Rice	8.1	2.8	10.9	3.4	<u>16.4</u>	3.8	12.5	3.2	10.4	3.4	12.0	3.5
Pasta	4.4	2.8	4.1	2.8	<u>5.1</u>	3.6	4.2	2.8	4.3	2.9	4.0	2.5
Noodles	<u>2.8</u>	3.0	1.6	2.0	2.3	2.6	2.0	2.1	2.4	2.6	2.0	2.1
Porridge	1.6	1.5	1.3	1.5	<u>1.8</u>	1.8	1.6	1.7	1.3	1.5	1.4	1.5
Bread	<u>2.1</u>	1.8	1.8	2.1	2.0	2.0	1.6	1.8	1.3	1.7	1.9	1.9
Vegetables												
Leafy and cruciferous vegetables	10.1	3.0	10.7	3.4	7.8	2.9	10.5	3.7	9.3	3.4	<u>17.9</u>	3.8
Root vegetables	<u>3.7</u>	1.9	2.5	1.8	2.8	1.8	2.7	1.8	3.0	1.9	3.1	2.0
Melon vegetables	<u>4.2</u>	2.2	3.3	2.1	3.7	2.3	3.5	2.2	4.0	2.4	3.5	2.2
Mushrooms	<u>1.5</u>	1.2	1.0	1.1	1.1	1.1	1.0	1.0	1.2	1.1	1.1	1.1
Sea vegetables	<u>1.0</u>	1.0	0.6	0.8	0.7	0.9	0.6	0.9	0.7	0.9	0.6	0.9
Bean vegetables	<u>1.6</u>	1.2	1.2	1.2	1.3	1.3	1.3	1.2	1.3	1.1	1.3	1.1
Processed vegetables	<u>0.6</u>	1.0	0.3	0.7	0.5	0.9	0.4	0.8	0.4	0.9	0.4	0.8
Fruits	7.7	2.4	8.1	2.9	8.1	2.8	7.5	2.9	<u>15.3</u>	3.9	7.6	2.9
Meats												
Red meat	7.1	2.5	7.7	3.0	6.8	2.7	<u>14.4</u>	3.4	7.1	3.1	7.6	3.0
Poultry	<u>2.6</u>	1.8	2.4	1.7	2.5	1.8	2.5	2.0	2.0	1.6	2.4	1.8
Animal organ meat	<u>1.2</u>	1.5	1.0	1.4	1.0	1.3	1.0	1.4	0.8	1.1	0.9	1.2
Processed meat	0.3	0.7	0.3	0.9	0.3	0.8	<u>0.4</u>	0.9	0.3	0.7	0.2	0.7
Eggs	5.3	2.2	5.8	2.5	<u>5.8</u>	2.9	5.5	2.8	5.3	2.5	5.0	2.3
Fish	<u>3.1</u>	1.9	3.0	2.1	3.0	2.0	2.9	2.0	2.7	1.8	2.9	1.8
Other seafood	<u>1.2</u>	1.3	0.9	1.1	1.0	1.2	0.9	1.1	1.0	1.2	0.9	1.2
Bean products	<u>6.9</u>	3.6	3.4	2.4	4.2	2.8	3.7	2.5	4.4	2.8	3.8	2.5
Nuts	4.3	2.4	4.1	2.6	3.8	2.7	3.3	2.5	<u>4.6</u>	3.1	3.5	2.5
Milk												
Yoghurt	<u>2.5</u>	2.2	1.5	1.9	2.0	2.3	1.7	2.0	2.2	2.3	1.8	2.1
Sweet beverages	<u>1.9</u>	3.1	1.2	2.1	1.6	2.6	1.2	1.9	1.4	2.2	1.2	2.0
Cantonese desserts	0.3	0.9	0.3	1.0	0.2	0.7	0.2	0.8	<u>0.4</u>	1.1	0.2	0.7
Cantonese soups	3.1	2.1	3.6	2.3	<u>4.0</u>	2.5	3.7	2.3	3.1	2.3	3.2	2.1
Puffed food	<u>0.3</u>	0.7	0.2	0.5	0.2	0.6	0.2	0.5	0.2	0.5	0.2	0.5
Confectioneries	<u>2.2</u>	2.5	1.3	2.0	1.6	2.2	1.3	1.8	1.7	2.2	1.4	2.0
Snack	<u>3.2</u>	2.4	2.9	2.7	2.9	2.7	2.4	2.3	2.2	2.3	2.7	2.3

^a "Cereals, eggs and Cantonese soups"

^b "Fruits, nuts and Cantonese desserts"

^c Percentage values (%), calculated as frequency of the food group intake divided by total frequencies of food intake. The highest mean values are underlined

(n 864, 11.8%), 'Fruits' (n 930, 12.6%), 'Meats-S' (n 975, 13.3%), 'Moderate' (n 1735, 23.6%), and 'Prudent' (n 2467, 33.6%) patterns (Additional file 1: Table S5). The letter "S" was added after the pattern name (e.g. "Milk-S" and "Meats-S") to separate supplementary (from 'frequency' variables) and main (from 'percentage' variables) results.

Additional file 1: Table S6 presents subject characteristics across the six dietary patterns. No significant association was found between these dietary patterns and overall preterm delivery. Compared with women in other dietary patterns, women in the 'Milk-S' group had significantly higher odds of spontaneous preterm delivery (adjusted OR

Table 2 Characteristics of the participants across the six dietary patterns identified by cluster analysis

Characteristics	Total (n = 7352)	Dietary patterns						P _{value} [*]
		Varied (n = 1742)	Milk (n = 1090)	Cereals, eggs and Cantonese soups (n = 1078)	Meats (n = 1125)	Fruits, nuts and Cantonese desserts (n = 875)	Vegetables (n = 1442)	
Age, years, mean ± SD	29.1 ± 3.3	29.3 ± 3.3	29.0 ± 3.5	28.8 ± 3.3	29.0 ± 3.3	29.0 ± 3.2	29.3 ± 3.4	0.002
Education level, n (%)								< 0.001
High school or below	624 (8.5)	117 (6.7)	105 (9.6)	110 (10.2)	83 (7.4)	79 (9.0)	130 (9.0)	
Vocational/technical college	1807 (24.6)	338 (19.4)	285 (26.1)	309 (28.7)	282 (25.1)	213 (24.3)	380 (26.4)	
Undergraduate	4031 (54.8)	986 (56.6)	591 (54.2)	569 (52.8)	642 (57.1)	461 (52.7)	782 (54.2)	
Postgraduate	890 (12.1)	301 (17.3)	109 (10.0)	90 (8.3)	118 (10.5)	122 (13.9)	150 (10.4)	
Monthly income, Yuan, n (%)								< 0.001
≤ 1500	692 (9.4)	161 (9.2)	107 (9.8)	93 (8.6)	101 (9.0)	92 (10.5)	138 (9.6)	
1501–4500	2274 (30.9)	430 (24.7)	402 (36.9)	387 (35.9)	383 (34.0)	216 (24.7)	456 (31.6)	
4501–9000	3062 (41.6)	754 (43.3)	410 (37.6)	441 (40.9)	453 (40.3)	396 (45.3)	608 (42.2)	
≥ 9001	1158 (15.8)	353 (20.3)	143 (13.1)	140 (13.0)	164 (14.6)	150 (17.1)	208 (14.4)	
Refused to answer	166 (2.3)	44 (2.5)	28 (2.6)	17 (1.6)	24 (2.1)	21 (2.4)	32 (2.2)	
Parity, n (%)								< 0.001
Primiparous	6430 (87.5)	1501 (86.2)	1002 (91.9)	967 (89.7)	956 (85.0)	799 (91.3)	1205 (83.6)	
Multiparous	922 (12.5)	241 (13.8)	88 (8.1)	111 (10.3)	169 (15.0)	76 (8.7)	237 (16.4)	
Passive smoking during pregnancy, n (%)	2222 (30.2)	472 (27.2)	322 (29.5)	364 (33.8)	384 (34.1)	252 (28.8)	428 (29.7)	< 0.001
Supplementation with folic acid, n (%)								0.110
No	603 (8.2)	128 (7.3)	91 (8.3)	96 (8.9)	103 (9.2)	66 (7.5)	119 (8.3)	
Started during pregnancy	3501 (47.6)	811 (46.6)	511 (46.9)	535 (49.6)	535 (47.6)	392 (44.8)	717 (49.7)	
Started pre- conception	3248 (44.2)	803 (46.1)	488 (44.8)	447 (41.5)	487 (43.3)	417 (47.7)	606 (42.0)	
Pre-pregnancy BMI, kg/m ² , n (%)								0.325
< 18.5	1803 (24.5)	414 (23.8)	293 (26.9)	274 (25.4)	257 (22.8)	212 (24.2)	353 (24.5)	
18.5–23.9	4608 (62.7)	1114 (63.9)	645 (59.2)	682 (63.3)	705 (62.7)	563 (64.3)	899 (62.3)	
≥ 24	847 (11.5)	189 (10.8)	137 (12.6)	110 (10.2)	145 (12.9)	93 (10.6)	173 (12.0)	
Missing	94 (1.3)	25 (1.4)	15 (1.4)	12 (1.1)	18 (1.6)	7 (0.8)	17 (1.2)	
Previous preterm delivery, n (%)	53 (0.7)	14 (0.8)	9 (0.8)	5 (0.5)	8 (0.7)	3 (0.3)	14 (1.0)	0.502

*ANOVA and Chi square tests were used to test differences between the patterns

1.44, 95% CI 1.02, 2.02, $p < 0.05$), while women in the 'Rich' group had significantly lower odds of spontaneous preterm delivery (adjusted OR 0.41, 95% CI 0.18, 0.93, $p < 0.05$) (Additional file 1: Table S7).

Discussion

This is the first prospective study to examine the relationships between maternal dietary patterns and preterm

delivery in a Chinese population with a relatively large sample size. Six dietary patterns of the Chinese were generated, represented by foods generally consumed by the Chinese frequently and the cultural Cantonese cuisine. Women in the 'Milk' group had greater odds of overall preterm delivery, spontaneous preterm delivery and late preterm delivery than those in the 'Vegetables' group. We also found that, compared with women in

Table 3 Associations between dietary patterns and preterm delivery

Preterm delivery	Dietary patterns					
	Varied	Milk	Cereals, eggs and Cantonese soups	Meats	Fruits, nuts and Cantonese desserts	Vegetables
Overall preterm delivery (n, %)	85 (4.9)	66 (6.1)	54 (5.0)	44 (3.9)	43 (4.9)	59 (4.1)
Crude OR (95% CI)	1.20 (0.86–1.69)	1.51 (1.05–2.17) *	1.24 (0.85–1.80)	0.95 (0.64–1.42)	1.21 (0.81–1.81)	1.00 (Reference)
Adjusted OR (95% CI) ^a	1.27 (0.90–1.80)	1.59 (1.11–2.29) *	1.31 (0.89–1.92)	1.01 (0.67–1.51)	1.30 (0.87–1.96)	1.00 (Reference)
Spontaneous preterm delivery (n, %)	63 (3.7)	52 (4.8)	39 (3.7)	32 (2.9)	34 (3.9)	42 (2.9)
Crude OR (95% CI)	1.25 (0.84–1.86)	1.67 (1.10–2.53) *	1.25 (0.81–1.95)	0.97 (0.61–1.55)	1.35 (0.85–2.13)	1.00 (Reference)
Adjusted OR (95% CI) ^a	1.29 (0.86–1.92)	1.73 (1.14–2.62) *	1.30 (0.83–2.03)	1.00 (0.63–1.60)	1.41 (0.89–2.24)	1.00 (Reference)
Iatrogenic preterm delivery (n, %)	14 (0.8)	9 (0.9)	10 (1.0)	5 (0.5)	8 (1.0)	16 (1.1)
Crude OR (95% CI)	0.73 (0.36–1.50)	0.76 (0.33–1.73)	0.84 (0.38–1.87)	0.40 (0.15–1.09)	0.83 (0.35–1.95)	1.00 (Reference)
Adjusted OR (95% CI) ^{a, b}	0.79 (0.38–1.61)	0.79 (0.34–1.74)	0.90 (0.40–1.94)	0.46 (0.16–1.14)	0.87 (0.36–1.97)	1.00 (Reference)
Late preterm delivery (n, %)	73 (4.2)	53 (4.9)	47 (4.4)	37 (3.3)	40 (4.6)	44 (3.1)
Crude OR (95% CI)	1.38 (0.95–2.03)	1.63 (1.08–2.45) *	1.44 (0.95–2.19)	1.08 (0.69–1.68)	1.51 (0.98–2.34)	1.00 (Reference)
Adjusted OR (95% CI) ^a	1.46 (0.99–2.15)	1.73 (1.08–2.62) *	1.54 (1.01–2.35) *	1.11 (0.73–1.79)	1.61 (1.04–2.50) *	1.00 (Reference)
Moderately or early preterm delivery (n, %)	12 (0.7)	13 (1.3)	7 (0.7)	7 (0.6)	3 (0.4)	15 (1.1)
Crude OR (95% CI)	0.67 (0.31–1.43)	1.17 (0.55–2.47)	0.63 (0.26–1.55)	0.60 (0.24–1.47)	0.33 (0.10–1.15)	1.00 (Reference)
Adjusted OR (95% CI) ^{a, b}	0.73 (0.34–1.55)	1.19 (0.56–2.50)	0.68 (0.27–1.58)	0.63 (0.25–1.48)	0.42 (0.11–1.21)	1.00 (Reference)

^a Adjusted for maternal age, education level, monthly income, parity, passive smoking during pregnancy, supplementation with folic acid, pre-pregnancy BMI, and previous preterm delivery

^b The firch's correction was applied to improve the accuracy of the logit coefficients

* *P* value < 0.05

the 'Vegetables' group, those in the 'Cereals, eggs, and Cantonese soups' and 'Fruits, nuts, and Cantonese desserts' groups had increased odds of late preterm delivery.

Our findings implied that maternal diet with frequent consumption of vegetables might contribute to lower odds of preterm delivery. Similarly, several studies suggested that vegetables are important components of protective dietary patterns to which women adhere may have lower odds of preterm delivery. In a large prospective cohort study in Norway, diets rich in vegetables and fruits, known as the prudent diet, are associated with a lower incidence of preterm delivery [14]. Another study in Singapore has shown that a dietary pattern high in vegetables, fruits, and white rice is associated with a lower incidence of preterm delivery [17]. Low vegetables intake might induce to inadequacy of antioxidants, which can reduce both systemic and local inflammation [28] and hence the risk of preterm premature rupture of membranes [29]. Insufficient intake of vegetables inhibits peristalsis and might lead to constipation during pregnancy [30, 31], which might further impair fetal growth [32].

Frequent consumption of milk and less frequent consumption of vegetables in our study was found to have higher odds of preterm delivery. Similarly, increased odds of preterm delivery were also found for a diet high in whole milk in the American population [15]. Our findings could be explained from the following aspects. Firstly, dairy is not consumed on a regular or daily basis for most of the Chinese [19]. The variety of dairy products in China is not as much as that in the Western countries. Milk (mainly cow's milk), including fresh milk and milk powder, is the main source of dairy products in China [18]. Yoghurt consumption is increasing in China; however, there is still a gap to catch up with the Western world. Dairy products (e.g. milk, cheese and yoghurt), high in dietary protein and calcium, are essential for fetal growth and skeletal development [33, 34]. Dairy is thus recommended in pregnancy dietary guidelines in different countries including China [35, 36]. Women in the 'Milk' group tended to consume whole milk more frequently, milk powder while they had yoghurt less frequently in their diet (Table 1). Yoghurt products enriched with probiotics have been reported to

be associated with a reduced risk of preterm delivery [9, 37]. Another possible explanation is that women having a frequent consumption of milk in our study might thus consider their diet healthy enough, without paying attention to the context of a balanced diet and the whole vegetables consumption.

In the subgroup analyses, we only found a significant association between dietary pattern and spontaneous preterm delivery for women in the ‘Milk’ groups in comparison to those in the ‘Vegetables’ groups. Our supplementary results obtained from ‘frequency’ variable support such finding. After stratification of preterm delivery according to gestational age, we only found significantly greater odds of late preterm delivery for women in the ‘Milk,’ ‘Cereals, eggs, and Cantonese soups’ and ‘Fruits, nuts, and Cantonese desserts’ groups in comparison to those in the ‘Vegetables’ groups. In agreement with our study, several studies also showed that the significant association was primarily driven by the higher incidence of spontaneous preterm delivery [14, 15, 17] and late preterm delivery [14]. It is speculated that dietary factors might only marginally reduce the progression to preterm delivery and the effect is therefore most easily detectable in late preterm delivery [14]. It appears that there is no significant association between maternal diet and iatrogenic preterm delivery or early/moderately preterm delivery in our study. Notably, only a single measure of dietary intake obtained from an FFQ was used in this study, limiting the validity of the finding.

This is the first prospective study to examine the relationship between maternal dietary patterns and preterm delivery in a Chinese population with a relatively large sample size. The high participation rate in the cohort study and the availability of ultrasound data to confirm gestational age are additional major strengths. Previous studies exploring maternal dietary patterns and preterm delivery have mostly been conducted by using factor analysis to identify dietary patterns. Instead, we used cluster analysis, which can provide a clear description of exactly what is frequently consumed [38]. Our findings might thus be more valuable for a nutrition intervention design to target pregnant women in need. In addition, patterns obtained from cluster analysis in our study appear to better reflect the Asian dietary pattern than that from factor analysis conducted by He et al. in the same cohort [39].

The present study had some limitations. Firstly, we did not collect data on food servings or portion sizes of food items, and were unable to calculate the amount of food consumption and adjust the total energy intake. However, it was suggested that individuals are generally incapable to describe food portions accurately [40], and there are sustainable within subject variations in the indication of food quantities [41]. In contrast, a simple FFQ is sufficient to indicate actual intakes [42]. As previous studies [39, 43], frequencies of food intake were used as a proxy for a

quantitative indicator. Secondly, we assessed food intake ‘in the past week’ at 24–28 week of gestation. The information during this short period might not be representative of dietary habits throughout pregnancy. However, previous studies have suggested that overall dietary patterns differed minimally during pregnancy [44, 45]. Thirdly, we could not precisely distinguish women who were on special diets, which might affect the findings. However, such case is estimated to be rare in our study, as we have excluded women with pre-pregnancy hypertension or diabetes. Only four participants were reported as ‘vegan’. Fourthly, more than 20% of the participants missed Q2 data. Finally, owing to the nature of the observational study design, we are unable to identify the causality between preterm delivery and diet. Residual confounders are likely to exist even after we have adjusted for several factors in the statistical analysis.

Conclusions

In conclusion, a maternal pregnancy diet with frequent consumption of milk and less frequent consumption of vegetables is found to be associated with increased odds of preterm delivery among Chinese women in the current large-scale birth cohort. Frequent consumption of vegetables should be recommended during pregnancy to prevent preterm delivery.

Additional file

Additional file 1: Table S1. Comparison of characteristics among women remained in the present study and those who missed Q2 data. **Table S2.** Food List in the food frequency questionnaire (FFQ) of BIGCS. **Table S3.** List of food items included in the 30 main food groups. **Table S4** The ratios of between-cluster variance to within-cluster variances for each food group across clusters from two to six. **Table S5.** Frequencies of weekly intake of 30 food groups assessed with a self-administered food frequency questionnaire across the six dietary patterns identified among 7352 pregnant Chinese women from the Born in Guangzhou Cohort Study. **Table S6.** Characteristics of the participants across the six dietary patterns identified by cluster analysis. **Table S7.** Associations between dietary patterns and preterm delivery.

Abbreviations

ANOVA: One-way analysis of variance; BIGCS: Born in Guangzhou cohort study; BMI: Body mass index; CI: Confidence interval; FFQ: Food frequency questionnaire; GWCMC: Guangzhou women and children’s medical center; OR: Odds ratios; SD: Standard deviation

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Authors' contributions

KKC, HX and XQ conceived and designed the study; ML, JH and QC conceived and designed the study, analyzed and interpreted the data, and drafted the manuscript; JL and XW analyzed and interpreted the data; QZ helped draft the manuscript; FC, LZ, NC, LQ and MY collected and assembled the data. All authors performed critical revision for important intellectual content and approved the final version of the manuscript.

Competing interests

The authors declare that they have no competing interests.

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Environmental footprints of food consumption and dietary patterns among Lebanese adults

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Abstract

Background: Following the release of the Sustainable Development Goals, dietary patterns and guidelines are being revised for their effect on the environment in addition to their health implications. The objective of this study was to evaluate and compare the Environmental Footprints (EFPs) of food consumption patterns among Lebanese adults.

Methods: For this study, data for adults aged > 18 years ($n = 337$) were drawn from a previous national survey conducted in Lebanon (2008–2009), where dietary intake was assessed using a 61-item Food Frequency Questionnaire. Dietary patterns previously derived in the study sample included: Western, Lebanese-Mediterranean and High-Protein. In this study, food consumption and dietary patterns were examined for their EFPs including water use, energy use, and greenhouse gas (GHG) emissions, using review of life cycle analyses.

Results: In the study population, the EFPs of food consumption were: water use: 2571.62 ± 1259.45 L/day; energy use: 37.34 ± 19.98 MJ/day and GHGs: 4.06 ± 1.93 kg CO₂ eq / day. Among the three dietary patterns prevalent in the study population, the Lebanese-Mediterranean diet had the lowest water use and GHG per 1000 Kcal (Water (L/Kg): 443.61 ± 197.15 , 243.35 ± 112.0 , 264.72 ± 161.67 ; GHG (KG CO₂ eq/day) 0.58 ± 0.32 , 0.38 ± 0.24 , 0.57 ± 0.37 , for the Western, Lebanese-Mediterranean and High-Protein, respectively). The scores of the High-Protein dietary pattern were associated with higher odds of the three EFPs, whereas the Lebanese-Mediterranean dietary pattern was associated with lower odds of energy use. Furthermore, scores of the Western pattern were associated with higher water use.

Conclusions: The findings of this study showed that, among Lebanese adults, the Western and High-Protein dietary patterns had high EFPs, whereas the Lebanese-Mediterranean dietary pattern had lower water use and GHG emissions. Coupled to our earlier findings of the Lebanese-Mediterranean pattern's beneficial effects on health, the findings of this study lend evidence for the notion that what is healthy for people may also be healthy for ecosystems and highlight the need for nutrition recommendations to take into consideration the nexus of water, food, energy, in addition to health.

Keywords: Dietary patterns, Environmental footprint, Mediterranean, Sustainability, Lebanon

Background

The Middle East and North Africa (MENA) region suffers from a high prevalence of non-communicable diseases (NCDs) constituting 47% of the region's burden of diseases, and this rate is expected to rise up to 60% by 2020 [1]. Such a high burden of NCDs is possibly brought about by a shift in dietary habits and a remarkable nutrition transition [2].

This nutrition transition is characterized by a rapid shift in dietary intake from traditional, diverse and balanced diets towards more 'westernized' diets [1], specifically a high consumption of 'harmful' foods and low consumption of 'protective' foods [3]. This situation has triggered national and regional efforts to develop dietary guidelines and recommendations that promote the consumption of balanced diets known for their protective effects against NCDs [4, 5]. However, these efforts did not consider the environmental impact of such guidelines in a region that suffers of depleted resources, in terms of water scarcity, land degradation and high energy use [2]. These issues have been raised by the released Sustainable Development Goals (SDGs) which called

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for sustainable consumption and production and brought “sustainable diets” to the forefront of the sustainability agenda [6, 7]. ‘Sustainable diets,’ according to the Food and Agriculture Organization (FAO) (2012), are *those diets with low environmental impacts that contribute to food and nutrition security and to healthy life for present and future generations. Sustainable diets are protective and respectful of biodiversity and ecosystems, culturally acceptable, accessible, economically fair and affordable; nutritionally adequate, safe and healthy; while optimizing natural and human resources* [8]. Accordingly, United Nations Environment Program (UNEP) (2016), called to reexamine food-based dietary guidelines, not only for their health outcomes but also with respect to their environmental sustainability, given the considerable impact of dietary choices and food consumption on the environment [9]. Efforts to quantify environmental impacts of food consumption led to the development of environmental footprint indicators, spanning multiple dimensions and including greenhouse gas emissions (carbon footprint), nitrogen release (nitrogen footprint), water use (blue and green water footprint) and land use (land footprint) [10].

Accordingly, a growing body of research explored the previously recommended diets and dietary patterns in terms of their impact on environmental footprints and depletion of natural resources [11, 12]. Results from these studies suggested that plant-based dietary patterns, which are primarily based on fruits, vegetables, legumes, whole grains, nuts and seeds, have a more positive impact on health and a lower environmental impact than animal-based dietary patterns. Nevertheless, these findings need to be considered through a context-specific lens to account for regional and local variations in agricultural practices, environmental resources, local food systems and cultural preferences of diverse populations [13].

Lebanon is a middle income country in the MENA region, which, similar to other neighboring countries, is witnessing an increase in the prevalence of diet-related diseases, a rapid nutrition transition coupled with scarcity and poor management of natural resources [1, 14]. Previous research in the country has studied dietary intake and food consumption patterns in terms of their effect on health and wellbeing. The results of these investigations consistently identified two main dietary patterns: ‘Western’ and ‘Lebanese-Mediterranean,’ where, the Western pattern has been associated with adverse health outcomes, including obesity, hypertension, and metabolic syndrome. On the other hand, the Lebanese-Mediterranean pattern showed protective effects against metabolic abnormalities and type 2 diabetes [14–18]. These findings provided evidence for recommendations to limit consumption of the Western dietary pattern and encourage adherence to the Lebanese-Mediterranean diet in order to combat the rising epidemic of NCDs in the country. However, such dietary recommendations and guidelines

were not examined in terms of their environmental sustainability, as called upon by the international community and the SDGs, in a country and a region where environmental issues are paramount. The aim of the present study was to evaluate the environmental footprints (EFPs) of overall food consumption and to examine the association of these EFPs with dietary patterns previously identified in Lebanon. Contributions of foods and food groups to the EFPs of each pattern were also examined.

Methods

Study design, participants and data collection

Data for this study were drawn from the cross-sectional National Nutrition and Non-Communicable Disease Risk Factor Survey (2008–2009). Details describing the sampling frame and techniques are described elsewhere [19]. In brief, the households which were considered as primary sampling units were selected, using a stratified cluster random sampling frame. The numbers of households from each cluster were proportional to the cluster’s size. Within each household, one adult with no history of chronic diseases (> 18 years of age) was randomly chosen and was invited to participate in the survey. At the participants’ homes, data collection was conducted following the WHO STEP-wise approach to Surveillance (STEPS) [20] and included socio-demographic and lifestyle questionnaires, anthropometric measurements, biochemical assessment, as well as a food frequency questionnaire (FFQ) for the evaluation of dietary intake ($n = 337$) [21]. The study protocol was reviewed and approved by the Institutional Review Board of the American University of Beirut, and informed consent was obtained from all participants in the study.

For the purpose of this study, survey data pertaining to the participants’ socio-demographic and lifestyle characteristics as well as dietary intake were used. Socio-demographic characteristics included age, sex, crowding index, marital status, and education level. Crowding index (CI), defined as the average number of people per room, excluding the kitchen and bathroom, was used as a proxy for socioeconomic status, whereby a lower CI was associated with a higher socio economic status [22]. Earlier investigations showed that CI was associated with adherence to certain dietary patterns in Lebanon [19, 23, 15]. The lifestyle factors considered in this study were related to smoking and physical activity. Physical activity was assessed using the short Arabic version of the International Physical Activity Questionnaire [24]. Using metabolic equivalents-mins per week, three levels of physical activity were determined (low, moderate, high). Dietary intake of study participants was assessed using a 61-item FFQ. These foods are listed in Additional file 1. This FFQ measured dietary intake over the 1 year preceding the interview. For each food item listed in the FFQ, a standard portion size was specified and five frequency choices were given (never, daily, weekly, monthly or yearly). This FFQ

was designed by a panel of nutritionists and included culture specific dishes and recipes. It was tested on a convenient sample of Lebanese adults to check for clarity and cultural sensitivity. Further details about this FFQ are found elsewhere [15].

Dietary patterns in the study population

Previous work by our research group derived dietary patterns prevalent among the survey participants ($n = 337$) [19, 21], whereby the 61 food items listed in the FFQ were grouped into 25 food groups based on similarities in ingredients, nutrient profile, and/or culinary usage [25] and were entered in the factor analysis. Food items having a unique composition (e.g. eggs, olives, and mayonnaise) were classified individually. Furthermore, certain food items such as falafel sandwiches, though made of legumes, were entered separately from this food group given that falafel is a fried form of legumes and the sandwiches are usually eaten out of home, unlike other legume-based dishes that are mainly consumed in stew form and prepared at home. The total intake for each group (expressed as daily gram intake) was calculated by summing the daily gram intake of each food within the group. For example, the daily gram intake from the food group 'Dried fruits' was calculated by adding the daily gram intake of dried raisins, dried apricots and dried prunes. Further details about the derivation of these patterns are presented elsewhere [21]. In brief, three dietary patterns were identified using factor analysis: Western, Lebanese-Mediterranean, and High-Protein. The Lebanese-Mediterranean dietary pattern was previously proposed as a variant of the Mediterranean diet [26]. The factor scores for each of the identified pattern were calculated by multiple regression approach whereby independent variables in the regression equation are the standardized observed values of the food items in the estimated patterns. These predictor variables are weighted by regression coefficients, which are obtained by multiplying the inverse of the observed variable correlation matrix by the matrix of factor loadings. The factor scores are the dependent variables in the regression equation [27]. Each individual received a factor score for each dietary pattern. The obtained scores were normally distributed with a mean of zero and standard deviation equal 1. These scores indicated the degree to which each participant's diet corresponds to the identified pattern. In this study, these scores were used to examine the association of dietary patterns with the EFP. The foods/ food groups that constituted these patterns and their factor loadings are described in Table 1.

Derivation of environmental foot prints (EFPs)

For the purpose of this study, metrics for three EFPs were calculated including water use, energy use and GHGs (Additional file 1). The mapping of dietary patterns to

their associated EFPs required the estimation of metrics for the EFPs of each of the 61 items listed in the FFQ which made up the food groups of these patterns. These metrics were estimated based on a review of previously published Life Cycle Assessment (LCAs) analyses. LCA is an internationally recognized method employed to estimate the impact of resource use at all stages of a product's existence from creation to end of life [25]. The LCAs reviewed in this study followed the ISO 14040 and 14,044 [28]. LCAs conducted in Mediterranean or neighboring countries with similar climates to Lebanon were prioritized over LCAs conducted in countries of other regions in the world. For each EFP metric, the origins of LCA data (local, regional or international) used in its estimation are described in Additional file 2. In this appendix, the references used in estimating the EFPs for each food item are also listed. For each food item, LCAs that covered processes from cradle to market (or distribution point) were selected. LCAs that included use phase impacts (such as cooking, heating or refrigeration) were not considered in this study. In this study, the functional unit considered was 1.0 kg of food consumed. The functional unit is defined as a representative, reference measure of the studied system to which all inputs and outputs can be related [29]. For wine/beer, some LCAs report results in liters instead of Kg. Results in these studies were recalculated to a per kg basis assuming a density of 1.0 kg/l. For milk and milk products, though most LCAs report their results in Kg of milk, the percentage fat and protein in the milk could vary. Therefore the outcomes of the LCAs used for milk were adjusted to reflect the standard fat and protein corrected milk values of 3.5% fat and 3.2% and was used as fat-protein corrected milk (FPCM), a common practice in dairy LCAs, using the following formula: $FPCM(kg) = 0.149 * \text{milk kg} + 5.89 * \text{fat kg} + 3.48 * \text{protein kg}$ [30].

Water use

The water use environmental metric consisted of the total water use in liters (blue and green water combined) per kilogram of food consumed. Two important elements were considered in the calculation of the water use metric:

- 1) Consideration of the domestically produced vs imported proportion of each foods: The Food and Agriculture Organization (FAO) data [31] and the United Nations Comtrade database [32] were used to identify foods that are produced locally versus those that are imported. In addition, for imported foods, we have considered the top two countries by amount from where a certain food is imported.
- 2) Use of a water stress-based impact assessment method: Following step (1), water use was adjusted for each country using the water stress index (WSI)

Table 1 Food items/groups constituting the dietary patterns prevalent in the study population^{ab}

Western	Lebanese-Mediterranean	High-Protein
Pizza, pies and refined grains (0.63)	Fruits (0.64)	Poultry (0.69)
Fast food sandwiches(0.57)	Legumes (0.56)	Meat (0.63)
Sweets (0.53)	Whole dairy products (0.53)	Fish (0.59)
Regular soda (0.51)	Olives (0.47)	Low fat dairy products (0.55)
Mayonnaise (0.45)	Vegetables (0.45)	Hot drinks (0.35)
Nuts and Seeds (0.43)	Burghol (whole wheat parboiled and crushed) (0.34)	Breakfast cereals (0.22)
Eggs(0.4)	Dried fruits (0.29)	Light soda (0.22)
Fats and oils(0.37)	Traditional suits (0.25)	
Ice cream(0.31)		
Bottled fruit juices(0.23)		
Alcoholic beverages (0.21)		

^aFactor loading of the various food groups/items are presented in ()

^bThe dietary patterns and the food items-and their factor loading- making up these patterns were taken from data of Matta et al. (2016) [21]

developed by Pfister et al., 2009 [33]. WSI is considered an impact assessment component that allows accounting for crop production in water stressed areas.

In light of these two aforementioned considerations, the water use metric estimation in this study was adjusted using the below formula:

$$\text{Water use (adjusted)} = (\text{Water use} * \% \text{produced} * \text{WSI}_{\text{Lebanon}}) + (\text{Water Use} * \% \text{importedTotal} * \% \text{importedCountry1} * \text{WSI}_{\text{Country1}}) + (\text{Water Use} * \% \text{importedTotal} * \% \text{importedCountry2} * \text{WSI}_{\text{Country2}})$$

GHGs

The GHG metric was calculated in kg CO₂ eq/kg food consumed. Most LCAs used in this study reported GHG emissions in terms of CO₂ eq. However, a few LCAs reported CH₄ and N₂O separately, in addition to CO₂. For these LCAs, emissions from N₂O and CH₄ were converted to kg CO₂ eq using the following two equations [34]:

$$\text{CO}_{2\text{eqN}_2\text{O}} = X_{\text{N}_2\text{O}} * \text{GWP}_{\text{N}_2\text{O}}$$

$$\text{CO}_{2\text{eqCH}_4} = X_{\text{CH}_4} * \text{GWP}_{\text{CH}_4}$$

where $X_{\text{N}_2\text{O}}$ is the amount of N₂O released in kg, X_{CH_4} is the amount of CH₄ released in kg, $\text{GWP}_{\text{N}_2\text{O}}$ is the 100-year global warming potential of N₂O, and GWP_{CH_4} is the 100-year global warming potential of CH₄, $\text{GWP}_{\text{N}_2\text{O}} = 265$, and $\text{GWP}_{\text{CH}_4} = 28$ [34].

The total CO₂ eq was calculated by adding CO₂eq N₂O and CO₂eq CH₄ to the CO₂ emissions. It is important to note that CH₄ emissions from decomposing organic waste in landfills was not directly considered in this

analysis due to a lack of specific data for each food item. Fluorinated gases are also not considered as their contributions to the accumulated GHGs of food products may be considered negligible [35].

Energy use

In this paper, 'energy use' referred to industrial energy consumption while 'energy intake' referred to human energy consumption. Energy use was estimated in MJ/kg food consumed. For all foods considered in this study, energy values and GHG emissions were sourced separately.

Statistical analysis

Socio-demographic characteristics of the study population were described using proportions. For each food item listed in the FFQ, the EFPs metrics corresponding to each participant's dietary intake was estimated using the below formula:

$$\sum_{i=1}^n \text{consumed}_i * \text{impact}_i$$

where n is the food item number, consumed_i is the amount of food consumed in kg- as obtained from the FFQ, and impact_i is the EFPs per unit kg consumed for each food. The EFPs per unit Kg of food item are presented in Additional file 1. For example, the 'rice and rice products' food item is estimated to have a GHG impact of 2.05 kg CO₂eq / kg_{consumed}. Therefore, if a survey participant consumed an average of one serving of rice (75 g) per day, then rice would contribute 0.15 kg CO₂eq to the overall GHG footprint of the diet of this participant. Accordingly, for each of the 61 food items, study participants had estimated metrics for the 3 EFPs that

corresponded to their dietary intake (Additional file 1). The Means \pm SD of EFP metrics for water use, energy use and GHGS were calculated for the overall dietary intake in the study population. In addition the EFPs of each of the three patterns were calculated by adding the EFPs of the food groups making up these patterns. To adjust for dietary energy intake, the EFPs for the various dietary patterns were also reported per 1000 Kcal and were compared using repeated measure ANOVA. The effects of adherence to any of the dietary patterns on the odds of having a high EFPs were estimated using multiple logistic regression models. For each dietary pattern, three separate regression models were built, each model corresponding to one of the three EFP metrics considered in this study. In each of these models, the independent variable was the score of one of the dietary patterns and the dependent variable was high EFPs. The latter was defined as belonging to the top 20% of the respective EFPs. These models were adjusted for age, sex and energy intake.

Results

Table 2 describes the characteristics of the study population, in terms of sociodemographic, lifestyle and dietary intake. Over 60% of the study population had a crowding index greater than 1 and were married. As for education, 34% of the study sample had a university level. Mean dietary energy intake was 2638.87 ± 1231.08 Kcal, with carbohydrates, proteins and fats contributing 49.06%, 15.71% and 36.48% respectively. (Table 2).

Table 3 presents the EFP of dietary intake in the study sample, as a total and by dietary pattern. Overall dietary intake of survey participants has the following EFPs (mean \pm SD): Water use: 2571.62 ± 1259.45 L/day; Energy use: 37.34 ± 19.98 MJ/day GHG: 4.06 ± 1.93 kg CO_{2eq}/day.

After adjustment for energy (/1000 Kcal), for both water use and GHGs, the Lebanese-Mediterranean pattern had the lowest EFP ($p < 0.05$), while no significant difference was noted between the Western and the High-Protein patterns. For energy use, the highest EFP was that of the Western diet, followed by the Lebanese-Mediterranean diet and the High-Protein, with significant differences between these patterns at $p < 0.05$. (Table 3).

Table 4 shows the results of the multiple logistic regression of the effect of adherence to each of the dietary patterns on the odds of high EFPs, controlling for age, sex and dietary energy intake. For water use, adherence to both the Western pattern and High-Protein dietary patterns was associated with higher odds of having a high water use footprint, while no association was noted with the Lebanese-Mediterranean dietary pattern. The magnitude of the association between a high EFP of water use with the Western dietary pattern was higher than that with the High-Protein dietary pattern (OR:

2.56 and OR 1.93 respectively). As for energy use, while the High-Protein dietary pattern was associated with higher odds of having high EFP for this metric (OR: 1.90, 95% CI: 1.00–4.00), a Lebanese-Mediterranean dietary pattern was associated with significantly lower odds (OR: 0.95, 95%CI: 0.92–0.98). For GHG, the High-Protein dietary pattern was significantly associated with having a high EFP for this metric (OR: 3.22, 95%CI: 1.96–5.28). (Table 4).

Table 5 presents the percent contributions of various food or food groups to the 3 EFPs of each of the dietary patterns. The total EFPs of each pattern was based on

Table 2 Characteristics of the study population ($n = 337$)^a

	Study population ($n = 337$)
Demographic characteristics	
Age (years)	
18–30	111(32.9)
31–40	103(30.6)
≥ 41	123(36.5)
Sex	
Males	168 (49.8)
Females	169 (50.2)
Social and lifestyle characteristics	
Crowding index	
< 1	122(36.4)
≥ 1	213(63.6)
<i>p</i> -value	
Marital status	
Single	129(38.3)
Married	208(61.7)
Education	
Up to High school	231(68.5)
University level	106(31.5)
Smoking	
No	231(68.5)
Yes	106(31.5)
Physical activity level	
Low	122(36.2)
moderate	68(20.2)
High	147(43.6)
Dietary intake characteristics	
Energy intake (Kcal)	2638.87 \pm 1231.08
Carbohydrates (% of total energy)	49.06 \pm 7.03
Proteins (% of total energy)	15.71 \pm 2.90
Fats (% of total energy)	36.48 \pm 6.90

^aValues in this table represent n(%) and mean \pm SD for categorical and continuous variables respectively

Table 3 Environmental Footprints of dietary intake in the study sample: Total and by dietary pattern ⁵

	Total	Western	Lebanese-Mediterranean	High-Protein
Water use (L / day)	2571.62 ± 1259.45	1231.02 ± 937.23 ^a	602.06 ± 330.70 ^b	653.87 ± 452.92 ^b
Water use (L/day) per 1000 Kcal	951.68 ± 216.26	443.61 ± 197.15 ^a	243.35 ± 112.0 ^b	264.72 ± 161.67 ^a
Energy use (MJ / day)	37.34 ± 19.98	20.53 ± 17.50 ^a	10.82 ± 6.27 ^b	5.00 ± 4.41 ^c
Energy use (MJ / day)/1000 Kcal	14.25 ± 5.76	7.55 ± 4.85 ^a	4.60 ± 2.87 ^b	2.09 ± 1.84 ^c
GHG (KG CO2 eq/day)	4.06 ± 1.93	1.58 ± 1.23 ^a	0.90 ± 0.56 ^b	1.40 ± 0.99 ^c
GHG (KG CO2 eq/day)/1000 Kcal	1.53 ± 0.51	0.58 ± 0.32 ^a	0.38 ± 0.24 ^b	0.57 ± 0.37 ^a

⁵Values with different superscripts are significantly different at $p < 0.05$

Values with different superscripts (a, b) are significantly different

the sum of EFPs of food groups making up this pattern. Within the Western pattern, the highest contributor to all EFPs was 'All grains' (water use: 22.67%; energy use: 32.80% and GHGs: 29.22%). For the Lebanese-Mediterranean dietary pattern, compared to other food/food groups making up this pattern, whole dairy products had the highest percentage contribution to water use (43.01%). 'Vegetables' contributed most to energy use (60.12%) and GHG (50.75%) of this pattern. Within the High-Protein pattern, the highest contributor to all three EFPs was 'Meat' (water use: 69.30%, energy use: 50.87% and GHG: 73.08%). (Table 5).

Discussion

The results revealed comparable levels of water use, energy use, and GHG emission for food consumption of Lebanese adults to estimates of other countries. Furthermore, comparing dietary patterns, the Lebanese-Mediterranean diet had the lowest EFPs for water use and GHGs. In terms of associations between adherence (higher scores) to various dietary patterns and having a high EFP (belonging to the top 20% of the population), the results of this study suggested that adherence to either the Western or the High-Protein led to an increase in the odds for water use, the High-Protein pattern was also associated with higher odds for energy use and GHGs, while the Lebanese-Mediterranean was associated with lower odds for energy use.

For comparison purposes of the EFP of the Lebanese food consumption to other countries, the EFPs were calculated based on an intake of 2500 kcal/person. For water use, in this study, the average per-person water use (2451 L/day) was slightly lower than the global average (2799 L/day [36] and similar to estimates obtained for Finland (2377 L/day) [37]. Water use of food consumption

in the United States and Italy had higher estimates (3998 L/day and 3469 L/day, respectively) [37]. Though within range, the water use estimated for average food consumption in Lebanon ought to be taken into consideration especially in view of the scarcity of natural resources. Lebanon together with other countries of the MENA region are among the most water stressed areas of the world, whereby the water availability per person is more than six times below the global average (1383 m³ to 8462 m³) [38]. The within-range estimate for water use associated with food consumption in this study coupled with water scarcity in the country is alarming in view of the high water cost of agricultural production, especially that the forecasted climate change is expected to further reduce rainfall by 6–8%, snow cover by 40%, and prolong drought periods for every 1 °C of temperature rise [39]. Regarding energy, the estimate obtained in this study (35 MJ/day) is higher than that of the United States (28 MJ/day) [40], this finding is alarming especially that Lebanon relies almost solely on imported energy, whether in the form of gas or oil, while its average citizen consumes greater kWh as compared to global estimate (3563 kWh in Lebanon vs 3030 kWh per person globally) [41]. As for GHGs associated with food consumption in Lebanon, the results of the study revealed an estimate (3.9 Kg CO2 eq/day) that is similar to other Mediterranean countries such as Greece (3.6 Kg CO2 eq/day) [42] and to the United States (3.56 Kg CO2 eq/day) [43] and lower than estimates reported in France (4.8 Kg CO2 eq/day) [44]. Such differences could be explained by variations in processes of agricultural practices/food production [45, 46] or composition of food consumption. Regarding the latter, Mediterranean countries tend to consume lower meat and

Table 4 Multiple logistic regression for the association between dietary patterns and the odds of high EFPs scores in the study population ($n = 377$) ^{ab}

Dietary patterns	Water use (L / day)	Energy use (MJ / day)	GHG (kg CO2 eq / day)
Western	2.56 (1.13–5.18)	0.96 (0.93–1.00)	1.32 (0.62–2.81)
Lebanese-Mediterranean	1.01 (0.97–1.04)	0.95 (0.92–0.98)	1.26 (0.86–1.85)
High-Protein	1.93 (1.26–2.96)	1.90 (1.00–4.00)	3.22 (1.96–5.28)

^aHigh environmental foot print is defined as belonging to the top 20% of each footprint

^bThe logistic regression models were adjusted for age, sex, and energy intake

Table 5 Percentage contribution (mean \pm SD) of food/food groups to EFP metric of the dietary patterns

Dietary patterns	Water use (L / day)	Energy use (MJ / day)	GHG (kg CO ₂ eq / day)
Western			
Pizza, pies and refined grains	25.88 \pm 14.39	5.88 \pm 5.39	4.11 \pm 3.87
Fast food sandwiches	11.59 \pm 10.98	5.06 \pm 6.25	21.62 \pm 18.02
Sweets	14.67 \pm 14.64	6.89 \pm 7.36	5.75 \pm 5.97
Regular Soda	8.26 \pm 11.26	10.68 \pm 14.03	4.81 \pm 7.24
Mayonnaise	0.39 \pm 0.82	0.34 \pm 0.75	0.37 \pm 0.85
Nuts and seeds	10.83 \pm 13.36	0.93 \pm 2.18	0.96 \pm 2.02
Eggs	4.84 \pm 7.66	1.47 \pm 4.71	5.42 \pm 7.91
Fats and oils	9.62 \pm 8.01	12.24 \pm 9.18	6.97 \pm 6.35
Ice cream	0.32 \pm 0.44	1.32 \pm 1.79	1.98 \pm 2.67
Bottled fruit juices	13.18 \pm 17.30	21.31 \pm 24.43	16.37 \pm 20.40
Alcohol beverages	0.43 \pm 2.23	1.08 \pm 3.58	2.43 \pm 6.90
Lebanese-Mediterranean			
Fruits	20.69 \pm 14.09	10.62 \pm 9.19	10.23 \pm 8.73
Legumes	13.11 \pm 9.59	0.81 \pm 0.93	6.90 \pm 6.39
Whole dairy products	43.01 \pm 19.05	21.04 \pm 18.73	22.02 \pm 20.32
Olives	8.39 \pm 11.33	3.27 \pm 5.79	6.55 \pm 10.04
Vegetables	10.29 \pm 7.68	60.12 \pm 20.49	50.75 \pm 21.08
Burghol (whole wheat parboiled and crushed)	2.13 \pm 4.42	3.18 \pm 5.01	2.16 \pm 3.81
Dried fruits	0.29 \pm 1.06	0.36 \pm 1.27	0.33 \pm 1.19
Traditional sweets	2.09 \pm 2.89	0.60 \pm 0.92	1.07 \pm 1.55
High-Protein			
Poultry	19.21 \pm 14.27	18.21 \pm 12.48	14.98 \pm 12.44
Meat	69.30 \pm 21.29	50.87 \pm 22.73	73.08 \pm 20.79
Fish	3.22 \pm 7.76	19.50 \pm 17.89	6.86 \pm 11.06
Low fat dairy products	4.88 \pm 12.31	6.02 \pm 16.59	3.56 \pm 11.58
Hot drinks	0.55 \pm 2.17	0.03 \pm 0.10	0.01 \pm 0.03
Breakfast cereals	0.38 \pm 5.45	0.56 \pm 5.52	0.35 \pm 5.45
Light Soda	2.47 \pm 8.86	4.80 \pm 14.15	1.16 \pm 6.23

meat products (main contributors to GHGs) as compared to Europe and the United States [47]. Despite the lower GHGs associated with food consumption in some Mediterranean countries (including Lebanon) as compared to Western countries, the recent review of the link between global diets, environmental sustainability and health suggested that adherence to a typical Mediterranean diet results in even lower estimate for GHGs (2.6 Kg CO₂ eq/day), lending evidence for future research for dietary intake recommendations to lower the GHG associated in Mediterranean countries. Such research ought to consider the evaluation and implementation of dietary recommendation within the tightly linked diet–environment–health trilemma [48].

In this study, the results indicated that the Lebanese-Mediterranean dietary pattern had the lowest levels of water use and GHG emissions, as compared to the Western and

the High-Protein patterns. The lower EFPs of the Lebanese-Mediterranean diet were also observed for other traditional diets in Japan [49], South Asia [50] and among indigenous people in Italy [51]. This finding is also in line with those of a previous investigation of the EFPs of dietary patterns in Spain which showed that adherence to a Western dietary pattern led to a significant increase in GHG, agricultural land use, energy as well as water consumption, while adherence to the Mediterranean diet reduced the impact on all EFPs metrics considered in that study [52]. Furthermore, a recent systematic review of studies examining dietary patterns and their environmental sustainability concluded that adherence to Mediterranean style diets has a less negative impact on the environment than current average dietary intakes in the United States [12]. In fact, within the definition of sustainable diets, the FAO and *Biodiversity*

International in collaboration with other organizations acknowledged the Mediterranean diet as an example of sustainable diets with four main themes which related not only to health and wellbeing, but also to environment and sustainability, economy as well as well as culture [53, 54]. It is important to note that the Lebanese-Mediterranean shares many characteristics of the Mediterranean diet including high consumption of fruits, vegetables, and olive oil and olives. Hence the Lebanese-Mediterranean was previously proposed as a variant of the Mediterranean diet from the eastern side of the Mediterranean basin [26]. Similar to the other Mediterranean and traditional diets, the lower EFPs of the Lebanese-Mediterranean diet observed in this study could be due to the fact that limited intake of animal food and higher consumption of plant foods (vegetables, cereals and legumes), which are characteristics of this diet, have lower GHG emissions [55, 56]. The present study's findings that the Lebanese-Mediterranean diet had lowest water and GHGs footprints, while the Western dietary pattern had high EFPs are of particular concern as the country is undergoing nutrition transition towards a more westernized dietary consumption. The ongoing nutrition transition would lead to intensification in EFPs and potentially impacting the available natural resources in a region facing significant water scarcity, climate, and landscape and ecosystems threats [53].

When the associations between the various patterns and EFPs were investigated, the results showed a direct association between a higher adherence with the High-Protein pattern and all three EFPs considered in this study. This finding echoes previous reports documenting that the consumption of animal-based food groups has the highest impact on the environment, including water use, energy use and GHGs [48].

In this study, the EFPs of dietary patterns were further characterized whereby the main contributors, in terms of foods and food groups, to the EFPs within these patterns were identified. Within the Western pattern, grains, fast food sandwiches and bottled juices contributed most to EFPs. The Fast food sandwiches of the Western pattern were composed of processed meat-based fillings, such as 'Chawarma' and hamburger. In many countries, red meat was identified as the greatest contributor to diet-related as well as overall agricultural GHG emissions [57–62]. In accordance with the results of this study, Hendrie et al. (2014) showed that red meat and non-core foods (which included processed meats, hot drinks and other energy-dense food items) in the Average Australian Diet accounted for the greatest contribution to GHG emissions [63]. The high contribution of bottled fruit juices to water use in the Western pattern, is in agreement with the reportedly high water footprint of apple and orange juice (200–230 L of water/200 ml glass or 1020–1140 L of water/L of juice) [64]. Interestingly in the Western pattern grains

contributed most to the EFPs of this pattern. Although in general, grains are reported to be low on environmental impact [65], however, their high consumption by Lebanese adults led to their large contribution to the EFPs of this pattern. Within the Lebanese-Mediterranean pattern, dairy products alone contributed over 40% of the total water use of this pattern, 21% of energy use and 22% of its GHGs. Though not a common element of the Mediterranean diets, dairy products were part of the Lebanese-Mediterranean pattern. Lebanon, as well as other countries of the Levant including Syria and Jordan, traditionally consumes large quantities of dairy products, which are typically consumed as fermented milk products such as yogurt, strained yogurt (labneh) and white cheese in brine [66]. This finding is in line with other studies which showed that dairy products, principal sources of animal protein in some Mediterranean diets, presented high environmental footprints possibly due to the low consumption of meat and meat products in general in these diets [52, 67]. It is noteworthy that the vegetables group contributed to 60% of energy use and 51% of GHG in this pattern. These results could be explained by 1) the relatively high consumption of vegetables within the Lebanese diet and 2) the fact that production of vegetables requires more energy and GHGs than grains and fruits [48, 68]. In light of these findings, it appears sensible to recommend limiting dairy and increasing fruit consumption within the Lebanese-Mediterranean pattern. However future studies are needed to examine such recommendations taking into consideration the nexus of water, food, energy, as well as health. Policies focusing on one dimension of this nexus ignore the potential tradeoffs among the different impacts and may inadvertently strain resources [10]. For the High-Protein pattern, meat and poultry has the highest contribution to the EFPs of this pattern. As indicated earlier in this section, most research investigating the EFP of food consumption concluded that animal-based food groups, including meat and poultry pose the highest burden on the environment, including high water use, energy use and GHGs [48].

The strength of this study lies in it being the first in the MENA to examine EFPs of food consumption and dietary patterns and as such it could constitute a model for other countries in the region to emulate. The findings of this study ought to be considered in light of a few limitations. First, in the context of this study, metrics derived through the use of LCAs are to be interpreted with caution. LCAs allow for measuring the ecological footprint of each food or food category throughout its life cycle, which typically includes agricultural production and processing [12]. However most of the LCAs are conducted in high income countries with very few based in middle or low income countries. In this study, effort was exerted to use local and regional LCAs. If not available, LCAs from high income countries

with similar climate and environmental conditions were used. Second, although this study addressed three EFPs, other elements of environmental sustainability such as soil erosion, biodiversity, pollution, farm management and ecosystem services were not considered in this study. It is important to note that the lack of a detailed inventory of agricultural and production practices in Lebanon and other countries of the region limit the feasibility of a comprehensive assessment of environmental footprints of food consumption.

Conclusion

The findings of this study showed that, among Lebanese adults, food consumption in general and the Lebanese-Mediterranean dietary pattern had the lowest estimates for water and GHGs footprints, while the Western and High-Protein patterns had higher EFPs. Coupled to our earlier findings of the Lebanese-Mediterranean pattern's beneficial effects on health and the Western pattern's deleterious effects on health, the findings of this study lend evidence for the notion that what is healthy for people may also be healthy for ecosystems. These EFPs estimates associated with food consumption patterns in the country could be used to inform policies vis-a-vis agricultural production, type of production, food imports, subsidies and recommendations for sustainable food consumption. This study is a first step towards the formulation of sustainable diets for the Lebanese population. Further studies are needed to examine the nutrition value, quantity and quality of the food items comprising the identified patterns in order to achieve this goal and help countries address the SDGs.

Abbreviations

CH₄: Methane; CI: Crowding index; CO₂eq: Carbon dioxide equivalent; EFP: Environmental Footprints; FFQ: Food Frequency Questionnaire; GHG: Greenhouse Gas; GWPCH₄: Global warming potential of methane; GWPN₂O: Global warming potential of nitrous oxide; ha: Hectar; LCA: Life cycle analysis; MENA: Middle East and North Africa Region; MJ: Mega Joules; N₂O: Nitrous oxide; NCD: Non-Communicable Diseases; NRI: Natural Resources Inventory; SDG: Sustainable Development Goals; t: Metric Ton; UNEP: United Nations Environment Program; WHO: World Health Organization

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Authors' contributions

FN led the write-up of the manuscript and supervised data analysis and interpretation; LJ, contributed to the interpretation of the results and the write up of the manuscript; LI was responsible for the statistical analysis of dietary data. JZ was responsible for the derivation of the EFPs metrics. AS contrib-

uted to the analysis of dietary data; NH was responsible for the conceptualization of the study objectives, provided valuable input for data interpretation and has critically reviewed the manuscript. All authors have approved the final article.

Competing interests

The authors declare that they have no competing interests.

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Population-based studies of relationships between dietary acidity load, insulin resistance and incident diabetes in Danes

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Abstract

Background: It has been suggested that the acidity of the diet may be related to increased risk of type 2 diabetes. To investigate this hypothesis, we tested if the acidity of the diet, measured as the Potential Renal Acid Load (PRAL) score, was associated with incident diabetes and diabetes-related intermediary traits.

Methods: A total of 54,651 individuals from the Danish Diet, Cancer and Health (DCH) cohort were included in the prospective cox regression analyses of incident diabetes over a 15 years follow-up period. Moreover, 5724 Danish individuals with baseline data from the Inter99 cohort were included in the cross sectional, multivariate and logistic regression analyses of measures of insulin sensitivity, insulin release and glucose tolerance status derived from an oral glucose tolerance test (OGTT).

Results: In the DCH cohort a trend analysis showed that quintiles of PRAL score were, after multifactorial adjustment, associated with a higher incidence of diabetes ($p_{\text{trend}} = 6 \times 10^{-7}$). HR for incident diabetes was 1.24 (1.14; 1.35) ($p = 7 \times 10^{-7}$) between first and fifth PRAL score quintile. In Inter99 higher PRAL score associated with insulin resistance as estimated by lower BIGTT-Si (an OGTT-derived index of insulin sensitivity) ($p = 4 \times 10^{-7}$) and Matsuda index of insulin sensitivity ($p = 2 \times 10^{-5}$) as well as higher HOMA-IR ($p = 0.001$). No association was observed for measures of insulin release, but higher PRAL score was associated with lower OGTT-based disposition index.

Conclusions: A high dietary acidity load is associated with a higher risk of diabetes among middle-aged Danes. Although adjustment for BMI attenuated the effect sizes the association remained significant. The increased risk of diabetes may be related to our finding that a high dietary acidity load associates with impaired insulin sensitivity.

Keywords: Dietary acid load, PRAL, Glucose, Insulin resistance, Disposition index, Type 2 diabetes

Background

Accumulating evidence suggests that a high dietary acidity load results in chronic tissue metabolic acidosis which, in turn, may contribute to the development of insulin resistance and type 2 diabetes (T2D) [1–5].

Observational studies report that a high dietary acidity load associates with the risk of developing T2D: an epi-

demiological study of ~66,000 middle-aged French women, including 1372 incident T2D cases, reported a higher incidence of T2D during 14 years of follow-up in those study participants with a high dietary acidity load [6]. Also, in an analysis combining data from three observational studies of American health professionals with a total of 15,305 cases of T2D in 4,025,131 person years of follow-up, the authors reported an increased risk of T2D with a higher PRAL score [7]. Yet, this finding could not be reproduced in a Swedish study of 911 elderly men with 115 cases through 18 years of follow-up [8]. Gender discrepancies have been suggested since a study of 1191 incident cases of T2D among ~65,000 Japanese showed an association with T2D in men only

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during 5 years of follow-up [9]. Finally, higher dietary acidity load was reported to associate with increased insulin resistance in a study of 1732 Japanese (>90% men) [10].

A widely used approach to estimate dietary acidity load is the Potential Renal Acid Load (PRAL) score which is a validated proxy for renal net acid excretion [11]. Another estimation for the acidity of the diet is the NEAP score (Net Endogenous Acid Production). Both estimates seem to reflect a similar risk of incident diabetes [6, 7, 9, 10].

The PRAL score is based on dietary intake of protein and various micronutrients, phosphorus, potassium, calcium and magnesium, and takes into account the absorption rate of the nutrients in the gut, unlike the NEAP score, which only operates with potassium and protein intake [6]. A negative PRAL score reflects an alkalizing potential of the diet whereas a positive PRAL score reflects an acidifying potential of the diet.

The aim of the present study was to substantiate and elaborate previous findings and test if PRAL score associates with impaired glucose tolerance and incident diabetes in our study sample of middle-aged people from the general Danish population. Furthermore, in a cross sectional study of middle-aged individuals from the Danish general population, we aimed to test the hypothesis that a higher PRAL score associates with diabetes-related intermediary traits, including impaired beta-cell function and insulin resistance, derived from Oral Glucose Tolerance Tests (OGTT).

Methods

The present study is based on two Danish population-based cohorts: the Diet, Cancer and Health cohort (DCH) and the Inter99 cohort ([ClinicalTrials.gov](https://clinicaltrials.gov) ID no. NCT00289237).

Diet, Cancer and health cohort

During 1993 to 1997, 160,725 Danish men and women were invited to participate in the DCH cohort; the inclusion criteria being age 50–64 years, living in the greater Copenhagen and Aarhus areas, born in Denmark and not registered with a previous cancer diagnosis in the Danish Cancer Registry. In total, 27,178 men and 29,875 women participated. However, 574 individuals were excluded due to cancer diagnosis before baseline, leaving 56,479 participants available for analysis.

The present study is based on data from 25,808 men and 28,843 women after exclusion of patients with known diabetes at baseline ($n = 1371$), participants with incomplete dietary registration ($n = 53$), participants with extreme values of self-reported energy intake (< 1000 KJ/day ($n = 0$) or > 20,000 KJ/day ($n = 197$)) and participants with missing values for BMI and lifestyle

characteristics (diet, smoking and physical activity) ($n = 207$). Information on incident diabetes during the 15 years of follow-up was obtained from The National Diabetes Register [12] and dates of death were obtained from the Danish Civil Registration System. All other information was collected at baseline. A flowchart is given in Additional file 1: FigureS1.

In the DCH cohort, diet was monitored at recruitment by a 192-item FFQ that each participant received by mail before their visit to the study centre. The FFQ was designed specifically for this study population, aiming to capture the average intake of different food and beverage items over the past 12 months before study inclusion. Daily intakes of foods and nutrients were calculated for each participant by the software programme FoodCalc (www.ibt.ku.dk/jesper/foodcalc/). A description of the development and validation of this FFQ, and a detailed description of the estimation of the dietary intake of the population have been published [13–16]. Information on smoking habits and physical activity was obtained from questionnaires.

Inter99 cohort

The Inter99 cohort is a non-pharmacological intervention study for the prevention of ischaemic heart disease [17]. Detailed description of the Inter99 study is given in the Additional file 1.

The present study is based on data from 2843 men and 2881 women after exclusion of participants with incomplete dietary registration ($n = 150$), participants with missing data from the OGTT ($n = 359$) and participants with extreme values of self-reported energy intake (< 1000 KJ/day ($n = 6$) or > 20,000 KJ/day ($n = 93$)). Additionally, 19 individuals had fasting serum C-peptide levels lower than 150 pmol/l and were, due to suspicion of type 1 diabetes, excluded from further analyses. Four hundred thirty-three individuals had missing information on smoking, physical activity, dietary intake or body mass index (BMI) and were thus excluded. A flowchart is given in Additional file 1: FigureS2.

Based on OGTT derived data, participants were characterised as having normal glucose tolerance (NGT) ($n = 4288$), impaired fasting glucose (IFG) ($n = 474$), impaired glucose tolerance (IGT) ($n = 655$) or screen detected, treatment-naive T2D ($n = 214$) according to the 1999 WHO criteria [18]. Additionally, a self-reported diabetes diagnosis was reported for 93 participants. In the present analytical protocol, individuals with combined IFG and IGT are presented together in the IGT group.

In the multivariate analyses of diabetes-related intermediary traits, participants with self-reported diabetes at

baseline were excluded ($n = 93$) leaving 5631 participants eligible for analysis.

To assess beta cell function we used insulinogenic index and corrected insulin response as well as disposition index. To review insulin sensitivity we used Homeostatic Model Assessment of Insulin Resistance (HOMA-IR), Matsuda index of insulin sensitivity (ISI_{Matsuda}) and BIGTT-Si. Calculations of these indices are given in Additional file 1.

The Inter99 study participants completed, at recruitment, a validated and self-administered food frequency questionnaire (FFQ) during their visit to the Research Centre [19]. They were asked to report their dietary intake during the month before examination. The FFQ included 198 questions on food items and beverages with additional questions regarding portion sizes of selected food items. All food items in the FFQ were linked to a food item in the Danish Food Composition Databank [20]. A detailed description of the questionnaire and estimation of the dietary intake of the population has been published [19]. Smoking status and physical activities were obtained from validated questionnaires as reported [17].

The potential renal acid load (PRAL) score

PRAL score was derived based on the estimated intake of several nutrients calculated from the FFQ used in Inter99 and DCH [6]:

$$\begin{aligned} \text{PRAL} \left(\frac{\text{mEq}}{\text{day}} \right) &= 0.49 \times \text{protein} \left(\frac{\text{g}}{\text{day}} \right) + 0.037 \\ &\times \text{phosphorus} \left(\frac{\text{mg}}{\text{day}} \right) - 0.021 \\ &\times \text{potassium} \left(\frac{\text{mg}}{\text{day}} \right) - 0.013 \\ &\times \text{calcium} \left(\frac{\text{mg}}{\text{day}} \right) - 0.026 \\ &\times \text{magnesium} \left(\frac{\text{mg}}{\text{day}} \right) \end{aligned}$$

Statistical analyses

All statistical tests were performed using the R statistical package (<http://cran.r-project.org/>, version 3.1.3). A p -value of < 0.05 was considered statistically significant.

In the DCH cohort, Cox proportional hazards regression models with age as the time scale were used to estimate hazard ratios (HRs) of incident diabetes. Participants were censored at their date of death or emigration. PRAL score was analysed as categorised into quintiles, with the lowest category as the reference group. Two multivariate models were used: model 1 was adjusted for age (as time scale), smoking status (never-smoker/ex-smoker/current smoker), physical activity

(metabolic equivalent of task (MET)) [21] and total fat, carbohydrate and energy intake, and model 2 was further adjusted for BMI. We tested the assumption of proportional hazards by visual inspection. Due to an uneven distribution of men and women across the quintiles and to address the previous diverging findings on men and women we conducted the analyses in combined datasets and stratified by sex.

In Inter99 logistic regression models were used to assess the association between PRAL score and IFG, IGT, and diabetes. Additionally, in Inter99 linear regression models were used to test whether PRAL score (as a continuous variable) was associated with diabetes-related intermediary traits in non-diabetic individuals only ($n = 5631$). Two multivariate models were used: model 1 was adjusted for age, sex, total fat, carbohydrate and energy intake, smoking status (never-smoker/ex-smoker/current smoker) and level of physical activity (0–2 h/week, 2–4 h/week, 4–7 h/week, 7–12 h/week) [22] and model 2 was further adjusted for BMI. To test whether the assumptions for linear regression analysis were fulfilled, all variables were inspected with regards to linearity as well as homogeneity of variance and normality of the residuals. Natural logarithmic transformation was used to approximate a normal distribution when needed. Test of effect modification was performed by introducing the interaction term in the linear models.

Results

Baseline characteristics of included participants in the two cohorts are shown in Table 1 as stratified by quintiles of PRAL score. Individuals with a higher PRAL score had a higher intake of total and saturated fat, lower intake of fruits and vegetables and higher daily energy intake (see Table 1). In the subsequent analyses, we adjusted for these differences.

Outcome from analyses of the DCH cohort

During a mean follow-up period of 15 years 7201 incident cases of diabetes occurred in the total study population. Multifactor adjusted HRs (model 1) of diabetes were 1.06 (95% CI 0.98; 1.15) ($p = 0.12$), 1.10 (95% CI 1.02; 1.19) ($p = 0.02$), 1.13 (95% CI 1.04; 1.22) ($p = 0.003$) and 1.24 (95% CI 1.14; 1.35) ($p = 7 \times 10^{-7}$), respectively, for the second, third, fourth and fifth quintile versus the first quintile of PRAL score ($p_{\text{trend}} = 6 \times 10^{-7}$) (Fig. 1). Further adjustment for BMI attenuated the HRs as HR for the 5th vs. the 1st quintile was 1.10 (95% CI 1.01; 1.20) ($p = 0.03$) (Fig. 1, model 2), but the same trend was observed across the quintiles ($p_{\text{trend}} = 0.04$). In the sex stratified analyses a similar association was observed for both men and women (Fig. 2). However, when BMI was included in the model the association with

Table 1 Baseline characteristics of study participants in the Inter99 study and in the DCH cohort by quintiles of PRAL score

	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	Total
Inter99 (n = 5631)						
Participants, n	1127	1126	1126	1126	1126	5631
PRAL range, mEq/d	−92; −13	−13; −3	−3; 4	4; 12	12; 90	−92; 90
Men, n (%)	469 (42)	530 (47)	487 (43)	581 (52)	722 (64)	2789 (50)
Age, years	50 (41; 55)	50 (40; 55)	45 (40; 50)	45 (40; 50)	45 (40; 50)	45 (40; 50)
BMI, kg/m ²	26.2 ± 4.5	26.1 ± 4.4	26.1 ± 4.6	26.1 ± 4.3	26.3 ± 4.6	26.2 ± 4.5
Physical activity, min/week (%)						
0–112	117 (10)	136 (12)	133 (12)	157 (14)	155 (14)	698 (12)
142.5–225	246 (22)	248 (22)	261 (23)	256 (23)	256 (23)	1267 (23)
255–420	601 (53)	586 (52)	609 (54)	573 (51)	571 (51)	2940 (52)
450–720	163 (14)	156 (14)	123 (11)	140 (12)	144 (13)	726 (13)
Smoking, n (%)						
Current	539 (48)	444 (39)	420 (37)	390 (35)	396 (35)	2189 (39)
Former	283 (25)	304 (27)	292 (26)	287 (25)	267 (24)	1433 (25)
Never	305 (27)	378 (34)	414 (37)	449 (40)	463 (41)	2009 (36)
Energy intake, KJ/d	9286 ± 3082	9043 ± 2878	9049 ± 2861	9605 ± 2866	11,039 ± 3163	9604 ± 3064
Protein, g/d	76 ± 23	77 ± 23	79 ± 23	85 ± 23	103 ± 28	84 ± 26
Carbohydrates, g/d	293 ± 114	268 ± 96	263 ± 93	274 ± 92	302 ± 98	280 ± 100
Dietary fiber, g/d	23 ± 11	21 ± 8	20 ± 8	21 ± 8	23 ± 9	22 ± 9
Fat intake total, g/d	67 (51; 88)	72 (56; 94)	76 (59; 98)	84 (66; 107)	103 (79; 132)	80 (60; 105)
Saturated fat, g/d	25 (18; 35)	28 (21; 38)	29 (22; 39)	33 (25; 43)	40 (30; 53)	31 (22; 42)
Diet, Cancer and Health (n = 54,651)						
Participants, n	10,931	10,930	10,930	10,930	10,930	54,651
PRAL range, mEq/d	−117; −10	−10; −3	−3; 4	4; 12	12; 89	−117; 89
Men, n (%)	3564 (33)	4215 (39)	4838 (44)	5789 (53)	7402 (68)	25,808 (47)
Age, years	55 (52; 60)	56 (52; 60)	56 (52; 60)	56 (52; 60)	55 (52; 60)	56 (52; 60)
BMI, kg/m ²	25.6 ± 3.9	25.8 ± 4.0	26.0 ± 3.9	26.1 ± 4.0	26.4 ± 4.1	26.0 ± 4.0
Physical activity, MET-h/week	59 (39; 88)	56 (37; 84)	56 (36; 82)	56 (37; 83)	57 (37; 87)	57 (37; 85)
Smoking, n (%)						
Current	4551 (42)	4203 (38)	3723 (34)	3615 (33)	3605 (33)	15,713 (29)
Former	2963 (27)	2948 (27)	3125 (29)	3287 (30)	3390 (31)	19,697 (36)
Never	3417 (31)	3779 (35)	4082 (37)	4028 (37)	3935 (36)	19,241 (35)
Energy intake, KJ/d	9013 ± 2463	9026 ± 2405	9374 ± 2432	9948 ± 2467	11,384 ± 2745	9749 ± 2657
Protein, g/d	82 ± 23	86 ± 23	91 ± 23	99 ± 24	119 ± 29	95 ± 28
Carbohydrates, g/d	254 ± 78	240 ± 71	243 ± 70	252 ± 70	276 ± 75	253 ± 74
Dietary fiber, g/d	22 ± 8	20 ± 7	20 ± 7	20 ± 6	22 ± 7	21 ± 7
Fat intake total, g/d	67 (53; 83)	73 (58; 89)	78 (64; 96)	86 (70; 105)	104 (86; 126)	81 (64; 101)
Saturated fat, g/d	25 (19; 32)	28 (22; 35)	30 (24; 37)	33 (27; 41)	40 (33; 49)	31 (24; 39)
Food intake, g/d						
Red meat	63 (46; 84)	70 (52; 93)	77 (57; 101)	85 (63; 112)	105 (75; 141)	78 (56; 107)
Fish	32 (21; 46)	35 (23; 49)	37 (25; 52)	41 (28; 57)	49 (33; 70)	38 (25; 55)
Dairy products	263 (121; 515)	278 (138; 536)	289 (152; 547)	307 (172; 576)	344 (206; 617)	295 (156; 560)
Vegetables	180 (113; 272)	158 (100; 223)	151 (99; 213)	148 (97; 207)	155 (104; 213)	157 (102; 224)
Fruit	202 (107; 350)	154 (81; 254)	141 (74; 224)	131 (68; 204)	117 (61; 189)	145 (75; 240)

Data are mean ± standard deviation or median (inter quartile range) unless otherwise specified. Descriptive data on the Inter99 cohort are on non-diabetic individuals only. MET Metabolic Equivalent of Task, PRAL Potential Renal Acid Load

Hazard ratio of incident diabetes according to PRAL score quintiles in the DCH cohort

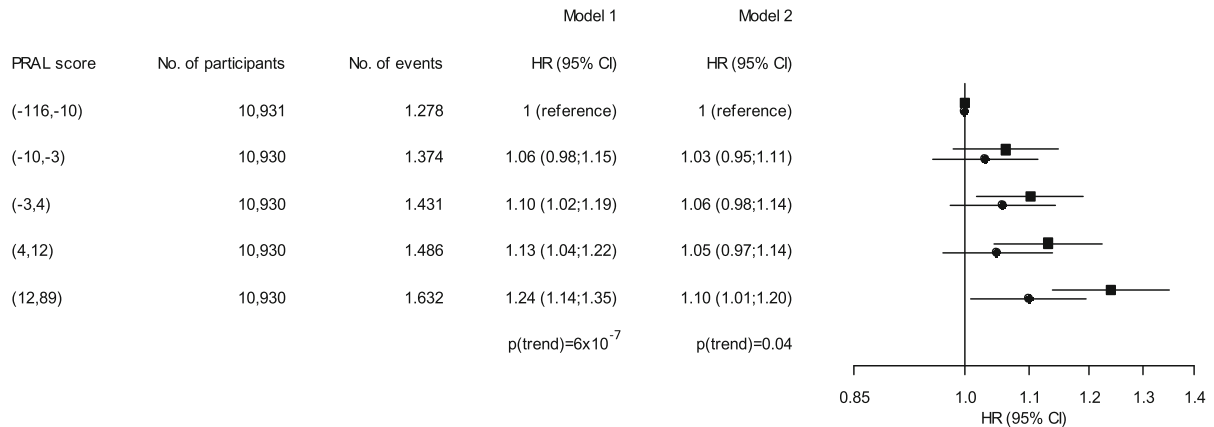


Fig. 1 Hazard ratio (HR) of incident diabetes according to PRAL score quintiles in the full DCH cohort. Age is used as the time scale in the cox model. Model 1 is adjusted for fat, energy and carbohydrate intake, smoking and physical activity, while model 2 is adjusted for BMI, fat, energy and carbohydrate intake, smoking and physical activity. Squares indicate HR for model 1, and circles indicate HR for model 2. The p -value shown indicates significance of a trend test

incident diabetes disappeared for men, but remained significant for women ($p_{\text{trend}} = 0.02$).

Outcome from analyses of the Inter99 study

The multifactor-adjusted odds ratio (OR) for a one standard deviation higher PRAL score was 1.11 (95% CI 1.01; 1.22) ($p = 0.03$) for IGT (Fig. 3). The OR diminished with further adjustment for BMI ($p = 0.11$). No significant association was observed between PRAL score and T2D (Fig. 3) and PRAL score and IFG. However, when adjusting for BMI we found an association between lowered PRAL score and IFG with an OR of 0.89 (95% CI 0.80; 0.99) ($p = 0.03$).

A higher PRAL score was associated with lower OGTT-based measures of insulin resistance (model 1) as expressed by decreased BIGTT- S_i ($p = 4 \times 10^{-7}$) and ISI_{Matsuda} ($p = 2 \times 10^{-5}$) as well as increased HOMA-IR ($p = 0.001$) (Table 2). Accordingly, a higher PRAL score was associated with higher serum insulin levels at fasting and 120 min during the OGTT ($p = 2 \times 10^{-4}$ and $p = 8 \times 10^{-16}$, respectively), and with higher plasma glucose levels at 120 min ($p = 4 \times 10^{-10}$) (Table 2). No associations were observed between PRAL score and corrected insulin response ($p = 0.2$) or insulinogenic index ($p = 0.3$), both of which are indices of beta cell function. In addition, a higher PRAL score was associated with a lower OGTT-based disposition index ($p = 0.004$). All findings remained significant after further adjustment for BMI (model 2) and with similar effect sizes.

When testing for effect modification we introduced the interaction term PRAL \times sex in the linear regression models, but the interaction terms were not significant (data not shown). However; to address diverging

previous findings in men and women we further stratified by sex. We found that a higher PRAL score was associated with indices of insulin sensitivity in both women and men (Table 3), but the association with increased HOMA-IR with increased PRAL score was only apparent in women. Furthermore, an association between higher PRAL score and lower HbA1c was seen in men, but only after adjustment for BMI. No associations were found between PRAL score and indices of beta cell function in the sex stratified analyses, but a higher PRAL score was associated with lower disposition index in women (Table 3).

Sensitivity analysis

In the Inter99 cohort we further did a sensitivity analysis where we adjusted for family history of diabetes, hypertension and dietary patterns (see Additional file 1: TableS1). This information was not available to us in the DCH cohort, and so the sensitivity analysis was only conducted in the Inter99 cohort. The sensitivity analysis showed comparable associations as demonstrated in the primary analyses except the associations between PRAL score and HbA1c and disposition index. In the sensitivity analysis the two latter associations became non-significant (see Additional file 1: TableS1).

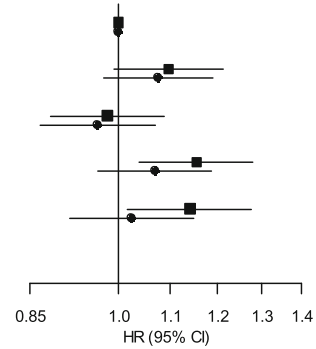
Discussion

In the DCH cohort of more than 54,000 individuals with ~7200 incident cases of diabetes after 15 years of follow-up, we demonstrated a positive relationship between PRAL score and development of incident diabetes, substantiating previous findings [6–10]. Moreover, in baseline data from the Inter99 study of 5631 non-

Hazard ratio of incident diabetes according to PRAL score quintiles in the DCH cohort stratified by sex

Men

PRAL score	No. of participants	No. of events	Model 1	Model 2
			HR (95% CI)	HR (95% CI)
(-97,-7)	5,162	758	1 (reference)	1 (reference)
(-7,-1)	5,161	823	1.10 (0.99;1.21)	1.08 (0.97;1.19)
(-1,8)	5,162	740	0.98 (0.88;1.09)	0.96 (0.87;1.07)
(8,16)	5,161	846	1.15 (1.04;1.28)	1.07 (0.96;1.19)
(16,83)	5,162	820	1.14 (1.02;1.28)	1.03 (0.91;1.15)
			p(trend)=0.02	p(trend)=0.7



Women

PRAL score	No. of participants	No. of events	Model 1	Model 2
			HR (95% CI)	HR (95% CI)
(-116,-13)	5,769	597	1 (reference)	1 (reference)
(-13,-5)	5,768	612	1.05 (0.93;1.18)	1.02 (0.91;1.14)
(-5,1)	5,769	631	1.10 (0.98;1.23)	1.04 (0.93;1.17)
(1,8)	5,768	678	1.22 (1.08;1.37)	1.15 (1.02;1.29)
(8,89)	5,769	696	1.30 (1.15;1.47)	1.10 (0.98;1.25)
			p(trend)=2x10 ⁻⁶	p(trend)=0.02

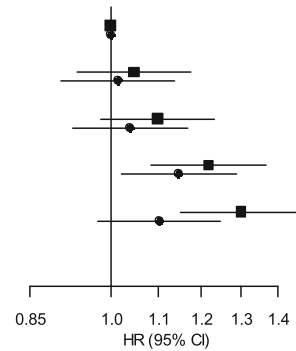


Fig. 2 Hazard ratio (HR) of incident diabetes according to PRAL score quintiles in the DCH cohort stratified by sex. Age is used as the time scale in the cox model. Model 1 is adjusted for fat, energy and carbohydrate intake, smoking and physical activity, while model 2 is adjusted for BMI, fat, energy and carbohydrate intake, smoking and physical activity. Squares indicate HR for model 1, and circles indicate HR for model 2. The *p*-value shown indicates significance of a trend test

Glucose tolerance status

Group	No. of participants	Model 1	Model 2
		OR (95% CI)	OR (95% CI)
NGT	4,288	1 (reference)	1 (reference)
IFG	474	0.91 (0.81;1.01)	0.89 (0.80;0.99)
IGT	655	1.11 (1.01;1.22)	1.08 (0.98;1.19)
T2D	307	1.14 (0.999;1.31)	1.12 (0.97;1.29)

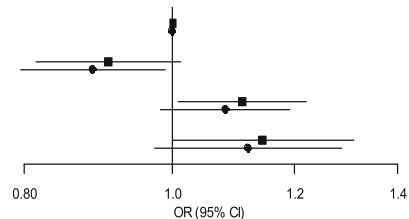


Fig. 3 PRAL score and glucose tolerance status among participants of the Inter99 study. Odds ratios (OR) of impaired fasting glucose (IFG), impaired glucose tolerance (IGT) and type 2 diabetes (T2D) according to PRAL score as shown for one standard deviation of PRAL score in the total population (16.36 mEq/day). Model 1 is adjusted for age, sex, smoking, physical activity and fat, energy and carbohydrate intake, while model 2 is adjusted for age, sex, BMI, smoking, physical activity and fat, energy and carbohydrate intake. NGT = Normal Glucose Tolerance. Participants having combined IFG and IGT were classified as IGT. Squares indicate OR for model 1, and circles indicate OR for model 2

Table 2 Associations between PRAL score and diabetes-related intermediary traits in the baseline part of Inter99 study as well as means and medians for the individual quintiles

Variable	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	Beta _{Model 1} (95%CI)	P _{Model 1}	P _{Model 2}
PRAL range (mEq/d)	-92; -13	-13; -3	-3; 4	4; 12	12; 90			
HbA1C (%) ^a	5.9 ± 0.5	5.8 ± 0.5	5.8 ± 0.5	5.8 ± 0.6	5.8 ± 0.5	-0.0009 (-0.002; -5 × 10 ⁻⁵)	0.04	0.01
Plasma glucose (mmol/l)								
Fasting ^a	5.6 ± 0.8	5.5 ± 0.8	5.5 ± 0.6	5.6 ± 0.9	5.5 ± 0.9	-0.0003 (-0.002; 0.001)	0.7	0.2
30 min ^a	8.7 ± 2.0	8.7 ± 1.9	8.6 ± 1.9	8.6 ± 1.8	8.7 ± 1.8	-0.0009 (-0.004; 0.002)	0.6	0.2
120 min	5.7 (4.8; 6.9)	5.9 (4.9; 6.9)	5.9 (4.9; 6.9)	6.0 (5.0; 7.0)	5.9 (5.0; 7.0)	0.2 (0.1; 0.2)	4 × 10 ⁻¹⁰	1 × 10 ⁻⁸
Serum insulin (pmol/l)								
Fasting	32 (22; 47)	33 (23; 50)	36 (24; 52)	35 (25; 53)	36 (25; 53)	0.2 (0.09; 0.3)	2 × 10 ⁻⁴	0.008
30 min	239 (171; 334)	234 (165; 346)	243 (181; 349)	257 (178; 376)	257 (183; 368)	0.07 (-0.03; 0.2)	0.2	0.8
120 min	145 (86; 230)	151 (96; 246)	164 (103; 253)	162 (103; 273)	162 (95; 273)	0.6 (0.4; 0.7)	8 × 10 ⁻¹⁶	6 × 10 ⁻¹⁴
Measures of beta cell function								
Insulinogenic index	24.2 (16.5; 35.4)	23.4 (15.9; 34.7)	24.7 (17.2; 36.3)	25.8 (17.2; 38.8)	25.4 (17.2; 37.5)	0.06 (-0.06; 0.2)	0.3	0.8
Corrected insulin response	638 (392; 1051)	602 (392; 1033)	689 (413; 1107)	691 (410; 1146)	679 (413; 1094)	0.09 (-0.04; 0.2)	0.2	0.3
Measures of insulin sensitivity								
HOMA-IR	1.3 (0.89; 2.0)	1.3 (0.89; 2.1)	1.4 (0.94; 2.2)	1.4 (0.98; 2.2)	1.4 (0.97; 2.2)	0.2 (0.07; 0.3)	0.001	0.04
ISI _{Matsuda}	8.4 (5.6; 11.7)	8.1 (5.3; 11.9)	7.8 (5.1; 11.1)	7.5 (5.1; 10.9)	7.6 (4.9; 10.8)	-0.2 (-0.3; -0.1)	2 × 10 ⁻⁵	0.001
BIGTT-Si ^a	9.7 ± 4.1	9.4 ± 4.1	9.3 ± 4.0	9.0 ± 4.0	8.8 ± 4.0	-0.02 (-0.03; -0.01)	4 × 10 ⁻⁷	4 × 10 ⁻⁷
Disposition Index	304 (211; 447)	291 (200; 410)	298 (203; 419)	297 (205; 412)	306 (206; 415)	-0.2 (-0.3; -0.05)	0.004	0.02

Data are from the Inter99 cohort ($n = 5631$) and are presented as mean ± standard deviation or median (inter quartile range) for each quintile of PRAL score as a description of the cohort. Effect sizes (95% confidence interval) are calculated using linear models with PRAL score as a continuous variable and are given as percentage increase for a one unit increase in PRAL score except for variables that have not been transformed by the natural logarithm in which case it is given as an increase per unit. The linear regression model 1 was adjusted for age, sex, smoking, physical activity and fat, energy and carbohydrate intake. The linear regression model 2 was further adjusted for BMI. BIGTT-Si was not adjusted for sex as this variable is included in the calculation of BIGTT-Si. Calculations of measures of beta cell function and insulin sensitivity were carried out as described in methods. Variables were transformed by the natural logarithm unless otherwise indicated by (a). Quintile values are raw data and are for descriptive purposes only

diabetic individuals with OGTT-derived data, we found that a higher PRAL score was associated with reduced insulin sensitivity, but not with changes in proxies of beta cell function. In addition, higher PRAL score was associated with a lower OGTT-based disposition index. We did not observe any major diverging results between the sexes, and so we cannot confirm that any specific differences exist in regards to PRAL score and sex. When adjusting for BMI in the DCH cohort, only the increased HR between the first and the fifth quintiles remained significant. Furthermore, when adjusting for BMI, the significant trend seen in males disappeared, but remained significant in women. In the Inter99 cohort, adjustment for BMI had no effect on the analyses. Since the individuals with a higher PRAL score generally had a higher overall energy intake, the increased BMI may be part of the explanation for the increased incidence of diabetes. However, since the associations persist even after adjustment for BMI, it shows that there is indeed an association between PRAL score and incident diabetes as well as diabetes intermediary traits which is independent of BMI.

Despite a lack of association with indices of beta-cell function in the Inter99 study, we did find an association with lower disposition index as an indication of a decreased beta cell secretion of insulin at the concomitant level of insulin resistance. Hence, we cannot completely discard an association between PRAL score and beta-cell function. Higher PRAL score is consistent with a generally unhealthy diet, which is reflected in our finding that individuals with a higher PRAL score also had a higher intake of total and saturated fat, lower intake of fruits and vegetables and higher daily energy intake. We have accounted for these confounders by adjusting for dietary fat, carbohydrate and total energy intake in our analyses.

The different nutrients, especially protein, embedded in the calculation of the PRAL score may come from various sources. Since the amino acid composition of plant proteins is different from the composition of animal proteins, it is possible that ingestion of plant proteins will have a different effect on the tissue acidity than animal proteins. Indeed, a previous study showed an association between incident T2D and animal protein intake, but not with plant protein intake [23]. One could therefore speculate that a type of

Table 3 Associations in the Inter99 study between PRAL score and diabetes-related intermediary traits stratified by sex as well as means and medians for the individual quintiles

Variable	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	Beta _{Model 1} (95%CI)	P _{Model 1}	P _{Model 2}
Men	n = 558	n = 558	n = 557	n = 558	n = 558			
PRAL range (mEq/d)	-92; -11	-11; -1	-1; 6	6; 15	15; 90			
HBA1C (%) ^a	6.0 ± 0.6	5.9 ± 0.6	5.9 ± 0.4	5.9 ± 0.7	5.9 ± 0.6	-0.001 (-0.003; 6 × 10 ⁻⁵)	0.06	0.04
Plasma glucose (mmol/l)								
Fasting ^a	5.8 ± 1.0	5.7 ± 0.9	5.7 ± 0.7	5.7 ± 1.1	5.6 ± 0.9	-0.001 (-0.004; 0.0008)	0.2	0.1
30 min ^a	9.5 ± 2.1	9.1 ± 1.9	9.2 ± 1.8	9.2 ± 1.8	9.0 ± 1.8	-0.003 (-0.007; 0.001)	0.2	0.09
120 min	5.6 (4.6; 7.0)	5.9 (4.9; 6.9)	5.9 (4.8; 6.9)	5.7 (4.8; 6.79)	5.9 (4.9; 7.0)	0.2 (0.1; 0.3)	3 × 10 ⁻⁶	6 × 10 ⁻⁶
Serum insulin (pmol/l)								
Fasting	34 (23; 52)	36 (24; 54)	38 (25; 56)	37 (25; 57)	37 (25; 55)	0.2 (0.02; 0.3)	0.02	0.052
30 min	244 (170; 356)	251 (165; 368)	255 (181; 380)	253 (173; 382)	264 (185; 396)	0.06 (-0.08; 0.2)	0.4	0.9
120 min	123 (67; 223)	141 (82; 233)	155 (83; 255)	143 (81; 242)	150 (89; 275)	0.6 (0.4; 0.8)	4 × 10 ⁻⁹	5 × 10 ⁻⁹
Measures of beta cell function								
Insulinogenic index	22.5 (15.0; 34.0)	23.5 (15.2; 34.9)	23.8 (16.2; 35.5)	23.1 (15.5; 36.3)	25.6 (16.6; 38.8)	0.08 (-0.09; 0.2)	0.3	0.6
Corrected insulin response	511 (306; 820)	557 (358; 932)	564 (353; 968)	563 (360; 891)	655 (384; 1008)	0.1 (-0.04; 0.3)	0.1	0.2
Measures of insulin sensitivity								
HOMA-IR	1.5 (0.9; 2.3)	1.5 (1.0; 2.3)	1.6 (1.0; 2.4)	1.6 (1.0; 2.4)	1.5 (1.0; 2.4)	0.1 (-0.02; 0.3)	0.09	0.2
ISI _{Matsuda}	7.7 (4.9; 11.5)	7.3 (4.9; 11.1)	7.2 (4.8; 10.6)	7.1 (5.0; 11.0)	7.4 (4.6; 10.6)	-0.2 (-0.3; -0.03)	0.02	0.04
BI(GTT-SI) ^a	8.3 ± 3.6	8.1 ± 3.5	8.0 ± 3.4	8.1 ± 3.5	8.1 ± 3.6	-0.02 (-0.02; -0.007)	3 × 10 ⁻⁴	3 × 10 ⁻⁴
Disposition Index	285 (188; 407)	273 (185; 390)	277 (188; 381)	282 (186; 382)	302 (197; 419)	-0.1 (-0.3; 0.05)	0.2	0.3
Women	n = 569	n = 568	n = 568	n = 568	n = 569			
PRAL range (mEq/d)	-84; -15	-15; -5	-5; 1	1; 9	9; 77			
HBA1C (%) ^a	5.8 ± 0.8	5.7 ± 0.4	5.7 ± 0.4	5.7 ± 0.5	5.7 ± 0.5	-0.0002 (-0.001; 0.0009)	0.7	0.3
Plasma glucose (mmol/l)								
Fasting ^a	5.4 ± 0.7	5.3 ± 0.5	5.3 ± 0.5	5.3 ± 0.6	5.3 ± 0.9	0.001 (-0.0005; 0.003)	0.2	0.4
30 min ^a	8.1 ± 1.8	8.2 ± 1.7	8.1 ± 1.7	8.1 ± 1.8	8.2 ± 1.7	0.003 (-0.002; 0.007)	0.3	0.8
120 min	5.7 (4.9; 6.7)	5.9 (4.9; 6.9)	6.0 (5.2; 6.9)	6.0 (5.1; 7.0)	6.0 (5.2; 7.1)	0.2 (0.08; 0.2)	3 × 10 ⁻⁵	7 × 10 ⁻⁴
Serum insulin (pmol/l)								
Fasting	31 (22; 46)	30 (22; 44)	34 (23; 51)	34 (24; 47)	33 (24; 50)	0.3 (0.1; 0.4)	8 × 10 ⁻⁴	0.03
30 min	238 (171; 325)	228 (168; 322)	238 (178; 324)	243 (179; 348)	253 (185; 347)	0.1 (-0.02; 0.3)	0.08	0.5
120 min	155 (104; 230)	162 (113; 246)	175 (114; 259)	178 (119; 277)	185 (123; 275)	0.5 (0.4; 0.7)	1 × 10 ⁻⁸	1 × 10 ⁻⁶
Measures of beta cell function								
Insulinogenic index	25.3 (17.6; 37.4)	24.4 (17.3; 34.4)	24.7 (17.8; 35.9)	26.0 (18.4; 39.5)	27.1 (18.6; 38.7)	0.07 (-0.09; 0.2)	0.4	0.8
Corrected insulin response	736 (483; 1224)	693 (454; 1131)	762 (456; 1223)	775 (494; 1278)	827 (488; 1352)	0.05 (-0.2; 0.3)	0.6	0.7

Table 3 Associations in the Inter99 study between PRAL score and diabetes-related intermediary traits stratified by sex as well as means and medians for the individual quintiles (Continued)

Variable	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	Beta _{Model 1} (95%CI)	$P_{Model 1}$	$P_{Model 2}$
Measures of insulin sensitivity								
HOMA-IR	1.2 (0.84; 1.8)	1.2 (0.85; 1.8)	1.3 (0.89; 2.0)	1.3 (0.94; 1.9)	1.3 (0.91; 2.0)	0.3 (0.1; 0.4)	8×10^{-4}	0.04
ISI _{Matsuda}	8.8 (6.1; 12.1)	8.7 (6.0; 12.3)	8.2 (5.4; 11.7)	8.0 (5.7; 10.9)	7.9 (5.5; 11.0)	-0.3 (-0.5; -0.2)	2×10^{-5}	0.002
BIGTT-SI ^a	10.7 ± 4.2	10.6 ± 4.1	10.3 ± 4.4	10.3 ± 4.1	10.0 ± 4.3	-0.03 (-0.04; -0.02)	8×10^{-6}	8×10^{-6}
Disposition Index	324 (225; 474)	316 (217; 434)	308 (204; 425)	312 (227; 431)	324 (223; 428)	-0.2 (-0.4; -0.08)	0.003	0.02

Data are from the Inter99 cohort and presented as mean ± standard deviation or median (inter quartile range) for each quintile of PRAL score as a description of the cohorts. Effect sizes (95% confidence interval) are calculated using linear models with PRAL score as a continuous variable and are given as percentage increase for a one unit increase in PRAL score except for variables that have not been transformed by the natural logarithm, in which case it is given as an increase per unit. The linear regression model 1 was adjusted for age, smoking, physical activity and fat, energy and carbohydrate intake. The linear regression model 2 was adjusted for age, BMI, smoking, physical activity and fat, energy and carbohydrate intake except for BIGTT-SI, which was not adjusted for BMI (as this variable is included in the calculation of BIGTT-SI). Calculations of measures of beta cell function and insulin sensitivity were carried out as described in methods. Variables were transformed by the natural logarithm unless otherwise indicated by (a). Quintile values are raw data and are for descriptive purposes only

measure where proteins were reported as of their origin or corresponding amino acids would provide useful information about the underlying theories of dietary acidity load and diabetes.

The amount of dietary fiber ingested are surprisingly similar across the quintiles. However, the food items from where the dietary fiber is obtained may vary considerably and may therefore have different impacts on the PRAL score. For instance, dietary fibers originating from vegetables and fruits will have an alkalinizing effect and thus decrease the PRAL score, whereas dietary fiber originating from whole grain may have a different effect on the PRAL score [1]. As appears from the baseline table (Table 1) of the DCH cohort, the dietary fiber ingested in the lower quintiles must come from fruits and vegetables, whereas the dietary fiber in the higher quintiles originate from other food items, although we cannot say for certain which ones. Additionally, it is noteworthy that the quintiles with the highest PRAL scores are also the ones with the highest number of current smokers. This is the case in both the DCH cohort and the Inter99 cohort. It cannot be excluded that smoking status might influence food preferences. Indeed, a study by Endoh et al. shows, that the dietary patterns in Japanese men and women differ between smokers and non-smokers [24].

An intervention study conducted in American adults showed that individuals would be able to lower their PRAL score by 13 units when following a vegan diet for two to three days a week, while a reduction of almost 30 units was seen in individuals following the vegan diet every day in a week [25]. If we examine our data from the DCH cohort, we find that a reduction of 30 units would be sufficient to move an individual from Q4 to Q1. With a reduction of just 12 units an individual would step down an entire quintile. Despite the modest increased risk of diabetes between the lowest and the highest quintile, diabetes is still major burden in society today, and even slight reductions in this risk through a diet change might have considerable impact on public health.

Our study has limitations. First, in the present epidemiological studies, we did not use the hyperinsulinemic euglycemic clamp to measure insulin sensitivity, as this would not be feasible in such large cohorts; still the surrogate measures applied in the current study, HOMA-IR, $ISI_{Matsuda}$ and BIGTT-Si, have all been validated in previous studies and provide physiologically relevant proxies for insulin sensitivity [26–28]. Additionally, to overcome any uncertainties in the individual surrogate measures, we have used several different proxies of insulin sensitivity to measure the same outcome. Second, and most important, in our study of incident diabetes, information was collected at baseline only.

Consequently, all conclusions based on the DCH cohort rely on the assumption that the study participants have not changed their diet or lifestyle substantially over the following 15 years of follow-up. Third, the diagnosis of diabetes was based on The Danish National Diabetes Register which does not clearly distinguish between patients with type 1 diabetes or T2D [12]. Yet, individuals in our study were at least 50 years of age at baseline, and since newly diagnosed type 1 diabetes is quite rare in Denmark above this age we do not expect this lack of diagnostic accuracy to bias our analytical outcomes. The PRAL score in our two cohorts ranged from around –100 to 100 and with a median range of –20.6 to 18.6 in the Inter99 cohort and –16.3 to 18.0 in the DCH cohort, respectively. These median ranges are roughly the same as in the two studies by Kieft-de Jong et al. (–17.4 to 21.3) and Fagherazzi et al. (–23.0 to 14.3). However, the PRAL score range in the study by Xu et al. was from –40 to 323. For histograms of the PRAL scores in the two cohorts, please see Additional file 1: FigureS3 and FigureS4. We believe that these between study differences may be related to differences in dietary patterns and the demographics of the study populations. Furthermore, the variations in PRAL score may occur due to differences in how the questionnaires and food composition tables are structured between studies.

Conclusions

We confirm the association of a high acidity load with incident T2D and suggest that the risk of T2D might be mediated partially through a decrease in insulin sensitivity. Although the present study and previous reports suggest a link between dietary acidity load and incident diabetes among middle-aged people, carefully designed and conducted dietary interventions are needed to elucidate whether a causal link exists between the two and which mechanisms might be involved.

Abbreviations

BIGTT: Beta-cell function; Insulin sensitivity; Glucose; Tolerance; Test; BIGTT-Si: An OGTT-derived index of insulin sensitivity (Si); DCH: The Diet, Cancer and Health cohort; FFQ: Food frequency questionnaire; IFG: Impaired fasting glucose; IGT: Impaired glucose tolerance; $ISI_{Matsuda}$: Matsuda index of insulin sensitivity; MET: Metabolic equivalent of task; NEAP: Net Endogenous Acid Production; OGTT: Oral glucose tolerance test; PRAL: Potential Renal Acid Load; T2D: Type 2 diabetes

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Authors' contributions

JG: compiled and interpreted all data analyses, made tables and figures, wrote the first draft of the manuscript and delivered subsequently substantial contributions to the manuscript. TN: supported and supervised JG in analysis and interpretation of the data and delivered substantial contributions to the manuscript. MM: interpreted all data analyses and delivered substantial contributions to the manuscript. UT: took part in the execution and epidemiological analysis of the Inter99 Study. KO: took part in the execution and epidemiological analysis of the Diet, Cancer and Health cohort. AT: took part in the execution and epidemiological analysis of the Diet, Cancer and Health cohort. TH: took part in the execution and epidemiological analysis of the Inter99 Study. KA: supported and supervised JG in analysis and interpretation of the data and delivered substantial contributions to the manuscript. OP: conceived and designed and supervised the present study and provided substantial contributions to the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Healthy diets ASAP – Australian Standardised Affordability and Pricing methods protocol

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Abstract

Background: This paper describes the rationale, development and final protocol of the Healthy Diets Australian Standardised Affordability and Pricing (ASAP) method which aims to assess, compare and monitor the price, price differential and affordability of healthy (recommended) and current (unhealthy) diets in Australia. The protocol is consistent with the International Network for Food and Obesity / non-communicable Diseases Research, Monitoring and Action Support's (INFORMAS) optimal approach to monitor food price and affordability globally.

Methods: The Healthy Diets ASAP protocol was developed based on literature review, drafting, piloting and revising, with key stakeholder consultation at all stages, including at a national forum.

Discussion: The protocol was developed in five parts. Firstly, for the healthy (recommended) and current (unhealthy) diet pricing tools; secondly for calculation of median and low-income household incomes; thirdly for store location and sampling; fourthly for price data collection, and; finally for analysis and reporting. The Healthy Diets ASAP protocol constitutes a standardised approach to assess diet price and affordability to inform development of nutrition policy actions to reduce rates of diet-related chronic disease in Australia. It demonstrates application of the INFORMAS optimum food price and affordability methods at country level. Its wide application would enhance monitoring and utility of dietary price and affordability data from a health perspective in Australia. The protocol could be adapted in other countries to monitor the price, price differential and affordability of current and healthy diets.

Keywords: Diet price, Food price, Diet affordability, Food affordability, Food policy, Food environments, Healthy diets, INFORMAS, Fiscal policy, Nutrition policy, Obesity prevention, Non-communicable disease, Monitoring and surveillance

Background

Poor diet is now the major preventable disease risk factor contributing to burden of disease, globally and in Australia [1]. Less than 4 % of the population consume diets consistent with the evidence-based Australian Dietary Guidelines [2, 3]; on average, at least 35% of the total daily energy intake of adults and at least 39% of the energy intake of children [4] are now derived from unhealthy 'discretionary' food choices, defined as foods and drinks high in saturated fat, added sugar, salt and/or alcohol that are not required for health [3]. Of particular concern is the contribution of poor diet to the rising rates

of overweight and obesity. Based on measured height and weight, 25% of Australian children aged two to 17 years and 63% of Australian adults aged 18 years and over are now overweight or obese [5]. There is an urgent need for nutrition policy actions to help shift the current diet of the population towards healthy diets as recommended by the Australian Dietary Guidelines [3, 6].

The expense of healthy foods has been reported as a key barrier to consumption in Australia, particularly among low socioeconomic groups [7–11]. However, well-defined data in this area are lacking [6] as classification of 'healthy' and 'unhealthy' foods and diets varies [12, 13] and the relative price of 'healthy' and 'unhealthy' foods depends on the unit of measure (i.e. per energy unit, nutrient density, serve or weight) [14]. Comparisons can be difficult particularly in the context of the total diet and habitual dietary patterns that are the major determinant of diet-related disease [3, 15–17]. However,

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the relative price and affordability of current and healthy (recommended) *diets* have been assessed rarely, as opposed to the relative price of selected pairs of ‘healthy’ and ‘less healthy’ foods [6].

Various methods have been utilised to assess food prices in Australia, such as Consumer Price Indexes (CPI) [18, 19] and supermarket price surveys, however these usually tally the price of highly selected individual food items and do not necessarily relate to relative cost of the total habitual diet [6, 13]. A variety of ‘food basket’ diet costing tools have also been developed at state, regional and community levels [13]. These methods have the potential to measure the cost of a healthy diet. However, dissimilarity of metrics is a recognised barrier to the production of comparable data [7, 13].

A recent systematic review of food pricing methods used in Australia since 1995, identified 59 discrete surveys using five major food basket pricing tools (used in multiple survey areas and multiple time periods) and six minor food basket pricing tools (used in a single survey area or time period) [13]. No national survey had been conducted. Survey methods differed in several metrics including: type and number of foods surveyed; application of availability and/or quality measures; definition of reference households; calculation of household income; store sampling frameworks; data collection; and analysis. Hence results are not comparable across different locations or different times [13]. With exception of Queensland Health’s Healthy Food Access Basket tool revised in 2015, [20] none of these fully align with a healthy diet as recommended by the Australian Dietary Guidelines [3]. Further, none accurately reflect current Australian diets [2, 4, 5, 13].

Since 1995, the vast majority of ‘healthy’ food pricing surveys in Australia have confirmed that: food prices in rural and remote areas are up to 40% higher than those in capital cities; lower socioeconomic households need to spend a higher proportion of their income to procure healthy diets than other Australians, and food prices generally increase over time [13, 21]. Related calls for interventions, such as for freight subsidies or food subsidies for low income groups in specific regions have gone unheeded [22, 23]. Hence, it could be asserted that these surveys have had limited utility in informing fiscal and health policy [13]. As a result, there have been several calls for the development of standardised, healthy food and diet pricing survey methods nationally in Australia [24, 25] and globally [6]. There is also a need for policy-relevant data [6, 26].

The aim of relevant nutrition policy actions is to help shift the current intake of the whole population to a healthier diet consistent with dietary recommendations. Governments can manipulate food prices through a range

of complex policy approaches [6]. Three common strategies to increase the affordability of ‘healthy’ foods are:

- taxing ‘unhealthy foods’ (“fat taxes”) e.g. on sugar sweetened beverages;
- exempting ‘healthy foods’ from goods and service tax (GST) or value added tax; and
- subsidising ‘healthy foods’, such as through agricultural and transport subsidies, retail price reductions, or voucher systems targeted to vulnerable population groups [6].

Therefore, to inform relevant policy decisions, robust data are required for both current (unhealthy) and healthy (recommended) diets [6]. With respect to food price and affordability, the key health and nutrition policy relevant question to be answered by food pricing surveys is: “What is the relative price and affordability of ‘current’ (unhealthy) and ‘healthy’ (recommended) diets?”

While the potential effects of specific changes to fiscal policy have been modelled [27, 28], recent ‘real life’ data are lacking to inform policy decision making in Australia [29]. Assessment of the price, price differential and affordability of a healthy diet (consistent with Dietary Guidelines) and current (unhealthy) diets (based on national surveys), determined by standardised national methods, would provide more robust data to inform health and fiscal policy in Australia and monitor potential fiscal policy interventions [13].

There is a lack of such data globally; the current research helps to address this, within the food price module of the International Network for Food and Obesity/non-communicable diseases Research, Monitoring and Action Support (INFORMAS) [6, 30]. Under the auspices of INFORMAS, the results of this study provide a potential globally-applicable stepwise food price and affordability monitoring framework that advocates ‘minimal’, ‘expanded’ and ‘optimal’ approaches, to establish benchmarks and monitor the cost of healthy food, meals and diets; the level depends on availability of data and country capacity [6]. The novel INFORMAS ‘optimal’ approach proposes concurrent application of two food pricing tools to assess the price, price differential and affordability of a healthy diet (consistent with Dietary Guidelines) and current (unhealthy) diets (based on national surveys). It requires assessment of household income, representative sampling and, ideally, stratification by region and socio-economic status (SES).

Based on the ‘optimal’ approach of the INFORMAS diet price and affordability framework, we developed a standardised method to assess and compare the price and affordability of healthy and current diets in Australia, provide more robust, meaningful data to inform health and fiscal policy in Australia, and develop

national data benchmarks with the potential for international comparisons [29].

This paper presents the resultant protocol for Healthy Diets ASAP methods in Australia.

Aim

The aim of this paper is to describe the development and final protocol of the Healthy Diets ASAP methods, based on the INFORMAS optimal price and affordability approach. It details tools and methods to assist others to apply the approach in a standard manner, in order to enable comparison of the price, price differential and affordability of healthy (recommended) and current (unhealthy) diets in Australia.

Methods

Development of the healthy diets ASAP protocol

Background: Developing and piloting the initial diet pricing tools and methods

In November 2013, all key Australian stakeholders gave in-principle support at a national teleconference for the development of national food price and affordability monitoring methods based on the INFORMAS 'optimal' approach. The development and pilot testing of the methods using readily available dietary data for five household structures in high socio-economic (SES) and low SES areas is reported elsewhere [29]. The findings confirmed that the general approach could provide useful, meaningful data to inform potential fiscal and health policy actions. Application of the diet pricing tools accurately reflected known composite food group ratios [2] and the proportion of the mean food budget Australian households spent on discretionary foods and drinks in analysis of the Consumer Price Index (CPI) with respect to Australian Dietary Guidelines food groups [19]. However, internal validity testing suggested that construction of some of the initial diet pricing tools could be improved to enhance accuracy [29]. For example, while performance of both diet pricing tools was acceptable at household level, only the healthy diet pricing tool was acceptable at an individual level for all demographics in the sample; the unhealthy (current) diet pricing tool could be improved for the 14 year old boy and both genders aged 70 years or over [29]. Further, potential systematic errors could be minimised by the utilisation of detailed dietary survey data in the Confidentialised Unit Record Files (CURFs) from the Australian Health Survey (AHS) 2011–12 [31] and the Australian 2011–13 food composition database [32], both of which were unavailable at the time of the pilot study [29].

Development of accepted, standardised diet pricing methods also required agreement from all key stakeholders on the final approach, including accord on systematic arbitrary decisions points around application of

the tools (such as whether to record the price of the next largest or smallest packet if a particular size of food was unavailable in-store). There was also a desire to simplify methods to optimise uptake and utility.

Development and testing of diet pricing tools and process protocols

The final Healthy Diets ASAP protocols were developed in two phases.

Phase one: Revising and re-testing initial tools and methods

The food pricing tools were revised based on the pilot outcomes [29] and feedback from international food pricing experts (including at the Food Pricing Workshop convened by authors AL and CP at the 14th International Society of Behavioural Nutrition and Physical Activity (ISBNPA) conference in Edinburgh May 2015).

The revised unhealthy (current) diet pricing tools reflected dietary data at the five-digit level by age and gender groupings [4] in the CURFs of the AHS 2011–12 [31]. The most commonly available branded items and unit sizes in Australian supermarkets were identified from the pilot [29]. Other minor changes, and the reasons for these, are included in Table 1.

The revised Healthy Diets ASAP diet pricing tools and methods were applied to assess the price, price differential and affordability of current and healthy diets in six randomly selected locations in two major cities (Sydney, New South Wales and Canberra, Australian Capital Territory) in November and December 2015. The preliminary reports of these studies were provided to NSW Health and ACT Health in early 2016. Colleagues in these government departments provided feedback on the revised methods early March 2016.

Phase 2: Development of the final protocol

At the national Healthy Diets ASAP Methods Forum (the Forum) held in Brisbane on 10 March 2016, 25 expert stakeholders from academia, government jurisdictions and non-government organisations (see Acknowledgements) worked together to finalise the Healthy Diets ASAP tools and methods for national application in Australia. De-identified preliminary data from and feedback on the reports provided to NSW Health and ACT Health were used to highlight methodological challenges and arbitrary decision points during the Forum.

Generally, the revised tools and methods applied in Sydney and Canberra were confirmed at the Forum. However, some simplifications around arbitrary decision points were recommended (Table 2).

The revised tools and methods were finalised according to the recommendations from the Forum. The resultant Healthy Diets ASAP protocol is described in detail in the results.

Table 1 Minor revisions to the initial diet pricing tools and methods

Improvement	Aim/rational/comment
Added bottled water, olive oil, and relatively healthy pre-made “convenience” foods, such as sandwiches and cooked chicken, to the healthy (recommended) diet pricing tools	To enhance comparability with the current (unhealthy) diet pricing tools, that include comparable, but less healthy, options
Further aggregated nutritionally similar products with similar utility in both diet pricing tools (for example, ‘cabana’ and ‘bratwurst’ were grouped with ‘sausages’)	To minimize the number of items to be priced in-store to reduce survey burden and cost
Included the same food groupings in the healthy food component of both current and healthy diet pricing tools	To simplify data collection, comparison between current and healthy diets and interpretation of results
Adjusted the diet of the 8 year old girl (who was the oldest in her age/gender group) from the base Foundation Diets levels, according to the prescribed methods of Total Diet modelling to inform the 2013 revision of the Australian Guide to Healthy Eating of the Australian Dietary Guidelines [33]	To ensure adequate energy content of the constructed healthy (recommended) diet of the 8 year old girl in the reference household
Adjusted median household income at Statistical Area Level 2 (SA2) level by relevant wage price index; clarified that available data sets at SA2 level provide median gross (i.e. not disposable) household income	To incorporate the effect of inflation. Median household income at sub-national (area) level is readily available from published government sources, so has been used frequently in calculation of food affordability in Australia [13]. However, published median household income data at area level reflects gross (total) income and has not been adjusted for essential expenditures such as taxation, to reflect disposable household income; results should be interpreted accordingly.
Included a third option for estimating median disposable household income at the national level, for use in future national diet price and affordability surveys.	To enhance comparability with low (minimum) disposable income household income, that is also calculated at the national level. Median disposable household income is available only at national level currently; however data may be available at state/territory level in the future.

Following the Forum, the food price data collected in Sydney and Canberra in late 2015 were reanalysed according to the Healthy Diets ASAP protocol and the preliminary reports to NSW Health and ACT Health were finalised in May 2016.

Results

The healthy diets ASAP protocol

There are five parts to the Healthy Diets ASAP protocol.

The healthy diets ASAP protocol part one: Construct of the diet pricing tools

There are two diet pricing survey tools: the current (unhealthy) diet pricing tool; and the healthy (recommended) diet pricing tool (Table 3). The diet pricing survey tools include provision of quantities of food for a reference household consisting of four people, including an adult male 31–50 years old, an adult female 31–50 years old, a 14 year old boy and an 8 year old girl. An allowance for edible portion/as cooked, as specified in AUSNUT 2011–13 [32], is included in both diet pricing tools. Any post plate wastage was not estimated or included.

The healthy diets ASAP current (unhealthy) diet pricing tool

The current (unhealthy) diet pricing tool constitutes the sum of the mean intake of specific foods and drinks, expressed in grams or millilitres, in each age/gender group corresponding to the four individuals comprising the reference household, as reported in the AHS 2011–

12 [31]. Foods are grouped according to stakeholder recommendations (Table 2) and amounts consumed per day are derived from the CURFs at 5-digit code level [31]. The mean reported daily intake for each of the four individuals (Additional file 1) are multiplied by 14 and tallied to produce the quantities consumed per household per fortnight. The amounts of foods and drinks comprising the Healthy Diets ASAP current (unhealthy) diet for the reference household per fortnight is presented in Table 3. The total energy content of the reference household’s current diet is 33,860 kJ per day. Common brands of included food and drink items are included in the data collection sheet in Table 4.

The healthy diets ASAP healthy (recommended) diet pricing tool

The healthy diet pricing tool reflects the recommended amounts and types of foods and drinks for the reference household for a fortnight, consistent with the Australian Guide to Healthy Eating and the Australian Dietary Guidelines [3]. The amounts are calculated from the daily recommended number of servings and relevant serve size of foods for the age/gender and physical activity level (PAL) of 1.5 of the four individuals comprising the reference household in the omnivorous Foundation Diet models [33]. As the Foundation Diets were developed for the smallest adults (or in the case of children, the youngest) in each age/gender group, the amounts of foods were increased by 20% for the 8 year old

Table 2 Arbitrary decisions made by key stakeholders at the national Healthy Diets ASAP Forum

Decision Point	Forum decision- standard protocol	Rationale/other comments
Household structure		
1. Number of household structures for which results are reported? (5 different structures were developed in the pilot study)	• Report and compare results for one household structure only	• Simpler to interpret and communicate results for only one (common) household structure. Less analysis, and therefore resources, required to access diet prices, therefore the protocol is more likely to be used
2. Composition of household structure?	<ul style="list-style-type: none"> • 2 adults and 2 children: <ul style="list-style-type: none"> -adult male 31–50 yrs. old -adult female 31–50 yrs. old -boy 14 yrs. old -girl 8 yrs. old • Publish quantities of food to be included for a range of individuals (age/gender), in addition to those to be included in the selected household structure 	<ul style="list-style-type: none"> • Most commonly used household structures in Australian studies are 6 and 4 person households • Of these household structures, use 4 as it is closest to the median Australian household size of 3 persons • Those interested in reporting results for other household structures (e.g. single parent or pensioners) could perform additional analysis post data collection
Data collection		
3. Which products should be included? a) Branded? b) Generic? c) Cheapest? d) Sales items? e) Bulk deals? f) How should any optional data collected be identified on the data collection forms?	<ul style="list-style-type: none"> a) Include most common market share branded products (Australia wide) b) Include generic products only if branded items are not available (but exclude ALDI supermarket which tend to stock generic products). However, consider supporting optional inclusion of cheapest generic item, including the special/sale price (also applies to inclusion of ALDI) c) Don't specifically seek to include cheapest item. However, consider supporting optional inclusion of cheapest item, including the special/sale price (also applies to inclusion of ALDI) d) Exclude sales items (as above) e) Exclude bulk deals (i.e. two for the price of one deals) Consider adding tick box in end column of data collection form to record if costing generic/special/sale price items as optional extras 	<ul style="list-style-type: none"> a) Include the most popular items reported in the Australian Health Survey (AHS) 2011–13 as current diet b) Inclusion of generic items has potential to bias, affect comparability and distort results over time- but could be included if consumption data continues to suggest increasing intake. c) Cheapest price could also be collected to answer an optional additional question, but inclusion of cheapest price, including of sales or generic items, has potential to bias, affect comparability and distort results over time. d) As above e) As above. If optionally, collecting the cheapest price, could use multi buy price by dividing to obtain single price f) May need to use multiple data collection forms for each store or add additional data collection column if collecting optional prices
4: Unhealthy (current) diet pricing tool		
a) Adjust for known under-reporting in AHS 2011–12?	No adjustment; report as 'best case scenario'	There are no robust data on which to base adjustment factor, so could introduce error. Analysis is not adjusted for any other reasons.
b) Confirm coding for five food group and discretionary foods?	<ul style="list-style-type: none"> • Tinned meat and vegetables- code as ½ veg and ½ meat • Tinned fruit – code as fruit • Ham salad sandwich- (replace with chicken salad sandwich) and code as 1/3 bread, 1/3 veg, 1/3 chicken meat • Choc-chip Muesli bar – code as discretionary • Flavoured milk – code as non-discretionary (decision consistent with ABS classification) • Processed meats (e.g. ham) – code as discretionary • Water – include ½ reported water intake as bottled water (costed) and ½ as tap water (not costed) 	<ul style="list-style-type: none"> • Decisions should be consistent with coding used by the ABS in the AHS 2011–12 • Revisit decisions reassessed when the Australian Dietary Guidelines (ADGs) are reviewed (i.e. in 5 years' time)
5. Healthy (recommended) diet pricing tool		
Should any extra healthy foods be included? Such as more convenience options, bottled water? Is the healthy diet unrealistic without inclusion of some discretionary foods or drinks, such as alcohol?	<ul style="list-style-type: none"> • Water – include ½ reported water intake as bottled water • Convenience items- confirmed inclusion of roasted chicken and sandwich– no further inclusions 	Use the ADG Modelled Foundation diets based on rationale that: –63% Australian adults are overweight/obese –There was no adjustment for underreporting in current diet

Table 2 Arbitrary decisions made by key stakeholders at the national Healthy Diets ASAP Forum (*Continued*)

Decision Point	Forum decision- standard protocol	Rationale/other comments
	<ul style="list-style-type: none"> Alcohol – do not include 	<ul style="list-style-type: none"> Most Australians are not expending enough energy to allow for additional energy intake from any discretionary foods or drinks The healthy diet should be aspirational, and reflect that associated with optimal health outcomes
6: Income data		
Should mean or median income be used? What assumptions should be used to determine indicative low income?	<ul style="list-style-type: none"> Include both median household (HH) income from published data and calculated low (minimum) disposable income household (HH) income (confirmed assumptions used in pilot calculations) Also consider reporting results against the Australian poverty line 	<ul style="list-style-type: none"> Median HH income is specific to location, but is pre-tax i.e. not disposable income Low income HH calculation is not specific to location apart from rent (which is set low so rarely changes) Poverty line is lower than 50% of the Australian median HH income Median household income and indicative low (minimum) vs disposable household income are not comparable
7: Sampling framework		
Sampling frameworks: which areas, stores, distances (e.g. 7 km radius of centre of SA2 area) should be included?	<ul style="list-style-type: none"> Sampling approach SA2 stratified by Index of Relative Socio-Economic Disadvantage for Areas (SEIFA) and including all stores within a specific radius confirmed (ALDI excluded in initial methods as above) Requested further work to determine calculating distance away from centre for inclusion of stores 	<ul style="list-style-type: none"> Methods of randomisation trialled is appropriate and feasible 7 km radius of inclusion may not be appropriate for all locations, particularly in rural areas
8: Data collection protocols		
Prioritisation of sizes and branding for pricing, as proposed on data collection sheet	<ul style="list-style-type: none"> Proposed detailed methods confirmed e.g. size prescribed but if not available take next larger size first 	<ul style="list-style-type: none"> Detailed methods proposed are appropriate Reflect common current practice in most locations; clear and concise; easy to follow
9: Definition of affordability		
Should affordability level be set at 25% or 30% of disposable HH income?	<ul style="list-style-type: none"> May need to assess both (post hoc) but initially use 30% pending further review of the literature and international consultation 	<ul style="list-style-type: none"> Based on most commonly used definition in international literature from high income countries

girl who is the oldest in her height/age group, according to the recommendations [3].

To ensure the most commonly consumed healthy foods in Australia are used, food categories in the healthy diet pricing tool are the same as those in the current diet pricing tool (but differ in quantity). A variety of fresh, canned, frozen and dried foods is included. For example, representative categories of fresh produce reflect common fruit and vegetables available all year round in Australia. Luxury products, such as imported fruit and vegetables (particularly those out of season) and foods with very high cost per kilogram (e.g. oysters, smoked salmon) are excluded. Some 'convenience' foods are included in the healthy diet pricing tool as per stakeholder decisions (Table 2).

Consistent with Australian recommendations [3], the healthy diet pricing tool does not contain any discretionary choices. It includes: grain (cereal) foods, in the ratio 66% wholegrain and 33% refined varieties; cheese, milk, yoghurt and calcium-fortified plant based alternatives,

mostly (i.e. >50%) reduced fat, with a maximum of 2–3 serves of high fat dairy foods (cheese) per person per week; lean meat (beef, lamb, veal, pork), poultry and plant-based alternatives (with no more than 455 g red meat per person per week); a minimum of 140 g and up to 280 g fish per person per week; up to 7 eggs per person per week; a selection of different colours and varieties of vegetables (green and brassica, orange, legumes, starchy vegetables, other vegetables) with a minimum 350 g per day for adults; a variety of fruit with a minimum of 300 g per day for adults; and an allowance of unsaturated oils or spreads or the nuts/seeds from which they are derived [33]. The daily quantities of food categories recommended for each individual (age/gender) in the reference household (Additional file 2) are multiplied by 14 and tallied to provide quantities per fortnight (Table 3).

The amounts of foods and drinks comprising the Healthy Diets ASAP healthy (recommended) diet for the reference household per fortnight are presented in Table 3.

Table 3 Composition of the current (unhealthy) and healthy (recommended) diets for the reference household^a per fortnight

Food or drink	Quantity
Current (unhealthy) diet	
<i>Bottled water, still (ml)</i>	5296
<i>Artificially sweetened 'diet' soft drink</i>	2391
<i>Fruit</i>	
Apples, red, loose (g)	3497
Bananas, Cavendish, loose (g)	899
Oranges, loose (g)	1664
Fruit salad, canned in juice (g)	2046
Fruit juice	3026
<i>Vegetables</i>	
Potato, white, loose (g)	1460
Sweetcorn, canned, no added salt (g)	206
Broccoli, loose (g)	422
White cabbage, loose (g)	235
Iceberg lettuce, whole (g)	795
Carrot, loose (g)	753
Pumpkin (g)	240
Four bean mix, canned (g)	74
Diced tomatoes, canned, in tomato juice(g)	234
Onion, brown, loose (g)	84
Tomatoes, loose (g)	488
Frozen mixed vegetables, pre-packaged (g)	1184
Frozen peas, pre-packaged (g)	273
Baked beans, canned (g)	369
Salad vegs in sandwich	120
Veg in tinned meat and vegetable casserole (g)	646
<i>Grain (cereal) foods</i>	
Wholegrain cereal biscuits Weet-bix™ (g)	430
Wholemeal bread, pre-packaged (g)	1054
Rolled oats, whole (g)	870
White bread, pre-packaged (g)	3033
Cornflakes (g)	680
White pasta, spaghetti (g)	1326
White rice, medium grain (g)	1622
Dry water cracker biscuit (g)	258
Bread in sandwich	120
<i>Meats, poultry, fish, eggs, nuts and seeds</i>	
Beef mince, lean (g)	267
Lamb loin chops (g)	257
Beef rump steak (g)	1056
Tuna, canned in vegetable oil (g)	1052
Whole barbeque chicken, cooked (g)	1661
Eggs (g)	872

Table 3 Composition of the current (unhealthy) and healthy (recommended) diets for the reference household^a per fortnight
(Continued)

Food or drink	Quantity
Meat in tinned meat and vegetable casserole (g)	646
Chicken in sandwiches	120
<i>Milk, yoghurt, cheese and alternatives</i>	
Cheddar cheese, full fat (g)	624
Cheddar cheese, reduced fat (g)	44
Milk, full fat (ml)	5961
Milk, reduced fat (ml)	2929
Yoghurt, full fat plain (g)	204
Yoghurt, reduced fat, flavoured (vanilla) (g)	676
Flavoured milk (ml)	2416
Canola margarine (g)	170
Sunflower oil (ml)	7
Olive oil (ml)	7
<i>Discretionary choices</i>	
Beer, full strength (ml)	4661
White wine, sparkling (ml)	863
Whisky (ml)	266
Red wine (ml)	1078
Butter (g)	280
Muffin, commercial (g)	1455
Cream-filled sweet biscuit, pre-packaged (g)	496
Muesli bar, pre-packaged (g)	373
Peanuts, salted (g)	255
Pizza, commercial (g)	1182
Savoury flavoured biscuits (g)	222
Confectionary (g)	418
Chocolate (g)	441
Sugar sweetened beverages (Coca Cola) (ml)	12,012
Meat pie, commercial (g)	1638
Frozen lasagne, pre-packaged (g)	4322
Hamburger, commercial (g)	2413
Beef sausages (g)	1048
Ham (g)	189
Potato crisps, pre-packaged (g)	518
Potato chips, hot, commercial (g)	670
Ice cream (g)	1830
White sugar (g)	564
Salad dressing (ml)	277
Tomato sauce (ml)	569
Chicken soup, canned (g)	1340
Orange juice (ml)	3027
Fish fillet crumbed, pre-packaged (g)	302

Table 3 Composition of the current (unhealthy) and healthy (recommended) diets for the reference household^a per fortnight (Continued)

Food or drink	Quantity
Instant noodles, wheat based (g)	381
Healthy (recommended) diet	
<i>Bottled water, still (ml)</i>	5296
<i>Fruit</i>	
Apples, red, loose (g)	5460
Bananas, Cavendish, loose (g)	5460
Oranges, loose (g)	5460
<i>Vegetables</i>	
Potato, white, loose (g)	2320
Sweetcorn, canned, no added salt (g)	1160
Broccoli, loose (g)	1470
White cabbage, loose (g)	1470
Iceberg lettuce, whole (g)	1470
Carrot, loose (g)	2205
Pumpkin (g)	2205
Four bean mix, canned (g)	1005
Diced tomatoes, canned, in tomato juice(g)	1638
Onion, brown, loose (g)	1638
Tomatoes, loose (g)	1638
Frozen mixed vegetables, pre-packaged (g)	1638
Frozen peas, pre-packaged (g)	1638
Baked beans, canned (g)	1005
Salad vegs in sandwich	120
<i>Grain (cereal) foods</i>	
Wholegrain cereal biscuits Weet-bix™ (g)	2216
Wholemeal bread, pre-packaged (g)	4272
Rolled oats, whole (g)	6648
White bread, pre-packaged (g)	893
Cornflakes (g)	670
White pasta, spaghetti (g)	2042
White rice, medium grain (g)	2042
Dry water cracker biscuit (g)	781
Bread in sandwich	120
<i>Meats, poultry, fish, eggs, nuts and seeds</i>	
Beef mince, lean (g)	1168
Lamb loin chops (g)	1169
Beef rump steak (g)	1172
Tuna, canned in vegetable oil (g)	1841
Whole barbeque chicken, cooked (g)	1471
Eggs (g)	2208
Peanuts, roasted, unsalted (g)	780
Chicken in sandwiches	120

Table 3 Composition of the current (unhealthy) and healthy (recommended) diets for the reference household^a per fortnight (Continued)

Food or drink	Quantity
<i>Milk, yoghurt, cheese and alternatives</i>	
Cheddar cheese, full fat (g)	704
Cheddar cheese, reduced fat (g)	516
Milk, full cream (ml)	6438
Milk, reduced fat (ml)	12,000
Yoghurt, full fat plain (g)	2576
Yoghurt, reduced fat, flavoured (vanilla) (g)	5100
Canola margarine (g)	412
Sunflower oil (ml)	291
Olive oil (ml)	291

^aThe reference household comprises four people: adult male 19–50 yrs. old; adult female 19–50 yrs. old; boy 14 yrs. old; girl 8 yrs. old

The total energy content of the household's healthy diet is 33,610 kJ per day. Common brands of included food and drink items are included in the data collection sheet in Table 4.

Diet pricing tools for additional household structures

Several stakeholders requested (Table 2) that the composition of current (unhealthy) and healthy (recommended) diets be provided for four other household compositions commonly investigated in Australia¹ (for example, for single parent or pensioner households) so that additional data analysis could be performed. These data are included in Additional file 3.

Validity of the diet pricing survey tools

Convergent validity of the constructed healthy and current diet pricing survey tools for each age/gender group was assessed by energy and macronutrient analysis using FoodWorks 7 Professional [34] computer program installed with AUSNUT 2011–13 [32] (the food composition database used to analyse the AHS) and comparing results with Australian Nutrient Reference Values [35] and nutrient results from the AHS 2011–12 respectively [5, 31]. The results are presented in Additional file 4. As deemed acceptable for modelling outputs to develop the Australian Guide to Healthy Eating, [33] the energy content of the constructed healthy diet pricing tool is within 5% of the Foundation Diet levels and the macronutrient profiles are within the recommended ranges for more than 97% of values for all age/gender groups. Similarly, the energy content of the current diet pricing tool is within 5% of the reported energy intakes of the AHS 2011–2012 [4] for all individuals.

Internal validity indicators, such as the ratio of fruit and vegetables content between the healthy and current diet pricing tools (approximately 2:1) are consistent with

Table 4 Healthy Diets ASAP (Australian Standardised Affordability and Price) Survey Data Collection Form

Store name _____ Store Location: _____ Date: _____ Collector: _____						
NOTE: Please read the methods for collection on Page 2, prior to collecting data						
Food	Specific brand	Your brand	Specific size	Your size	Your cost	Comments
Bottled water, still	Mt Franklin		600mL			
Fruit						
Apples, red, loose			per kg			
Bananas, cavendish, loose			per kg			
Orange, loose			per kg			
Vegetables & Legumes						
White potato, loose, brushed/washed			per kg			
Tinned sweet corn, kernels, no added salt	Edgell		420g			
Broccoli, loose			per kg			
Cabbage, white, ½ cabbage (1/2=1.5kg) (weigh if necessary)			1.5kg			
Lettuce, iceberg, whole (1=0.6kg)			0.6kg			
Carrot, loose			per kg			
Pumpkin, ½ pumpkin (1/2 av. Jap=1.5kg, 1/2 av. Butternut=1kg) (weigh if necessary)			per kg			
Tinned 4 bean mix	Edgell		420g			
Tinned diced/chopped tomatoes, in tomato juice	Ardmona		400g			
Brown onion, loose			per kg			
Tomato, loose (not vine-ripened)			per kg			
Frozen mixed vegetables (cheapest specified brand)	Heinz, Birdseye or McCain		500g			
Frozen peas (cheapest specified brand)	Edgell, Birdseye or McCain		500g			
Tinned baked beans, in tomato sauce	Heinz		420g			
Grain (Cereal) Foods						
Weet-bix™	Sanitarium		375g			
Wholemeal Bread	Tip Top Sunblest™		650g			
Rolled oats, whole, Traditional (not quick oats)	Uncle Toby's		1kg			
White Bread	Tip Top Sunblest™		650g			
Cornflakes	Kellag's		725g			
Spaghetti (white)	San Remo		500g			
White rice, medium grain	SunRice™		1kg			
Water Crackers, plain	Arnott's		125g			
Meats, Poultry, Fish & Alternatives						
Lean beef mince (not heart smart)	Pre-pack (not vacuum)		per kg			
Lamb loin chops	Pre-pack		per kg			
Beef rump steak	Pre-pack		per kg			
Tuna, canned in vegetable oil, unflavoured (cheapest specified brand)	John West, Greenseas or Sirena		185g			
Whole Barbeque Chicken, cooked - Large/ Family	Supermarket		Each ~1.5kg			
Eggs, dozen, Free Range	Sunny Queen Farms		700g			
Milk, Yoghurt, Cheese & Alternatives						
Cheddar cheese, regular fat	Coon		250g			
Cheddar cheese, reduced fat	Coon		250g			
Full cream milk, fresh	Paul's or Dairy Farmers		2L			
Reduced fat milk, fresh (not skim)	Paul's Trim or Dairy Farmers Lite		2L			
Plain Yoghurt, natural, Greek, regular fat (*4% fat)	Jaina		1kg			
Yoghurt, vanilla/flavoured, reduced fat (*1% fat)	Jaina		1kg			
Unsaturated Oils & Spreads						
Canola Margarine, regular fat	Meadowlea		500g			
Sunflower oil	Crisco		750mL			
Olive oil, Traditional (not extra virgin)	Moro		1 Litre			
Other – foods not in both tools and mixed foods						
Pre-made chicken & Salad Sandwich (wholemeal) (1 sandwich = ~220g) * (triangle pre-pack)	Supermarket (or service station/garage nearby)		2sl bread + filling			
Fruit salad, canned in juice	Goulburn Valley		700g			
Peanuts – roasted, unsalted peanuts	Cheapest branded		250g			
Tinned steak & vegetables	Harvest		425g			
Discretionary Choices						
Beer (Liquor store)*	VB*		6 x 375mL			
Sparkling white wine (Liquor store)*	Yellow*		750mL			
Whisky (Liquor store)*	Johnny Walker Red Label*		700mL			
Red wine (Liquor store)*	Penfolds Koonungara Hill Shiraz*		750mL			
Butter, original, salted (foil pack)	Western Star		250g			
Muffin, commercial, uniced	Supermarket		5/100g			
Cream-filled biscuit	Arnott's Monte-Carlo		250g			
Chewy Choc Chip Muesli Bar	Uncle Toby's		6x30g (185g)			
Mixed nuts, (incl. peanut), salted	Nobby's		375g			
Supreme Pizza, thin base (1 pizza=0.55kg)*	Pizza Hut*		1 pizza			
Savoury flavoured biscuits	Arnott's BBQ Shapes		175g			
Mint confectionary	Allen's Mints		150g			
Dairy milk chocolate, block	Cadbury		200g			
Soft drink, Cola	Coca Cola™		1.25L			
Artificially sweetened 'diet' soft drink, Cola	Diet Coca Cola™		1.25L			
Chocolate Milk, regular fat	Breaka, Big M, Dak or Pau's		600mL			
Beef Pie, single serve, full pastry*	Independent Bakery*		~250g			
Beef lasagne, frozen	McCain		400g			
Beef hamburger*	McDonald's Big Mac*		1 burger			
Beef Sausages, pre-pack	Supermarket		per kg			
Lag Ham, pre-pack	Don's		250g			
Chips/crisps, original, salted	Smith's or Thins		170g			
Cooked hot potato chips, 1 serve*	Independent fish and chip shop*		~110g			
Vanilla ice cream, regular fat	Nestle Peters Original		2L			
White Sugar	CSR		2kg			
French Dressing, regular fat	Prepac		330mL			
Tomato sauce, regular (not ketchup)	Heinz Big Red or Masterfoods		500mL			
Tinned chicken & vegetable soup, ready to eat	Campbell's Country Ladle		505g			
Orange Juice, Australian Grown (Fresh, chilled)	Berni		2L			
White crumbed fish fillet, frozen	Birds Eye		425g			
2 Minute noodles, chicken (cheapest specified brand)	Maggi or Fantastic		70g			
* denotes non-supermarket lines						

available published data [2, 31] and recommendations [33]. Further, the proportion of household food expenditure on discretionary items (around 58%) [29] is similar to that described by the ABS (58.2%) using different methods based on household expenditure [19]. Hence the tools appear valid for use in estimating the cost of current and healthy diets.

The healthy diets ASAP protocol part two: Location and store sample selection

A random sample of the Statistical Area Level 2 (SA2) locations in each town is selected to achieve a representative sample. SA2 locations are stratified by the Index of Relative Socio-Economic Disadvantage for Areas (SEIFA) quintile using information and maps available on the ABS website [36–38]. Following sample size calculations, the required number of SA2 locations within SEIFA Quintile 1, 3 and 5 are selected randomly for participation. Food outlets within seven kilometres by car of the centre of each SA2 location are identified with Google™ Maps [39] and included in the surveys. Stores to survey include one outlet of all supermarket chains (in trials these were Coles™, Woolworths™ and Independent Grocers Australia (IGA™), Supabarn™ and ALDI™), 'fast-food'/take-away outlets (a Big Mac™ hamburger from the McDonald's™ chain; pizza from the Pizza Hut™ chain; fish and chips from independent outlets) and two alcoholic liquor outlets closest to the geographical centre of each SA2 location.

The healthy diets ASAP protocol part three: Collecting and entering food price data

The Healthy Diets ASAP diet price survey data collection form (Table 4) combines the items included in the current diet and the healthy diet for convenience and utility. The agreed price data collection protocol is presented in Table 5 and is printed on each data collection form. Research assistants are trained to use the form and follow the price collection protocol strictly. Prices are collected within the same 4 week/monthly period, as prices change over time.

Permission to participate is sought from each store manager prior to data collection.

Data entry and analysis sheets have been developed using Excel™ spreadsheets [40]. Double data entry is recommended to minimise error. Data are cleaned and checked. Any missing values are imputed to ascribe the mean price of the same food item in all other relevant outlets in the same SA2 area. Data analysis tools are available from the corresponding author. As has been achieved previously for the Victorian Health Food Access Basket [41], the Healthy Diets ASAP App is under development to streamline data collection and analysis and reduce error.

Table 5 Healthy Diets ASAP food price data collection protocol

1. Record the usual price of an item, i.e. do not collect the sale/special price unless it is the only price available (if so, note in comment column)
2. Look for the specified brand and specified size for each food item, and record the price
 - If the specified brand is not available: Choose the cheapest brand (non-generic) available in the specified size. Note this brand in the “Your brand” column
 - If the specified size is not available: Choose the nearest larger size in the specified brand. If a larger size is not available, choose the nearest smaller size. Note this size in the “Your size” column
 - If both the specified brand and specified size are not available: Choose the cheapest in the nearest larger size of another brand (non-generic). If a larger size is not available, choose the nearest smaller size
 - If multiple brands are specified, record the price of the cheapest one and note brand in the “Your brand” column
 - If the item is only available in a generic form (e.g. Home Brand, Coles, Woolworths Select, Black and Gold) choose the most expensive generic item in the specified size. If the specified size is not available, choose the nearest larger size. If a larger size is not available, choose the nearest smaller size. Note the generic name in the “Your brand” and the size in the “Your size” columns
3. Loose produce: choose the usual cheapest price per kg of the variety not on special. If the only variety available is on special, record the special price and note in comments column
4. Peanuts: choose the branded packet size closest to 250 g. If packaged, roasted, unsalted peanuts are not available, record the price of the loose ‘bulk scoop & weigh’ roasted, unsalted peanuts per 100 g
5. Check all data are collected and recorded as above, before leaving store

The healthy diets ASAP protocol part four: Determination of household income

Household income is determined by either of three methods, depending on the purpose of the study and the granularity of available data.

Median household gross income at area level In Australia, national census data is the only source of SA2 level household income data and is provided only at total (gross) level. Median gross household income is determined per week (before taxation, rent and other expenses) in each SA2 area by entering relevant post codes into the Community Profile data calculator [42] that is based on the 2011 Census results [36], adjusted for the wage price index (for example, there was an increase of 11.1% from September 2011 to September 2015) and multiplying by two to derive median household income in each SA2 area per fortnight. Details and examples are provided in Additional file 5.

Indicative low (minimum) disposable household income Indicative low (minimum) income of the reference household (and other households of interest to specific stakeholders) is calculated based on the level of minimum wages [43] and determination of the welfare payments provided by the Department of Human Services [44] as per the methods used by the Queensland Department of Health [20]. Assumptions are made for employment, housing type, disability status, savings and

investments, child support, education attendance and immunisation status of children (Table 6). As welfare policy actions can change, the most recent schedules should be used. Where it is higher than the minimum threshold, the indicative low (minimum) household income is adjusted for taxation payable [45] so also represents minimum household disposable income. Details and examples are provided in Additional file 6.

Median household disposable income at national level For assessment of diet affordability at the national level, median equivalised disposable household income for the reference family composition is sourced from the Survey of Income and Housing [46].

The healthy diets ASAP protocol part five: Data analysis and reporting

The price of the healthy (recommended) and current diets in each store and the mean price for each SEIFA quintile is calculated for the reference household composition in each of areas surveyed in each city. Results can be presented in a range of metrics, including the cost of the total diets per household per fortnight, and the cost of purchasing specific five food group and discretionary foods and drinks (including policy relevant items such as alcohol, ‘take-away foods’ and sugar-sweetened beverages). The results for the current (unhealthy) diet and healthy (recommended) diet are compared to determine the differential.

Affordability of the healthy and current diets for the reference household is determined by comparing the cost of each diet with the median gross household income (Additional file 5) and also with the indicative low (minimum) disposable income of low income households (Additional file 6). Where a representative national survey of diet prices has been conducted, affordability of the healthy and current diets for the reference household is determined by comparing the cost of each diet with the median equivalised disposable income [46] and with the indicative low (minimum) disposable income of low income households. Internationally, a benchmark of 30%

Table 6 Assumptions applied to determine the indicative low (minimum) disposable household income of the reference household

- The reference household consists of an adult male, an adult female, a 14 year old boy and an 8 year old girl
- The adult male works on a permanent basis at the national minimum wage (\$17.29 per hour) for 38 h a week
 - The adult female works on a part-time basis at the national minimum wage (\$17.29 per hour) for 6 h a week
 - Both children attend school and are fully immunised
 - None of the family are disabled
 - The family has some emergency savings that earn negligible interest

of income has been used to indicate affordability of a diet [6, 10].

Data files can be manipulated to investigate the effects of potential fiscal policy changes on the affordability of current (unhealthy) and healthy (recommended) diets for the reference household. The price of the relevant foods and drinks can be modified readily to highlight the likely 'real-world' impacts of different scenarios, for example, to investigate the potential extension of the Goods and Services Tax (GST) on basic healthy foods [47], or the potential application of different levels of taxation on sugary drinks in Australia [29].

Discussion

There are several methodological limitations inherent in the Healthy Diets ASAP protocols. Given that it is based on national reported mean dietary intakes, the cost of the current (unhealthy) diet is unlikely to be the same as actual expenditure on food and drinks in specific areas and among specific groups [48]. Other assumptions commonly made in similar apparent consumption and household expenditure surveys include that food is shared equitably throughout the household, that there is no home food production and minimal wastage. Nutritionally similar products were aggregated to minimise the number of items included in the diet pricing tools, but products were not necessarily homogenous in terms of price. However, similar healthy food items were included in each diet to try to minimise any unintended effects.

Ideally, the specific foods included in both diet pricing tools are culturally acceptable, commonly consumed, widely available, accessible and considered 'every day' rather than luxury items. As the foods and drinks included in the current diet pricing survey tool reflect actual consumption data, it was presumed that they were deemed by the population as a whole as meeting these requirements. No adjustments were made for costs such as transport, time, cooking equipment and utilities; as these apply to both current and healthy diets, assessment of the price differential between the two can help control for some of these hidden costs to some extent. However, these hidden costs would increase actual diet costs and decrease affordability of the diets.

No adjustments were made to account for the marked under-reporting in the AHS 2011–12 [4], reported dietary variability amongst different groups other than age/gender stratification, or the greater proportion of pre-prepared 'convenience' items in the current diet pricing tool compared with the healthy diet pricing tool. Given the high rates of overweight/obesity in Australia, the Foundation Diets were prescribed for the shortest and least active in each age group according to the modelling that informed the Australian Guide to Healthy Eating [33]; however this

would under-estimate the requirements of taller, more active and healthy weight individuals.

No attempt was made to control the price of the healthy diet pricing tool or the current diet pricing tool for energy, as the diets are constructed on recommended energy levels and actual reported levels of energy respectively. Further, the energy content of each tool is a determinant variable that directly affects diet-related health outcomes [18, 49]. As most Australians are already overweight or obese, increasing recommended energy requirements in excess of Foundation Diets is not consistent with optimum health outcomes [33]. As the key exposure variable affecting the life time risk of diet-related disease is the total diet and dietary patterns, approaches such as this that compare metrics of actual current diets with recommended diets are more pertinent to the health policy debate than the more common, but limited, studies into the relative price of selected 'healthy' and 'unhealthy' foods or single 'optimised' diets [18, 50, 51].

While a benchmark of 30% of income has been used to indicate affordability of diet internationally and in Australia [6, 9, 10] it is not clear from the literature whether this income comparator is gross income or disposable income [6]. Using disposable income to estimate affordability better reflects the capacity of a household to afford food/diets [52, 53]; using gross income is a more conservative approach as it does not take taxation into account. However, in Australia currently, median disposable household income data are readily available only at national level [46]; at area level only median gross household income data are readily available. Further, the composition of the reference household does not align necessarily with that of households in the census in all areas. Comparing diet price with indicative low (minimum) disposable household more accurately estimates affordability of diets in vulnerable groups. However, the tax paid component of indicative low (minimum) disposable household income can be removed to improve comparability with estimates of affordability determined by application of gross median household income.

Arbitrary decision points occur around sampling frameworks, data collection protocols (for example, selection of cheapest comparable generic item if the branded item is unavailable in any size), analysis and presentation of results, data sources and definitions of family and household income and composition. Such methodological limitations are common to other food price studies. In order for final methods to be replicable, agreement among key stakeholders including end users on each of these decision points at the Healthy Diets ASAP Forum was invaluable. Publication of detailed protocols is essential to support uptake, replicability, fidelity and transparency of the method.

The detailed dietary survey data required to produce the current (unhealthy) diet pricing tool and the modelling

data required to produce the healthy (recommended) diet pricing tool are not easily accessible in all countries and technical capacity to analyse individual records may be limited. Therefore, this optimal approach may be too complex for application to assess and monitor the price of diets from a health perspective globally. However, there is potential for the diet pricing tools to be adapted for use in other countries by substitution of food components with commonly-consumed local equivalents, dietary analysis and testing.

Conclusion

The development of standardised Healthy Diets ASAP method protocols provides an example of how the INFORMAS optimal food price and affordability methods can be adapted at country level to help develop standardised, policy relevant diet price assessment, monitoring tools and benchmarks. The approach can be used to assess the price, price differential and relative affordability of current (unhealthy) and healthy (recommended) diets and inform scenario modelling of potential fiscal and nutrition policy actions.

The Healthy Diets ASAP method satisfies long-standing calls for the development of a nationally standardised approach to assess food prices from a health perspective, supporting comparison of results from different locations and over time, in Australia.

The protocol could be adapted in other countries to benchmark and monitor the price, price differential and affordability of current and healthy diets globally.

Endnotes

¹Additional data are provided for:

- Household 1 ($n = 6$): adult male 31–50 yrs. old; adult female 31–50 yrs. old; older female 70+ yrs. old; boy 14 yrs. old; girl 8 yrs. old; boy 4 yrs. old
- Household 2 ($n = 3$): single parent with 2 children: adult female 31–50 yrs. old; boy 14 yrs. old; girl 8 yrs. old
- Household 3 ($n = 1$): single unemployed person: adult male 31–50 yrs. old
- Household 4 ($n = 2$): older couple with no children: senior adult male 70+ yrs. old; senior adult female 70+ yrs. old: pensioners

Additional files

Additional file 1: Current (unhealthy) Diets: Mean daily intake of representative categories of foods and drinks for individuals (age/gender) comprising the reference household, and other common households.

Additional file 2: A. Foundation diet recommended serves of foods per week for individuals (NHMRC 2011) comprising the reference household

and other common households. B. Healthy (recommended) Diets: Recommended serves per day of food groups and amounts of composite foods and drinks for individuals comprising the reference household, consistent with Foundation Diets (NHMRC 2011) including commonly-consumed brands.

Additional file 3: Composition of the current (unhealthy) diet and healthy (recommended) diet for four additional households (HH1, HH2, HH3, HH4)¹ per fortnight.

Additional file 4: Energy and nutrient analysis of individual current and healthy diet baskets compared to results of the AHS and Foundation Diet modelling.

Additional file 5: Median income determination by SA2 Example- Median income data from the 2011 Census, ABS Community Profiles of SA2 areas for six SA2 locations in Sydney, NSW*.

Additional file 6: Calculations of low (minimum) disposable household income data from welfare data – Example.

Abbreviations

ASAP: Australian Standardised Affordability and Pricing methods; CURFs: Confidential Unit Record Files

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Authors' contributions

AL led the project, developed concepts, constructed the current diet pricing tool, finalised the healthy diet pricing tool, developed sampling methods, convened and chaired the national stakeholders forum and drafted the manuscript; SK assisted in constructing the current diet pricing tool and finalising the healthy diet pricing tool, transposed, cleaned and analysed data and assisted with the national stakeholders forum; ML assisted with transposing and analysing food price data, finalised the household income assessment protocol and assisted with the national stakeholders forum; EG developed an early draft of the household income assessment protocol; CP provided conceptual advice; TL accessed and analysed dietary intake data from the Confidential Unit Record Files (CURFs) of the Australian Health Survey 2011–13 (ABS 2013a) to inform development of the current diet pricing tool and advised on methods to determine household income; MD developed an early draft of the healthy diet pricing tool. All co-authors reviewed drafts of the paper and contributed to the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Dietary patterns of university students in the UK

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Abstract

Background: University represents a key transition into adulthood for many adolescents but there are associated concerns about health and behaviours. One important aspect relates to diet and there is emerging evidence that university students may consume poor quality diets, with potential implications for body weight and long-term health. This research aimed to characterise dietary patterns of university students in the UK and their sociodemographic and lifestyle antecedents.

Methods: An online, cross-sectional survey was undertaken with a convenience sample of 1448 university students from five UK universities (King's College London, Universities of St Andrews, Southampton and Sheffield, and Ulster University). The survey comprised a validated food frequency questionnaire alongside lifestyle and sociodemographic questions. Dietary patterns were generated from food frequency intake data using principal components analysis. Nutrient intakes were estimated to characterise the nutrient profile of each dietary pattern. Associations with sociodemographic variables were assessed through general linear modelling.

Results: Dietary analyses revealed four major dietary patterns: 'vegetarian'; 'snacking'; 'health-conscious'; and 'convenience, red meat & alcohol'. The 'health-conscious' pattern had the most favourable micronutrient profile. Students' gender, age, year of study, geographical location and cooking ability were associated with differences in pattern behaviour. Female students favoured the 'vegetarian' pattern, whilst male students preferred the 'convenience, red meat & alcohol' pattern. Less healthful dietary patterns were positively associated with lifestyle risk factors such as smoking, low physical activity and take-away consumption. The health-conscious pattern had greatest nutrient density. The 'convenience, red meat & alcohol' pattern was associated with higher weekly food spending; this pattern was also identified most consistently across universities. Students reporting greater cooking ability tended towards the 'vegetarian' and 'health-conscious' patterns.

Conclusions: Food intake varied amongst university students. A substantial proportion of students followed health-promoting diets, which had good nutrient profiles obviating a need for dietary intervention. However, some students consumed poor diets, incurred greater food costs and practised unfavourable lifestyle behaviours, which may have long-term health effects. University policy to improve students' diets should incorporate efforts to promote student engagement in cooking and food preparation, and increased availability of low cost healthier food items.

Keywords: Food consumption, Principal components analysis, Survey, University students

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Background

University students represent a substantial proportion (50%) of the UK young adult population [1] and an individual's university career may be influential in the establishment of long-term eating patterns and thus chronic disease risk. This population also represents a group of young adults with a set of unique factors driving dietary intake: the transition to university life may be associated with increased autonomy over food choice, small food budgets, and exposure to new social groups and food cultures.

A limited body of data indicates that the dietary behaviours of UK university students are not conducive to either short- or long-term health. Alcohol consumption has received most research attention revealing that binge drinking is endemic [2, 3]. There are also indications of high intakes of confectionery and fast foods, and low consumption of fruit and vegetables [3, 4]. Although there is some evidence that dietary behaviours track from adolescence to adulthood [5, 6], the transition from home to university life has been associated with unfavourable changes to food intake: increases in alcohol and sugar intake, and decreases in fruit and vegetable consumption have been reported [7].

Additionally, the first year of university life has been identified as a period associated with body weight gain in both North American [8] and UK students [9, 10]. Such weight gain may have long-term repercussions, since overweight during young adulthood has been identified as a significant predictor of obesity later in life [11]. Furthermore, high rates of body dissatisfaction and dieting behaviours have been noted, particularly amongst female students [12, 13]. Such engagement in dieting behaviour and dysfunctional relationships with food not only impact on dietary adequacy [14, 15], but may also create tension and conflict for young people as they develop relationships with new peer groups [16].

Dietary studies of British university students are constrained by crude dietary assessment, small sample size and generally focus on a single university [3, 4]. Furthermore, their analytical approach has been on single foods and/or nutrients, which has allowed assessment of intake relative to dietary recommendations. Using multivariate statistical techniques to identify dietary patterns through intake of multiple interrelated food groups captures the complexity and multidimensional nature of diet, which is representative of real life food consumption [17]. This approach also allows greater insight into the different patterns of food consumption that naturally occur within a population and facilitates identification of sub-groups who may be most in need of health promotion efforts. Universities in particular may represent a setting in which dietary behaviours are open to change and large groups of young adults can be reached, representing an appropriate target for health promotion efforts. A dietary

patterns approach has been used widely in various UK population groups, but has not been employed to characterise the diets of university students.

This study aimed to identify dietary patterns that exist within a UK university student population, to assess the nutritional profile of these patterns, and to examine socio-demographic and lifestyle variables underpinning these patterns.

Methods

Study design

This cross-sectional study involved a convenience sample of five regionally and socio-economically diverse universities throughout the UK (Universities of: Sheffield, Ulster, King's College London (KCL), Southampton and St Andrews). These universities had responded positively to an invitation to participate in the research study; contact was made via university Human Nutrition or Health Sciences departments. A web-survey, comprising a validated food frequency questionnaire (FFQ) (Tinuviel Software Ltd., Warrington, UK) was used to assess dietary intake. Socio-demographic and lifestyle data were also collected. The survey was conducted between Autumn 2013 and Spring 2015. Data collection was preceded by a pilot study, which was used to refine the web-survey.

Ethical approval was obtained from each participating university. Informed consent for participation was obtained on the first page of the web-survey.

Subjects & recruitment

All British and European Union students less than 30 years of age at the five participating universities represented eligible participants. A cut-off of 30 years was chosen in order to focus on the dietary behaviours of young adults. International students (non-Home or non-EU) were not included because of possible heterogeneity in food choice (this issue was identified in the pilot study), and the dietary assessment instrument used was Euro-centric. Students identifying as international students on the first page of the online survey could not proceed. Only health sciences students were recruited at the University of Southampton, because of logistical issues in distribution of the survey. All students were recruited through university email distribution lists. This email provided study details and emphasised that students did not have to be eating a healthy diet to participate. Participants were required to recall their habitual diet over the most recent university semester (three months). This was the autumn semester 2013 for students at Sheffield, the autumn semester 2014 for students at Ulster and KCL, and the spring semester 2014 for students at Southampton and St Andrews. Participants who provided their contact details were entered into a prize draw; each person could win one of 40 £20 high street vouchers.

Participant eligibility

A total of 1683 students across the five universities responded to the survey. Figure 1 shows numbers of students excluded based on fulfilment of various eligibility criteria. The cut-offs for implausible energy intakes in the Nurses' Health Study (< 500 Kcal/day and > 3500 Kcal/day) and Healthcare Professionals' Follow-up Study (< 800 Kcal/day or > 4200 Kcal/day) were used to identify and exclude participants reporting implausible energy intakes the current study. Using this method, 24 participants were identified as over-reporters (8 males; 16 females) and three participants were identified as under-reporters (1 male; 2 females). A total of 1448 students comprised the final sample.

Dietary data

A validated 111-item FFQ originally developed by the Medical Research Council was employed to assess dietary intake (DietQ; Tinuviel Software Ltd., Warrington, UK; [18, 19]). The FFQ was piloted among 40 students at the University of Sheffield. Feedback from the pilot study led to three further items being incorporated into the questionnaire (consumption of hummus; tofu; water).

Frequencies of consumption in the questionnaire were expressed as follows: every day = 7/week, through to once per week = 1/week; once every 2–3 weeks (F) = 0.5/week; rarely/never (R) = 0. Where absolute quantities of consumption were given, these were converted into number of portions consumed per day. Food and nutrient intakes were generated directly from these FFQ data using the nutritional analysis software QBuilder (Tinuviel Software, Warrington, UK). The original 111 foods/food groups listed in the FFQ were

condensed into 55 broader foods/food groups for dietary patterns analysis. These 55 foods/food groups are detailed in Additional file 1: Table S1.

Socio-demographic, anthropometric and lifestyle data

The following socio-demographic information was collected: age; gender; degree programme and year of study; full/part-time study; nature of term-time residence; ethnicity; religion; socioeconomic status (SES); maternal education; and university attended. Information on dieting/weight loss behaviour, supplement use, cooking ability (four response options from 'able to cook wide range of meals from raw ingredients' through to 'unable to cook at all'), smoking status (students were asked to self-identify as a never smoker, ex-smoker, social smoker or regular smoker), self-reported physical activity levels (students were required to self-identify as not very active, moderately active or very active), body weight (kg) and height (m) (for calculation of body mass index (BMI), kg/m²), cooking behaviours (consumption of: meals made from raw ingredients; pre-prepared foods; ready meals and take-aways; and meals from university cafeteria) and weekly food expenditure (£) was also collected.

Identification of dietary patterns

To generate dietary patterns, the 55 food/food group intake variables were entered into a principal component analysis (PCA) and a varimax (orthogonal) rotation was performed. The number of components retained was determined by the scree plot, parallel analysis and component interpretability [20]. Food/food groups with factor loadings > 0.32 were used to interpret each dietary pattern.

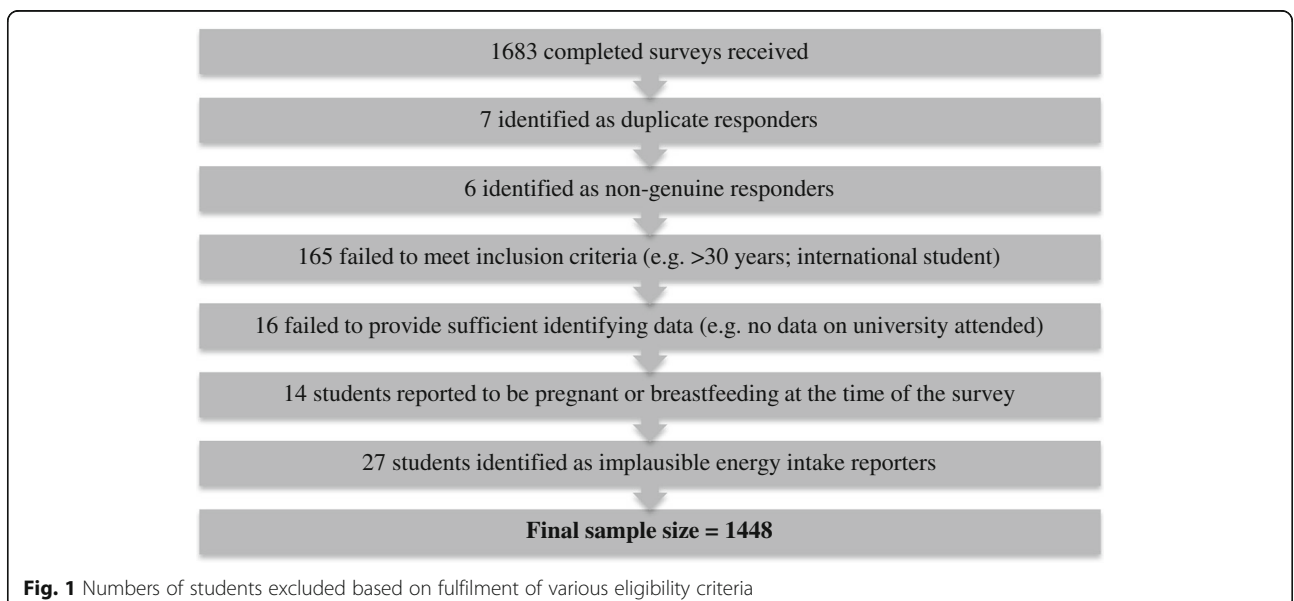


Fig. 1 Numbers of students excluded based on fulfilment of various eligibility criteria

Statistical analysis

Pearson's product moment correlation coefficients were calculated between pattern scores and absolute nutrient intakes. Partial correlation coefficients were also calculated, which adjusted for energy intake. Correlation coefficients ≥ 0.5 and ≤ -0.5 were considered strong. Examination of scatter plots revealed no evidence of non-linear relationships between component scores and nutrient intakes.

General linear models (GLMs) were firstly fitted for demographic variables alone (model 1) and then with additional eating factors (model 2). Maternal education was not included in the models, since data were not available for all students. Religion was also not included due to confounding with ethnic background.

Variables were categorised into two groups for entry into a GLM: 1) demographic variables: gender, age, leisure-time physical activity, BMI, smoking, ethnicity, year of study, term-time accommodation, university attended, and full-time/part-time status 2) cooking- and eating-related variables: cooking ability, animal food consumption, frequency of consumption of meals prepared using raw ingredients, frequency of consumption of meals using pre-prepared foods, frequency of consumption of ready-meals and take-aways, frequency of consumption of meals from university cafeteria, frequency of skipping breakfast, frequency of skipping lunch, and amount spent on food.

For each retained dietary component a GLM was fitted with demographic variables only (Group 1). A second GLM was then fitted, which included significant demographic variables and variables from Group 2. Multi-comparison post-hoc tests with Sidak correction were carried out to aid interpretation of significant factors in the GLM. The Statistical Package for the Social Sciences (SPSS) Version 20 was used for all statistical analyses. A p value of < 0.05 was considered significant.

Results

Participant characteristics

The sociodemographic characteristics of the sample are shown in Table 1. The sample comprised 1064 (73.5%) women and 384 (26.5%) men. The majority of students were White British ($n = 911$; 62.9%) and registered for full-time study ($n = 1394$; 96.3%). The mean age of the sample was 21.5 years (SD 2.63 years). The majority of respondents were from the University of Sheffield ($n = 567$; 39.2%), Ulster University in Northern Ireland ($n = 443$; 30.6%) and KCL ($n = 305$; 21.1%). The remaining students were from the Universities of Southampton ($n = 79$; 5.5%) and St Andrews, Scotland ($n = 54$; 3.7%). Just over one-third of students were studying a health-related degree. The majority of students ($n = 1000$; 69.1%) reported a

healthy BMI (18.5–24.99 kg/m²); mean BMI was 22.8 kg/m² (SD 4.64 kg/m²).

In terms of eating behaviours of the sample, just under two-thirds of students described themselves as regular meat-eaters, whilst approximately 10% of students identified themselves as vegetarian. Just over half (55%) of students reported that they were able to cook a wide range of meals from raw ingredients, and 73% consumed self-cooked meals from raw ingredients 'every' or 'most' days. One in four students reported that they consumed meals cooked from pre-prepared foods, which could be assumed to represent convenience foods, 'most days' or 'everyday'. Approximately 30% of students reported that they skipped breakfast at least most days. Just less than one quarter of students spent less than £20 on food each week; a weekly food budget of £20–29 was most common. Almost one in five students spent over £40 on food each week. Full details are provided in tabular form in Additional file 1: Table S2).

Dietary patterns

Four principal components were retained, which explained 21.7% of the total variance in food intake. The first component explained 8.4% variance; the three remaining components explained 5.7%, 4.2% and 3.4% of the variance in food intake respectively. Table 2 shows the factor loadings of each of the food groups in the four dietary components retained.

The first dietary component had high positive factor loadings (≥ 0.32) for pulses, beans and lentils, tofu, meat alternatives, hummus, nuts, and other green vegetables and salad items. It had high negative factor loadings for poultry, processed meat, and red meat and offal. This dietary pattern was labelled 'vegetarian', because there was a clear tendency towards consumption of non-meat protein sources and avoidance of all meat and fish products. The second dietary component had high positive factor loadings for biscuits, cakes and sweet pastries, milk- and cream-based desserts, confectionery, crisps and savoury snacks, fruit juice, other bread, pizza and fizzy drinks. This component was labelled 'snacking', because it was mainly characterised by snack-type foods that generally did not represent components of main meals, require no preparation and offered many options for mobile consumption. The third component had high positive factor loadings for fatty fish and canned tuna, white- and shellfish, nuts, eggs, fresh fruit, other green vegetables and salad items, oat- and bran-based breakfast cereals, herbal and green tea, and low fat/low calorie yogurts. This dietary pattern was labelled 'health-conscious', because it was characterised by foods typically associated with improved health, and was congruent with dietary components labelled 'health-conscious' or 'prudent' in other dietary pattern studies [21]. Finally, the

Table 1 Socio-demographic characteristics of the sample

	Number	Percentage (%) ^a
Gender		
Male	384	26.5
Female	1064	73.5
Age (years)		
17–21	873	60.3
22–25	412	28.5
26–30	163	11.3
BMI (kg.m ⁻²)		
< 18.5	112	7.7
18.5–24.9	1000	69.1
25–29.9	220	15.2
≥30	76	5.2
Leisure-time physical activity		
Not very active	473	32.7
Moderately active	748	51.7
Very active	227	15.7
University attended		
University of Sheffield	567	39.2
Ulster University	443	30.6
KCL	305	21.1
University of Southampton	79	5.5
University of St Andrews	54	3.7
Faculty of study		
Arts	252	17.4
Social science	285	19.7
Engineering	109	7.5
Science	212	14.6
Medicine and health	521	36.0
Full or part time status		
Full time	1394	96.3
Part time	54	3.7
Year of study		
1st year undergraduate	489	33.8
2nd year undergraduate	301	20.8
3rd year undergraduate	264	18.2
4th or higher year undergraduate	136	9.4
Postgraduate	245	16.9
Other	13	0.9
Term-time residence		
University catered accommodation	58	4.0
University self-catered accommodation	340	23.5
Private accommodation with other friends/students	610	42.1
Private accommodation on own	63	4.4

Table 1 Socio-demographic characteristics of the sample (Continued)

	Number	Percentage (%) ^a
With parents/relatives	205	14.2
With partner	107	7.4
With parents/partner & children	48	3.3
With children only	9	0.6
Other	8	0.6
Ethnic background		
White British	911	62.9
White Irish	235	16.2
Other White ethnicity	139	9.6
Mixed ethnicity	45	3.1
Asian/Asian British	69	4.8
Black/African/Caribbean/Black British	15	1.0
Other	16	1.1
Would rather not say	18	1.2
Mother's level of education		
CSE	80	5.5
Vocational	59	4.1
O Level	184	12.7
A Level	96	6.6
Degree	342	23.6
Would rather not say	120	8.3
Not asked ^b	567	39.2
Smoking habits		
Never smoker	1090	75.3
Ex-smoker	72	5.0
Social smoker	192	13.3
Regular smoker	94	6.5

^awhere percentages do not total 100% this is due to missing data

^bThis question was not available for University of Sheffield students

fourth component was labelled 'convenience, red meat & alcohol', because it had high factor loadings for red meat and savoury foods requiring little or no preparation, and it was the only component with a positive loading on alcoholic drinks. There were also high factor loadings for fried food, pasta and rice, ready-made sauces, pizza, chips, alcoholic drinks, processed meat, red meat and offal, and eggs; there was a strong negative factor loading for low fat/low calorie yogurts.

Correlational analyses

Pearson's correlation coefficients between dietary pattern scores and energy intake were calculated. These are displayed in Table 3. There was a weak negative correlation between the 'vegetarian' pattern and energy intake ($r = -0.096$; $p < 0.01$), but a weak positive correlation between the 'health-conscious' pattern and energy

Table 2 Factor loadings of the 55 food groups in the four principal components extracted from the PCA of frequency of food intake data of 1448 university students

Food group (% variance)	Vegetarian (8.4%)	Snacking (5.7%)	Health-conscious (4.2%)	Convenience, red meat & alcohol (3.4%)
Pulses, beans & lentils	0.642	-0.113	0.216	
Tofu	0.627			0.105
Meat alternatives	0.586	0.126	-0.109	0.121
Hummus	0.585		0.147	
Chicken/poultry	-0.456		0.106	0.277
Processed meat	-0.453	0.277		0.354
Red meat & offal	-0.439	0.163	0.134	0.332
Biscuits, cakes & sweets		0.623		-0.106
Milk & cream-based desserts		0.531	0.160	
Confectionery	-0.174	0.524		
Crisps & savoury snacks		0.413	-0.170	0.253
White bread	-0.141	0.393	-0.209	0.214
Fruit juice		0.354		
Other bread	0.104	0.342		
Canned fruit	0.101	0.320	0.100	-0.124
Fruit squash (not low calorie)		0.293	-0.182	
Other yogurts		0.276	0.216	-0.105
Other spread		0.251		
Added sugar in tea, coffee & cereal		0.239		0.128
Quiche	0.201	0.218		0.124
Fatty fish & canned tuna	-0.120		0.616	
White fish & shell fish	-0.157		0.531	
Nuts	0.324		0.491	
Eggs	-0.151	-0.120	0.477	0.350
Fresh fruit	0.174		0.443	-0.108
Other green vegetables, onions & salad items	0.369	-0.258	0.376	0.127
Oat- & bran-based breakfast cereals		-0.172	0.372	-0.170
Herbal & green tea	0.313	-0.153	0.365	
Low fat & low-calorie yogurts			0.334	-0.308
Tea & coffee		0.122	0.251	
Fried food				0.503
Pasta & rice	0.135			0.451
Ready-made sauces				0.396
Pizza		0.327	-0.171	0.392
Chips	-0.160	0.301	-0.221	0.379
Alcoholic drinks				0.328
Butter	-0.166	0.137		0.312
Mayonnaise, salad cream & other dressings	-0.115	0.249	0.225	0.277
Cream		0.128	0.198	0.209
Crispbread	0.144		0.132	-0.179
Peas			0.115	
Boiled, mashed, roast & jacket potatoes	-0.211	0.261		0.113
Root vegetables & sweetcorn	0.237		0.300	

Table 2 Factor loadings of the 55 food groups in the four principal components extracted from the PCA of frequency of food intake data of 1448 university students (*Continued*)

Food group (% variance)	Vegetarian (8.4%)	Snacking (5.7%)	Health-conscious (4.2%)	Convenience, red meat & alcohol (3.4%)
Baked beans		0.112		0.112
Wheat bran			0.124	-0.136
Low calorie squash & fizzy drinks		0.115		
Non-white bread				
Low fat, olive & pufa spread			-0.124	
Fizzy drinks (not low calorie)	-0.180	0.332	-0.204	0.282
Jam, marmalade & honey		0.255		-0.125
Cheese	0.214	0.145		0.218
Water		-0.253	0.292	
Milk	-0.162	0.107	0.120	0.106
Other breakfast cereals	-0.150	0.168	-0.194	
Soups	0.209	0.125	0.215	

Food groups with factor loadings ≥ 0.10 & ≤ -0.10 are displayed; those ≥ 0.32 are highlighted in bold and those ≤ -0.32 are italicised

intake ($r = 0.271$; $P < 0.01$). The 'snacking' and 'convenience, red meat and alcohol' dietary patterns exhibited the strongest correlations with energy intake ($r = 0.582$ and $r = 0.547$ respectively). Owing to these significant associations, energy-adjusted nutrient intakes were used to explore relationships with dietary patterns scores. There were strong positive correlations ($0.5 \geq r < 0.6$; $p < 0.01$) between the 'vegetarian' pattern and energy-adjusted intakes of fibre, copper and thiamin. The 'health-conscious' pattern was the most nutrient dense, with significant, positive, strong correlations ($0.5 \geq r < 0.7$; $p < 0.01$) for energy-adjusted intakes of selenium, vitamin D, vitamin B12, and biotin. The 'snacking' pattern was strongly positively correlated with energy-adjusted non-milk extrinsic sugars (NMES) ($r = 0.524$; $P < 0.01$). Alcohol intake (energy-adjusted) was negatively correlated with scores on the 'snacking' pattern ($r = -0.317$; $P < 0.01$). Only intake of total sugars (energy-adjusted) was strongly and negatively correlated with the 'convenience, red meat & alcohol' pattern ($r = -0.577$; $P < 0.01$).

General linear models

Adjusted mean pattern scores by demographic and cooking/eating behaviour variables from the GLMs are provided in Table 4 (Model 1) and Table 5 (Model 2). The text that follows summarises the key findings.

Pattern 1 – Vegetarian

In Model 1 (demographic variables only) female gender ($p < 0.001$), middle age group ($p = 0.020$), moderate leisure-time activity levels ($p = 0.045$) and ex-smoker status ($p = 0.025$) were independently associated with higher scores on the vegetarian dietary pattern. Attendance at

Ulster University was independently associated with lower 'vegetarian' pattern scores ($p < 0.001$).

In Model 2 (demographic variables & food/eating related variables), female gender ($p < 0.001$), middle age group ($p = 0.020$), greatest self-reported cooking ability ($p = 0.036$), least frequent consumption of pre-prepared foods ($p = 0.047$) and lower consumption of animal products ($p = 0.036$) were independently associated with higher 'vegetarian' pattern scores. Attendance at Ulster University ($p < 0.001$) was independently associated with lower scores.

Pattern 2 – Snacking

In Model 1, low leisure-time physical activity ($p < 0.001$), attendance at Ulster University ($p = 0.003$), full time student status ($p = 0.001$) and living with parents/other relatives ($p < 0.001$) were independently associated with higher 'snacking' pattern scores.

In Model 2, lower leisure-time physical activity participation ($p = 0.012$), attendance at Ulster University ($p = 0.029$), living with parents/other relatives or in university catered accommodation ($p = 0.033$), and full-time student status ($p < 0.001$) were independently associated with greater pattern score. Infrequent consumption of meals prepared from raw ingredients ($p < 0.001$), and frequent consumption of pre-prepared foods ($p < 0.001$) and ready meals/take-aways ($p < 0.001$) were also independently associated with high 'snacking' pattern scores.

Pattern 3 – Health-conscious

In Model 1, 'very active' physical activity levels ($p < 0.001$), 'White Other' ethnicity ($p = 0.004$) and third year of undergraduate study ($p = 0.041$) were independently

Table 3 Pearson's correlations between dietary pattern scores and estimated average daily nutrient intakes from frequency of food intake data

Nutrient	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	Absolute	Adjusted	Absolute	Adjusted	Absolute	Adjusted	Absolute	Adjusted
Energy (kcal)	-0.096 ^Y		0.582 ^Y		0.271 ^Y		0.547 ^Y	
Protein (g)	-0.304 ^Y	-0.389 ^Y	0.309 ^Y	-0.343 ^Y	0.483 ^Y	0.469 ^Y	0.491 ^Y	0.334 ^Y
Total fat (g)	-0.171 ^Y	-0.183 ^Y	0.602 ^Y	0.232 ^Y	0.291 ^Y	0.116 ^Y	0.535 ^Y	0.134 ^Y
Total carbohydrate (g)	0.073 ^Y	0.322 ^Y	0.633 ^Y	0.316 ^Y	0.101 ^Y	-0.287 ^Y	0.330 ^Y	-0.358 ^Y
NMES (g)	-0.163 ^Y	-0.110 ^Y	0.696 ^Y	0.524 ^Y	-0.124 ^Y	-0.393 ^Y	0.234 ^Y	-0.174 ^Y
Saturated fat (g)	-0.266 ^Y	-0.326 ^Y	0.638 ^Y	0.347 ^Y	0.166 ^Y	-0.098 ^Y	0.485 ^Y	0.080 ^Y
Monounsaturated fat (g)	-0.241 ^Y	-0.306 ^Y	0.558 ^Y	0.144 ^Y	0.302 ^Y	0.142 ^Y	0.507 ^Y	0.091 ^Y
Polyunsaturated fat (g)	0.018 ^Y	0.143 ^Y	0.430 ^Y	-0.026	0.336 ^Y	0.209 ^Y	0.492 ^Y	0.137
Total sugars (g)	0.019	0.123 ^Y	0.602 ^Y	0.333 ^Y	0.295 ^Y	0.154 ^Y	0.043	-0.577 ^Y
Fibre (g)	0.443 ^Y	0.551 ^Y	0.080 ^Y	-0.259 ^Y	0.386 ^Y	0.306 ^Y	0.096 ^Y	-0.207 ^Y
Sodium (mg)	0.113 ^Y	0.286 ^Y	0.439 ^Y	-0.002 ^Y	0.313 ^Y	0.172 ^Y	0.436 ^Y	0.040 ^Y
Potassium (mg)	0.035	0.196 ^Y	0.360 ^Y	-0.240 ^Y	0.472 ^Y	0.451 ^Y	0.352 ^Y	-0.212 ^Y
Calcium (mg)	0.073 ^Y	0.183 ^Y	0.449 ^Y	0.106 ^Y	0.315 ^Y	0.189 ^Y	0.199 ^Y	-0.258 ^Y
Magnesium (mg)	0.229 ^Y	0.461 ^Y	0.253 ^Y	-0.347 ^Y	0.509 ^Y	0.482 ^Y	0.304 ^Y	-0.197 ^Y
Iron (mg)	0.147 ^Y	0.332 ^Y	0.247 ^Y	-0.350	0.339 ^Y	0.214	0.400 ^Y	-0.017
Copper (mg)	0.343 ^Y	0.545 ^Y	0.229 ^Y	-0.256 ^Y	0.458 ^Y	0.387 ^Y	0.340 ^Y	-0.035
Zinc (mg)	-0.264 ^Y	-0.318 ^Y	0.289 ^Y	-0.382 ^Y	0.391 ^Y	0.304 ^Y	0.483 ^Y	0.080 ^Y
Selenium (mg)	-0.221 ^Y	-0.208 ^Y	0.208 ^Y	-0.259 ^Y	0.584 ^Y	0.555 ^Y	0.423 ^Y	0.115 ^Y
Iodine (µg)	-0.260 ^Y	-0.247 ^Y	0.259 ^Y	-0.065	0.524 ^Y	0.488 ^Y	0.126 ^Y	-0.224 ^Y
Vitamin A (µg)	0.132 ^Y	0.163 ^Y	0.050	-0.129 ^Y	0.362 ^Y	0.314 ^Y	0.065	-0.095 ^Y
Vitamin E (mg)	0.163 ^Y	0.286 ^Y	0.347 ^Y	-0.022	0.505 ^Y	0.447 ^Y	0.244 ^Y	-0.145 ^Y
Vitamin D (µg)	-0.136 ^Y	-0.113 ^Y	0.015	-0.209 ^Y	0.645 ^Y	0.613 ^Y	0.159 ^Y	-0.009
Thiamin (mg)	0.484 ^Y	0.558 ^Y	0.217 ^Y	0.010	0.044	-0.059	0.200 ^Y	0.004
Riboflavin (mg)	-0.223 ^Y	-0.216 ^Y	0.338 ^Y	-0.090 ^Y	0.394 ^Y	0.298 ^Y	0.210 ^Y	-0.258 ^Y
Niacin (mg)	-0.359 ^Y	-0.429 ^Y	0.221 ^Y	-0.377 ^Y	0.465 ^Y	0.408 ^Y	0.408 ^Y	0.008
Vitamin B ₆ (mg)	-0.210 ^Y	-0.226 ^Y	0.266 ^Y	-0.435 ^Y	0.332 ^Y	0.199 ^Y	0.439 ^Y	-0.011
Vitamin B ₁₂ (mg)	-0.315 ^Y	-0.311 ^Y	0.180 ^Y	-0.163 ^Y	0.583 ^Y	0.537 ^Y	0.230 ^Y	-0.065
Folate (µg)	0.177 ^Y	0.313 ^Y	0.191 ^Y	-0.294 ^Y	0.416 ^Y	0.329 ^Y	0.253 ^Y	-0.155 ^Y
Biotin (µg)	0.088 ^Y	0.169 ^Y	0.100 ^Y	-0.319 ^Y	0.690 ^Y	0.673 ^Y	0.212 ^Y	-0.123 ^Y
Vitamin C (mg)	0.202 ^Y	0.244 ^Y	0.163 ^Y	-0.017 ^Y	0.299 ^Y	0.237 ^Y	0.009	-0.197 ^Y
Alcohol (g)	0.023	0.064	-0.020	-0.317 ^Y	0.026	-0.086 ^Y	0.345 ^Y	0.180 ^Y

^Y*p* < 0.01

Correlation coefficients between absolute nutrient intakes and relative nutrient intakes adjusted for energy intakes are both shown. Correlation coefficients ≥0.5 are highlighted in bold

associated with higher scores on the 'health-conscious' pattern. Youngest age group (*p* = 0.015) and attendance at University of Sheffield were independently associated with lower scores (*p* < 0.001).

In Model 2, the five significant demographic factors identified in Model 1 remained independently associated with 'health-conscious' pattern scores. Additionally, reporting being 'able to cook a wide range of meals from raw ingredients' (*p* = 0.002), daily consumption of meals

made from raw ingredients (*p* < 0.001) and pre-prepared foods (*p* = 0.002), greatest amount of money spent on food (≥50/week) (*p* < 0.001), at least occasional consumption of animal products (*p* < 0.001) and infrequent skipping of breakfast (*p* < 0.001) were independently associated with higher health-conscious pattern scores. Rare – compared to occasional or almost daily – consumption of take-aways/ready meals was associated with lower scores (*p* = 0.042).

Table 4 General Linear Model 1 – Demographic Variables

Demographic variable	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value
Lack of fit	<i>p</i> = 0.612		<i>p</i> = 0.330		<i>p</i> = 0.280		<i>p</i> = 0.012	
Gender								
Male	0.082	< 0.001	-0.315	0.074	0.378	0.132	0.475	< 0.001
Female	0.304		-0.428		0.469		-0.117	
Age								
17–21	0.133^a	0.020	-0.326	0.424	0.262^b	0.015	0.228	0.496
22–25	0.339^a		-0.429		0.434^a		0.210	
26–29	0.197		-0.361		0.574^b		0.100	
Leisure-time physical activity								
Not very active	0.184^a	0.045	-0.171^{ab}	< 0.001	0.029^{ab}	< 0.001	0.250^a	0.032
Moderately active	0.308^a		-0.356^{ac}		0.383^{ac}		0.097^a	
Very active	0.177		-0.588^{bc}		0.857^{bc}		0.191	
BMI								
< 18.5	0.292	0.221	-0.281	0.391	0.437	0.055	0.139	0.092
18.5–24.9	0.289		-0.436		0.407		0.073	
25–29.9	0.154		-0.432		0.574		0.144	
≥ 30	0.156		-0.339		0.275		0.361	
Smoking status								
Never	0.086^a	0.025	-0.333	0.270	0.404	0.173	-0.026^{ab}	< 0.001
Ex	0.421^a		-0.393		0.387		0.121^c	
Social	0.159		-0.254		0.562		0.311^{ac}	
Regular	0.225		-0.507		0.340		0.310^b	
Ethnicity								
White British	0.214	0.441	-0.299	0.810	0.263^a	0.004	0.206	0.585
White Irish	0.364		-0.381		0.276^b		0.254	
White Other	0.182		-0.322		0.545^{ab}		0.140	
Mixed	0.105		-0.352		0.627		0.297	
Asian/Asian British	0.281		-0.272		0.309		0.211	
Black/Black British	0.003		-0.274		0.048		-0.041	
Other	0.103		-0.705		0.882		0.489	
Rather not say	0.531		-0.370		0.437		-0.123	
Year of study								
1st year UG	0.212	0.194	-0.240	0.154	0.477^a	0.041	0.179	0.134
2nd year UG	0.080		-0.439		0.503		0.203	
3rd year UG	0.090		-0.475		0.614^a		0.139	
≥ 4th year UG	0.091		-0.431		0.480		0.410	
Postgraduate	0.177		-0.374		0.282		0.309	
Other	0.687		-0.272		0.182		-0.166	
Term-time accommodation								
Uni catered	0.129	0.963	-0.104^a	< 0.001	0.176	0.068	0.374	0.053
Uni self-catered	0.245		-0.517^b		0.236		0.219	
Private with friends	0.242		-0.397^a		0.341		0.201	

Table 4 General Linear Model 1 – Demographic Variables (*Continued*)

Demographic variable	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value
Lack of fit	<i>p</i> = 0.612		<i>p</i> = 0.330		<i>p</i> = 0.280		<i>p</i> = 0.012	
Private on own	0.324		-0.265		0.450		-0.275	
Parents/relatives	0.173		-0.076^{bc}		0.524		0.175	
Partner	0.269		-0.306^c		0.456		0.187	
Parents/partner + children	0.138		-0.247		0.290		0.074	
Children only	0.218		-0.555		0.344		0.254	
Other	0.268		-0.879		0.992		0.402	
University								
Sheffield	0.146^{abc}	< 0.001	-0.370^a	0.003	0.098^{abcd}	< 0.001	0.166	0.270
Ulster	-0.376^{adef}		-0.214^{ab}		0.318^{def}		0.299	
KCL	0.398^{bd}		-0.569^b		0.541^{be}		0.237	
Southampton	0.227^e		-0.264		0.584^{cf}		0.221	
St Andrews	0.719^{cf}		-0.442		0.576^d		-0.027	
Faculty								
Arts	0.334	0.234	-0.308	0.527	0.456	0.766	0.275	0.277
Social science	0.180		-0.357		0.464		0.191	
Engineering	0.123		-0.416		0.400		0.153	
Science	0.216		-0.453		0.357		0.177	
Medicine & health	0.261		-0.324		0.440		0.099	
Full-time vs. part-time student status								
Full-time	0.183	0.582	-0.109	0.001	0.381	0.560	0.246	0.378
Part-time	0.263		-0.634		0.466		0.113	

Independent associations between dietary pattern scores and non-nutrient variables. *p* values < 0.05 are highlighted in bold. Common superscript letters indicate significant post-hoc differences between categories within each variable

Pattern 4 – Convenience, red meat & alcohol

In Model 1, male gender ($p < 0.001$), lowest leisure-time physical activity levels ($p = 0.032$), and regular/social smoking status ($p < 0.001$) were independently associated with higher scores on the 'convenience, red meat & alcohol' diet pattern. An independent inverse association between living alone in private accommodation and score on this pattern approached significance ($p = 0.053$).

In Model 2, higher pattern scores were independently associated with male gender ($p < 0.001$), regular/social smoking status ($p < 0.001$), most frequent consumption pre-prepared foods ($p = 0.040$), frequent consumption of ready-meals/take-aways ($p < 0.001$), frequent breakfast skipping ($p < 0.001$), regular consumption of animal products ($p < 0.001$) and greater amounts of money spent on food ($p < 0.001$). Lower scores were independently associated with living alone ($p = 0.026$) and spending less money on food ($p < 0.001$).

Discussion

This study aimed to identify dietary patterns within a UK university student population and to delineate the socio-demographic, lifestyle and other behavioural characteristics of students favouring these patterns. Dietary patterns analysis unveiled heterogeneity in food choice with students following four major dietary patterns: 'vegetarian', 'snacking', 'health-conscious' and 'convenience, red meat & alcohol'. These patterns explained approximately one fifth of the variance in food intake. Students' gender, age, geographical location and cooking ability were associated with differences in pattern behaviour. Clustering of lifestyle risk factors with dietary patterns was also evident, with less healthful dietary patterns associated with smoking, low physical activity and take-away consumption. Students tending to the 'convenience, red meat & alcohol' pattern reported spending more money on food each week.

The 'vegetarian', 'snacking' and 'health-conscious' patterns identified here are analogous to those previously reported

Table 5 General Linear Model 2 – Demographic + Eating related variables

Lack of fit Demographic variable (n)	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	<i>p</i> = 0.001		<i>p</i> = 0.748		<i>p</i> = 0.426		<i>p</i> = 0.017	
	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value
Gender								
Male	1.119	< 0.001	<i>Not entered into model</i>		<i>Not entered into model</i>	<i>N/A</i>	0.645	< 0.001
Female	1.304						0.129	
Age								
17–21	1.140^a	0.020	<i>Not entered into model</i>	<i>N/A</i>	–0.047	0.049	<i>Not entered into model</i>	<i>N/A</i>
22–25	1.301^a				0.113^a			
26–29	1.314				0.161^b			
Leisure-time physical activity								
Not very active	1.258	0.183	0.270^{ab}	0.012	–0.187^{ab}	< 0.001	0.436	0.117
Moderately active	1.297		0.208^{ac}		0.064^{ac}		0.327	
Very active	1.199		0.034^{bc}		0.350^{bc}		0.399	
BMI								
< 18.5	<i>Not entered into model</i>	<i>N/A</i>	<i>Not entered into model</i>	<i>N/A</i>	0.110	0.215	<i>Not entered into model</i>	<i>N/A</i>
18.5–24.9					0.057			
25–29.9					0.173			
≥ 30					–0.037			
Smoking status								
Never	1.190	0.292	<i>Not entered into model</i>	<i>N/A</i>	<i>Not entered into model</i>	<i>N/A</i>	0.224^{ab}	< 0.001
Ex	1.321						0.272^c	
Social	1.264						0.520^{ac}	
Regular	1.230						0.532^b	
Ethnicity								
White British	<i>Not entered into model</i>	<i>N/A</i>	<i>Not entered into model</i>	<i>N/A</i>	–0.107^{ab}	0.016	<i>Not entered into model</i>	<i>N/A</i>
White Irish					–0.080^c			
White Other					0.123^{ac}			
Mixed					0.243			
Asian/Asian British					0.033			
Black/Black British					–0.081			
Other					0.370^b			
Rather not say					0.106			
Year of study								
1st year UG	<i>Not entered into model</i>	<i>N/A</i>	<i>Not entered into model</i>	<i>N/A</i>	0.048^a	0.004	<i>Not entered into model</i>	<i>N/A</i>
2nd year UG					0.069			
3rd year UG					0.200^a			
≥ 4th year UG					–0.008			
Postgraduate					–0.158			
Other					0.304			
Term-time accommodation								
Uni catered	<i>Not entered into model</i>	<i>N/A</i>	0.427^{ab}	0.033	<i>Not entered into model</i>	<i>N/A</i>	0.595	0.026
Uni self-catered			0.159^{ac}				0.495	
Private with friends			0.149^{bd}				0.469	

Table 5 General Linear Model 2 – Demographic + Eating related variables (*Continued*)

Lack of fit Demographic variable (n)	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	<i>p</i> = 0.001		<i>p</i> = 0.748		<i>p</i> = 0.426		<i>p</i> = 0.017	
	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value
Private on own			0.218				0.030^a	
Parents/relatives			0.390^{cde}				0.431^a	
Partner			0.248^e				0.378	
Parents/partner + children			0.378				0.293	
Children only			-0.178				0.430	
Other			-0.256				0.364	
University								
Sheffield	1.218^{abc}	< 0.001	0.136^a	0.029	-0.270^{abcd}	< 0.001	<i>Not entered into model</i>	<i>N/A</i>
Ulster	0.894^{adef}		0.242^{abc}		0.069^{def}		<i>Not entered into model</i>	<i>N/A</i>
KCL	1.424^{bd}		0.036^b		0.196^{be}			
Southampton	1.298^{eg}		0.337		0.187^{cf}			
St Andrews	1.424^{cf}		0.103^c		0.197^d			
Full-time vs. part-time student status								
Full-time	<i>Not entered into model</i>	<i>N/A</i>	0.442	< 0.001	<i>Not entered into model</i>	<i>N/A</i>	<i>Not entered into model</i>	<i>N/A</i>
Part-time			-0.101					
Cooking/eating-related variables								
Cooking ability								
Wide range	1.350^{ab}	0.036	0.024	0.190	0.257^{ab}	0.002	0.261	0.297
Limited range	1.239^{ac}		0.015		0.065^{ac}		0.301	
Pre-prepared only	1.125^{bc}		0.151		-0.101^{bc}		0.527	
Unable to cook at all	1.292		0.492		0.082		0.459	
Animal food consumption								
Regular meat-eater	-0.171^{abcd}	< 0.001	0.187	0.080	0.445^a	< 0.001	0.500^{ab}	< 0.001
Flexitarian	0.291^{ae}		0.199		0.488^b		0.185^{ac}	
Lacto-ovo	1.635^{beh}		0.314		0.101		0.534^c	
Ovo	1.707^{chi}		0.319		-0.459^{ab}		0.201^b	
Vegan	2.795^{dghi}		-0.238		-0.196		0.517	
Meals made from scratch								
Every day	1.322	0.136	-0.060^{abc}	0.001	0.339^{abc}	< 0.001	0.622	< 0.001
Most days	1.272		0.146^{ade}		0.198^{ade}		0.495	
Occasionally	1.172		0.246^{bd}		-0.034^{bd}		0.345	
Rarely/never	1.240		0.350^{ce}		-0.200^{ce}		0.088	
Meals made from pre-prepared foods								
Every day	1.302^a	0.047	0.338^a	< 0.001	0.178^{ab}	0.002	0.591^{abc}	0.040
Most days	1.151^{bc}		0.304^{bc}		0.046^{acd}		0.336^a	
Occasionally	1.231^{bd}		0.143^{bd}		-0.069^{bce}		0.265^b	
Rarely/never	1.321^{acd}		-0.102^{acd}		0.148^{de}		0.356^c	
Ready-meals/take-aways								
Every day	1.511	0.257	0.584^{ab}	< 0.001	0.273	0.042	0.552^a	< 0.001
Most days	1.222		0.290^{cd}		0.025^a		0.570^{bc}	
Occasionally	1.130		-0.036^{bd}		-0.068^b		0.302^{cd}	

Table 5 General Linear Model 2 – Demographic + Eating related variables (*Continued*)

Demographic variable (n)	Vegetarian		Snacking		Health-conscious		Convenience, red meat & alcohol	
	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value	Adjusted mean pattern score	<i>p</i> value
Lack of fit	<i>p</i> = 0.001		<i>p</i> = 0.748		<i>p</i> = 0.426		<i>p</i> = 0.017	
Rarely/never	1.143		-0.155^{acd}		0.073^{ab}		0.125^{abd}	
Meals in university cafeteria								
Every day	1.156	0.062	0.153	0.547	0.141	0.922	0.375	0.336
Most days	1.253		0.245		0.047		0.485	
Occasionally	1.311		0.170		0.069		0.372	
Rarely/never	1.286		0.115		0.046		0.317	
Skipped breakfast								
Every day	1.358	0.062	0.221	0.101	-0.179^{ab}	< 0.001	0.514^{ab}	< 0.001
Most days	1.276		0.257		0.066^c		0.609^{cd}	
Occasionally	1.193		0.114		0.126^{ad}		0.307^{ace}	
Rarely/never	1.179		0.091		0.290^{bcd}		0.119^{bde}	
Skipped lunch/dinner								
Every day	1.245	0.991	0.089	0.131	0.284	0.404	0.001	0.012
Most days	1.252		0.236		0.066		0.443	
Occasionally	1.261		0.116		-0.031		0.503	
Rarely/never	1.248		0.241		-0.016		0.602	
Amount spent on food								
< £20	1.278	0.268	0.101	0.534	-0.171^{abcd}	< 0.001	0.162^{abcd}	< 0.001
£20–29	1.269		0.146		-0.005^{aef}		0.344^{aef}	
£30–39	1.251		0.150		0.138^{beg}		0.385^b	
£40–49	1.333		0.264		0.096^{eh}		0.481^{ce}	
≥ £50	1.127		0.192		0.320^{dfgh}		0.564^{df}	

Independent associations between dietary pattern scores and non-nutrient variables. *p* values < 0.05 are highlighted in bold. Common superscript letters indicate significant post-hoc differences between categories within each variable

in adult and adolescent UK populations [22, 23]. The ‘convenience, red meat & alcohol’ pattern shares features (positive factor loadings for red meat, chips, alcohol) with a major dietary pattern (labelled drinker/social) reported among approximately 480 20–25 year olds in Northern Ireland, derived from 7-day diet history data [24].

The ‘snacking’ and ‘convenience, red meat and alcohol’ patterns have common features with published data on the food preferences of British university students [2, 4]. Existing studies allude to non-prudent consumption patterns, reporting low consumption of fruit and vegetables alongside high intakes of confectionery, alcohol, and fried, ready-made and convenience foods [2–4].

We have shown that both the ‘snacking’ and ‘convenience, red meat and alcohol’ patterns were least nutrient-dense. Indeed it is noteworthy that these two patterns were additionally positively correlated with energy intake and did not feature fruit and vegetables; dependence on such a pattern may increase risk of positive energy balance and hence weight gain. The ‘health-conscious’ pattern, which had a

favourable nutrient profile - being particularly dense in micronutrients such as biotin, vitamin B12, vitamin D and selenium - is at odds with the stereotype of student eating patterns, but concurs with published research on dietary patterns among UK adults [21, 22] and a small-scale study of university students in Birmingham, UK [4].

It is of note that a vegetarian diet was the predominant pattern identified in the current study, and indeed 10% of students described themselves as vegetarian. The latter figure is less than that reported in a survey of over 3000 university students studying in Northern Ireland, which reported that 22% of students did not eat meat [3]. Although a vegetarian pattern has been described in the wider UK diet pattern literature [21–23], it was a minor component, in keeping with the low prevalence of vegetarianism among British adults nationally (3%) [25].

Whilst high rates of binge drinking have previously been documented among student populations [3, 26], and there is a popular stereotype of students as heavy drinkers, only one pattern (‘convenience, red meat &

alcohol') was high in alcoholic beverages. Furthermore students following this pattern were also more likely to smoke, have frequent consumption of take-aways and pre-prepared foods and engage in lower levels of physical activity. This clustering of behaviours is important, since the negative health outcomes associated with multiple lifestyle risk factors are greater than the sum of individual health risk behaviours [27]. Conversely students favouring more healthful dietary patterns reported greater engagement in other health-promoting lifestyle choices, including not smoking, greater participation in physical activity. Aggregation of lifestyle behaviours has previously been reported in both university student and adult populations [26–28].

Gendered food preferences were also evident, especially in relation to meat consumption. Specifically, female students favoured a 'vegetarian' diet, whilst male students scored highly on the 'convenience, red meat & alcohol' pattern. Greater meat and fast food consumption among male students has previously been reported, and vegetarianism is more prevalent amongst female students [3, 24]. Although a recent British student study observed no gender differences between eating patterns [4], this study lacked detailed dietary assessment.

Dietary preferences also varied between participating universities. Generally, students at Ulster University favoured less healthful patterns, whilst those at the Universities of Southampton, St Andrews and KCL tended towards more healthful diets. Students attending the University of Sheffield were least likely to adopt a 'health-conscious' dietary pattern. This gradient is congruent with national data, which indicates that the population of Northern Ireland consumes a diet of poorer quality than the UK as a whole [29]. Dietary gradients were also evident in relation to geography in a comparative study of university students from seven universities across the UK, although absence of information on specific university location limits comparison [2].

It is also possible that dietary differences observed between universities may arise because of socioeconomic gradients across universities. Missing data on social class for students at the University of Sheffield precluded adjustment for this possibility. However information from the Higher Education Statistics Agency (HESA) indicates an SES gradient between universities: a greater proportion of students at Ulster University are from manual occupational backgrounds than from KCL, Sheffield and Southampton (no data available for St Andrews) [30]. Maternal education data for Ulster, KCL, St Andrews & Southampton corroborated these differences (data for University of Sheffield not available). The wider literature consistently reports a positive association between socioeconomic status and diet quality across UK population groups [21, 23, 28]. However, the tendency for

students at the University of Sheffield to score lowest on a 'health-conscious' diet is not in line with this explanation.

The possibility of selection bias should be considered. There were differences in recruitment method between the University of Sheffield and Ulster University (recruitment email distributed directly to all students via a global mailing list), and the other three participating sites (e.g. study advertisement on student volunteers webpage). These recruitment differences may have biased the sample towards health-motivated students at KCL, St Andrews and Southampton.

The lack of association between university attended and consumption of the 'convenience, red meat & alcohol' diet also deserves attention. This homogeneity suggests that this pattern is pervasive across all universities studied, substantiating popular beliefs that the diet of UK university students is one of poor quality.

This study also revealed that older students favoured more healthful dietary patterns and there was evidence of a positive linear relationship between age and scores on the 'health-conscious' pattern. It is possible that as students mature they become increasingly aware of the impact of dietary choices on health and well-being, and health thus becomes an increasingly important determinant of food choice. Studies among the general UK adult population report similar age effects [21, 22]. A student survey conducted in Northern Ireland reported a positive gradient in diet quality by year of study [3]. In contrast, other student-specific research has failed to detect an association between eating habits and age (or year of study), although most of these studies have not collected detailed dietary data [2, 4, 10, 26].

Finally, 45% of the current sample reported limited (or non-existent) cooking ability, being at best only able to cook a limited range of meals from raw ingredients. Students with poor cooking ability were less likely to adopt healthier (vegetarian; health-conscious) diets than their more skilled counterparts. This association has not been documented among a university student population, but corroborates associations found in several adult studies [31, 32]. No association, however, was identified between cooking ability and scores on the less healthful dietary patterns (snacking; convenience, red meat & alcohol). Whilst it is likely that students who lack culinary skills may be forced to rely on convenience foods to ensure meal provision, other factors such as time pressures and (lack of) cooking enjoyment may be more salient in determining students' decisions around consumption of these foods [33, 34].

Study strengths and limitations

The current study had a number of strengths and limitations that should be acknowledged. FFQs are not optimal

for the measurement of absolute dietary intake, but the use of a dietary pattern approach permitted ranking according to food group intake and so was considered appropriate. Furthermore, use of an FFQ allowed dietary intake to be captured over a 3-month semester and facilitated recruitment of a large, geographically diverse sample, albeit a convenience one. Ideally, the sampling frame would have included a greater number of universities and involved stratification by year of study, subject group and socioeconomic indices in order to give a nationally representative profile of student eating patterns. Moreover, only health-sciences students were recruited at Southampton, which may represent a source of bias.

The small number of students recruited from St Andrews may be seen as an under-representation of students from a Scottish university, but it should be noted that the total student population at St Andrews (population of around 8000 students) is much smaller than that of Sheffield, Ulster and KCL (between 25,000 and 30,000 students). It should also be noted that all dietary studies suffer from selection bias, in which more health- or diet-aware individuals choose to participate. Consequently, the prominence of the vegetarian and health-conscious dietary patterns may have been over-estimated in this study. Indeed, the BMI distributions were also biased towards healthy, in keeping with other student surveys [4, 26].

There was lack of fit in statistical models for 'convenience, red meat and alcohol', and 'vegetarian' dietary patterns. It should be noted that these models are developmental and clearly only cover some of the potential antecedents of following such patterns. Convenience, red meat, alcohol and vegetarian dietary choices are likely to be influenced by a raft of social, cultural and political factors, which have not been included in the model. For example, it is recognised that adoption of a vegetarian diet is related to concern about the environment and animal welfare, as well as for health reasons and weight management [35, 36]. Similarly, there is enormous heterogeneity in motives for drinking alcohol including coping, enhancement of social status, religious practice, personality type and alcohol availability [37, 38].

Implications for policy and future research directions

Importantly, policy makers must recognise not all students consume poor diets at university: a large group of students consumed nutritionally favourable and health-promoting diets and do not appear in need of dietary intervention. However, students who consumed poor diets and practised unfavourable lifestyle behaviours were also identified, which may have long-term health effects. Targeted interventions towards these students are necessary. Furthermore, contemporary policy to limit red meat and alcohol consumption has greatest relevance to male

students. University policy to improve students' diets should also incorporate efforts to promote student engagement in cooking and food preparation, and increased availability of low cost healthier food items.

This study also highlights a number of future research needs. Replication of this research among a large representative sample of UK university students would be pertinent. Secondly, in light of the association between cooking ability and dietary consumption patterns, investigation of the potential for a cooking skills intervention to improve dietary intake is warranted. Finally, the public health impact of dietary patterns and other lifestyle risk factors established during university become most important if these behaviours track forward into working adult life and represent a blueprint for long-term dietary preferences. Longitudinal research is now needed to investigate this possibility.

Conclusion

This study provides a unique insight into the dietary patterns of UK university students along with associated nutritional content. It has identified a number of antecedents of both healthful and unhealthful dietary practices. Four patterns emerged, with evidence of more healthful dietary practices amongst female and older students, and those with greater self-reported cooking ability. Students in Northern Ireland appeared to favour less healthful dietary patterns than those in Great Britain. Male students tended towards a diet founded on convenience food, red meat and alcohol; this pattern was germane to all participating universities. These findings are relevant to future health promotion interventions and behaviour change in this important population.

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Authors' contributions

This manuscript represents original work, which has not been published previously and is not being considered by another Journal. The authors' responsibilities were as follows: EFS, JMR & MEB conceived and designed the study. EFS was primarily responsible for data collection and analysis, with advice from JMR. EFS wrote the first draft of the manuscript, with help from MEB. JC & LKP facilitated recruitment of students from the University of St Andrews and Ulster University, respectively. All authors contributed to revisions and approval of the final manuscript.

Competing interests

The authors declare that they have no competing interest.


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Pessimism, diet, and the ability to improve dietary habits: a three-year follow-up study among middle-aged and older Finnish men and women

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Abstract

Background: Dietary habits have a great influence on physiological health. Even though this fact is generally recognized, people do not eat as healthily as they know they should. The factors that support a healthy diet, on the other hand, are not well known. It is supposed that there is a link between personal traits and dietary habits. Personal traits may also partially explain why some people manage to make healthy dietary changes while some fail to do so or are not able to try to make changes even when they desire to do so. There is some information suggesting that dispositional optimism plays a role in succeeding in improving dietary habits. The aim of this study was to determine the role of optimism and pessimism in the process of dietary changes.

Methods: Dispositional optimism and pessimism were determined using the revised Life Orientation Test in 2815 individuals (aged 52–76 years) participating in the GOAL study in the region of Lahti, Finland. The dietary habits of the study subjects were analysed. After 3 years, the subjects' dietary habits and their possible improvements were registered. The associations between dispositional optimism and pessimism, dietary habits at baseline, and possible changes in dietary habits during the follow-up were studied with logistic regression. We also studied if the dietary habits or certain lifestyle factors (e.g. physical exercising and smoking) at baseline predicted success in improving the diet.

Results: Pessimism seemed to correlate clearly negatively with the healthiness of the dietary habits at baseline – i.e. the higher the level of pessimism, the unhealthier the diet. Optimism also showed a correlation with dietary habits at baseline, although to a lesser extent. Those who managed to improve their dietary habits during follow-up or regarded their dietary habits as healthy enough even without a change were less pessimistic at baseline than those who failed in their attempts to improve their diet or did not even try, even when they recognized the need for a change.

Conclusions: Pessimistic people are more likely to eat an unhealthy diet than others. Pessimism reduces independently the possibilities to improve dietary patterns.

Keywords: Pessimism, Optimism, Life orientation test – revised, Dietary habits

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Background

Despite the well-known connection between dietary habits and health, many people do not eat what is recommended as a healthy diet [1]. Dietary habits are related e.g. to the risk of coronary heart disease (CHD) [2] and improving dietary habits has showed significant cardioprotective effects in a secondary prevention program among women with CHD [3].

While the intention to prevent diseases is usually thought to be an important reason for a healthier diet, psychosocial and lifestyle related factors seem to be one of the major causes for not eating healthily. The most common factors mentioned in preventing a healthy diet are a lack of time, a reluctance to give up favourite foods, and a lack of motivation and willpower [4–6]. A healthy diet is also thought to be more expensive than unhealthy one, even if this belief seems to be false [7, 8].

The terms optimism and its antonym pessimism derive from Latin words ‘optimus’ and ‘pessimus,’ respectively (the first meaning ‘the best’ and the latter meaning ‘the worst’ [9]) and they are used in describing people’s outlook and expectations concerning their future. Persons who have a feeling or belief that good things will happen in the future are called optimists and they are said to see “the glass as half-full rather than half-empty”. Pessimists in turn generally feel that bad things are more likely to happen than good things [10]. Optimism is regarded in psychology as a cognitive, affective and motivational construct [11]. On other words, optimists not only think, but also feel positively about the future. Like other personal trait, also optimism and pessimism develop during the childhood and early adulthood influenced by both heritage and environment [12, 13], and unlike e.g. mood, the construct of optimism (including both optimistic and pessimistic properties) is thought to be quite stable after it has evolved, regardless of negative or positive incidents [14, 15].

People are often categorized as optimists or pessimists. This can lead to the conclusion that optimism and pessimism are the two extremities of the same unidimensional continuum (dispositional optimism). Nevertheless, the concept of optimism itself has long been controversial: there is debate over whether the optimism construct should be seen as one bipolar dimension or if optimism and pessimism should be seen as two separate dimensions that exist simultaneously and may be unattached to each other.

Optimism is sometimes confused with other concepts, e.g. features like the sense of control [16], self-efficacy [17] and hope [18]. There are still differences with these terms. Unlike the concept of optimism, these properties include also how the desired outcomes are expected to happen. For example, a person with high self-efficacy believes that his/her personal efforts or skills are what will

determine the positive outcome while an optimist does not rely on his/her own abilities.

Numerous psychosocial factors have been noted to influence dietary behaviour. A connection seems to exist between psychosocial features and current diet, and also between psychosocial features and the ability to improve the diet. Psychosocial features of interest include e.g. socio-economic status, willpower, self-efficacy, and satisfaction with life. There are many studies on the associations between these psychosocial factors and healthy eating [4, 19–24], but the number of studies concerning the optimism construct and dietary habits is quite small. The findings of these few studies suggest that there might be a positive connection between optimism and the willingness and capability to eat in a healthier way [25–29]. In all of these studies on the connection between the optimism construct and dietary habits, optimism has been associated with healthier diet and/or pessimism vice versa. In a study on young Finnish adults, unipolarly measured optimism had an influence on dietary habits, and pessimism was linked to an unhealthy diet [25]. In a study on elderly men, a low level of optimism was associated with an unhealthy lifestyle, including unhealthy dietary habits [29]. In the large Women’s Health Initiative study, high optimism was strongly related to healthier eating habits and greater levels of success in improving dietary habits [26, 27]. In a study on Polish menopausal women, optimism was positively correlated with a healthier diet [28]. However, we did not find any previous studies with general population samples focusing on the dietary habits and the optimism construct that would handle optimism and pessimism as independent factors. We conducted this 3-year follow-up study on middle-aged and older Finnish men and women to determine whether optimism and pessimism are factors that associate with dietary habits and predict success in improving those habits.

Methods

The GOAL study (Good Ageing in Lahti Region) started in 2002. Its aim was to determine and improve the health and well-being of the ageing population of the region of Lahti, a city in southern Finland. The entire project consisted of a cohort study and several community-based interventions and it lasted for 10 years. In the present study, data from baseline (year 2002) and 3-year follow-up (year 2005) of the cohort study were used.

The cohort study group consisted of a stratified (age, sex, municipality) random sample of men and women born in 1926–30, 1936–40, and 1946–50. The study participants were drawn from the population registry of all 14 municipalities in the Lahti region. A total of 4272 subjects were invited, and 2815 (66%) participated.

At the beginning of the GOAL study, cross-sectional data on the dietary habits, current health, and lifestyles of the study subjects were gathered by using questionnaires. The study subjects were asked about their recent dietary habits with a food frequency questionnaire (FFQ) where different foods were divided into 24 categories. The respondents were asked how often they had consumed the foods in each category during the last 7 days. The answers were scaled from 1 (not at all) to 4 (on 6 or 7 days). Study subjects were measured for height and weight and their body mass indexes (BMI) were calculated. According to their smoking habits, the study subjects were divided into two groups, 'daily smokers' (i.e. those who smoked every day, regardless of the amount) and 'non-daily smokers'. Study subjects who used five or more units of alcohol (one unit = 12 g EtOH) in one sitting formed the 'heavy drinkers' group, while the rest were 'non-heavy drinkers'. The study subjects were asked if they had been diagnosed with CHD by a doctor. Finally, the subgroup 'regular physical exercise' was formed to include those who exercised for 30 min at least twice a week. In addition to the questionnaires, several blood tests were taken. The samples were measured for the levels of blood glucose and cholesterol, among other things.

Levels of dispositional optimism and pessimism were measured by using the revised version of the Life Orientation Test (LOT-R). The test was initially developed in the mid-1980s to assess the beneficial effects of optimism on psychological and physiological health (Life Orientation Test (LOT)) [30]. The scale was re-evaluated and revised (LOT-R) later to focus its item content more closely on the subject's expectations of the future [31].

LOT-R includes six statements, three worded positively for optimism (e.g. 'In uncertain times, I usually expect the best') and three worded negatively to indicate pessimism (e.g. 'If something can go wrong for me, it will'). The respondents are asked to indicate how much they agree with the statements in general, as expressed on a scale from 0 ('I disagree a lot') to 4 ('I agree a lot'). A higher score refers to greater optimism or greater pessimism depending on the statement. Originally, both LOT and LOT-R were thought to be unidimensional scales, but later studies have suggested that they may have two separate independent dimensions, namely optimism and pessimism [32–36]. In the one-dimensional bipolar model with optimism and pessimism as opposites, the optimism scores and pessimism scores are calculated together and they might cancel out and hide each other's results. Our previous study showed clearly that in this study sample, LOT-R has two separate subscales: optimism and pessimism [37]. Thus, in the final analyses, we used the independent scores separately for optimism and pessimism.

After 3 years, in 2005, the study subjects were examined again. A total of 2625 subjects (93% of the original sample) had adequate responses in both 2002 and 2005, and could therefore be included in the final analyses. In 2005, the study subjects were asked if they had tried to improve or were about to improve their dietary habits, and if they had tried to improve their diet, how had they managed to achieve their goals. The possible improving styles in the diet were divided into five subgroups: reducing the consumption of fat, changing to low-fat products, reducing the consumption of sugar, increasing the consumption of vegetables, and increasing the consumption of berries and fruits.

We divided the study subjects in these five subgroups of different improving styles into four categories according to the possible changes in their diets: 1) those who had not tried to change their eating habits to a healthier diet, even when they thought it would have been beneficial, 2) those who thought their dietary habits were healthy enough even without an improvement, 3) those who had succeeded in improving of their diet, and 4) those who had tried to improve their diet but had failed to do so.

In the statistical analyses, we created dietary pattern models for grouping of the sample by using principal component analysis (PCA) with Varimax rotation and Kaiser normalization. Factor loadings with >0.35 were considered as significant. Student's t-test was used to study the associations between optimism, pessimism, and the different dietary patterns. When studying the differences in levels of optimism and pessimism, according to the success in the improvement of dietary habits in four categories, we used the Kruskal–Wallis test due to skewed distributions.

Finally, we calculated logistic regression models to discover the fully adjusted odds ratios for different variables for the risk of not succeeding in improving dietary habits.

Results

Using the data from the food frequency questionnaire in 2002, we divided the study subjects into different dietary pattern groups by using principal component analysis. The analysis resulted in four nearly independent dietary patterns, which we named as 'healthy', 'sweet unhealthy', 'fatty unhealthy' and 'traditional' diets (Table 1). In further analyses, principal component analysis scores were used as independent variables to describe the amount of each different dietary pattern in the study subjects. We used the medians of the LOT-R optimism and pessimism subscale scores to classify the study subjects into low and high optimism and pessimism groups. Principal component analysis scores were compared between these groups (Table 2).

At baseline, higher optimism and lower pessimism were associated with a 'healthy' dietary pattern. Optimism and pessimism did not seem to play any role in

Table 1 Rotated factor matrix for dietary patterns created by using principal component analysis. Factor loadings with absolute values of > 0.35 have been presented in bold. Negative loadings indicate the lack of foodstuff in question belonging to certain dietary patterns

Foodstuff	Dietary pattern			
	Healthy	Sweet unhealthy	Fatty unhealthy	Traditional
Porridge, cereals	0.382	-0.001	-0.152	0.249
Fish	0.397	-0.109	0.060	-0.097
Lunch meats, cold cuts	0.359	0.214	0.055	0.142
Fresh vegetables/root vegetables	0.664	-0.018	-0.131	0.005
Cooked vegetables	0.646	-0.049	-0.032	-0.098
Berries and fruits	0.589	0.076	-0.171	0.181
Fruit or berry juice	0.378	0.081	0.189	0.037
Sweet pastries	0.109	0.597	-0.031	0.256
Ice cream	0.088	0.495	0.085	-0.131
Candies	-0.043	0.701	0.033	0.078
Chocolate	0.035	0.677	0.098	-0.032
Salty snacks	-0.024	0.352	0.195	-0.221
Fried potatoes, French fries	-0.005	0.026	0.489	-0.059
Low-fat cheese	0.411	0.142	-0.368	-0.066
Other cheese	-0.004	0.025	0.609	0.108
Sausages	-0.147	0.240	0.493	0.065
Sliced sausages	-0.111	0.139	0.558	0.053
Eggs	0.151	0.013	0.475	-0.057
Soft drinks	-0.103	0.305	0.352	-0.125
Meat dishes	0.028	0.132	0.366	0.552
Chicken, turkey	0.443	0.003	-0.048	-0.415
Boiled or mashed potatoes	0.230	0.002	0.101	0.658
Rice, pasta	0.294	0.088	0.115	-0.409
Pizza, hamburgers	-0.021	0.263	0.169	-0.302

the 'sweet unhealthy' and 'traditional' dietary patterns, but high pessimism and the 'fatty unhealthy' dietary pattern associated significantly (Table 2).

The association between changes in dietary habits during the 3-year follow-up and pessimism was quite clear (Table 3). There was a strong trend that those who managed to change to a healthier diet were less pessimistic compared to others. The differences were statistically significant in four dietary

categories: reducing fat, changing to low-fat products, increasing vegetables, and increasing berries and fruits. The higher the level of pessimism, the less likely was the improvement of diet. Nevertheless, those who had tried but failed reducing sugar were not more pessimistic than others. Optimism was associated with only one dietary change; those who had tried but failed to increase consumption of berries and fruits were less optimistic than others.

Table 2 Comparisons of principal component analysis scores of dietary patterns between groups with low or high pessimism, and low or high optimism

	Principal component analysis scores (mean)							
	Healthy dietary pattern	p ¹	Sweet unhealthy dietary pattern	p ¹	Fatty unhealthy dietary pattern	p ¹	Traditional dietary pattern	p ¹
Low pessimism (N = 1274) ²	0.071		0.029		-0.048		-0.006	
High pessimism (N = 1351) ³	-0.066	< 0.001	-0.027	0.153	0.046	0.016	0.006	0.762
Low optimism (N = 1210) ²	-0.085		0.000		-0.019		0.026	
High optimism (N = 1415) ³	0.073	< 0.001	-0.000	0.995	0.016	0.365	-0.022	0.213

¹ Student's t-test; ² Below the median; ³ Median or higher
p¹-scores indicating statistical significance are bolded

Table 3 The association between optimism and pessimism, and the change in dietary habits

	Has not changed	No need to change	Has changed	Tried to change, but failed	p ¹
Reducing fat	N = 82	N = 1059	N = 1280	N = 204	
Optimism (Mean (SD))	8.60 (2.02)	8.26 (2.24)	8.39 (2.08)	8.18 (2.14)	0.385
Pessimism (SD)	4.59 (2.60)	4.19 (2.79)	3.62 (2.58)	4.44 (2.81)	< 0.001
Changing to low-fat products	N = 155	N = 1098	N = 1266	N = 106	
Optimism (Mean (SD))	8.37 (2.20)	8.28 (2.21)	8.39 (2.09)	8.18 (2.15)	0.674
Pessimism (Mean (SD))	4.46 (2.74)	4.15 (2.77)	3.65 (2.60)	4.47 (2.76)	< 0.001
Increasing vegetables	N = 198	N = 1141	N = 1090	N = 196	
Optimism (Mean (SD))	8.46 (2.16)	8.25 (2.28)	8.43 (2.01)	8.10 (2.06)	0.058
Pessimism (Mean (SD))	4.10 (2.69)	4.09 (2.77)	3.69 (2.59)	4.43 (2.79)	< 0.001
Reducing sugar	N = 110	N = 1287	N = 986	N = 242	
Optimism (Mean (SD))	8.23 (2.13)	8.29 (2.23)	8.42 (2.04)	8.17 (2.18)	0.520
Pessimism (Mean (SD))	4.16 (2.54)	4.04 (2.75)	3.78 (2.69)	3.95 (2.54)	0.145
Increasing berries and fruits	N = 128	N = 1520	N = 859	N = 118	
Optimism (Mean (SD))	8.38 (2.05)	8.39 (2.20)	8.32 (2.05)	7.81 (2.22)	0.041
Pessimism (Mean (SD))	4.43 (2.77)	4.02 (2.78)	3.68 (2.51)	4.35 (2.72)	0.002

¹ Kruskal–Wallis test

p¹-scores indicating statistical significance are bolded

Finally, we calculated multivariate logistic regression models including several predicting variables for the risk of failure in improving dietary habits (Table 4). Because of the relatively small subgroups, we combined those who had failed in their dietary changes with those who had not even tried to improve their diet even when they recognized the need to do so into one group. We also combined those who saw no need to improve their diets with those who had managed to make healthy changes into another group.

The models included different dietary patterns, age, sex smoking and alcohol consumption habits, physical exercise, the levels of blood glucose and cholesterol, body mass index, the possible existence of CHD, and

pessimism as explaining variables. A fatty unhealthy dietary pattern associated with the risk of failure in changing to low-fat products and in increasing vegetables. Sweet unhealthy dietary pattern associated with the risk of failure in increasing vegetables, in reducing sugar and in increasing berries and fruits. Finally, the effect of pessimism seemed clear in three out of five subgroups. Pessimism increased the probability of failure in reducing fat, changing to low-fat products, and increasing the consumption of berries and fruits.

To emphasize the association between pessimism and failures in changing dietary habits, we compared the highest and the lowest quarters of pessimism in logistic regression models which were fully adjusted for age, sex,

Table 4 Odds ratios of different dietary pattern groups, coronary heart disease and pessimism (rows) on the risk of failure in change to more healthy dietary habits (columns) analysed by logistic regression models^a

	Dietary change									
	No change and fail in reducing fat		No change and fail in changing to low-fat products		No change and fail in increasing vegetables		No change and fail in reducing sugar		No change and fail in increasing berries and fruits	
	OR	95% CI	OR	95% CI	OR	95% CI	OR	95% CI	OR	95% CI
Healthy dietary pattern	0.87	0.76–1.00	0.88	0.76–1.01	0.79	0.70–0.89	0.92	0.82–1.04	0.75	0.65–0.86
Sweet unhealthy dietary pattern	1.13	0.99–1.29	1.07	0.94–1.23	1.26	1.13–1.40	1.30	1.16–1.45	1.23	1.08–1.40
Fatty unhealthy dietary pattern	1.10	0.96–1.26	1.14	1.00–1.31	1.17	1.05–1.32	1.03	0.92–1.16	1.13	0.98–1.30
Traditional dietary pattern	1.12	0.98–1.27	1.02	0.90–1.17	0.97	0.87–1.08	1.01	0.90–1.14	0.89	0.78–1.02
Coronary heart disease	1.07	0.66–1.73	0.91	0.54–1.54	1.20	0.81–1.80	1.52	1.00–2.31	1.41	0.87–2.28
Pessimism	1.07	1.02–1.12	1.07	1.02–1.13	1.03	0.99–1.07	1.02	0.98–1.07	1.05	1.00–1.11

OR Odds ratio, CI Confidence interval

^aModels are fully adjusted for age, sex, smoking and alcohol consumption habits, physical exercise, the levels of glucose, cholesterol and body mass index p¹-scores indicating statistical significance are bolded

smoking and alcohol consumption habits, physical exercise, the levels of glucose, cholesterol, body mass index and the possible existence of CHD. Those who belonged to the highest quarter of pessimism had a 1.4-fold risk of not succeeding in reducing their consumption of fat (adjusted OR 1.44, 95% CI 1.00–2.08, $p = 0.05$), a 1.5-fold risk of not succeeding in changing to low-fat products (adjusted OR 1.51, 95% CI 1.03–2.21, $p = 0.03$), and a 1.5-fold risk of failing to increase the consumption of berries and fruits in their diet (adjusted OR 1.46, 95% CI 1.01–2.12, $p = 0.02$) compared to the study subjects in the lowest quarter of pessimism.

Discussion

Our main findings were that the dietary habits of study subjects with a higher level of pessimism were unhealthier compared to the dietary habits of others, and that the high level of pessimism was associated with greater difficulties in improving dietary habits. High levels of pessimism have been linked independently with an elevated risk of CHD [37–39]. While pessimism seems to be an independent risk factor for CHD, our results suggest that it may also be related to increased risk of CHD via an unhealthier diet.

There seemed to be no association between sweet unhealthy dietary pattern as well as fail in reducing sugar and optimism/pessimism. It has been speculated that the physiological and psychological mechanisms concerning sugar consumption might be different compared to the mechanism of other dietary habits. For example, when trying to eat healthily, the lack of sweet foods is often seen as the most difficult task [40] and when treating binge eating with baclofen, the medication seems to suppress binge eating of pure fat but not a sugar-rich diet [41].

It can be discussed whether the test subjects had proper information about good dietary habits, but it has been stated that the factor preventing people from eating healthy is not a lack of knowledge but rather the fact that people do not eat as healthily they know they should [1, 42, 43]. While there are many different recommendations about healthy diets which can make it challenging to know how to eat healthily it also seems that the correlation between nutrition knowledge and healthy dietary intake is quite weak [44].

Our study also strengthens the idea of optimism and pessimism as two different and independent variables. The statistical power of the optimism subscale was very small, while pessimism had stronger associations with several outcomes.

Improving the diet has a role in both prevention and treatment of several chronic diseases. The result of our study – pessimism being associated with difficulties in improving one's diet – is parallel with earlier studies on psychosocial factors and adherence to various treatments. For example, adherence to treatment of asthma patients, hypertensive patients, cardiac patients, and

rehabilitation patients after surgery seemed to relate to psychosocial factors, including dispositional optimism [45–48]. A higher level of optimism has also been associated, for example, with greater success in achieving good results in health changes among cardiac patients [49, 50] and in dental health [51]. Optimism and good compliance to treatment might also be connected in HIV patients [52].

An earlier study suggested that optimistic people exert greater efforts at goal attainment than pessimists do, for example, in alcoholism treatment [53]. In cross-sectional analyses, optimists have been shown to choose healthier foods when no preceding instructions are given [54, 55]. According to these studies, it seems that dispositional optimism and pessimism relate to the motivation in the treatment compliance, overall health behaviour, and the ability to make changes in lifestyle in order to improve physical well-being. The results of our study strengthen this claim.

As mentioned, there are some previous studies on associations between optimism/pessimism and dietary patterns [25–29]. However, there are some shortcomings in these studies. In these studies optimism and pessimism were dealt as a bipolar, single variable, and except for one study, the study participants were all of the same gender. It has been recognized in many other studies that optimism and pessimism are probably two independent variables that are present at same the time – i.e. one has both pessimistic and optimistic traits simultaneously [35]. The method of using optimism and pessimism as two different dimensions rather than one bipolar single variable may reveal much more information when the opposite ends of the bipolar variable do not cancel each other [32–36]. Separating optimism from pessimism turned out to be beneficial also in our study; optimism and pessimism seemed to be two different and independent factors as optimism seemed to have a connection with only one type of change in diet, while pessimism was associated much more strongly with many dietary behaviour changes. This endorses the need to separate optimism and pessimism to achieve more accurate results. Analysing optimism and pessimism as a unidimensional variable in this study would probably have covered some of the current results.

It has also been suggested that dispositional optimism might be a unidimensional continuum, but questions oriented pessimistically are better in determining this variable [54], thus diminishing the statistical power of optimistically oriented questions.

Even if it seems that people with high levels of pessimism have an unhealthier diet than others do and they are less likely to be able to change their dietary habits, it has been found that after proper education and monitoring, the association between pessimism and the ability to improve diet disappears. This conclusion was drawn

following a trial derived from the GOAL study [56]. In the study, the subjects with higher pessimism levels had unhealthier lifestyles, including unhealthier dietary habits. However, after the pessimists had received education concerning healthier lifestyles and were subjected to close monitoring, they managed to improve their lifestyles equally to other subjects. Keeping this in mind, it would seem only natural that determining pessimism could help in finding those who probably have unhealthier diets and are in greater risk in failing to improve them. Those subjects could then be targeted with proper education about healthy diets, and the monitoring of dietary changes could lower the risk of various diseases. Naturally, the independent risk of pessimism in developing those illnesses – for example, CHD – is still unlikely to diminish. Determining the level of dispositional pessimism is quick to assess and practically cost free, so it can be expected to be very cost-effective.

There are some strengths and weaknesses in our study and methods. The population was drawn as a random sample and it is representative of Lahti Region with 200,000 inhabitants. However, it seems that poorly functioning and institutionalized persons had a lower participation rate than community-dwelling subjects [57]. The design is longitudinal and observational, but it can obviously not detect any causality between the assessed variables. We have measured a great number of variables, hence the possibility to adjust for a number of confounders was good. However, and typical of cohort studies, the methods were mostly simple and we were unable to describe the diet by, e.g., an extensive food-frequency questionnaire. In the analyses, we classified reduction of fat as an indication of a healthy change. This may of course be debated, since more recent studies indicate that fat quality (shift from saturated towards unsaturated fats) is more important than the intake of total fat per se [58]. In early 2000's, reductions in dietary fat and in fatty foods were generally – at least among many lay individuals – regarded as healthy. Hence, we chose to use fat reduction as an indication of a choice to improve dietary quality.

Much of the data used in this study is based on self-rated questionnaires, so there might be some inconsistency between the answers and the reality in the questions concerning, for example, smoking habits and use of alcohol.

Conclusions

Dietary habits play an important role in the development of many diseases, and improving the diet reduces the risk for developing many severe illnesses. Pessimism and to some extent optimism seem to play a role in current dietary habits and in the ability to change these habits. By determining optimism and particularly pessimism, it is possible to detect individuals in greater need of guidance and support in ameliorating their dietary habits.

Separating optimism and pessimism seems to make a clearer connection between the optimism construct and dietary habits as well as between the optimism construct and the ability to make healthy dietary changes.

Abbreviations

BMI: Body mass index; CHD: Coronary heart disease; FFQ: Food frequency questionnaire; GOAL: Good Ageing in Lahti Region study; LOT: Life orientation test; LOT-R: Revised version of the life orientation test; PCA: Principal component analysis

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Authors' contributions

Authors MP and JH designed the study. RV, MF, OK, MK and EL participated in the conception of the study. JH managed and conducted the statistical analyses and interpreted the data. MP wrote the first draft and MP, JH, RV, MF, OK, MK and EL revised it to make the final manuscript. All authors have approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Association of dietary patterns, anthropometric measurements, and metabolic parameters with C-reactive protein and neutrophil-to-lymphocyte ratio in middle-aged and older adults with metabolic syndrome in Taiwan

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Abstract

Background: Metabolic syndrome is commonly associated with inflammation. The underlying factors of inflammation in metabolic syndrome are not fully understood. The objective of the study was to determine the association of dietary patterns, anthropometric measurements, and metabolic parameters with inflammatory markers in middle-aged and older adults with metabolic syndrome in Taiwan.

Methods: A total of 26,016 subjects aged ≥ 35 y with metabolic syndrome were recruited from Mei Jau institution between 2004 and 2013 for a cross sectional study. Metabolic syndrome was defined by the International Diabetes Federation. Multivariate logistic regression was performed to evaluate the association of dietary patterns, anthropometric measurements, and metabolic parameters with C-reactive protein (CRP) and neutrophil-to-lymphocyte ratio (NLR) in men and women with metabolic syndrome. Crude and adjusted models were analyzed by gender.

Results: The western dietary pattern, obesity, high body fat, high waist or hip circumference, and high waist-to-hip ratio were significantly associated with increased odds ratios of high CRP and NLR in both genders. High systolic or diastolic blood pressure (BP), low high-density lipoprotein-cholesterol (HDL-C), high low-density lipoprotein-cholesterol (LDL-C), high total cholesterol (TC), high serum triglycerides (TG), and high fasting blood glucose (FBG) were significantly correlated with increased odds ratios of high CRP in both genders. Low HDL-C, high LDL-C, high serum TG, and high FBG were significantly associated with increased odds ratios of high NLR in both genders. However, high systolic (OR = 1.124, 95% CI 1.047–1.206, $P < 0.01$) or diastolic BP (OR = 1.176, 95% CI 1.087–1.273, $P < 0.001$) and high TC (OR = 1.138, 95% CI 1.062–1.220, $P < 0.001$) were significantly correlated with increased odds ratios of high NLR only in men.

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Conclusions: The western dietary pattern, obese-related anthropometric parameters, and most components of metabolic syndrome are positively associated with CRP levels and NLR in men and women with metabolic syndrome.

Keywords: Dietary patterns, Anthropometric measurements, Metabolic parameters, C-reactive protein, Neutrophil-to-lymphocyte ratio, Inflammation, Metabolic syndrome

Background

Metabolic syndrome is defined by central obesity, increased systolic and diastolic blood pressure (BP), decreased high-density lipoprotein cholesterol (HDL-C), increased serum triglycerides (TG), and elevated fasting blood glucose (FBG). The International Diabetes Federation (IDF) declared that central obesity was strongly associated with metabolic syndrome and its components [1]. The prevalence of metabolic syndrome has been increased obviously throughout the world [2–4], and it has become a main public health issue in recent years. Moreover, metabolic syndrome is one of the risk factors of cardiovascular disease (CVD). The prevalence of metabolic syndrome and central obesity increased with age, with the highest rates seen among middle-aged and older adults [5]. Additionally, metabolic syndrome was associated with inflammation which may exacerbate the development of CVD [6]. The increased levels of inflammatory markers have also been strongly correlated with both central obesity and metabolic syndrome. However, the underlying factors of inflammation in metabolic syndrome are not fully understood.

Dietary patterns are associated with inflammation. The previous study revealed that high intake of trans fatty acids had a positive correlation with inflammation [7]. Additionally, a diet high in meat and processed food was positively correlated with inflammation [8, 9]. In contrast, higher intake of vegetables was inversely associated with C-reactive protein (CRP) concentrations [10, 11]. Anthropometric parameters were also correlated with inflammation. Obesity defined by body mass index (BMI) and waist circumference was associated with inflammation [12]. Body fat, skinfold thickness, and other measures of abdominal adiposity were also positively correlated with inflammation [13, 14]. Furthermore, metabolic disorders might interfere with inflammatory status. Components of metabolic syndrome were significantly increased with both CRP and neutrophil-to-lymphocyte ratio (NLR) levels [15, 16]. Dyslipidemia, which is characterized by high levels of total cholesterol (TC), serum TG, low-density lipoprotein-cholesterol (LDL-C) levels, or low HDL-C levels, has also been positively correlated with elevated plasma CRP levels, soluble intracellular adhesion molecule (sICAM)-1, and soluble endothelial selectin [17].

Several studies have investigated the effect of dietary patterns, anthropometric measurements, or metabolic parameters on inflammatory markers [18, 19]. However, the study investigated all these factors in metabolic syndrome population using CRP and NLR as the indicators of inflammation was still rare. Both CRP and NLR serve as inflammatory indicators that can be easily measured and serve as independent predictors for both the development of metabolic syndrome and CVD [20]. Thus, the objective of the study was to determine the association of dietary patterns, anthropometric measurements, and metabolic parameters with inflammatory markers using CRP and NLR among middle-aged and older adults with metabolic syndrome in Taiwan.

Methods

Subjects and study design

The cross-sectional study was performed to examine data collected from a Mei Jau (MJ) Group, a private health management screening institution in Taiwan, from 2004 to 2013. The MJ Group's four health screening centers in Taiwan in Taipei, Taoyuan, Taichung, and Kaohsiung (listed from north to south) were used to collect pertinent information for the study. Data collected included demographic data, lifestyle, diet, anthropometric data, biochemical parameters, and other health related data from the individuals who came to their health screening centers for a regular health check-up [9]. A total of 60,769 individuals with age ≥ 35 y met criteria of metabolic syndrome from the MJ database between 2004 and 2013. After excluding the individuals ($n = 23,377$) who had renal dysfunction, liver problems, or all types of cancer, and those who ($n = 11,376$) with missing data on food frequency questionnaire (FFQ), anthropometric measurements, or biochemical parameters, a total of 26,016 subjects were finally recruited for analysis in this study.

Definition of metabolic syndrome and inflammation

The components of metabolic syndrome were proposed by the IDF in 2005 and defined as individuals who had central obesity (waist circumference ≥ 90 cm for men or ≥ 80 cm for women in Taiwan) with two of four factors: (1) systolic BP ≥ 130 mmHg, diastolic BP ≥ 85 mmHg or history of the therapy of hypertension, (2) HDL-C < 1.03 mmol/L for men, < 1.29 mmol/L for

women or specific therapy for lipid abnormality, (3) serum TG ≥ 1.70 mmol/L or specific therapy for lipid abnormality, and (4) FBG ≥ 5.60 mmol/L or previously diagnosed type 2 diabetes mellitus [1]. The definition of metabolic syndrome with central obesity was selected due to the growing prevalence of central obesity in Taiwan in recent years [9]. The definition of inflammation in this study was CRP ≥ 28.6 nmol/L [21] or NLR ≥ 3.0 [22]. Ethical approval for this study was granted by Taipei Medical University-Joint Institutional Review Board (N201706051). Written informed consents were obtained from all subjects prior to the health check-up when they visited each MJ Group health screening center. The data were de-identified and used for academic study only.

Assessment of dietary patterns

Dietary intake was analyzed using a validated FFQ collected by the MJ Group. All subjects were requested to complete the FFQ before they had health check-up. The FFQ included 22 food groups or food items referred to the characteristics of Taiwanese dietary patterns. The FFQ collected intake frequency data with the information of portion size and the corresponding pictures of measuring tools in each question. For instance, the description for milk consumption was “How much milk do you drink? (1 cup is equivalent to 240 mL of fresh milk, 240 mL of yogurt, or 4 tablespoons of powdered milk)”, and the options for intake frequency were “none or < 1 cup a week, 1-3 cups a week, 4-6 cups a week, 1 cup a day, or ≥ 2 cups a day”. Each question had 5 options for intake frequency from the lowest to the highest. Food intake scores of 1 to 5 were assigned from the lowest to the highest frequency. Principal component analysis was performed to derive dietary patterns. We used the eigenvalues ≥ 2 in the orthogonal rotation to derive dietary patterns, and factor loading ≥ 0.30 to classify dietary patterns. The eigenvalues ≥ 2 have been used in the previous studies, and represented a strong correlation between each component and smaller variance compared with the eigenvalue < 2 [23, 24]. The factor scores of dietary patterns were calculated using the sum of food intake scores divided by factor loadings [9], and were classified into tertiles for each dietary pattern.

Anthropometric measurements

The assessments of anthropometric data were carried out by the medical staff in the MJ health screening centers. Weight (kg), height (cm), and body fat (%) were measured by using a bioelectrical impedance analysis instrument (InBody Co., Ltd., Seoul, South Korea). BMI was computed as weight (kg) divided by the square of height (m^2), and classified as underweight, normal weight, overweight, or obesity (BMI < 18.5 kg/ m^2 ,

18.5 kg/ m^2 \leq BMI < 24 kg/ m^2 , 24 kg/ m^2 \leq BMI < 27 kg/ m^2 , and BMI ≥ 27 kg/ m^2 , respectively) [25]. Body fat was defined as low (< 25% for men and < 30% for women) and high ($\geq 25\%$ for men and $\geq 30\%$ for women) [26–28]. Waist circumference was assessed at the mid-point between the lowest rib and the iliac crest on standing position, while hip circumference was assessed at the point generating the maximum circumference in the buttocks using a measuring tape. We used the mean of waist or hip circumference as the cut-off point to dichotomize these two variables into low (waist circumference < 95.8 cm for men and < 85.2 cm for women, hip circumference < 100.5 cm for men and < 101.1 cm for women) and high (waist circumference ≥ 95.8 cm for men and ≥ 85.2 cm for women, hip circumference ≥ 100.5 cm for men and ≥ 101.1 cm for women). Waist-to-hip ratio was calculated and defined as low (< 0.90 for men and < 0.85 for women) and high (≥ 0.90 for men and ≥ 0.85 for women) [29].

Blood pressure and biochemical measurements

Blood samples were collected after overnight fasting for 12–14 h. BP was measured in a sitting position using a sphygmomanometer. Blood HDL-C, TC, TG, FBG, and CRP levels were analyzed using the commercial reagents or kits, and LDL-C levels were calculated using Friedewald's formula: LDL-C (mg/dL) = TC - (HDL-C + TG/5). The number of neutrophils and lymphocytes was determined, and NLR was calculated using the absolute neutrophil count divided by the absolute lymphocyte count. The IDF definition was used to define metabolic syndrome to categorize systolic BP (low < 130 mmHg and high ≥ 130 mmHg), diastolic BP (low < 85 mmHg and high ≥ 85 mmHg), HDL-C (low < 1.03 mmol/L and high ≥ 1.03 mmol/L for men, low < 1.29 mmol/L and high ≥ 1.29 mmol/L for women), TG (low < 1.70 mmol/L and high ≥ 1.70 mmol/L), and FBG (low < 5.60 mmol/L and high ≥ 5.60 mmol/L) [1]. Whereas TC and LDL-C levels were defined as low (< 6.2 mmol/L for TC and < 4.1 mmol/L for LDL-C) and high (≥ 6.2 mmol/L for TC and ≥ 4.1 mmol/L for LDL-C) [30].

Covariates

Demographic and lifestyle characteristics such as sex, age, marital status, education, occupation, current smoking or drinking status, and physical activity were collected using an administered questionnaire from the MJ Group. Education level was dichotomized as low (high school and below) and high (above high school). Physical activity was categorized as low (< 1 h a week), moderate (1–2 h a week), and high (> 2 h a week).

Statistical analysis

Chi-square test and general linear model test were used to determine the differences of categorical and continuous variables, respectively, in the characteristics of the subjects with low or high CRP and NLR levels. Odds ratios (OR) with 95% confidence interval were derived using multivariate logistic regression analysis to compare the association of dietary patterns, anthropometric status, and metabolic parameters with CRP and NLR levels in men and women. Model 1 was unadjusted and model 2 was adjusted for age, marital status, education, occupation, smoking, drinking status, and physical activity. A significance level of $P \leq 0.05$ was used for all analyses, and SPSS 24 (IBM Corp., Armonk, NY, USA) software was used to analyze the data.

Results

Among 26,016 subjects with metabolic syndrome, 4639 (27.5%), 6650 (39.4%), 4089 (24.2%), 5687 (33.7%), 8933 (52.9%), and 11,903 (70.5%) subjects had high waist circumference (≥ 95.8 cm), high systolic BP, high diastolic BP, low HDL-C, high TG, and high FBG levels, respectively, among men, and 5131 (56.2%), 4139 (46.4%), 1658 (18.2%), 2785 (30.5%), 4155 (45%), and 6333 (69.4%) subjects had high waist circumference (≥ 85.2 cm), high systolic BP, high diastolic BP, low HDL-C, high TG, and high FBG levels, respectively, among women (data not shown).

Dietary patterns

Two dietary patterns were derived from principal component analysis (Table 1) and defined as the western and prudent dietary patterns. The western and prudent dietary patterns had 12 and 9 food groups or food items, respectively. Legumes or soy products and seafood had factor loading ≥ 0.30 in both factors, and had higher factor loadings in the prudent dietary pattern. Therefore, we classified both food groups in the prudent dietary pattern. The western dietary pattern was reflected as high intake of deep-fried food, processed food (e.g. sausage, ham, and canned food), sugary drinks, meat (e.g. beef, lamb, pork, veal, chicken and duck), sauce (e.g. pepper salt, ketchup, vinegar, hot sauce and soy sauce), eggs (e.g. chicken, duck and quail eggs), organ meats (e.g. kidneys, intestines, liver and heart), rice or flour cooked in oil (e.g. fried noodle and rice noodle), instant noodle, jam or honey, rice or flour products (e.g. rice, plain bread, noodle and cruller), and refined desert; while the prudent dietary pattern was reflected by high intake of dark-colored vegetables (e.g. spinach, squash, carrot and tomato), light-colored vegetables (e.g. cabbage, pechay, cucumber and radish), vegetables with oil or dressing, fruit, legumes or soy products (e.g. soybean milk, tofu and dried bean curd), milk (e.g. powdered and

Table 1 Factor loadings and dietary patterns derived from principal component analysis of food frequency questionnaire data

Food Groups	Factor I Western dietary pattern	Factor II Prudent dietary pattern
Milk	-0.045	0.380
Dairy products	0.166	0.377
Eggs	0.502	0.112
Meat	0.531	0.131
Organ meats	0.500	0.110
Legumes/soy products	0.306	0.390
Seafood	0.316	0.363
Light-colored vegetables	-0.039	0.799
Dark-colored vegetables	-0.057	0.831
Fruit	-0.014	0.521
Vegetables with oil/dressing	0.261	0.524
Rice/flour products	0.381	0.143
Whole grains	0.071	0.228
Root crops	0.201	0.369
Refined dessert	0.319	0.131
Jam/honey	0.382	0.108
Sugary drinks	0.542	-0.098
Rice/flour cooked in oil	0.436	0.066
Deep-fried food	0.619	0.044
Instant noodle	0.392	-0.083
Processed food	0.586	0.036
Sauce	0.526	0.071

fresh milk), dairy products (e.g. cheese and yoghurt), root crops (e.g. corn, potato and taro), and seafood (e.g. fish, shells, oysters and shrimps). The western and prudent dietary patterns had a total variance of 26.3% (16.9 and 9.4%, respectively) and eigenvalues > 2 . The two dietary patterns were similar to the unhealthy and healthy dietary patterns, respectively.

Characteristics of subjects

The characteristics of subjects with low or high CRP and NLR in both genders are summarized in Table 2. There were 10,096 (38.8%) and 7857 (30.2%) subjects with high CRP and NLR, respectively. Among those who had high CRP or NLR, the proportion of men was 59.6 and 65.6%, respectively. The majority of the subjects with high CRP or NLR were between the ages of 46 and 60 years (55.6 and 55.6%), were married (84.3 and 85.5%), had education level of high school and below (54.1 and 52.9%), had professional jobs (43.6 and 45.2%), did not smoke (95.0 and 95.5%), did not drink alcohol (94.0 and 94.2%), and had low physical activity (51.2 and 49.6%). The results also showed that subjects with high

Table 2 Characteristics of subjects across values of C-reactive protein and neutrophil-to-lymphocyte ratio ($n = 26,016$)^a

Variables	C-reactive protein		p^b	Neutrophil-to-lymphocyte ratio		p^b
	< 28.6 nmol/L	≥ 28.6 nmol/L		< 3.0	≥ 3.0	
Sex, %			0.000			0.049
Men	68.2	59.6		64.6	65.6	
Women	31.8	40.4		35.4	34.4	
Age, %			0.205			0.973
35–45 y	15.8	15.0		15.4	15.5	
46–60 y	55.4	55.6		55.4	55.6	
> 60 y	28.9	29.4		29.2	28.9	
Marital status, %			0.043			0.009
Not married	3.0	3.5		3.2	3.0	
Married	84.6	84.3		84.0	85.5	
Divorced	12.4	12.2		12.7	11.5	
Education, %			0.000			0.247
Low	51.7	54.1		52.5	52.9	
High	48.3	45.9		47.5	47.1	
Occupation, %			0.000			0.004
Professional	48.3	43.6		47.0	45.2	
Not professional	31.2	35.7		32.9	33.0	
Unemployed/retired	20.5	20.7		20.1	21.8	
Current smoking, %			0.000			0.000
No	96.9	95.0		96.4	95.5	
Yes	3.1	5.0		3.6	4.5	
Current drinking status, %			0.000			0.000
No	96.4	94.0		95.9	94.2	
Yes	3.6	6.0		4.1	5.8	
Physical activity, %			0.000			0.000
Low	48.8	51.2		41.3	49.6	
Moderate	45.5	42.3		52.8	43.9	
High	5.8	6.5		5.9	6.4	
Western dietary pattern, %			0.000			0.000
T1, n	34.4	31.7		34.7	30.2	
T2, n	33.5	33.1		32.9	34.2	
T3, n	32.1	35.2		32.4	35.6	
Prudent dietary patterns, %			0.000			0.000
T1, n	31.7	36.0		32.9	34.4	
T2, n	31.4	36.2		32.8	34.5	
T3, n	36.9	27.8		34.3	31.1	
Body mass index, kg/m ²	26.9 ± 2.3	27.6 ± 2.8	0.000	27.0 ± 2.4	27.4 ± 2.6	0.000
Body fat, %	26.7 ± 4.3	28.0 ± 4.7	0.000	27.0 ± 4.4	27.6 ± 4.6	0.000
Waist circumference, cm	92.0 ± 6.5	94.4 ± 8.6	0.000	92.1 ± 6.9	94.6 ± 8.1	0.000
Hip circumference, cm	100.0 ± 5.9	101.5 ± 6.7	0.000	100.2 ± 6.1	101.3 ± 6.3	0.000
Waist-to-hip ratio	0.92 ± 0.07	0.93 ± 0.07	0.000	0.92 ± 0.06	0.94 ± 8.13	0.000
Systolic blood pressure, mmHg	120 ± 30	124 ± 33	0.000	120 ± 31	125 ± 32	0.000

Table 2 Characteristics of subjects across values of C-reactive protein and neutrophil-to-lymphocyte ratio ($n = 26,016$)^a (Continued)

Variables	C-reactive protein		P^b	Neutrophil-to-lymphocyte ratio		P^b
	< 28.6 nmol/L	≥ 28.6 nmol/L		< 3.0	≥ 3.0	
Diastolic blood pressure, mmHg	74 \pm 20	75 \pm 19	0.000	74 \pm 20	75 \pm 19	0.000
High-density lipoprotein-cholesterol, mmol/L	1.19 \pm 0.34	1.12 \pm 0.31	0.000	1.17 \pm 0.34	1.15 \pm 0.07	0.026
Low-density lipoprotein-cholesterol, mmol/L	3.61 \pm 0.83	3.75 \pm 0.87	0.000	3.62 \pm 0.83	3.73 \pm 0.89	0.000
Total cholesterol, mmol/L	5.19 \pm 0.87	5.29 \pm 0.89	0.000	5.20 \pm 0.86	5.30 \pm 0.92	0.000
Triglycerides, mmol/L	1.96 \pm .37	2.17 \pm 1.34	0.000	2.01 \pm 1.39	2.09 \pm 1.30	0.000
Fasting blood glucose, mmol/L	6.11 \pm 1.54	6.76 \pm 2.43	0.000	6.20 \pm 1.73	6.67 \pm 2.29	0.000

^aData are presented as % for categorical variables and mean \pm SD for continuous variables

^b P -values were derived from chi-square test for categorical variables and general linear regression for continuous variables

CRP or NLR were more likely to consume the highest tertile in the western dietary pattern (35.2 and 35.6%) and the lowest tertile in the prudent dietary pattern (36.0 and 34.4%). Subjects with high CRP or NLR had significantly higher BMI (27.6 \pm 2.8 and 27.4 \pm 2.6 kg/m²), body fat (28.0 \pm 4.7% and 27.6 \pm 4.6%), waist circumference (94.4 \pm 8.6 and 94.6 \pm 8.1 cm), hip circumference (101.5 \pm 6.7 and 101.3 \pm 6.3 cm), and waist-to-hip ratio (0.93 \pm 0.07 and 0.94 \pm 8.13) than those who with low CRP or NLR. Additionally, subjects with high CRP or NLR had significantly higher systolic BP (124 \pm 33 and 125 \pm 32 mmHg), diastolic BP (75 \pm 19 and 75 \pm 19 mmHg), LDL-C (3.75 \pm 0.87 and 3.73 \pm 0.89 mmol/L), TC (5.29 \pm 0.89 and 5.30 \pm 0.92 mmol/L), TG (2.17 \pm 1.34 and 2.09 \pm 1.30 mmol/L), and FBG (6.76 \pm 2.43 and 6.67 \pm 2.29 mmol/L) but lower HDL-C (1.12 \pm 0.31 and 1.15 \pm 0.07 mmol/L) than those who with low CRP or NLR.

Dietary patterns, nutritional status and levels of CRP and NLR in men and women

The results showed that regardless of gender, subjects who had higher tertiles (T2 and T3) of the western dietary pattern, overweight or obesity, high body fat, high waist or hip circumference, high waist-to-hip ratio, high systolic or diastolic BP, low HDL-C, high LDL-C, high TC, high TG, or high FBG had significantly increased odds ratios of high CRP (≥ 28.6 nmol/L) in both models (Table 3). However, higher tertiles (T2 and T3) of the prudent dietary pattern were significantly associated with decreased odds ratios of high CRP in men and women in both models.

Furthermore, subjects who had the highest tertile (T3) of the western dietary pattern, obesity, high body fat, high waist or hip circumference, high waist-to-hip ratio, low HDL-C, high LDL-C, high TG, or high FBG had significantly increased odds ratios of high NLR (≥ 3.0) in men and women in both models (Table 4). However, the highest tertile (T3) of the prudent dietary pattern was significantly correlated with reduced odds ratios of high NLR (≥ 3.0) in men and women in both models. Only men with high systolic or diastolic BP or high

TC had increased odds ratios of high NLR (≥ 3.0) in both models.

Discussion

Our main findings are that dietary patterns, anthropometric measurements, and metabolic parameters were directly associated with CRP and NLR among Taiwanese men and women aged 35 and above with metabolic syndrome. Subjects who consumed more western dietary pattern were positively correlated with inflammation, while subjects who consumed more prudent dietary pattern were inversely associated with inflammation in both genders. Moreover, anthropometric measurements and metabolic parameters were strongly associated with CRP and NLR in both genders.

The present study revealed that subjects with the high levels of inflammatory markers were more frequent in men and less active physically compared with those with low levels of inflammatory markers. The findings were consistent with the results in the previous studies [16, 31]. The western dietary pattern in this study was similar to the unhealthy dietary pattern that included a high relative amount of red meat, processed food, high-fat food, sweets, salts, and food additives [8]. This dietary pattern was significantly correlated with increased CRP and NLR levels in the subjects with metabolic syndrome. The previous study found that an unhealthy dietary pattern was positively associated with the levels of inflammatory markers such as CRP, interleukin-6 and sICAM-1 [32]. Additionally, there was a positive association between western dietary pattern and CRP concentration [9, 33]. An animal study also revealed that neutrophil counts were increased in mice fed a high-fat diet [34]. In contrast with the unhealthy dietary pattern, the prudent dietary pattern in this study was defined by foods high in complex carbohydrate, unsaturated fat, fiber, antioxidants, vitamins, and minerals. Moreover, a healthy dietary pattern had beneficial effects on inflammation [35]. The prudent breakfast high in dietary fiber and β -glucan for 12 weeks improved plasma CRP in overweight and mildly hypercholesterolemic adults aged of 25–67 years compared with

Table 3 Odds ratios for high C-reactive protein by different dietary patterns, anthropometric measurements, and metabolic parameters

Variables	Odds ratio (95% Confidence interval)			
	Men (<i>n</i> = 6021)		Women (<i>n</i> = 4075)	
	Model 1 ¹	Model 2 ²	Model 1 ¹	Model 2 ²
Western dietary pattern				
T1	1	1	1	1
T2	0.892 (0.843–0.944) ***	0.903 (0.853–0.956) ***	0.843 (0.778–0.913) ***	0.855 (0.788–0.927) ***
T3	1.116 (1.053–1.184) ***	1.108 (1.044–1.176) **	1.162 (1.070–1.263) ***	1.181 (1.086–1.284) ***
Prudent dietary pattern				
T1	1	1	1	1
T2	0.810 (0.765–0.857) ***	0.815 (0.770–0.863) ***	0.886 (0.824–0.954) **	0.891 (0.827–0.959) **
T3	0.693 (0.654–0.735) ***	0.696 (0.656–0.738) ***	0.814 (0.756–0.877) ***	0.806 (0.748–0.869) ***
Body mass index				
Normal	1	1	1	1
Overweight	1.230 (1.147–1.320) ***	1.225 (1.141–1.315) ***	1.149 (1.036–1.275) **	1.182 (1.065–1.313) **
Obese	1.315 (1.190–1.454) ***	1.328 (1.199–1.470) ***	1.961 (1.702–2.260) ***	1.971 (1.709–2.273) ***
Body fat				
Low	1	1	1	1
High	1.734 (1.613–1.864) ***	1.749 (1.624–1.884) ***	1.454 (1.023–2.068) *	1.413 (0.981–2.028) *
Waist circumference				
Low	1	1	1	1
High	1.963 (1.826–2.110) ***	1.916 (1.782–2.061) ***	1.986 (1.820–2.168) ***	2.003 (1.834–2.188) ***
Hip circumference				
Low	1	1	1	1
High	1.422 (1.264–1.599) ***	1.406 (1.247–1.587) ***	1.637 (1.499–1.787) ***	1.651 (1.510–1.805) ***
Waist-to-hip ratio				
Low	1	1	1	1
High	1.487 (1.384–1.598) ***	1.488 (1.383–1.601) ***	1.298 (1.184–1.423) ***	1.307 (1.190–1.436) ***
Systolic blood pressure				
Low	1	1	1	1
High	1.258 (1.176–1.345) ***	1.280 (1.195–1.371) ***	1.294 (1.188–1.409) ***	1.306 (1.196–1.425) ***
Diastolic blood pressure				
Low	1	1	1	1
High	1.303 (1.208–1.405) ***	1.303 (1.208–1.406) ***	1.306 (1.170–1.457) ***	1.313 (1.175–1.467) ***
High-density lipoprotein-cholesterol				
High	1	1	1	1
Low	1.625 (1.517–1.740) ***	1.635 (1.525–1.753) ***	1.379 (1.257–1.512) ***	1.419 (1.292–1.558) ***
Low-density lipoprotein-cholesterol				
Low	1	1	1	1
High	1.278 (1.191–1.373) ***	1.276 (1.188–1.371) ***	1.239 (1.132–1.356) ***	1.250 (1.141–1.369) ***

Table 3 Odds ratios for high C-reactive protein by different dietary patterns, anthropometric measurements, and metabolic parameters (Continued)

Variables	Odds ratio (95% Confidence interval)			
	Men (n = 6021)		Women (n = 4075)	
	Model 1 ¹	Model 2 ²	Model 1 ¹	Model 2 ²
Total cholesterol				
Low	1	1	1	1
High	1.157 (1.083–1.237) ***	1.148 (1.074–1.227) ***	1.228 (1.123–1.342) ***	1.225 (1.118–1.342) ***
Triglycerides				
Low	1	1	1	1
High	1.493 (1.397–1.595) ***	1.475 (1.379–1.578) ***	1.717 (1.576–1.871) ***	1.694 (1.553–1.847) ***
Fasting blood glucose				
Low	1	1	1	1
High	1.366 (1.270–1.470) ***	1.398 (1.297–1.506) ***	1.257 (1.146–1.380) ***	1.245 (1.133–1.368) ***

¹Unadjusted. ²Adjusted for age, marital status, education, occupation, smoking, drinking status, and physical activity. **P* < 0.05, ***P* < 0.01, ****P* < 0.001. The variables were defined as the following: CRP: low < 28.6 nmol/L and high ≥ 28.6 nmol/L, normal BMI: 18.5 kg/m² ≤ BMI < 24 kg/m²; overweight BMI: 24 kg/m² ≤ BMI < 27 kg/m²; obesity BMI: ≥ 27 kg/m², body fat: low < 25% and high ≥ 25% for men; low < 30% and high ≥ 30% for women, waist circumference: low < 95.8 cm and high ≥ 95.8 cm for men; low < 85.2 cm and high ≥ 85.2 cm for women, hip circumference: low < 100.5 cm and high ≥ 100.5 cm for men; low < 101.1 cm and high ≥ 101.1 cm for women, waist-to-hip ratio: low < 0.90 and high ≥ 0.90 for men; low < 0.85 and high ≥ 0.85 for women, systolic BP: low < 130 mmHg and high ≥ 130 mmHg, diastolic BP: low < 85 mmHg and high ≥ 85 mmHg, HDL-C: low < 1.03 mmol/L and high ≥ 1.03 mmol/L for men; low < 1.29 mmol/L and high ≥ 1.29 mmol/L for women, LDL-C: low < 4.1 mmol/L and high ≥ 4.1 mmol/L, TC: low < 6.2 mmol/L and high ≥ 6.2 mmol/L, TG: low < 1.70 mmol/L and high ≥ 1.70 mmol/L, FBG: low < 5.60 mmol/L and high ≥ 5.60 mmol/L

the usual breakfast [36]. Furthermore, the Mediterranean diet characterized as high in fiber, antioxidants, and unsaturated and polyunsaturated fatty acids [37] was correlated with decreases in platelet count, white blood cells (WBC) count and CRP [38, 39]. Our findings showed that there were no differences between men and women in the effects of the western or prudent dietary pattern on CRP and NLR levels. Similar to our results, following the Mediterranean diet for 4 weeks, had similar effects on high-sensitivity CRP levels in mildly hypercholesterolemic men and women aged of 24–53 years with CVD risk factors [40], indicating there were no differences between men and women in the effects of the Mediterranean diet on systemic inflammation.

Our results reported that the anthropometric measures such as BMI, body fat, waist or hip circumference, and waist-to-hip ratio were associated with inflammation in both men and women with metabolic syndrome. Some evidences supported our findings. BMI was independently correlated with certain markers related to inflammatory responses, including CRP, amylin, C-peptide, insulin, leptin, WBC, and NLR [41, 42]. The indicators of central obesity such as waist or hip circumference and waist-to-hip ratio showed a strong positive correlation with CRP not only in healthy population, but also in the population with metabolic syndrome [43, 44]. Moreover, waist circumference was increased with elevated WBC and NLR, suggesting that waist circumference might be used as a parameter of evaluating WBC or NLR [45]. Body fat and BMI were also significantly associated with CRP in obese males and females with metabolic

syndrome and heart failure [46]. Additionally, obese subjects had higher NLR compared with healthy subjects, and elevated NLR considered as an inflammatory marker was an independent predictor of type 2 diabetes in obese subjects [47]. Furthermore, this study found that more than 30% of subjects with metabolic syndrome had higher levels of CRP (38.8%) or NLR (30.2%). The previous studies also revealed that the components of metabolic syndrome influenced the levels of CRP and NLR [48, 49]. Subjects with metabolic syndrome had significantly increased neutrophil counts, but reduced lymphocyte counts [50]. Hypercholesterolemia was one of the major risk factors of elevated pro-inflammatory cytokines. Hyperlipidemia had effects on homeostasis of immune cells and was associated with increased neutrophil counts [51]. The levels of CRP were positively correlated with fasting and 2-h post-load glucose concentrations in individuals with impaired glucose tolerance [52], and individuals with prediabetes also had higher CRP levels [53]. The potential interaction among obesity, metabolic disorder, and activated inflammation in subjects with metabolic syndrome has been reported. The association between chronic inflammation and metabolic syndrome was linked to central adiposity, which was accompanied by a decrease of adiponectin formed in adipose tissue and increased secretion of inflammatory markers such as CRP [54].

Our results found that both men and women with high consumption of the western dietary pattern, low consumption of the prudent dietary pattern, high values of anthropometric parameters or metabolic disorder

Table 4 Odds ratios for high neutrophil-to-lymphocyte ratio by different dietary patterns, anthropometric measurements, and metabolic parameters

Variables	Men (<i>n</i> = 5155)		Women (<i>n</i> = 2702)	
	Model 1 ¹	Model 2 ²	Model 1 ¹	Model 2 ²
Western dietary pattern				
T1	1	1	1	1
T2	0.955 (0.900–1.013)	0.963 (0.908–1.022)	0.941 (0.867–1.021)	0.945 (0.871–1.026)
T3	1.189 (1.118–1.264) ***	1.199 (1.126–1.275) ***	1.100 (1.012–1.195) *	1.108 (1.019–1.204) *
Prudent dietary pattern				
T1	1	1	1	1
T2	0.916 (0.864–0.972) **	0.921 (0.868–0.977) **	1.134 (1.046–1.228)	1.111 (1.023–1.205)
T3	0.881 (0.830–0.935) ***	0.883 (0.832–0.938) ***	0.961 (0.873–1.056) *	0.963 (0.874–1.059) *
Body mass index				
Normal	1	1	1	1
Overweight	1.154 (1.073–1.241) ***	1.141 (1.061–1.227) ***	1.081 (0.978–1.195)	1.096 (0.998–1.212)
Obese	1.162 (1.049–1.288) **	1.188 (1.070–1.319) **	1.575 (1.375–1.804) ***	1.576 (1.375–1.806) ***
Body fat				
Low	1	1	1	1
High	1.276 (1.186–1.374) ***	1.286 (1.192–1.386) ***	1.301 (1.143–1.480) ***	1.158 (1.015–1.323) *
Waist circumference				
Low	1	1	1	1
High	1.711 (1.588–1.843) ***	1.690 (1.568–1.822) ***	1.468 (1.335–1.614) ***	1.475 (1.341–1.623) ***
Hip circumference				
Low	1	1	1	1
High	1.185 (1.052–1.334) **	1.201 (1.063–1.358) **	1.259 (1.145–1.386) ***	1.275 (1.157–1.404) ***
Waist-to-hip ratio				
Low	1	1	1	1
High	1.626 (1.506–1.754) ***	1.630 (1.509–1.761) ***	1.336 (1.211–1.474) ***	1.331 (1.204–1.472) ***
Systolic blood pressure				
Low	1	1	1	1
High	1.122 (1.047–1.203) **	1.124 (1.047–1.206) **	1.037 (0.946–1.138)	1.038 (0.944–1.142)
Diastolic blood pressure				
Low	1	1	1	1
High	1.182 (1.092–1.278) ***	1.176 (1.087–1.273) ***	0.946 (0.839–1.067)	0.928 (0.821–1.049)
High-density lipoprotein-cholesterol				
High	1	1	1	1
Low	1.197 (1.114–1.286) ***	1.203 (1.119–1.294) ***	1.138 (1.031–1.257) *	1.162 (1.051–1.286) **
Low-density lipoprotein-cholesterol				
Low	1	1	1	1
High	1.197 (1.112–1.289) ***	1.200 (1.114–1.293) ***	1.105 (1.002–1.219) *	1.113 (1.008–1.229) *

Table 4 Odds ratios for high neutrophil-to-lymphocyte ratio by different dietary patterns, anthropometric measurements, and metabolic parameters (*Continued*)

Variables	Men (n = 5155)		Women (n = 2702)	
	Model 1 ¹	Model 2 ²	Model 1 ¹	Model 2 ²
Total cholesterol				
Low	1	1	1	1
High	1.138 (1.063–1.219) ***	1.138 (1.062–1.220) ***	1.070 (0.971–1.179)	1.069 (0.968–1.180)
Triglycerides				
Low	1	1	1	1
High	1.262 (1.179–1.352) ***	1.251 (1.167–1.342) ***	1.157 (1.055–1.269) **	1.140 (1.039–1.252) **
Fasting blood glucose				
Low	1	1	1	1
High	1.294 (1.199–1.396) ***	1.300 (1.203–1.405) ***	1.199 (1.083–1.328) ***	1.180 (1.064–1.308) **

¹Unadjusted. ² Adjusted for age, marital status, education, occupation, smoking, drinking status and physical activity. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. The variables were defined as the following: NLR: low < 3.0 and normal ≥ 3.0 ; normal BMI: $18.5 \text{ kg/m}^2 \leq \text{BMI} < 24 \text{ kg/m}^2$; overweight BMI: $24 \text{ kg/m}^2 \leq \text{BMI} < 27 \text{ kg/m}^2$; obesity BMI: $\geq 27 \text{ kg/m}^2$; body fat: low $< 25\%$ and high $\geq 25\%$ for men; low $< 30\%$ and high $\geq 30\%$ for women, waist circumference: low $< 95.8 \text{ cm}$ and high $\geq 95.8 \text{ cm}$ for men; low $< 85.2 \text{ cm}$ and high $\geq 85.2 \text{ cm}$ for women, hip circumference: low $< 100.5 \text{ cm}$ and high $\geq 100.5 \text{ cm}$ for men; low $< 101.1 \text{ cm}$ and high $\geq 101.1 \text{ cm}$ for women, waist-to-hip ratio: low < 0.90 and high ≥ 0.90 for men; low < 0.85 and high ≥ 0.85 for women, systolic BP: low $< 130 \text{ mmHg}$ and high $\geq 130 \text{ mmHg}$, diastolic BP: low $< 85 \text{ mmHg}$ and high $\geq 85 \text{ mmHg}$, HDL-C: low $< 1.03 \text{ mmol/L}$ and high $\geq 1.03 \text{ mmol/L}$ for men; low $< 1.29 \text{ mmol/L}$ and high $\geq 1.29 \text{ mmol/L}$ for women, LDL-C: low $< 4.1 \text{ mmol/L}$ and high $\geq 4.1 \text{ mmol/L}$, TC: low $< 6.2 \text{ mmol/L}$ and high $\geq 6.2 \text{ mmol/L}$, TG: low $< 1.70 \text{ mmol/L}$ and high $\geq 1.70 \text{ mmol/L}$, FBG: low $< 5.60 \text{ mmol/L}$ and high $\geq 5.60 \text{ mmol/L}$.

increased the likelihood of being high CRP or NLR. However, there were gender differences in the effects of BP or TC on NLR values. Higher systolic or diastolic BP or TC was significantly associated with increased odds ratios of high NLR in men, but not in women. There is still a conflicting evidence regarding whether NLR is a good indicator of inflammation in a metabolic syndrome population. Neutrophil-to-lymphocyte ratio was not a better indicator of inflammation compared with CRP in obese subjects with metabolic syndrome [55], although both CRP and NLR were simple and effective predictors of inflammation in subjects with metabolic syndrome. CRP as an acute phase protein is a sensitive biomarker for systemic inflammation and correlated significantly with metabolic abnormality [56]. Contrarily, high neutrophil counts play an important role in atherogenesis and atherothrombosis, and low lymphocyte counts have been observed in patients with acute coronary syndrome and its complication [57]. Use of the neutrophil-to-lymphocyte ratio has recently emerged as an alternate potential biomarker for both metabolic syndrome and CVD events [49, 58].

Strengths and limitations

This study has some strengths. This is the first study to discuss the association of dietary patterns, anthropometric measures and metabolic parameters with inflammatory markers in Taiwanese middle-aged and older adults with metabolic syndrome. In addition, the sample size was large from the population of interest. We defined metabolic syndrome by using the IDF definition, which uses central obesity as an essential component followed by other components of metabolic syndrome. This

parameter was appropriate because the prevalence of central obesity or metabolic syndrome increases with age in both genders in Taiwan [5] and was expected to adequately represent the health issues most prevalent among middle-aged and older adults in Taiwan. Some limitations of the study included the cross-sectional study design that limits the applicability of the findings in establishing causality between the variables. Furthermore, there may be some potential confounders in this study that could not be controlled for due to the inherent limitations of nutrition research; such as the intake of energy, protein, and other specific nutrients. The conclusion may not be applied to the whole Taiwanese population with metabolic syndrome, since we only analyzed the subjects aged 35 years and older, and the data from one private health management screening institution with multi-centers in Taiwan.

Conclusions

Men and women have a similar association of dietary patterns and anthropometric measurements with inflammatory markers. The western dietary pattern is positively correlated with CRP and NLR. In contrast, the prudent dietary pattern is inversely associated with CRP and NLR. Furthermore, better BMI, body fat, waist or hip circumference, and waist-to-hip ratio improve CRP and NLR. Men and women have similar associations between metabolic parameters (systolic and diastolic BP, HDL-C, LDL-C, TC, TG, and FBG) and CRP. However, systolic or diastolic BP and TC show significantly positive correlations with NLR only in men.

Abbreviations

BMI: Body mass index; BP: Blood pressure; CRP: C-reactive protein; CVD: Cardiovascular disease; FBG: Fasting blood glucose; FFQ: Food frequency questionnaire; HDL-C: High-density lipoprotein-cholesterol; IDF: International Diabetes Federation; LDL-C: Low-density lipoprotein-cholesterol; MJ: Mei Jau; NLR: Neutrophil-to-lymphocyte ratio; OR: Odds ratios; sICAM: Soluble intracellular adhesion molecule; TC: Total cholesterol; TG: Triglycerides; WBC: White blood cells

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Authors' contributions

JCJC was the principal investigator. AS and JCJC designed the research. CYH and HHR managed and retrieved the data. AS, JCJC, CYH and HHR contributed to data analysis and interpretation of data. AS and JCJC wrote the manuscript. All authors participated in critical revision of the manuscript for important intellectual content, and have read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Association between eating behaviour and diet quality: eating alone vs. eating with others

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Abstract

Background: To discover the association between eating alone and diet quality among Korean adults who eat alone measured by the mean adequacy ratio (MAR),

Methods: The cross-sectional study in diet quality which was measured by nutrient intakes, indicated as MAR and nutrient adequacy ratio (NAR) with the Korean National Health and Nutrition Examination Survey (KNHANES) VI 2013–2015 data. Study population was 8523 Korean adults. Multiple linear regression was performed to identify the association between eating behaviour and MAR and further study analysed how socioeconomic factors influence the diet quality of those who eat alone.

Results: We found that the diet quality of people who eat alone was lower than that of people who eat together in both male (β : -0.110 , $p = 0.002$) and female participants (β : -0.069 , $p = 0.005$). Among who eats alone, the socioeconomic factors that negatively influenced MAR with the living arrangement, education level, income levels, and various occupation classifications.

Conclusions: People who eat alone have nutrition intake below the recommended amount. This could lead to serious health problems not only to those who are socially disadvantaged but also those who are in a higher social stratum. Policy-makers should develop strategies to enhance diet quality to prevent potential risk factors.

Keywords: MAR, NAR, Eating alone, Diet quality, Socioeconomic status

Background

Food intake is an essential factor related to health status [1–4]. Adequate nutrients must be consumed through diet in order to survive. These days, people who care about what they eat think about how it will affect their well-being and lifestyle rather than how it can help to survive day-to-day life [5, 6]. When we observe how food is produced and consumed, there is no significant difference compared to the past [7]. However, the range of nutrients consumed has expanded widely and eating behaviours have changed with economic growth. Diet quality is described in nutritional epidemiology literature

in a variety of ways, including a healthy diet, balanced diet, nutritious foods, functional foods, and nutrient-rich diet [8]. Those terms point to the bottom-line idea of achieving an optimal level of health via a balanced nutrient intake.

Academic research to investigate the relationship between diet quality and diseases are increasing. There are studies that show effects of healthy eating and a reduction in risk for chronic diseases [1, 9, 10] and mortality [9, 11, 12]. Studies among elderly Japanese population demonstrated that solitary eating negatively influenced meal quality, which is consistent with our theory [10, 12, 13]. Eating alone discourages people from having a well-balanced meal. Some even see eating alone as an efficient way of having a meal, and as a new trend is being adopted, we might have to face a socially isolated generation [13, 14]. Poor eating quality will become a new public health issue. Eating alone can cause vulnerability in nutrition among elderly population [15] yet commensality

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can influence positively to elder population [16]. In contrast, eating together, such as in a family, promotes the healthy eating habits to lead a healthy lifestyle [17]. Also the study on middle and high school students on the frequency of family meals, breakfast and dinner, shows the students who had a higher frequency of family meals had better dietary intake and quality than who did not have family meals [18].

With an increase in the interest in diet quality, eating behaviour has also evolved in modern society. The traditional definition of a meal includes companions such as family or friends [13]. The new form of living style brought the concept of eating alone (solitary eating), and it became a new trend in some generations. Selecting foods for a day is easily influenced by the social environment and eating together or alone plays a big role in that decision [2, 13, 19, 20]. When people plan to eat alone, the meal will not take long; usually they pick a simple and quick meal rather than a nutritionally balanced meal [13, 20, 21]. Regardless of this new trend, solitary eating causes dietary problems such as modern malnutrition [2, 11]. For people who eat alone, it is hard to consume adequate nutrients, especially for micronutrients due to the limited consumption of fruits and vegetables [2]. In fact, it leads not only to modern malnutrition, it could also cause clinical malnutrition due to insufficient nutrient intake [11]. Eating alone puts people at risk of potential illness, as has been reported in earlier studies [11, 12].

Previous studies were conducted to search for causative factors of illness due to nutrition. There are studies that found health status resulted from imbalanced nutrition [12, 22]. Also, some studies assessed the impact of socioeconomic status on nutritional health and eating patterns [20, 23]. Research on eating alone and quality of meals is comparatively new yet expected to increase in our society. By looking at the increasing prevalence of the elderly population and one-person households [24] and rapid changes in environmental factors [2, 25], we expect to see an increase in the prevalence of people who eat alone. Our study aims to evaluate the association between diet quality of the modern Korean adult population based on the eating behaviour and the socioeconomic factors that influence their diet quality.

Methods

Study subjects

This study was conducted with data from the sixth Korea National Health and Nutrition Examination Survey (KNHANES VI-3) by the Korea Centers for Disease Control and Prevention, which contains survey data for the cross-sectional study from the health interview survey, the health examination survey, and the nutrition survey from 2013 through 2015. The 2015 Dietary Reference Intakes for Koreans by the Korean Nutrition

Society and Ministry of Health and Welfare, Korea were used to reference data for the recommended nutrient intakes [26]. The final population for this study is 3365 men and 5158 women who are age 19 to 64 years old.

Dependent variable

The dependent variable of mean adequacy ratio (MAR) was used to measure the quality of the meal. In order to compute MAR, the nutrient adequacy ratio (NAR) of each nutrient was calculated by the formula below. In 1972, Madden and Yoder [27] first used MAR and NAR to evaluate food stamp efficiency and commodity distribution. NAR shows the ratio of each nutrient intake relative to the recommended dietary intake or recommended dietary allowance [27, 28]. For this study, we applied the Dietary Reference Intakes for Korean 2015 as the reference value of RDA and collected nutrients are protein, calcium, phosphate, iron, vitamin A, vitamin B1, vitamin B2, Niacin, and Vitamin C through the nutrition survey for assessment. The formulae for MAR and NAR are below [22]:

$$\text{MAR} = \text{Sum of NAR of nutrients} / \text{the number of nutrients}$$

$$\text{NAR} = \text{Nutrient intake} / \text{recommended nutrient intake}$$

NAR, which is obtained from the recommended nutrient intake, refers to sex and age-specific references for daily intakes. A NAR close to 1 indicates the consumed meal is near the recommended amount of that specific nutrient for the day; when it is > 1, it means the consumed meal exceeded the recommended amount of the nutrient [22, 27]. This study included various foods and beverages since both of them could be significant sources of nutrients.

Independent variable of main interest

The variable of main interest was eating behaviour, which represents whether they have company during the meal. The variable was described using categorical data based on participants responding in “yes” or “no” format. The questions were asked by each meal, breakfast, lunch, and dinner. The final grouping was designed after considering the frequency of the meal and the existence of company during the meal (Box 1). The population was separated by gender, and then categorized them into three groups: ‘alone’ for those who ate all meals alone, ‘some together’ for those who ate some meals with others, and ‘together’ for those who ate with others for every meal.

Covariates

This study included demographic, socioeconomic, and health behaviour factors as covariates. Demographic factors used to assess general characteristics of the study population included living arrangement, age group, and residential area. The socioeconomic factors reviewed

were marital status, education level, income level, and occupation status in four categories (white collar: administrative and management role, blue collar: manual labour industry and pick collar: service industry). For the health behaviour factors, body mass index (BMI: underweight: BMI < 18.5, normal: $18.5 \leq \text{BMI} < 25.0$, and overweight: BMI > 25.0) weight changes in the past 1 year, alcohol consumption, smoking status, and stress level were assessed. We also added nutrition behaviour-related factors such as nutritional education, nutrition supplement intake more than 2 weeks per year, and nutritional fact usage to evaluate whether or not there are associations with MAR.

Statistical analysis

To compare the proportion of variables by MAR, independent t-test and ANOVA were used and a univariate analysis was performed. Multiple linear regression analysis was used to determine an association between MAR and eating behaviour using a generalized linear model (GLM). We performed further analysis to discover an association between socioeconomic status and eating behaviour with diet quality measured by MAR.

Results

The characteristics of the study population ($N = 8523$) are presented in Table 1. The participants were grouped by sex; there were 3365 (39.48%) men and 5158 (60.52%) women. The mean MAR for male and female populations was the same at 1.03 ± 0.48 . Participants were also grouped into three categories based on their eating behaviour. In the male group, 256 (7.61%) ate every meal alone, 1199 (35.63%) ate some meals together, and 1910 (56.76%) ate every meal together. In the female group, 502 (9.73%) ate very meal alone, 2255 (43.72%) ate some meals together, and 2401 (46.55%) ate every meal together. Male participants' MAR was not influenced by whether or not they were living together, while female participants' MAR was influenced by their living arrangement ($p < 0.001$) (Table 1).

The results of regression analyses of the association between eating behaviour and MAR are presented in Table 2. Demographic and socioeconomic information, health status, and nutrition-related variables were adjusted for the analysis. The comparison between 'some together' and 'alone' showed that the 'alone' group's diet quality was lower than the 'together' group as measured by MAR (male: $\beta = -0.110$, $p = 0.002$; female: $\beta = -0.069$, $p = 0.005$). The association between age groups and MAR results are different by gender that male participants have higher MAR than female participants. In male participants, age group and MAR shows positive correlation with statistically significant values while in female participants the

negative correlation is detected (male age group 19–29: $\beta = 0.305$, $p < 0.001$; male age group 30–39: $\beta = 0.259$, $p < 0.001$; male age group 40–49: $\beta = 0.137$, $p < 0.001$; male age group 50–59: $\beta = -0.052$, $p = 0.086$; female age group 19–29: $\beta = -0.035$, $p = 0.346$; female age group 30–39: $\beta = -0.010$, $p = 0.725$; male age group 40–49: $\beta = -0.074$, $p = 0.006$; male age group 50–59: $\beta = 0.001$, $p = 0.981$). The living arrangement did not influence the MAR, neither before nor after adjustment. As per stress level, MAR and stress level shows positive correlation among male participants and negative correlation among female participants (male high: $\beta = 0.023$, $p = 0.423$; male medium: $\beta = 0.003$, $p = 0.901$; female high: $\beta = -0.044$, $p = 0.053$; female medium: $\beta = -0.040$, $p = 0.053$). People who take nutritional supplement more than 2 weeks per year show higher MAR than who does not take supplements (male: $\beta = -0.021$, $p = 0.643$; female: $\beta = -0.019$, $p = 0.567$) but the value is not statistically significant. Participants who does not use nutritional facts appear to have lower MAR in both gender with significant value (male: $\beta = -0.087$, $p < 0.001$; female: $\beta = -0.056$, $p < 0.001$). (Table 2). In addition to Tables 1 and 2, the general characteristics of the study population according to eating behaviour and the analysis of unadjusted model is presented in Additional file 1: Appendix 1 and 2.

Table 3 shows the result of subgroup analysis based on socioeconomic factors living arrangement, income level, education level, and occupation by eating behaviour. For male participants, when they live with others ($\beta = -0.134$, $p = 0.001$); completed with tertiary education ($\beta = -0.212$, $p < 0.001$); earns the lowest ($\beta = -0.216$, $p = 0.002$) or highest income ($\beta = -0.167$, $p = 0.040$); categorize as 'others' ($\beta = -0.193$, $p = 0.006$) for occupational status which means not currently working with the eating alone behaviour shows inadequate diet with lower MAR than those who eat together within the same categories. The results of female study are as followed. Similar to male's results when they live with others ($\beta = -0.081$, $p = 0.002$); completed primary education ($\beta = -0.163$, $p = 0.003$) or secondary education ($\beta = -0.146$, $p = 0.040$); work in pink-collar industry ($\beta = -0.125$, $p = 0.035$) or blue-collar industry ($\beta = -0.178$, $p = 0.004$) have insufficient nutrient intake with they eat alone (Table 3).

Nutrient intake was measured from the dietary survey and was used to assess patterns of food consumption in the previous year. Figure 1 shows the MAR and NAR of individual nutrients by eating behaviour and sex. Regardless of sex, participants consumed inadequate nutrients when they ate alone (Fig. 1). Detailed results on individual 9 nutrients are presented in Additional file 1: Appendix 3. Also, additional analysis such as MAR with the cutoff value of 0.5, different models related to socioeconomic factors, and interactions are included in Additional file 2: Appendix 4, 5 and 6.

Table 1 General characteristics of the study population

Variables	General characteristics									
	Male					Female				
	Subject		MAR			Subject		MAR		
	N	%	MEAN	SD	<i>p</i> -value	N	%	MEAN	SD	<i>p</i> -value
Eating Type					<.001					<.001
Alone	256	7.61	1.033	0.483		502	9.73	1.035	0.476	
Some Together	1199	35.63	1.187	0.497		2255	43.72	1.143	0.473	
Together	1910	56.76	1.200	0.505		2401	46.55	1.148	0.472	
One person household					0.103					<.001
Yes	245	7.28	1.133	0.563		283	5.49	1.006	0.471	
No	3120	92.72	1.187	0.497		4875	94.51	1.142	0.473	
Age Group					<.001					<.001
19–29	614	18.25	1.292	0.553		764	14.81	1.125	0.499	
30–39	715	21.25	1.313	0.539		1120	21.71	1.186	0.491	
40–49	796	23.66	1.187	0.491		1293	25.07	1.115	0.448	
50–59	832	24.73	1.075	0.438		1370	26.56	1.139	0.466	
60 above	408	12.12	1.002	0.385		611	11.85	1.085	0.474	
Residential Area					0.064					0.370
Urban	2771	82.35	1.190	0.507		4364	84.61	1.137	0.474	
Rural	594	17.65	1.148	0.476		794	15.39	1.121	0.474	
BMI					0.013					0.901
Underweight	71	2.11	1.126	0.475		314	6.09	1.126	0.509	
Overweight	1341	39.85	1.213	0.507		1335	25.88	1.132	0.494	
Normal	1953	58.04	1.164	0.499		3509	68.03	1.137	0.463	
Weight Changes					<.001					<.001
Same	464	13.79	1.137	0.519		616	11.94	1.071	0.462	
Decreased	726	21.58	1.259	0.522		1554	30.13	1.167	0.512	
Increased	2175	64.64	1.167	0.489		2988	57.93	1.131	0.454	
Marital Status					<.001					<.001
Married	2365	70.28	1.170	0.481		3813	73.92	1.151	0.461	
Separated	144	4.28	0.936	0.409		504	9.77	1.023	0.462	
Single	856	25.44	1.260	0.556		841	16.30	1.127	0.529	
Education Level					<.001					<.001
Primary	247	7.34	0.950	0.431		654	12.68	0.991	0.431	
Secondary	273	8.11	1.047	0.454		532	10.31	1.093	0.471	
Upper Secondary	1355	40.27	1.213	0.521		2000	38.77	1.149	0.476	
Tertiary	1490	44.28	1.219	0.489		1972	38.23	1.180	0.476	
Income Level					<.001					<.001
Lowest	776	23.06	1.123	0.527		1243	24.10	1.052	0.473	
Lower-Middle	893	26.54	1.136	0.468		1283	24.87	1.094	0.451	
Upper-Middle	823	24.46	1.222	0.505		1320	25.59	1.168	0.473	
Highest	873	25.94	1.247	0.501		1312	25.44	1.220	0.481	
Occupation					<.001					<.001
White	1155	34.32	1.229	0.498		1254	24.31	1.160	0.460	
Pink	431	12.81	1.251	0.478		848	16.44	1.155	0.491	

Table 1 General characteristics of the study population (*Continued*)

Variables	General characteristics									
	Male					Female				
	Subject		MAR			Subject		MAR		
	N	%	MEAN	SD	p-value	N	%	MEAN	SD	p-value
Blue	1186	35.25	1.144	0.489		762	14.77	1.071	0.441	
Others	593	17.62	1.121	0.539		2294	44.47	1.135	0.484	
Alcohol Consumption					0.202					0.222
Yes	3261	96.91	1.185	0.502		4568	88.56	1.138	0.474	
No	104	3.09	1.121	0.513		590	11.44	1.112	0.475	
Cigarettes					0.055					0.557
Smoker	1382	41.07	1.180	0.524		273	5.29	1.123	0.538	
Ex-Smoker	1158	34.41	1.163	0.486		288	5.58	1.109	0.478	
Non-Smoker	825	24.52	1.217	0.486		4597	89.12	1.137	0.470	
Stress Level					0.016					0.247
High	836	24.84	1.219	0.522		1360	26.37	1.120	0.501	
Medium	2048	60.86	1.178	0.501		3170	61.46	1.137	0.460	
Low	481	14.29	1.139	0.468		628	12.18	1.157	0.483	
Nutritional Education					0.741					0.124
No	3247	96.49	1.182	0.501		4947	95.91	1.133	0.474	
Yes	118	3.51	1.198	0.526		211	4.09	1.184	0.480	
Nutrition Supplement Intake (more than 2 weeks/year)					<.001					<.001
No	2065	61.37	1.145	0.487		2540	49.24	1.091	0.461	
Yes	1300	38.63	1.242	0.520		2618	50.76	1.178	0.482	
Nutritional Fact Usage		0.00			<.001					<.001
No	2770	82.32	1.157	0.485		3225	62.52	1.102	0.472	
Yes	595	17.68	1.305	0.558		1933	37.48	1.189	0.471	
Total	3365	100.00	1.182	0.501		5158	100.00	1.133	0.471	

Discussion

The results from this study show that diet quality is influenced by eating behaviour, as indicated by the association between MAR and eating alone. We observed that when Korean adults ate without a companion, their MAR was significantly lower than those who consistently ate with others. Poor quality meals in Korean adults could possibly lead to “modern malnutrition” that not receiving adequate nutrients yet reached the recommended total calories per day. This could result in further health-related problems [5, 10]. When MAR is equal to 1 or above it means that in average the individual consumed the recommended amount of nutrients. However, since it is the average value, further observation of nutrient intake should be performed to decide the quality of the diet. Especially, modern days, there are people who exceed the recommended food intake amount through high-calorie foods and unbalanced diet which lead to poor diet quality.

For socioeconomic element covariates, we chose education level, income level, and occupation variables to

identify the vulnerable class to provide social support. Also, those factors could be related to the nutrient intake. After reviewing the interaction between education level, income level, and occupation, we have performed analyses to find the interaction between those variables. As the results, the interactions existed therefore, we used a stratified variable.

After the main analysis, we studied in more depth the association between socioeconomic factors of Korean adults and their diets. We performed subgroup analyses for living arrangement, education level, income level, and occupation status to investigate what other factors could influence diet quality beyond demographic factors [2]. The outcomes of the analyses conflicted with social norms and common beliefs, [19, 23, 25] yet they represent a current social phenomenon in Korea [29]. These results could be applicable as a version of modern society in other countries as well. Our findings indicate that Korean male adults who eat alone have a poor diet with inadequate nutrient intake compared to when they live

Table 2 Factors associated with MAR

Variables	MAR					
	Male			Female		
	β	S.E	p-value	β	S.E	p-value
Eating Style						
Alone	-0.110	0.035	0.002	-0.069	0.024	0.005
Some Together	-0.001	0.018	0.936	-0.010	0.014	0.474
Together	Ref.			Ref.		
One person household						
Yes	0.042	0.037	0.262	-0.040	0.032	0.221
No	Ref.			Ref.		
Age Group						
19–29	0.305	0.045	<.001	-0.035	0.037	0.346
30–39	0.259	0.036	<.001	-0.010	0.029	0.725
40–49	0.137	0.033	<.001	-0.074	0.027	0.006
50–59	0.052	0.030	0.086	0.001	0.024	0.981
60 above	Ref.			Ref.		
Residential Area						
Urban	0.001	0.023	0.975	-0.013	0.019	0.469
Rural	Ref.			Ref.		
BMI						
Underweight	-0.030	0.025	0.610	-0.011	0.028	0.707
Overweight	0.024	0.018	0.015	0.024	0.016	0.125
Normal	Ref.			Ref.		
Weight Changes						
Decreased	-0.035	0.025	0.161	-0.048	0.021	0.021
Increased	-0.035	0.022	0.274	0.030	0.015	0.047
Same	Ref.			Ref.		
Marital Status						
Married	0.043	0.032	0.178	0.021	0.027	0.435
Once Married	-0.063	0.051	0.219	-0.023	0.035	0.522
Single	Ref.			Ref.		
Education Level						
Primary	-0.048	0.039	0.223	-0.162	0.028	<.001
Secondary	-0.018	0.036	0.614	-0.077	0.027	0.005
Upper Secondary	0.032	0.021	0.124	-0.019	0.017	0.248
Tertiary	Ref.			Ref.		
Income Level						
Lowest	-0.091	0.026	<.001	-0.113	0.020	<.001
Lower-Middle	-0.103	0.024	<.001	-0.097	0.019	<.001
Upper-Middle	-0.027	0.024	0.249	-0.038	0.018	0.040
Highest	Ref.			Ref.		
Occupation						
White Collar	0.049	0.029	0.095	-0.013	0.018	0.478
Pink Collar	0.091	0.032	0.005	0.032	0.019	0.098
Blue Collar	0.065	0.027	0.018	-0.013	0.021	0.528

Table 2 Factors associated with MAR (Continued)

Variables	MAR					
	Male			Female		
	β	S.E	p-value	β	S.E	p-value
Others	Ref.			Ref.		
Alcohol Consumption						
Yes	-0.001	0.049	0.977	0.009	0.021	0.679
No	Ref.			Ref.		
Cigarettes						
Smoker	0.002	0.022	0.931	0.034	0.030	0.258
Once Smoked	0.011	0.023	0.628	-0.019	0.029	0.501
Non-Smoker	Ref.			Ref.		
Stress Level						
High	0.023	0.028	0.423	-0.044	0.023	0.053
Medium	0.003	0.025	0.901	-0.040	0.020	0.053
Low	Ref.			Ref.		
Nutritional Education						
No	-0.021	0.046	0.643	-0.019	0.033	0.567
Yes	Ref.			Ref.		
Nutrition Supplement Intake (more than 2 weeks/year)						
No	-0.089	0.018	<.001	-0.072	0.013	<.001
Yes	Ref.			Ref.		
Nutritional Fact Usage						
No	-0.087	0.022	<.001	-0.056	0.014	<.001
Yes	Ref.			Ref.		

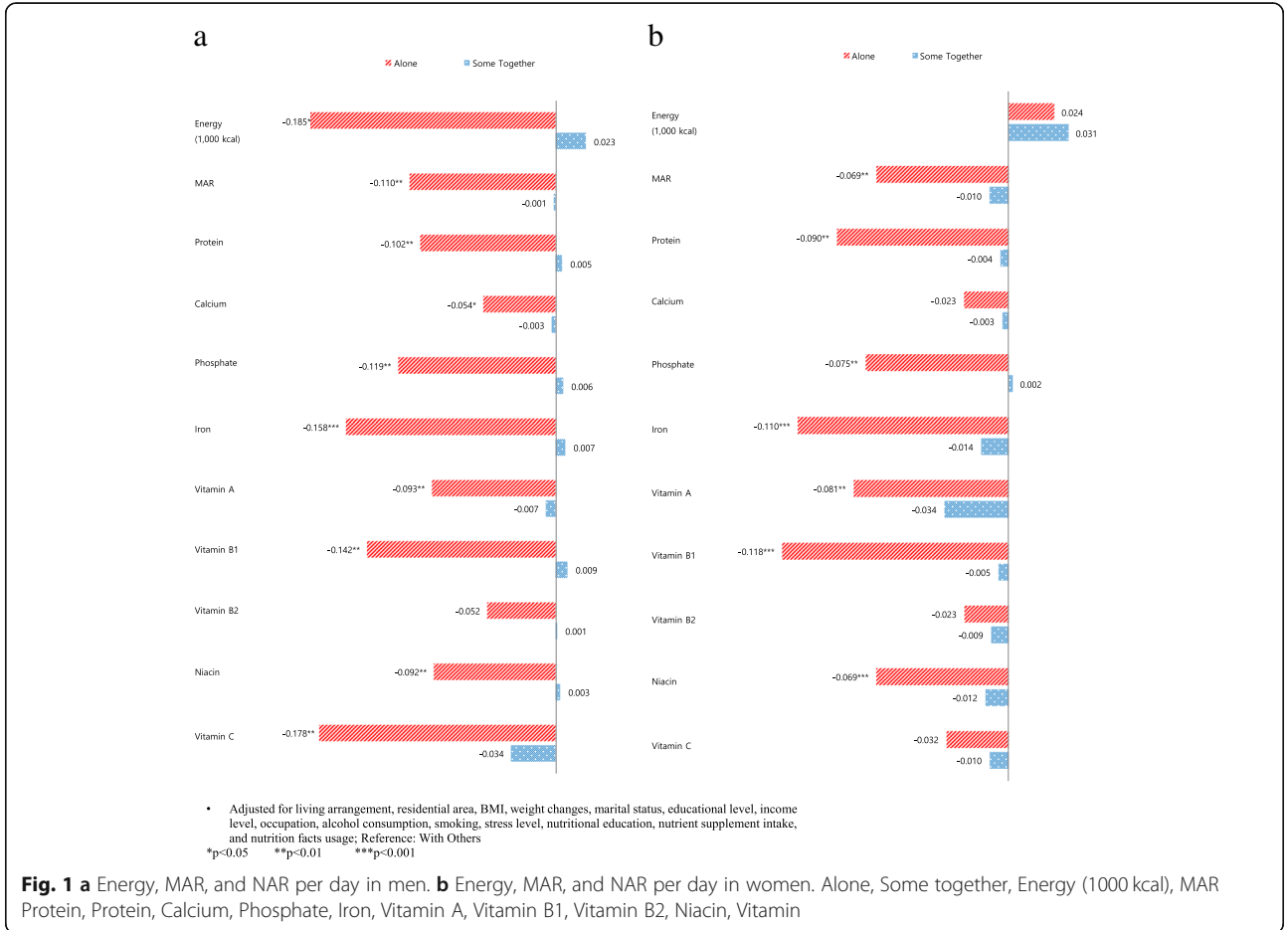
Adjusted for living arrangement, residential area, BMI, weight changes, marital status, educational level, income level, occupation, alcohol consumption, smoking, stress level, nutritional education, nutrient supplement intake, and nutrition facts usage

with others; this finding is consistent in subgroups who have a tertiary education, have the lowest or highest income level, and are part of the ‘others’ group in occupation classification, which includes those who are not currently working. From our study, we also found the result showing gender difference. Men were showing positive correlation to MAR while women were showing negative in age group and stress level. We interpreted the results that it is possible that gender difference could be related to the result. We reviewed previous studies and found that that men and women have different patterns and choices related to food consumption that men choose more toward meat products while women are more in vegetables [30–32]. Like their findings, our study shows vitamins such as Vitamin A, Vitamin B1 and Vitamin C intakes were higher in women. Regarding the stress level related to MAR, there are many discussions that men and women have a different stress coping mechanism [33–35]. Also, stress and diet quality has an association that stress can influence eating patterns [36, 37]. Due to the stress, some people changes their eating

Table 3 Eating style and MAR by socioeconomic status

Variables	Eating Style						
	Together		Alone			Some Together	
	β	β	S.E	<i>p</i> -value	β	S.E	<i>p</i> -value
Male							
One person household							
Yes	Ref.	-0.072	0.105	0.495	0.030	0.090	0.742
No	Ref.	-0.134	0.039	0.001	-0.002	0.018	0.919
Education Level							
Primary	Ref.	-0.141	0.091	0.124	0.022	0.062	0.725
Secondary	Ref.	0.019	0.114	0.867	-0.039	0.499	0.499
Upper Secondary	Ref.	-0.036	0.056	0.520	0.000	0.030	0.999
Tertiary	Ref.	-0.212	0.059	<.001	-0.002	0.027	0.934
Income Level							
Lowest	Ref.	-0.216	0.068	0.002	0.024	0.040	0.539
Lower-Middle	Ref.	-0.045	0.063	0.479	0.010	0.033	0.752
Upper-Middle	Ref.	-0.058	0.079	0.462	-0.008	0.037	0.827
Highest	Ref.	-0.167	0.081	0.040	-0.032	0.036	0.367
Occupation							
White Collar	Ref.	-0.003	0.085	0.970	0.030	0.031	0.343
Pink Collar	Ref.	-0.067	0.085	0.435	-0.123	0.050	0.015
Blue Collar	Ref.	-0.112	0.059	0.057	0.015	0.029	0.595
Others	Ref.	-0.193	0.070	0.006	-0.007	0.046	0.887
Female							
One person household							
Yes	Ref.	0.059	0.092	0.519	0.103	0.086	0.234
No	Ref.	-0.081	0.026	0.002	-0.010	0.014	0.475
Education Level							
Primary	Ref.	-0.163	0.055	0.003	-0.040	0.039	0.309
Secondary	Ref.	-0.146	0.071	0.040	-0.068	0.045	0.128
Upper Secondary	Ref.	-0.048	0.042	0.252	0.009	0.022	0.695
Tertiary	Ref.	-0.024	0.043	0.578	-0.008	0.023	0.710
Income Level							
Lowest	Ref.	-0.077	0.046	0.096	-0.020	0.030	0.501
Lower-Middle	Ref.	-0.083	0.048	0.086	-0.010	0.027	0.702
Upper-Middle	Ref.	-0.086	0.051	0.092	-0.041	0.028	0.135
Highest	Ref.	-0.044	0.052	0.400	0.031	0.028	0.271
Occupation							
White Collar	Ref.	-0.026	0.052	0.622	-0.002	0.027	0.950
Pink Collar	Ref.	-0.125	0.059	0.035	-0.016	0.036	0.669
Blue Collar	Ref.	-0.178	0.062	0.004	-0.055	0.034	0.107
Others	Ref.	-0.038	0.037	0.306	-0.006	0.021	0.795

Adjusted for living arrangement, residential area, BMI, weight changes, marital status, educational level, income level, occupation, alcohol consumption, smoking, stress level, nutritional education, nutrient supplement intake, and nutrition facts usage



habits and eat more and some eat less and even develop an eating disorder [38]. Therefore, our results could be led by gender differences in stress coping strategies by eating patterns.

With the result of the subgroup analysis, we discovered that the living arrangement for male and female does not influence the diet quality. As per socioeconomic factors, occupation status shows an association between diet qualities. Compared to men who have an office job, men in the service industry, manual work, and others show a gradual decline in diet quality when they eat alone. In the female population, education level expressed a similar trend. From the higher education level to lower education level, the diet quality declined when they eat alone. In the occupational status, women who are in manual work or service industry showed a significant low diet quality.

MAR which is the mean adequacy ratio is one of the indicators to evaluate the individual's nutrient intake. To obtain the value of MAR, the nutrient adequacy ratio, NAR is needed. NAR is the measure of a nutrient intake that is corresponding to the recommended dietary allowance (RDA) for the specific gender and age group [27, 28]. Unlike other nutrition indicators, it does not include

total individual energy intake. However, it is allowed to express the comprehensiveness of the dietary quality. In measuring individual's dietary quality, MAR has been considered as a valid indicator as it references to the recommended dietary allowance [39, 40]. Therefore, we used the Korean Recommended Allowance for Nutrients as the reference to calculate NAR. When the NAR or MAR is 1 or above 1, it indicates that the individual has consumed the adequate amount of nutrient that reached RDA. Compared to previous studies, some of our outcomes were similar [20, 23] in men with low income levels. Additionally, related to income, a previous study concluded that people with higher education and income levels will have a better diet because they can afford diet costs [2, 20]. Our results provide another point of view different from the conventional idea of most previous studies; people who have higher socioeconomic status have better meals [2, 20, 41, 42] yet, their diet quality at the individual nutrient level shows insufficient in a certain nutrient.

Our study was conducted with a national survey and the sample is representative of the general Korean population. In addition, previous studies on nutrition were

heavily focused on specific generation, while our study population is adults [19, 21, 43]. The volume of research on eating alone has increased as it has become a social issue that is very relevant in today's society. However, there are hardly any studies evaluating an association between eating behaviour and diet quality through MAR, so this is one of the advantages of this study. The outcomes from our study provide another perspective on the association between socioeconomic status and diet quality. To determine overall diet quality we used MAR, which was calculated by the NAR for each of nine nutrients. This allowed us to view specific details about diet quality as the NAR indicates the exact amount of each nutrient in the diet. In addition, the simple calculation of MAR is composed of micronutrients that provide more detailed nutrient intake and quality information than categorized food groups such as Healthy Eating Index [44].

While there are advantages compared to other studies, there are also several limitations that require investigation through further study. First, there are limitations inherent in a cross-sectional study design and use of survey data. A causal relationship between variables cannot be determined, unlike a study conducted through cohort data [25] in health survey data and nutrition survey data. Therefore, the cause and effect could be vice versa. Also, we might not include all the possible confounders for the study. In addition, from the nutrition survey, we cannot ensure participants answered with an exact awareness of their food consumption history. The survey was designed to answer for the past 1 year of dietary data rather than 24-h recall data. This would lead to recall bias. Also, the question, by asking for the average of 1 week of the past 1 year to provide supportive evidence to lower the potential bias. This allowed the survey to get general information regarding dietary habits. In this study, carbohydrate and fat did not calculate for NAR and MAR. Based on the methods of KNHANES data on nutrients intake, it was not able to measure NAR for fat and carbohydrates. To calculate NAR, the measurement of units of denominator and numerator should be comparable. In this study, the unit of denominator value is the Recommended Dietary Allowance (RDA) which is reported by The Korean Nutrition Society and The Ministry of Health and Welfare of Korea announce the RDA for the Korean population. In the report, the fat and carbohydrate are given in the Acceptable Macronutrient Distribution Range (AMDR) while the survey, the numerator is collected in the unit of a gram per day. Therefore, matching those two different units of measure to calculate the NAR or MAR was limited when NAR and MAR require to measure the amount of consumed nutrient. Therefore, we reviewed the adequate nutrient intake of nine nutrients and level

of energy [26, 29]. Many studies using MAR and NAR as the outcome values, they do not have the exact same nutrients or all macronutrients to evaluate the level of MAR. Previous investigations [22, 45, 46] in the Korean population, they also excluded carbohydrates and fats measures. Those studies included protein, calcium, phosphorus, iron vitamin A, vitamin B, vitamin B1, vitamin B2, niacin, Vitamin C which was the same as our nutrients. In addition, the recent study done by Donna B. Johnson et al. [47] to assess the nutritional quality of adolescents in US Washington State. In that study, they included calcium, vitamin C, vitamin A, iron, fibre, and protein to measure MAR. As the MAR is the mean value of adequacy of nutrients, the choice of nutrients is dependent on the researchers. Also, due to the data source, we were able to collect nine nutrients and energy to be studied. Therefore, we suggest conducting comprehensive studies with other nutrients such as carbohydrates, fats, fibre, sodium, and other minerals. We measured diet quality with MAR, which is the average nutrient intake. Since it represents the average amount of adequate nutrient intake ratio, it might be misinterpreted that when the MAR equal to 1. There is a possibility that some of the nutrients are compensating for each other in the MAR. Therefore, the investigation of each nutrient would be helpful. To overcome this type of error, we prepared supplementary tables (Additional file 1: Appendix 3) to see the NAR for each nutrient and noticed certain nutrients are below the recommendations such as calcium, vitamin A, and niacin.

In 2015, the one-person household was reported at 27.2% of the total population of Korea, compared to 15.2% in 2000. It is reasonable to expect that the prevalence of persons eating alone will also increase [24]. The Korea Statistics projects that, in 2045, one-person households will make up 36.6% of the total population. It has also been forecasted that the elderly population will expand as well [14, 24]. Based on these numbers, it is not hard to expect that solitary eating will become a common way of eating. Many studies warn that a poor diet could lead to serious health problems [10–12] and emphasize the importance of quality meals [9, 23]. People today experience “modern malnutrition”, which is caused by modern diet habits such as high intakes of sugar, fat, fast food, and soda [8, 11, 48–50]. This type of diet is typically found in solitary eating. If people continue to eat alone, they will have potential risks of developing obesity and metabolic syndromes [11, 45].

The new social phenomena of an increase in one-person households creates public isolation, which can affect public health [14], but at the same time, we need to acknowledge that we have been ignoring the potential risk that another party could have. We were able to infer that, along with changes in our daily lifestyle,

products that supplement diet balance for people who live alone may be beneficial. However, people who live together, mostly with family [25] consider their meals better than others even when they eat alone. Similar to that idea, people who are in a higher social stratum are considered more able to maintain their health by themselves. As we discovered from our analyses, poor meal quality does not appear only among the socially disadvantaged or those who live alone. The results indicate that we should promote nutritional health awareness to the lower socioeconomic class yet we should discriminate to improve diet quality for the entire population as the result of subgroup analysis shown.

Conclusions

This study provides evidence to promote interventions to improve the quality of the diet of the public. Many Korean adults are experiencing low diet quality when they eat alone. The number of people who eat alone is increasing along with the changes of lifestyle. Also, the people who were considered as upper socio-economic status, such as who have high income, education level and white collar occupation status, are also experiencing issues in diet quality. Our study is highly recommended to policy-makers to utilize it as evidence to develop and improve social welfare services for the general population.

Abbreviations

BMI: Body mass index; GLM: Generalized linear model; KNHANES: Korean National Health and Nutrition Examination Survey; MAR: Mean adequacy ratio; NAR: The nutrient adequacy ratio; RDA: Recommended dietary allowance

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Authors' contributions

WJC, JS, YJJ and SJ designed the research and developed the overall research plan. WJC analysed the data and wrote the manuscript. JS and YJJ contributed to perform the statistical analysis. JS and SJ provided intellectual input for the manuscript. ECP supervised the study. All members read and approved the final manuscript for quality assurance of the study.

Competing interests

The authors declare that they have no competing interests.

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Greenhouse gas emissions of self-selected diets in the UK and their association with diet quality: is energy under-reporting a problem?

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Abstract

Background: While the admittedly limited number of epidemiological findings on the association between diet-related greenhouse gas emissions (GHGE) and diet quality are not always consistent, potential influence of bias in the estimation of diet-related GHGE caused by misreporting of energy intake (EI) has not been investigated. This cross-sectional study evaluated diet-related GHGE in the UK and their association with diet quality, taking account of EI under-reporting.

Methods: Dietary data used were from the National Diet and Nutrition Survey rolling programme 2008/2009–2013/2014, in which 4-day food diaries were collected from 3502 adults aged ≥ 19 years. Diet-related GHGE were estimated based on 133 food groups, using GHGE values from various secondary sources. Diet quality was assessed by the healthy diet indicator (HDI), Mediterranean diet score (MDS) and Dietary Approaches to Stop Hypertension (DASH) score. EI misreporting was assessed as reported EI divided by estimated energy requirement (EI:EER).

Results: Mean value of daily GHGE was 5.7 kg carbon dioxide equivalents (CO₂eq), which is consistent with those reported from a number of national representative samples in other European countries. Mean EI:EER was 0.74. Assuming that all the dietary variables were misreported in proportion to the misreporting of EI, the mean value of the misreporting-adjusted diet-related GHGE was 8.2 kg CO₂eq/d. In the entire population, after adjustment for potential confounders (i.e., age, sex, ethnicity, socioeconomic classification, smoking status and physical activity), diet-related GHGE were inversely associated with HDI and DASH score but not with MDS. However, with further adjustment for EI:EER, diet-related GHGE showed inverse associations with all three measures of diet quality. Similar associations were observed when only under-reporters (EI:EER < 0.70; $n = 1578$) were analysed. Conversely, in the analysis including only plausible reporters (EI:EER 0.70–1.43; $n = 1895$), diet-related GHGE showed inverse associations with all diet quality measures irrespective of adjustment.

Conclusions: With taking account of EI under-reporting, this study showed inverse associations between diet-related GHGE and diet quality not only in the entire sample but also in the separate analyses of plausible reporters and under-reporters, as well as potential underreporting of diet-related GHGE.

Keywords: Sustainable diets, Healthy diets, Food consumption, Misreporting

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Background

Rising concerns about climate change led the UK Government to pass the 2008 Climate Change Act, which mandates a reduction in greenhouse gas emissions (GHGE) by 80% by 2050 against the 1990 level [1]. The food supply chain is a major source of GHGE, and the food sector has been estimated to account for 15 to 30% of total GHGE in developed countries [2–4]. Reducing this burden of contemporary food consumption practices on the environment while also improving human health concerns is thus a major challenge of the twenty-first century [5]. While red meat is the top contributor to diet-related GHGE in high-income countries [6–9], higher consumption of red meat has been associated with an increasing risk of total, CVD and cancer mortality [10–12]. Conversely, higher intakes of plant-based foods with lower GHGE such as vegetables, fruits, whole grains and nuts have been associated with lower mortality [11, 13].

Nevertheless, the admittedly limited number of epidemiological findings derived using self-selected diets are not always consistent [14]. Some have reported inverse associations between diet-related GHGE and measures of diet quality [15, 16] while others report positive associations [6]. These heterogeneous results might reflect differences in the types of data sources used, system boundaries in the emission factors adopted, participant characteristics and food and nutrient intake patterns associated with diet-related GHGE [7, 9, 17, 18], in addition to different measures of diet quality. Alternatively, because diet-related GHGE are directly correlated with energy intake (EI) [8, 15, 19], these associations might be confounded by misreporting of EI, particularly under-reporting, which remains an ongoing problem with all self-reported dietary surveys. Although several researchers have raised concerns about the potential influence of this bias in this research field [7, 9, 20, 21], no comprehensive evaluation of its putative impact has yet been made.

A simple and easy way to account for EI under-reporting is to exclude individuals with implausible EI from the analysis, as conducted in several previous studies [7, 9, 20, 21]. However, because EI under-reporting is associated with certain characteristics, particularly overweight and obesity [22–24], this procedure is likely to introduce a selection bias (in addition to reducing sample size) [22, 25]. Another way to account for EI under-reporting is to incorporate the ratio of EI to estimated energy requirement (EER) as a covariate in statistical models [26–29]. Here, we conducted a cross-sectional study to evaluate the GHGE of self-selected diets in the UK and their association with measures of diet quality, while taking account of EI under-reporting.

Methods

Data source and analytic sample

The study was conducted under a cross-sectional design using data obtained between 2008/2009 and 2013/2014 as part of the National Diet and Nutrition Survey (NDNS) rolling programme. Details of that study are provided elsewhere [30, 31]. In brief, a nationally representative sample (approximately 500 adults aged ≥ 19 years and 500 children aged 1.5–18 years) is selected each year via a multi-stage random probability design using a selection of post codes throughout the UK. Further, additional recruitment is carried out in Scotland, Wales and Northern Ireland to obtain country-specific representative data (up to 600 participants per year) [32, 33]. Households are then selected, followed finally by individuals (up to one adult and one child) in participating households. Data collection is conducted throughout the year. The overall response rate ranged from 53% to 56%, depending on survey year [30, 31]. The number of participants aged ≥ 19 years in the 2008/2009–2013/2014 NDNS rolling programme was 4738. After excluding individuals with missing or invalid information on the variables of interest ($n = 330$ for height and weight; $n = 1$ for smoking status; $n = 814$ for physical activity (PA)) and those without 4-day dietary data ($n = 91$), the final analytic sample comprised 3502 adults. None of the women included in the analysis were pregnant or breastfeeding during the survey period. The NDNS rolling programme is conducted according to the guidelines laid down in the Declaration of Helsinki and all procedures involving human subjects are approved by the Oxfordshire Research Ethics Committee. Written informed consent is obtained from all participants. Data for the present study were obtained from the UK Data Archive.

Assessment of basic characteristics

Body height (to the nearest 0.1 cm) and weight (to the nearest 0.1 kg) were measured while the participant was wearing light clothes only, without shoes. Body mass index (BMI; kg/m^2) was calculated as weight (kg) divided by height (m) squared. Self-reported information was collected on the following variables. Socioeconomic status was determined by the National Statistics socioeconomic classification [34], and categorized as higher and managerial occupation, intermediate occupation, routine and manual occupation or other. Ethnicity was categorized as white or nonwhite. Smoking status was categorized as current, former or never smoker.

PA was assessed by a validated questionnaire (i.e., Recent Physical Activity Questionnaire) [35]. In brief, this questionnaire is designed to assess a wide range of PA in the past month in four domains (home, work, commuting and leisure activities). As described elsewhere [36], the time per day spent in moderate-to-vigorous physical activity (MVPA) was calculated. Descriptions on PA

categories (i.e., sedentary, low active, active and very active) and the amount of time spent in MVPA are available in the US Dietary Reference Intakes (DRI) [37]. Specifically, the guidelines state that participation in an additional 30 min of moderate activity raises an individual from the sedentary to the low-active PA category, and about 60 min of moderate activity raises an individual from the sedentary to the active PA category [38, 39]. Thus, the following four categories on PA were created and used in the present analysis: sedentary (< 30 min of MVPA), low active (≥ 30 to < 60 min of MPVA), active (≥ 60 to < 120 min of MVPA) and very active (≥ 120 min of MVPA).

Dietary assessment

Dietary data were collected using a 4-consecutive-day estimated food diary. A detailed description of the procedure has been published elsewhere [40]. In brief, the participants were requested to maintain a record of all items eaten or drunk for four consecutive days. The recording schedule was designed so that all days of the week were evenly represented. Each participant was supplied with a recording diary and a set of photographs of 15 major foods in small, median and large portion sizes, as well as written and verbal instructions by trained interviewers on how the diary should be maintained. The participants used the photographs to describe the portion sizes of foods they consumed. For other foods, portion sizes were described in household measures (e.g., one tablespoon of baked beans) or as the weight indicated on the food package. Trained interviewers visited the household once during the recording period and once within three days after it to check on the completeness of food recording, and whenever necessary sought additional information or modification of the record. The collected diaries were checked by trained coders and editors for coding of food items and for portion sizes. Estimates of daily intake for foods, energy and selected nutrients were calculated based on the Department of Health's NDNS nutrient data bank, which itself is based on McCance and Widdowson's Composition of Foods series [41]. For all dietary variables, mean values over the 4 recording days were used in the analysis.

Assessment of diet quality

Overall diet quality was assessed using the healthy diet indicator (HDI) [42, 43], Mediterranean diet score (MDS) [43, 44] and Dietary Approaches to Stop Hypertension (DASH) score [45, 46] (see Additional file 1: Table S1). These diet quality measures have been prospectively associated with certain desired health outcomes, including lower mortality [16, 42, 44, 47, 48]. The HDI was chosen as a diet quality measure for its primary focus on nutrient intake, while the MDS and

DASH score were selected for their primary focus on intake of food group/type.

The HDI incorporates six nutrients and one food group (saturated fat; polyunsaturated fat; protein; dietary fibre; cholesterol; and non-milk extrinsic sugar; and fruits and vegetables) [42, 43]. If intake remained within the recommended WHO guideline range, that component was assigned a score of one; if not, it was assigned a score of zero, giving a total score range of zero to seven, with a higher score reflecting a healthier dietary pattern.

The MDS represents the Mediterranean diet type, based on the consumption of nine components (vegetables; legumes; fruits, nuts and seeds; cereals; fish; unsaturated to saturated fat ratio; dairy products; meat; and alcohol) [43, 44]. Regarding alcohol, moderate intake (i.e., 10–50 g/d for men and 5–25 g/d for women) was assigned a score of one. For dairy products and meat, a score of one was assigned to intake below or equal to the sex-specific median. For other components, a score of one was assigned to intake above or equal to the sex-specific median. Scores for the nine components were summed to give a total possible range of zero to nine, with a higher score reflecting better consistency with a Mediterranean-type diet.

The Fung's DASH score, originally developed for the US Nurse's Health Study [45], was used with the slight modification of Penney et al., which considered UK dietary habits [46]. Components considered in this measure are vegetables, fruits, whole grain foods, nuts and legumes, low fat dairy products, red and processed meats, non-milk extrinsic sugar, and sodium. Participants were classified for each component into sex-specific quintiles by intake. Scores ranged from 1 to 5 for each quintile; for vegetables, fruits, whole grain foods, nuts and legumes, and low fat dairy products, higher intakes were given higher scores, while for red and processed meats, non-milk extrinsic sugar and sodium, higher intakes were given lower scores. Scores for the eight components were summed, giving a total possible score range of 8, indicating lowest adherence, to 40, for maximum adherence.

Estimation of diet-related greenhouse gas emissions

Diet-related GHGE, expressed as kg of carbon dioxide equivalent (CO₂eq), were calculated as the sum of the product of GHGE value of food group and intake of food group. GHGE values of each food group were taken from Green et al. [49], where values were calculated using life cycle analysis data from the literature, mainly from the UK and Europe, based on all stages from food production, packing, distribution, storage/refrigeration, transportation (farm-to-outlet and retailer-to-home), food handling/preparation (including trimmings and

cooking losses) to consumer waste (including spoilage and plate waste). The GHGE value of each food group ($n = 133$) used in this study is shown in Additional file 1: Table S2. GHGE for the following four food groups were not included in the analysis because of a lack of information in Green et al. [49]: tap water only; beverages dry weight; nutrition powders and drinks; and savoury sauces pickles gravies and condiments.

Evaluation of the accuracy of energy intake misreporting

Misreporting of EI was evaluated based on the ratio of EI to EER, namely, the procedure proposed by Huang et al. [50]. Participants were identified as plausible reporters, under-reporters or over-reporters of EI according to whether the individual's ratio was within, below or above the 95% confidence limits of the expected EI:EER of 1.0. We calculated each subject's EER, based on the information on age, weight, height and PA category (i.e., sedentary, low-active, active or very active, as mentioned above), with the use of equations published from the US DRI [37]. The sex- and age-specific equations for use in populations with a range of weight statuses [37] were used. The 95% confidence limits of the expected EI:EER ratio of 0 on the natural log scale were calculated, taking into account CV in intakes and other components of energy balance (i.e., the within-subject variation in EI: 23%; the error in the EER equations: 11%; and the day-to-day variation in total energy expenditure: 8.2%) [37, 50, 51] as well as the number of diet recording days (4 d). Consequently, under-reporters, plausible reporters and over-reporters were defined as having an EI:EER < 0.70, 0.70–1.43 and > 1.43, respectively.

Statistical analysis

Statistical analyses were performed using SAS statistical software (version 9.4, SAS Institute). All reported P values are two-tailed, and $P < 0.05$ was considered to be statistically significant. Initially, analyses were conducted separately for men and women, but the results were essentially the same, albeit that mean value of diet-related GHGE and the percentage of under-reporters were higher in men than women, as shown in the Results section. We therefore present the results for men and women combined.

Descriptive data are presented as means and SD for continuous variables and percentages of participants for categorical variables. Differences in diet-related GHGE across categories of each of the selected characteristics were examined by the independent t test or ANOVA. When the overall P value from ANOVA was < 0.05, Bonferroni's post hoc test was performed. Differences in plausible reporters and under-reporters (but not over-

reporters, because of their small number) were tested by the independent t test for continuous variables and the chi-square test for categorical variables. Correlations of diet-related GHGE and measures of diet quality (HDI, MDS and DASH score) with EI and EI:EER were investigated using Pearson correlation analyses.

Associations between diet-related GHGE and measures of diet quality were investigated by linear regression analyses using the PROC REG procedure. Potential confounding factors considered were age, sex, ethnicity, socioeconomic classification, smoking status and physical activity (model 1). Further adjustment was made for EI:EER (model 2). All analyses were conducted for the entire population, and also for plausible reporters only and for under-reporters only. It should be noted that adjustment for EI:EER in the analysis of plausible reporters was made because they are just those whose EI values are not extreme enough to be labelled as under- or over-reporters, and thus relatively small misreporting may still occur in plausible reporters.

Data used in the present analysis were weighted to account for the survey's complex sampling structure and non-response bias, using the published weights for combining data from the NDNS rolling programme 2008/2009 to 2013/2014, which has been described elsewhere [33, 52].

Results

This analysis included 1429 men and 2073 women with a mean age of 48 years (Table 1). The mean value of crude diet-related GHGE was 5.7 kg CO₂eq/d (1st, 5th, 95th and 99th percentiles: 2.1, 3.0, 9.5 and 11.6 kg CO₂eq/d, respectively). Compared with EER, EI was under-reported by an average of 26%. Assuming that all the dietary variables were misreported in proportion to the misreporting of EI, the mean value of the misreporting-adjusted diet-related GHGE was 8.2 kg CO₂eq/d (1st, 5th, 95th and 99th percentiles: 3.4, 4.2, 14.1 and 17.0 kg CO₂eq/d, respectively). There were significant associations between diet-related GHGE and all of the potential confounding factors considered (Additional file 1: Table S3).

The percentages of plausible reporters and under-reporters of EI were 54 and 45%, respectively (only 29 participants (0.8%) were classified as over-reporters) (Table 1). Compared with plausible reporters, under-reporters were more likely to be younger, male, employed in routine and manual occupations, current smokers and physically active. They also had higher means of BMI, EER, HDI and DASH score and lower means of diet-related GHGE, EI and MDS.

In the entire population, red meat contributed about one-quarter of diet-related GHGE (24.4%), followed by dairy products, which contributed about one-eighth

Table 1 Characteristics of participants ^a

	All (<i>n</i> = 3502) ^b		Plausible reporters (<i>n</i> = 1895)		Under-reporters (<i>n</i> = 1578)		<i>p</i> ^c
	Mean	SD	Mean	SD	Mean	SD	
Age (years)	47.6	17.7	49.9	18.4	45.0	16.2	< 0.0001
Sex (% male)	49.2		44.3		55.3		< 0.0001
Ethnicity (% white)	89.4		90.0		88.4		0.13
Socioeconomic classification (%)							< 0.0001
Higher and managerial occupation	44.3		46.9		41.4		
Intermediate occupation	19.7		20.4		18.7		
Routine and manual occupation	31.7		27.9		36.1		
Other	4.4		4.8		3.9		
Smoking status (%)							< 0.0001
Current	21.5		17.9		24.9		
Former	23.9		23.6		24.6		
Never	54.7		58.5		50.5		
Physical activity (%)							< 0.0001
Sedentary	39.1		50.4		25.0		
Low active	19.4		21.9		16.8		
Active	19.6		16.9		23.1		
Very active	21.8		10.7		35.1		
BMI (kg/m ²)	27.4	5.4	26.4	4.9	28.6	5.6	< 0.0001
EI (kJ/d)	7653	2375	8611	2119	6410	1847	< 0.0001
EER (kJ/d)	10,699	2673	9788	2340	11,821	2803	< 0.0001
EI:EER	0.74	0.23	0.89	0.14	0.55	0.11	< 0.0001
Diet-related GHGE (kg CO ₂ eq/d)	5.7	2.1	6.3	2.1	5.0	1.8	< 0.0001
HDI	2.3	1.1	2.2	1.2	2.4	1.1	< 0.0001
MDS	4.5	1.7	4.6	1.7	4.3	1.7	< 0.0001
DASH score	24.3	5.2	23.9	5.2	24.7	5.2	< 0.0001

BMI body mass index, CO₂eq carbon dioxide equivalents, DASH Dietary Approaches to Stop Hypertension, EER estimated energy requirement, EI energy intake, GHGE greenhouse gas emissions, HDI healthy diet indicator, MDS Mediterranean diet score

^aPlausible reporters were defined as participants with an EI:EER 0.70–1.43; under-reporters were defined as participants with an EI:EER < 0.70

^bIncluding over-reporters (*n* = 29), defined as participants with an EI:EER > 1.43

^c*P* values for differences between plausible reporters and under-reporters based on the independent *t* test for continuous variables and the chi-square test for categorical variables

(13.6%) (Table 2). Other important contributors (≥5%) were soft drinks (7.3%), cereals (6.9%), sugar and confectioneries (6.0%), fish (6.0%), white meat (5.9%), fat and oils (5.5%) and vegetables (5.3%). Similar results were observed in the analysis of plausible reporters only and of under-reporters only.

Diet-related GHGE were strongly positively correlated with both EI and EI:EER in the entire population (Additional file 1: Table S4). While HDI and DASH score showed weak inverse correlations with EI and EI:EER, MDS was weakly and positively correlated with these measures. The correlations became somewhat weak (or nonsignificant) when analysed for plausible reporters and under-reporters separately, except for inverse correlations for HDI and positive correlations for MDS in under-reporters.

Table 3 shows associations between diet-related GHGE and diet quality measures. In the entire population, after adjustment for potential confounding factors (i.e., age, sex, ethnicity, socioeconomic classification, smoking status and physical activity; model 1), diet-related GHGE were inversely associated with HDI and DASH score but not with MDS. However, with further adjustment for EI:EER (model 2), diet-related GHGE showed inverse associations with all three measures of diet quality. Similar associations were observed when only under-reporters were analysed (albeit that the inverse association for MDS did not reach statistical significance). Conversely, in the analysis including only plausible reporters, diet-related GHGE showed inverse associations with all diet quality measures irrespective of adjustment.

Table 2 Food group intake and percentage contribution of each food group to diet-related GHGE^a

	All (<i>n</i> = 3502) ^b				Plausible reporters (<i>n</i> = 1895)				Under-reporters (<i>n</i> = 1578)			
	Intake (g/d)		Contribution to GHGE (%)		Intake (g/d)		Contribution to GHGE (%)		Intake (g/d)		Contribution to GHGE (%)	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Red meat	85.2	67.1	24.4	16.9	90.5	69.8	24.2	16.2	77.9	61.3	24.8	17.8
White meat	44.3	55.4	5.9	6.8	44.9	59.3	5.4	6.3	42.6	47.9	6.5	7.3
Fish	30.8	37.3	6.0	7.5	35.1	39.3	6.4	7.4	25.5	33.9	5.5	7.5
Dairy products	223.0	150.3	13.6	8.6	247.6	155.4	14.3	8.7	191.8	134.6	12.7	8.4
Eggs	20.5	33.9	1.8	2.5	22.9	40.5	1.8	2.5	17.4	23.3	1.8	2.5
Cereals	185.5	96.8	6.9	5.1	198.8	99.9	6.8	4.9	168.9	89.3	7.1	5.3
Potatoes	90.1	67.1	2.8	2.2	96.6	68.8	2.8	2.0	82.2	64.3	2.9	2.4
Vegetables	139.2	93.3	5.3	4.0	148.3	91.2	5.1	3.6	127.8	94.3	5.5	4.5
Beans and pulses	24.4	35.8	0.7	1.2	25.4	35.7	0.7	1.0	23.2	36.1	0.8	1.5
Nuts and seeds	3.6	11.6	0.2	0.6	4.4	11.6	0.2	0.4	2.8	11.6	0.1	0.7
Fruit	101.2	105.5	2.8	3.0	111.3	108.5	2.8	2.8	88.8	99.4	2.8	3.2
Fats and oils	13.8	11.1	5.5	4.9	16.0	11.6	5.8	5.0	11.0	9.1	5.0	4.6
Sugar and confectioneries	97.7	78.4	6.0	5.8	112.7	78.3	6.2	5.2	77.1	70.2	5.6	6.4
Soft drinks	222.6	347.1	7.3	10.0	223.6	354.4	6.6	8.9	216.4	322.0	8.1	10.9
Fruit juice	50.1	101.9	2.1	4.0	59.6	110.5	2.3	4.0	37.4	78.6	1.8	3.8
Alcoholic beverages	212.2	401.5	4.8	8.0	252.2	452.0	5.2	8.0	165.0	318.8	4.4	8.0
Tea, coffee and water	783.8	494.3	3.9	4.5	828.3	492.2	3.5	3.7	733.0	492.6	4.5	5.3

GHGE greenhouse gas emissions

^a Plausible reporters were defined as participants with a ratio of reported energy intake (EI) to estimated energy requirement (EER) 0.70–1.43; under-reporters were defined as participants with an EI:EER < 0.70

^b Including over-reporters (*n* = 29), defined as participants with an EI:EER > 1.43

Discussion

To our knowledge, this is the first study to examine diet-related GHGE in relation to measures of diet quality, taking account of EI under-reporting. Assuming that all the dietary variables were misreported in proportion to the misreporting of EI, diet-related GHGE were underestimated by 30% in this cross-sectional study based on the UK NDNS rolling programme. In the entire population, diet-related GHGE were inversely associated with HDI and DASH score but not with MDS after adjustment for potential confounders. However, with further adjustment for EI:EER, diet-related GHGE showed inverse associations with all three diet quality measures. Similar associations were observed when only under-reporters were analysed, while in the analysis including only plausible reporters, diet-related GHGE showed inverse associations with all diet quality measures irrespective of adjustment. These findings highlight the importance of taking account of EI misreporting in the entire sample, rather than just excluding EI misreporters.

Our mean estimate of diet-related GHGE was 5.7 kg CO₂eq/d, which is consistent with those reported from a number of national representative samples in other

European countries, such as France (4.1 kg CO₂eq/d) [6], Ireland (6.5 kg CO₂eq/d) [9], Sweden (women: 4.1 kg CO₂eq/d; men: 5.5 kg CO₂eq/d) [18] and the Netherlands (women: 3.7 kg CO₂eq/d; men: 4.8 kg CO₂eq/d) [7]. These differences may be due to differences in the types of data sources used and in system boundaries in the emission factors adopted, in addition to differences in dietary assessment methods and participant characteristics (such as age range and dietary habits). However, we observed that the mean value of diet-related GHGE increased by 30% (8.2 kg CO₂eq/d) with an assumption that all the dietary variables were misreported in proportion to the misreporting of EI. Under-reporting of EI was on average 26% in this study, which is quite similar to that in a subsample of the NDNS rolling programme as assessed against total energy expenditure by the doubly labelled water method (34% in individuals aged 16–64 years and 29% in those aged ≥65 years) [30]. Indeed, this degree of under-reporting is quite common in dietary surveys [53]. It is therefore highly likely that diet-related GHGE will be underestimated to a certain degree if EI misreporting is not taken into account.

In this study, red meat was the top contributor to diet-related GHGE, followed by dairy products. This is

Table 3 Associations between diet-related GHGE and diet quality measures ^a

	Model 1 ^b			Model 2 ^c		
	β ^d	SE ^d	<i>p</i>	β ^d	SE ^d	<i>p</i>
All (<i>n</i> = 3502) ^e						
HDI	-0.12	0.01	< 0.0001	-0.14	0.01	< 0.0001
MDS	0.002	0.01	0.86	-0.07	0.02	< 0.0001
DASH score	-0.51	0.04	< 0.0001	-0.42	0.05	< 0.0001
Plausible reporters (<i>n</i> = 1895)						
HDI	-0.12	0.01	< 0.0001	-0.14	0.02	< 0.0001
MDS	-0.08	0.02	0.0003	-0.10	0.02	< 0.0001
DASH score	-0.51	0.06	< 0.0001	-0.47	0.06	< 0.0001
Under-reporters (<i>n</i> = 1578)						
HDI	-0.15	0.02	< 0.0001	-0.15	0.02	< 0.0001
MDS	0.01	0.03	0.70	-0.05	0.03	0.06
DASH score	-0.42	0.07	< 0.0001	-0.35	0.08	< 0.0001

DASH Dietary Approaches to Stop Hypertension, EER estimated energy requirement, EI energy intake, GHGE greenhouse gas emissions, HDI healthy diet indicator, MDS Mediterranean diet score

^a Plausible reporters were defined as participants with an EI:EER 0.70–1.43; under-reporters were defined as participants with an EI:EER < 0.70

^b Adjustment was made for age (years, continuous), sex (male or female), ethnicity (white or nonwhite), socioeconomic classification (higher and managerial occupation, intermediate occupation, routine and manual occupation or other), smoking status (current, former or never), and physical activity (sedentary, low active, active or very active)

^c Adjustment was made for variables used in model 1 and EI:EER (continuous)

^d Indicating the change of diet quality measures with a 1-kg of carbon dioxide equivalents increase of diet-related GHGE (per day)

^e Including over-reporters (*n* = 29), defined as participants with an EI:EER > 1.43

consistent with previous studies in Ireland [9], the Netherlands [7] and France [8]. Thus, this study provides further evidence based on self-selected diet that reducing meat consumption is likely to contribute to lower diet-related GHGE [2], as indicated in modeling studies [49, 54, 55]. Nevertheless, avoidance or lower intake of animal foods such as red meat may also contribute to nutritional inadequacy of several micronutrients such as iron, zinc and vitamin B-12 [56]. In any case, further research is required to determine the amount of meat consumption (particularly red meat) which is optimum for not only human health but also for the health of the planet.

Misreporting of dietary intake is a common phenomenon. It appears to arise non-randomly [24, 53] and be selective for different kinds of foods, and accordingly nutrients [57–60]. Misreporting has the potential to cause differential errors in dietary data, which in turn hampers the interpretation of studies concerning diet and health. In the worst case it can lead to spurious diet-health relationships [22, 25, 58]. In our present study, EI misreporter (i.e., under-reporters and over-reporters) prevalence was 46%, which is similar to that in previous studies based on similar dietary assessment methods (37% [61] and 38% [62]). The present findings

are similarly consistent with many other studies [22–24, 53, 61] showing that under-reporters differ considerably from plausible reporters, such as with regard to higher body fatness, higher PA, lower socio-economic status and rate of current tobacco use. These differences notwithstanding, the associations of diet-related GHGE with diet quality (in addition to the contribution of food groups to GHGE) seen in under-reporters were reasonably similar to those seen in plausible reporters, after taking into account EI:EER. Nevertheless, misreporting (particularly under-reporting) of EI appeared to confound the inverse associations with diet quality (i.e., MDS), suggesting the importance of taking into account of EI misreporting. The reason for this observation is not precisely known, but one speculation is that even though foods are differentially misreported, these reporting errors are correlated with EI misreporting, to some extent at least (Pearson correlations between EI and food group intakes ranged from 0.08 to 0.46 in this study). This does not conflict with observations based on biomarkers of protein, potassium and sodium (24-h urinary excretion) [57, 60, 63].

After taking account of EI misreporting, we identified inverse associations between diet-related GHGE and all three measures of diet quality (i.e., HDI, MDS and DASH score). An inverse association with DASH score was similarly observed in British [15] and Dutch [16] populations. An inverse association with HDI was also observed in the Dutch study [16]. Conversely, a study in France showed a positive association between diet-related GHGE and diet quality assessed as a composite measure of three different indices, namely mean adequacy ratio, mean excess ratio and energy density [6]. These heterogeneous findings may be at least partly explained by the use of different measures for diet quality, given that different diet scores have conceptual differences. Given the improbability of identifying a diet quality index which is able to capture all aspects of healthy diets, the use of different measures of diet quality, as conducted in this study, may be an important approach to ensuring the robustness of the findings.

The strengths of this study include its detailed dietary information obtained from a 4-d food diary, measured anthropometric data, and use of an individualized measure of EER to assess EI misreporting in a representative sample in the UK. However, several limitations also warrant mention. First, because of the limited availability of food-level GHGE data, we obtained the estimates of diet-related GHGE from GHGE values for 133 food groups, rather than those assigned to individual food items (> 2000 in the NDNS). Accordingly, we have likely underestimated variation in diet-related GHGE. Nevertheless, this strategy is currently the only feasible approach in many epidemiological studies given the

challenges of building a standardised comprehensive food database [64]. Further, our estimate of diet-related GHGE is of a comparable magnitude to those provided in studies in Europe [6, 7, 9, 18]. Second, environmental impact was indicated using GHGE only, rather than such criteria as land and water use or biodiversity. As highlighted in a recent systematic review [65], these should be simultaneously taken into consideration in future studies.

At present, the use of doubly labelled water as a bio-marker is the only way to secure unbiased information on energy requirements in free-living settings [53]. Nevertheless, this technique is expensive and cannot be practically applied to large-scale dietary surveys like the NDNS. As a replacement, we determined EER with the use of published equations [37]. In the absence of measured total energy expenditure, these equations with high R^2 values (0.82 for men and 0.79 for women) [37] would serve as the best proxy, although the selection of PA category was based on self-report (i.e. a validated questionnaire), which may be susceptible to reporting bias. This notion is evidenced, at the population level at least, by the finding of under-reporting in this study, and of under-reporting observed in comparison with total energy expenditure using doubly labelled water in a subsample of the NDNS rolling programme [30], as mentioned above.

Another limitation of this study is its relatively low response rate (53 to 56% among survey years). However, an analysis based on the previous NDNS, which had a response rate for dietary recording of 47%, concluded that there was no evidence to suggest confounding by a serious non-response bias in the NDNS [66]. Finally, although we adjusted for a variety of potential confounding variables, residual confounding could not be ruled out.

Conclusion

This cross-sectional study, based on self-selected diets in the UK, revealed that consistent inverse associations between diet-related GHGE and measures of diet quality were observed when misreporting of EI was taken into account, as well as potential underestimation of diet-related GHGE. Thus, misreporting (particularly under-reporting) of EI appeared to confound the inverse associations with diet quality. However, because these associations were observed not only in the entire population but also in both plausible reporters and under-reporters separately, simple exclusion of individuals with implausible EI was not justified. Given the widespread presence of misreporting in dietary surveys [24, 53], and the fact that reporting errors in the intake of individual foods and nutrients appear to correlate with reporting errors in

EI to some extent at least [57, 60, 63], routine utilization of procedures to take account of EI misreporting [26] would likely improve the accuracy of studies of diet-related GHGE.

Abbreviations

BMI: Body mass index; CO₂eq: Carbon dioxide equivalents; DASH: Dietary Approaches to Stop Hypertension; DRI: Dietary Reference Intakes; EER: Estimated energy requirement; EI: Energy intake; GHGE: Greenhouse gas emissions; HDI: Healthy diet indicator; MDS: Mediterranean diet score; MVPA: Moderate-to-vigorous physical activity; NDNS: National Diet and Nutrition Survey; PA: Physical activity

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Authors' contributions

KM formulated the hypothesis, designed the study, analysed and interpreted the data and wrote the manuscript. MBEL helped in the writing of the manuscript. Both authors read and approved the final manuscript.

Competing interests

Both authors declare that they have no competing interests.

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Adherence to Mediterranean and low-fat diets among heart and lung transplant recipients: a randomized feasibility study

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Abstract

Background: Heart and lung transplant recipients are at a substantially increased risk of cardiovascular disease (CVD). Since both low-fat and Mediterranean diets can reduce CVD in immunocompetent people at high risk, we assessed adherence among thoracic transplant recipients allocated to one or other of these diets for 12 months.

Methods: Forty-one transplant recipients (20 heart; 21 lung) randomized to a Mediterranean or a low-fat diet for 12 months received diet-specific education at baseline. Adherence was primarily assessed by questionnaire: 14-point Mediterranean diet (score 0–14) and 9-point low-fat diet (score 0–16) respectively, high scores indicating greater adherence. Median scores at baseline, 6 months, 12 months, and 6-weeks post-intervention were compared by dietary group. We further assessed changes in weight, body mass index (BMI) and serum triglycerides from baseline to 12 months as an additional indicator of adherence.

Results: In those randomized to a Mediterranean diet, median scores increased from 4 (range 1–9) at baseline, to 10 (range 6–14) at 6-months and were maintained at 12 months, and also at 6-weeks post-intervention (median 10, range 6–14). Body weight, BMI and serum triglycerides decreased over the 12-month intervention period (mean weight – 1.8 kg, BMI –0.5 kg/m², triglycerides – 0.17 mmol/L). In the low-fat diet group, median scores were 11 (range 9–14) at baseline; slightly increased to 12 (range 9–16) at 6 months, and maintained at 12 months and 6 weeks post-intervention (median 12, range 8–15). Mean changes in weight, BMI and triglycerides were – 0.2 kg, 0.0 kg/m² and – 0.44 mmol/L, respectively.

Conclusions: Thoracic transplant recipients adhered to Mediterranean and low-fat dietary interventions. The change from baseline eating habits was notable at 6 months; and this change was maintained at 12 months and 6 weeks post-intervention in both Mediterranean diet and low-fat diet groups. Dietary interventions based on comprehensive, well-supported education sessions targeted to both patients and their family members are crucial to success. Such nutritional strategies can help in the management of their substantial CVD risk.

Keywords: Mediterranean diet, Low fat diet, Dietary compliance, Randomized study, Pilot projects, Organ transplant recipients

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Background

Cardio-metabolic disturbance is common in heart and lung transplant recipients and is associated with cardiovascular disease (CVD)-related morbidity and mortality [1, 2]. Despite careful patient management, blood pressure and blood lipids tend to rise after transplantation such that 5 years after heart transplantation, the cumulative incidence rates of hypertension and hyperlipidemia are 92 and 88% respectively [1], and similar after lung transplantation [2]. Overweight/obesity are known predictors for these conditions, and excessive weight gain occurs frequently post-transplantation [3, 4]. Several studies have shown dramatic upward weight trajectories in organ transplant recipients in the post-transplant period [5] with, for example, an average 10 kg weight gain in the first year in heart transplant recipients [3]. Factors contributing to this weight gain include altered energy metabolism [6] and side-effects of medications [7]. To prevent obesity and reduce the risk of associated chronic conditions in the general population, dietary modification is fundamental. Two dietary regimens have been shown to reduce CVD risk: the low-fat diet and the Mediterranean diet [8].

In contrast, in immunosuppressed populations, current CVD management focuses on tailoring immunosuppression and drug treatment [9]; only a limited number of studies have shown dietary approaches to be effective in organ transplant populations [10–12]. As a consequence, little is known about adherence to dietary interventions following transplantation [12], although it is recognized that in general, non-adherence to interventions is common and limits their overall effectiveness [13]. We therefore performed this study to assess adherence in thoracic transplant recipients randomly assigned to either of the two dietary interventions known to reduce CVD risk factors. We also assessed whether transplant recipients maintained these dietary changes after cessation of the intervention.

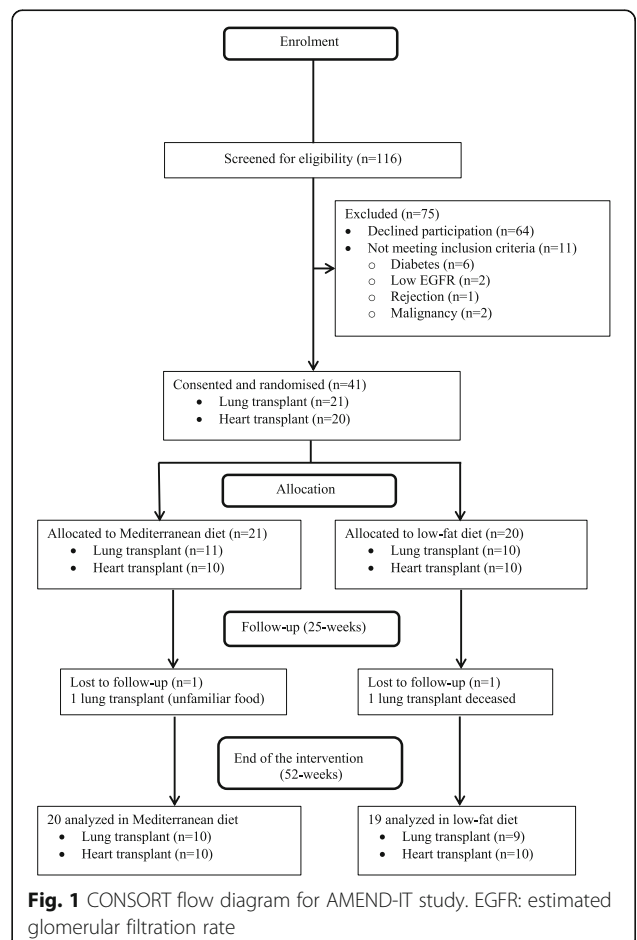
Methods

The Assessment of the MEditerranean Diet In heart and lung Transplantation (AMEND-IT) study was a single-center parallel-randomized study designed to assess the feasibility and acceptability of two dietary interventions, namely the Mediterranean diet and low-fat diet among heart and lung transplant recipients. The 12-month study was conducted at the University Hospital of South Manchester. Eligible participants were clinically stable, aged ≥ 16 years, and a minimum 6 months post-transplant. Exclusion criteria included acute rejection, infection, prevalent cancer, diabetes, or chronic kidney disease (estimated glomerular filtration rate ≤ 30). Patients with any competing dietary issues

(i.e. food allergies and following medically prescribed diets that conflicted with the interventions) were also excluded.

Study participants were identified through hospital records at the transplant outpatient clinic and recruitment commenced in February 2014 and ended in October 2014. A total of 116 heart or lung transplant recipients meeting the criteria were contacted. Each received an information package that included a participant information sheet, contact details and a return form. Among those contacted, 75 patients were not included (64 declined participation, 11 did not meet inclusion criteria) and the remaining 41 (20 heart, 21 lung) gave written consent to participate (Fig. 1). The study was approved by the NRES Committee North West (REC reference number 13/NW/0310) and was retrospectively registered on the IRAS trial registry (ISRCTN63500150).

Participants were stratified according to organ type and transplant date, and then randomly assigned to either a Mediterranean diet or a low-fat diet intervention using a computerized system with random block size and an equal 1:1 allocation ratio. To blind the investigator during recruitment, randomized codes



were sent to a third person who then allocated the randomized interventions to patients per protocol.

Dietary intervention

The study sought to change patients' overall dietary habits through behavioral modification. Several 5-h group education sessions were conducted for each diet group (with an accompanying family member if desired) on specified dates outside routine outpatient visits. A nutritionally-trained investigator administered the education sessions and explained the scientific rationale in a visual, interactive manner, and advice given about preparation and storage of fresh, whole foods as relevant. A trained chef demonstrated practical methods for Mediterranean or low-fat meal preparation. Attendance at each session was restricted to maximum of 10–12 participants (excluding family members). Energy intake restriction was not explicit, but portion sizes were discussed throughout the study. Each participant was encouraged to attend this baseline education session with an adult member of the same household [14]. All participants received a printed booklet containing advice about shopping, food preparation, hygiene, storage, dining out and recipes. Additional advice and support were provided at 6- and 12-month outpatient visits, and during six 15-min telephone consultations spaced evenly through the intervention period, when participants could raise any questions or concerns and when key dietary recommendations (e.g. plant-based diet, consume minimally processed food) were reinforced. SMS messaging was also used to remind patients of clinic study requirements.

Participants allocated to the Mediterranean diet received information and encouragement to follow an eating pattern representative of a traditional Mediterranean diet [15]. The key dietary recommendations were: daily mixed consumption of a range of vegetables, fruit, wholegrains, fish/seafood, raw nuts and legumes; abundant use of extra-virgin olive oil (a free 5-l container of extra-virgin olive oil was provided to each participant); moderate consumption of dairy products and red wine; low intake of red and processed meats, of sweets, sweet-baked pastries and sweetened beverages.

Participants assigned to the low-fat diet were advised to follow modified British Heart Foundation low-fat guidelines [8] with an emphasis on consuming mainly plant-based wholefoods similar to the Mediterranean diet, with advice to minimize high-fat foods such as processed meats, commercially baked pastries and desserts, and vegetable oils and spreads. Advice was given on how to identify and avoid different types of fat. Each participant received a low-fat recipe book. The main difference between the two diets was the intake of oil and fat which was encouraged to a moderate degree

in the Mediterranean diet but discouraged in the low-fat diet.

Dietary assessment

Participants were asked to complete intervention-specific short dietary questionnaires at baseline, 6- and 12-months and again 6-weeks after the intervention to determine short-term post-study adherence. These questionnaires were completed at the hospital during routine visits, except for the post-intervention questionnaire that was sent by mail and completed at home.

Adherence to the Mediterranean diet was measured using a 14-point Mediterranean diet-screening questionnaire, adapted from the previously developed and validated version used in the *Prevención con Dieta Mediterránea* (PREDIMED) study conducted in a high CVD risk population [15]. The short Mediterranean diet questionnaire contains 14 questions characterizing key food groups commonly consumed in a traditional Mediterranean diet (Additional file 1) [15]. Favorable responses ('yes') were assigned a value of '1'; 'no' was assigned '0' and answers were summed to a total Mediterranean diet score ranging from 0 to 14, with higher scores indicating greater adherence. A validation study among a separate sample of 16 heart and lung transplant outpatients demonstrated good agreement with the Mediterranean diet score derived from the 183-item previously validated self-administered semi-quantitative food frequency questionnaire (FFQ) [16]. The mean agreement expressed as a ratio (short questionnaire: FFQ) was 0.99 (95% limits of agreement 0.60–1.38) (ratio of 1.00 indicating perfect agreement) and the two one-sided t-test showed the scores derived from the two methods were equivalent.

For participants assigned to the low-fat diet, the 9-point short questionnaire also adapted from the PREDIMED study [15] was used to measure adherence. The 9-point low-fat diet questionnaire assessed consumption frequencies or serving size of seven food items and two items assessed dietary habits (Additional file 2). For questions that assessed food intake, there were three possible answers scoring '0–2'; with favorable responses receiving higher scores. For the two questions that assessed dietary habits, favorable responses ('yes') were assigned '1' and 'no' was assigned '0'. Resultant low-fat diet scores ranged from 0 to 16 with a higher score indicating greater adherence. The same validation study that assessed validity of the Mediterranean diet questionnaire also showed good agreement between the low-fat short questionnaire with the FFQ: mean agreement 1.04, 95% limits of agreement 0.12–0.79; and the two one-sided t-test showed results from the two methods were equivalent [16].

Adherence index

A diet adherence index was created for each diet using the scores from the short adherence questionnaire rescaled to range from 0 to 100, to reflect percentage of score achieved. For example, low-fat diet participants scoring 16 received an adherence index of 100 ($16/16 \times 100$), whereas those who scored 9 in the Mediterranean diet achieved an adherence index of 64 ($9/14 \times 100$). This enabled comparisons of the patterns of adherence between the two dietary regimens.

Anthropometric and laboratory measurements

We measured body weight and serum triglycerides at baseline and at the end of the intervention to provide objective measures of adherence to the allocated diets. Weight was measured wearing light clothing with calibrated scales. Body mass index (BMI) was calculated (kg/m^2). Fasting blood samples were collected and processed immediately and stored at -80°C for later analysis. Triglycerides were quantified on an Architect c16000 immunoassay analyzer.

As a potential indicator of clinical effectiveness of the interventions, a biomarker of inflammatory state, namely high sensitive C-reactive protein (hs-CRP), was measured. However, hs-CRP values were highly skewed because inflammation status was heavily influenced by other factors, especially the background morbidity (generally high inflammation) and routine medication (Prednisolone, lowering inflammation) in these patients. Consequently, these data did not provide useful information by diet group.

Statistical analysis

As this was a feasibility study, no formal sample size calculation was carried out. However, we aimed to enroll 40 to 50 participants, a number sufficient to indicate if the interventions were acceptable and the clinical evaluations feasible.

Statistical analyses were performed with SAS, version 9.4 (SAS Institute Inc. Cary, NC). Descriptive statistics were carried out and median (interquartile range (IQR)) was used for the continuous variables, and number (%) for ordinal or categorical variables. The Wilcoxon signed rank sum test was used to compare differences in the median baseline Mediterranean diet or low-fat diet scores, and the scores at each follow-up time. Taking into account the potential imbalanced baseline indices within the groups, ANCOVA was also used to assess adherence indices at each follow-up time compared with baseline indices [17]. Since this is a feasibility study, significance tests of differences between the two diet groups were not performed [18].

Results

Among the 41 participants, one lung transplant recipient assigned to the Mediterranean diet was lost to follow-up due to dislike of unfamiliar food types and one lung transplant recipient in the low-fat diet group died from chronic rejection. As a result, $n = 20$ in the Mediterranean diet group (10 heart, 10 lung) and $n = 19$ in the low-fat diet group (10 heart, 9 lung) completed the study. In the Mediterranean diet group, 13 (65%) had a family member attend the education session and in the low-fat diet group 16 (84%) were accompanied by a family member. At baseline, the mean age of those randomized to the Mediterranean diet and low-fat diet groups was 56 and 54 years, respectively (Table 1). While body weight was slightly higher in the Mediterranean diet group, BMI was no different ($29 \text{ kg}/\text{m}^2$ for both groups). Similarly, the two groups had comparable waist circumference, systolic and diastolic blood pressure, and heart rates. All participants were on immunosuppressive medication and most were prescribed antihypertensive and/or cholesterol-lowering medications.

At baseline, the median Mediterranean diet score was 4 (IQR 2) overall (Table 2). The score significantly increased to 10 at 6 months (IQR 3; $p < 0.001$) and

Table 1 Baseline characteristics of Mediterranean and low-fat diet groups ($N = 41$)

	Mediterranean ($n = 21$)	Low-fat ($n = 20$)
Age (year)[median (range)]	58 (33–65)	59 (27–65)
Male [n (%)]	15 (71)	14 (70)
Weight (kg \pm SD)	87 \pm 15	82 \pm 16
BMI ($\text{kg}/\text{m}^2 \pm$ SD)	29 \pm 4	29 \pm 5
Waist circumference (cm \pm SD)	102 \pm 12	100 \pm 13
Systolic BP (mm Hg \pm SD)	138 \pm 13	141 \pm 14
Diastolic BP (mm Hg \pm SD)	86 \pm 11	88 \pm 8
Heart rate (bpm \pm SD)	80 \pm 13	79 \pm 11
Immunosuppressive medication [n (%)]		
Cyclosporine	14 (67)	17 (85)
Tacrolimus	7 (33)	3 (15)
Everolimus	1 (5)	0 (0)
Mycophenolate	13 (62)	12 (60)
Azathioprine	5 (24)	5 (25)
Prednisolone	21 (100)	20 (100)
Other medication [n (%)]		
Antihypertensive agents	17 (81)	15 (75)
Cholesterol lowering medication	17 (81)	16 (80)
Organ transplantation [n (%)]		
Heart	10 (48)	10 (50)
Lung	11 (52)	10 (50)

Table 2 Median scores (interquartile range) from short dietary questionnaire¹ at each time point and the score differences from the baseline to each time point²

	Mediterranean diet (n = 20)		Low fat diet (n = 19)	
	Scores at each point	Differences from baseline	Scores at each point	Differences from baseline
Baseline				
All	4 (2)	–	11 (5)	–
Heart	4 (1)	–	11 (5)	–
Lung	4 (4)	–	10 (5)	–
6 months				
All	10 (3)	5 (3)***	12 (2)	2 (3)***
Heart	10 (3)	5 (3)**	13 (2)	3 (6)*
Lung	10 (3)	5 (2)**	12 (2)	2 (2)*
12 months				
All	9 (4)	4 (2)***	13 (3)	2 (4)**
Heart	11 (4)	5 (3)**	13 (3)	3 (3)*
Lung	9 (2)	4 (4)**	13 (3)	2 (2)*
6 weeks post-intervention				
All	10 (3)	5 (3)***	12 (2)	2 (4)*
Heart	10 (3)	5 (4)**	13 (2)	3 (5)*
Lung	10 (3)	5 (2)**	11 (2)	1 (3)

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

¹Mediterranean diet score ranged from 0 to 14; low-fat diet score ranged from 0 to 16; higher scores indicate greater adherence

² p -values from Wilcoxon signed rank sum test

remained elevated at 12 months and at 6 weeks post-intervention. For the low-fat diet group, the median baseline score was 11 (IQR 5); and increased to 12 (IQR 2; $p < 0.001$) at 6 months. The score remained high during and after the intervention.

The median adherence index at baseline was lower for the Mediterranean diet group (median 29) compared with the low-fat diet group (median 66) (Fig. 2). However, the Mediterranean diet adherence index increased to a level comparable to the low-fat diet group at each follow-up time point; and was maintained 6-weeks after the intervention ceased. A significant increase in indices at each time point from baseline were observed for both diet groups (all $p < 0.001$) and there were no statistically significant differences in transplant organ types in either intervention (all $p > 0.05$).

Adherence was objectively assessed by changes in body weight, BMI and serum triglycerides in the 12 months from baseline to the end of the intervention period. Compared with baseline body weight, there was a mean weight loss of 1.8 kg in the Mediterranean diet group (–1.8 kg; 95% CI –4.6, 1.1) at 12 months, and negligible weight loss in the low-fat diet group (mean –0.2 kg; 95% CI –2.4, 2.1). Similarly, BMI decreased in Mediterranean diet group from 29.0 to 28.5 kg/m²

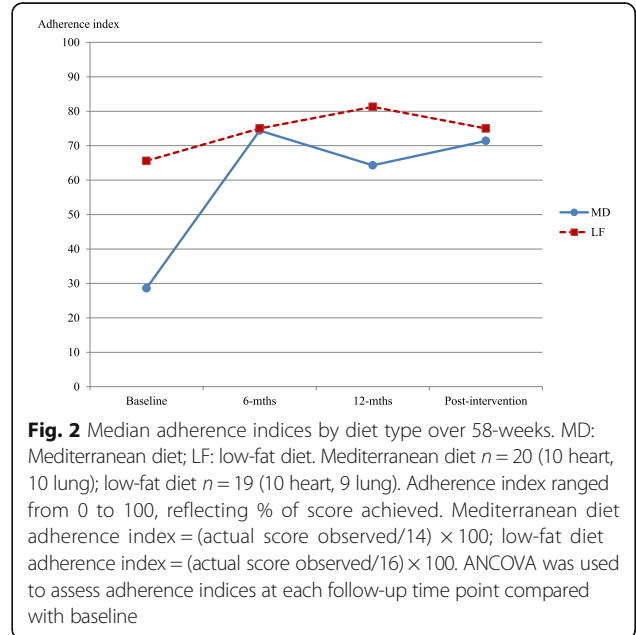


Fig. 2 Median adherence indices by diet type over 58-weeks. MD: Mediterranean diet; LF: low-fat diet. Mediterranean diet $n = 20$ (10 heart, 10 lung); low-fat diet $n = 19$ (10 heart, 9 lung). Adherence index ranged from 0 to 100, reflecting % of score achieved. Mediterranean diet adherence index = (actual score observed/14) \times 100; low-fat diet adherence index = (actual score observed/16) \times 100. ANCOVA was used to assess adherence indices at each follow-up time point compared with baseline

(mean change –0.5 kg/m², 95% CI –1.4, 0.4) whereas no change was observed in the low-fat group over the 12 months (28.6 kg/m² at both time point, mean change 0.0 kg/m², 95% CI –0.8, 0.7). Over the same period, the serum triglycerides levels declined in both groups: Mediterranean diet –0.17 mmol/L (mean –9%, 95% CI –20, 4); low-fat diet –0.44 mmol/L (mean –21%, 95% CI –33 to –7).

Discussion

In this feasibility study comparing two dietary interventions in a thoracic transplant outpatient setting, both groups reported changes in their normal eating pattern and adhered to their allocated dietary regimen. In both Mediterranean diet and low-fat diet groups, the change from baseline eating habits was evident at 6 and 12 months; and this change was maintained 6 weeks after intervention.

The current evidence regarding dietary intervention and adherence among solid organ transplant recipients is limited [12]. Indeed this is the first known randomized study reporting adherence to different dietary interventions in heart or lung transplant recipients. One previous non-randomized study was conducted in 42 heart transplant recipients who were encouraged to follow the American Heart Foundation Step 1 Diet and adherence was assessed after 3 months, with only 50% adhering to the diet in that short-term study [10].

Non-adherence to dietary regimens in intervention studies is common and clearly hinders effectiveness [13]. Type of diet prescribed may influence adherence as a low-fat diet appears more difficult to follow and maintain compared with a moderate-fat diet [19]. However,

in our study adherence did not differ between the groups and this may be partly due to the detailed advice given to the low-fat diet group. Overall fat and oil intake reduction was emphasized and practical advice was given about how to achieve this (e.g. shopping and cooking). Thus, the actual dietary advice, its delivery methods, and whether close patient support is on hand, appears very important. As highlighted by Zeltzer et al. [12], nutritional support following transplantation is currently sub-optimal and dietary advice is often too general and too-often provided without visual or practical information. To increase adherence, we used several methods: ensuring family support, practical and visual cooking advice, and educational sessions designed to emphasize the reasons why specific foods are beneficial whilst others contribute to disease progression. Our integrated and highly supportive approach may also explain the very low attrition observed during the 12-month intervention.

The PREDIMED study showed a 2-point increase in the Mediterranean diet score in a non-transplant population which was associated with a 14% reduction of all-cause mortality [20]. Similarly, a one-point increase in the Mediterranean diet adherence score was associated with an 18% reduction of myocardial infarction amongst a high risk CVD Mediterranean population [21]. Although there are differences in how diet adherence was assessed in these previous studies, our finding of a 5-point improvement is potentially clinically important among heart and lung transplant recipients.

The previous dietary intervention study of 42 heart transplant recipients encouraged consumption of a low-fat diet for 12 months [10]. This coincided with a reported beneficial effects on lipid and glucose regulation, weight loss and statin use in those who adhered, compared with non-adherent patients at 12 months and at 48-months follow-up [10]. These findings highlight the importance of adherence to diet regimens to help optimize health status. Further, in the present study we found baseline adherence index was much lower for the Mediterranean diet than the low-fat diet, likely reflecting the unfamiliarity of the Mediterranean diet in the UK as a non-Mediterranean European population [22] and the standard low-fat dietary advice previously given to study participants [23].

Adherence measured by body weight and serum triglycerides was further evidence of participants' dietary changes. While the weight reduction observed appears small, without any intervention, post-operative organ transplant recipients weight changes are typically relentlessly upward [5]. Similarly, a rising trajectory of blood lipids including triglycerides is well documented among organ transplant recipients [1, 2]. Nonetheless, the level of triglycerides decreased in both diet groups indicating participants had followed their allocated diets. In particular,

the findings of lowered serum triglycerides suggest our participants reduced energy intakes that were excessive.

Limitations included the assessment of adherence using short diet questionnaires. Although short questionnaires have been widely used and reflect adherence of specific diets in non-transplant population [24]; method has not yet been validated with biomarkers of dietary intakes among transplant population. The repeatability has also not been assessed. However, the relative validity of the diet short questionnaires was assessed against a FFQ and showed good agreement [16]. In addition, adherence was assessed using body weight and serum triglycerides as objectively measured clinical and biomarker outcomes: these indicated adherence had been maintained. Finally, although the results from this feasibility study may not be widely generalizable because of small sample size and thus likely not representative, the methods and findings should assist in planning similar intervention studies using short index-based adherence questionnaires.

Conclusion

Based on our findings, implementation of Mediterranean diet or low-fat diet interventions among clinically stable heart or lung transplant recipients can be achieved, adhered to, and maintained throughout a 12-month period, and even in the short-term, post-intervention. Dietary interventions based on education sessions targeting both patients and family members are crucial for the interventions' success. The educational approach with visual aids and practical information, along with the comprehensive support strategy, are likely to have assisted in patients' adoption and maintenance of their allocated diets during and after the intervention. Findings from this study provide new evidence to inform nutritional support strategies in thoracic transplant recipients.

Abbreviations

AMEND-IT study: Assessment of the Mediterranean Diet In heart and lung Transplantation study; BMI: Body mass index; CI: Confidence intervals; FFQ: Food frequency questionnaire; hs-CRP: High sensitive C-reactive protein; IQR: Interquartile range; PREDIMED study: Prevención con Dieta Mediterránea study

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Authors' contributions

The authors' responsibilities were as follows: TRE conceptualised and conducted the study, collected and managed the data, and wrote the manuscript. ACG assisted with study conception and design, data interpretation and supervised the study. JEF assisted in the study conception and design, helped obtain the funding, and supervised the study. KM analysed the data, assisted in the data interpretation, and wrote the manuscript. All authors critically reviewed the manuscript and approved the final version submitted for publication.

Competing interests

The authors declare that they have no competing interests.

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Dietary quality linkage to overall competence at school and emotional disturbance in representative Taiwanese young adolescents: dependence on gender, parental characteristics and personal behaviors

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Abstract

Background: Child school performance during puberty may be at increased risk through emotional disturbance. It is hypothesized that this may be mitigated by dietary quality.

Methods: In a nationally representative sample (Nutrition and Health Survey in Taiwan, NAHSIT), 1371 Taiwanese aged 11–16 years, overall competence at school, (OCS) and emotional status have been assessed by teachers with the SAED (Scale for Assessing Emotional Disturbance). Parents provided family socio-demographics and students completed a behavioral and dietary questionnaire (Youth Healthy Eating Index - Taiwan, YHEI-TW). Associations between emotional disturbance (ED), OCS and dietary quality (YHEI-TW) were assessed in multiple linear regression models with adjustments for covariates including parental characteristics, personal behaviors, body fatness and puberty.

Results: Boys or girls with ED had a less favorable OCS ($p < 0.001$), minimally dependent on YHEI-TW. On multivariable analysis there was a more positive association between OCS and YHEI-TW among boys ($\beta = 0.05, p < 0.01$) and girls ($\beta = 0.07, p < 0.001$). Poor dietary quality was associated with ED, especially in girls ($\beta = -0.06, p < 0.001$). Additionally, parental characteristics, body fatness, and personal behaviors are associated with OCS. Puberty is associated with ED and may be indirectly linked to OCS.

Conclusions: Unsatisfactory food intake is associated with the link between emotional disturbance and impaired school performance, as assessed by OCS, especially among girls. For both genders, socio-economic and behavioral factors including parenteral income, reading, screen viewing and smoking are modulators of this association. Puberty was a modifying factor in girls. Dietary quality is a relevant factor for health (ED) as well as education (OCS) during early adolescence.

Keywords: Gender, Parental characteristics, Personal behaviors, Dietary quality, Puberty, Junior high school, School performance

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Background

Adolescence, nowadays considered the transitional period between childhood and adulthood, is a critical time in human development when pubertal change is underway, personal identity is being formatted and when future livelihood prospects are being established [1], especially through the education system. Minimizing emotional disturbance (ED) [2, 3] and optimizing school performance [4] at this time is a desirable research and policy objective. However, associations between ED and school performance are poorly documented [5, 6]. There is a growing recognition that items in the SAED (scale for assessing emotional disturbance) methodology [7] may be linked to overall competence at school (OCS) as a surrogate for school performance [8, 9] or learning disability [10]. A number of factors could affect any association between ED and OCS. These include home environment, school environment [11, 12], personal behaviors such as recreation and physical activity, cigarette smoking & alcohol usage [11], dietary quality [8, 13] nutritional status insofar as body fatness is concerned [14], and pubertal development [11].

Diet may also be a key factor in the expression of emotional disturbance [13, 14] and in cognitive and school performance [8, 15–18]. It may operate through energy regulation in conjunction with physical activity and sedentariness given that cognitive function appears amenable to measures which alter cellular energy regulation [19, 20], particularly in relation to the increasing burden of the metabolic syndrome and diabetes [21, 22]. Dietary quality has also been shown to play a role in emotion, mood [23] and mental performance [13] where limited food biodiversity, notably of plant foods, and degree of processing, with more ready-to-eat low nutrient density food items are the riskier consumption patterns [18, 23, 24]. However, there are limited observations about the connectedness of dietary patterns and brain function in relation to the child-adult transition. Partly, this is because of the biological heterogeneity and its secular trends at this time of reproductive transition.

Parents have the potential to affect both ED and OCS in many ways which reflect their continuing, if declining, role as providers of care, support and resources during their offspring's adolescence [25]. This is likely to include pathways like diet [24, 26]. This is the case during elementary school where, particularly in girls vulnerable through low birth weight, nutritious diets have been associated with less likelihood of both emotional disturbance and poor school performance [13]. Further documentation and understanding of these phenomena may prompt guardians and mentors of young people to identify and act preventatively in regard to them, especially in regard to food choice and diet [2, 27].

Our principal hypothesis is that emotional disturbance (ED) during the child-to-adult transition is adversely associated with school performance as represented by overall competence (OC) and that dietary quality may mitigate such a linkage. This association may, nevertheless, be modulated by various factors. The opportunity has been taken to study emotional disturbance and school performance among junior high school boys and girls in Taiwan in regard to diet and puberty, taking into account parental and various personal behavioral characteristics.

Methods

Study participants

Participants were adolescents aged 11–16 years (grade 7–9) who participated in the Nutrition and Health Survey in Taiwan (NAHSIT) 2010–2011. The original study is a national representative cross-sectional survey. All of Taiwan's 358 townships/districts were classified into 5 strata (northern 1, northern 2, central, southern and eastern area) by geographical location and population density. The survey used the PPS (probabilities proportional to sizes) sampling method to select 1620 students from 30 junior high schools (6 schools from each stratum) randomly. NAHSIT comprises questionnaires administered by face-to-face interview of parents and students (including a food frequency questionnaire, 24-h dietary recall, pubertal development, personal behaviors from students; and family socio-demographics from parents). Physical examination (including body composition, blood pressure) was conducted and plasma metabolic analytes obtained through venipuncture by a health care professional. Thus, the questionnaire covered both dietary and non-dietary health factors [28].

The present study excluded participants who did not complete the emotional disturbance questionnaire, leaving 1371 (656 boys and 715 girls) junior high school students eligible for analysis. The survey was approved by the Institutional Review Board of the National Health Research Institutes, Taiwan.

Measures

The scale for assessing emotional disturbance (SAED)

The modified Scale for Assessing Emotional Disturbance (SAED) was used to assess the NAHSIT students' school and social performance, the original questionnaires being developed by Epstein and Cullinan [13, 29, 30]. The SAED scales for students were assessed by their teachers using the SAED questionnaire. The SAED is a rating scale designed to help identify students with emotional and/or behavioral difficulties at school. Its test-retest reliability coefficient is above > 0.80, and most of its subscales have inter-rater reliability coefficients over 0.79 [7]. The Chinese modification of SAED as used in this study has a high overall reliability of 0.92 and validity of

0.76 [29]. The SAED consist of a total of seven subscales including OC considered in this study as OCS and six other subscales. These are: Inability to Learn (IL, 8 items), Relationship Problems (RP, 6 items), Inappropriate Behavior (IB, 10 items), Unhappiness or Depression (UD, 7 items), Physical Symptoms or Fears (PF, 8 items), Social Maladjustment (SM, 6 items), and Overall Competence at school (OCS, 7 items). OCS has been treated separately from SAED in this study (see below). Within the above subscales, items were scored by each student's Class Mentor teacher. As previously described, the first six subscales (52 items) were scored on a four-point scale (0 = not a problem, 1 = mild problem, 2 = considerable problem, 3 = severe problem), and five-point scale for OCS (0 = far below average, 1 = below average, 2 = average, 3 = above average, and 4 = far above average). The raw scores for the SAED subscales were summed and converted into standardized scores (mean = 10 and SD = 3). Compared with the Taiwanese Non-Emotional Disturbance Norms, a substantially deviant SAED score (except OCS) is indicated by a score that above the 91 percentile (>90th percentile), which corresponds to Z-scores ≥ 13 [29]. Therefore, a score of 13 was chosen as the cut point. Children with a Z-score ≥ 13 were considered to have emotional disturbance (ED). IL, RP, IB, UD, and PF scores could be combined to produce a total score of ED characteristics. These five subscale scores plus SM score can be combined to yield an "SAED total" score [30, 31].

Overall competence at school (OCS)

OCS was used to assess the students' overall performance and adaptation at school. The questions for OCS included (1) intellectual functioning, (2) family support for school, (3) overall level of academic functioning, (4) motivation for schoolwork, (5) level of peer support, (6) personal hygiene (e.g. grooming, dressing), and (7) interest in activities outside of school. Students with an OCS Z-score less than or equal to 6 (fell below the 9th percentile) were considered to have an unfavorable overall school performance [29, 30].

Dietary quality (YHEI-TW)

The Youth Healthy Eating Index-Taiwan (YHEI-TW) is a diet quality scoring system modified from the U.S. YHEI, which captures the adolescent's diet quality by assessing his or her adherence to dietary guidelines [32]. The YHEI-TW scores were obtained from daily consumption of 11 components derived from food frequency questionnaires and a 24-hour dietary recall employed during NAHSIT: (1) whole grains (0 to ≥ 2 servings, 0–10 points), (2) vegetables (0 to ≥ 3 servings, 0–10 points), (3) fruits (0 to ≥ 3 servings, 0–10 points), (4) dairy (0 to ≥ 3 servings, 0–10 points), (5) meat ratio

(0 to ≥ 20 , 10–0 points), (6) snack foods (i.e., salty snacks and snacks with added sugar, 0 to ≥ 3 servings, 10–0 points), (7) sweetened beverages (0 to ≥ 3 servings, 10–0 points), (8) multivitamins (never-daily, 5–0 points), (9) fried foods outside of home (never-daily, 0–5 points), (10) consumption of breakfast (never to > 5 times/week, 0–5 points) and (11) dinner with family (prepared by family member, 5 points). It does not take into account butter/margarine and visible animal fat, which were part of the original YHEI (US), since consumption of these items has been negligible in Taiwan. In all cases, except component 11 (dinner patterns), scores were derived in a proportionate manner. Thus, total scores range from 0 to 90, where higher scores indicate better dietary quality [13, 33, 34].

Covariate measurements

Covariates were derived from questionnaires, by anthropometry and from laboratory measurements of metabolic analytes in the NAHSIT survey. Those considered in the present study included mother's education (lower than university, university and above), household income (0–30,000, 30,000–50,000, 50,000–80,000, > 80,000 NTD/month where US\$1 = NTD 30), ever smoking (no, yes), read during weekdays (0–1, 1–3, ≥ 3 h/day), watch TV during weekdays (0–1, 1–3, ≥ 3 h/day), play computer games during weekdays (0–1, 1–3, ≥ 3 h/day), moderate or heavy physical activity (0–30, ≥ 30 min/day; in accordance with Taiwanese recommendations) [35], BMI (underweight, normal, overweight, obesity; (the Childhood Obesity Expert Panel of the Taiwanese Department of Health defines 'obesity' and 'overweight' (≥ 95 th and ≥ 85 th percentile value of body mass index (BMI), respectively) using age- and gender-specificity percentiles for BMI (each gender and year of age from 2 to 18 had its own cut-off point for overweight and for obesity) [36], and puberty (boys: beard growth (not yet, at first, in progress, completed); girls: menarche (yes, no)).

Statistical analysis

Chi-square tests were used to assess the significant differences between categorical variables. We used t tests to assess differences in continuous variables. Categorical variables are presented as percentages (%), and continuous variables are presented as means (standard errors, SE). Multiple linear regression models (MLRs) were used to test associations between the key determinants (household, personal behaviors, puberty, dietary quality, body fatness) and outcome measures (ED and OCS); and in the adjustments for mother's education, household income, ever smoking, reading during weekdays, watching TV during weekdays, playing computer games during weekdays, moderate or heavy physical activity, pubertal development and BMI. Full models were considered

which included all relevant variables. The regression coefficients reported are from these models. Statistical significance was set at $p < 0.05$. Data were analyzed using SAS 9.3 for Windows, weighted by SUDAAN [37]. SUDAAN was also used to adjust for the study design effect of cluster sampling to obtain unbiased estimates of the standard errors.

An overview of the study design and models is provided in Fig. 1.

Results

Overall competence at school

Table 1 shows the junior high school students' characteristics by overall competence as an index of school performance. The mean age of the 1371 participants (51.7% are boys) was 13.6 years. There were 5.9% adolescents with unfavorable overall competence at school (OCS) (OCS Z-score ≤ 6). The percentage in boys was higher than girls (61.3% vs. 38.7%, $p = 0.042$). Adolescents had a better OCS when parents had a higher education. Adolescents in households where income was less than 30,000 NTD/month (about 1000 US dollars) had the most unfavorable OCS (34.5%), whereas this was only 11.2% in the $> 80,000$ NTD/month group ($p < 0.001$).

Personal Behaviors

Compared with favorable OCS, more smokers were found among unfavorable OCS (24.5%, $p = 0.019$), those who read only 0–1 h/day during weekdays (67.2%, $p = 0.01$), those who watched TV ≥ 3 h/day during weekdays

(12.9%, $p = 0.006$), and those who played computer games ≥ 3 h/day during weekdays (13.6%, $p = 0.064$).

Dietary Quality

Participants with unfavorable OCS had lower dietary scores than did those with favorable OCS (44.0 ± 1.1 vs. 48.4 ± 0.5 , $p < 0.001$) and also a higher consumption frequency (times/week) of fast foods (0.7 ± 0.1 vs. 0.4 ± 0.1 , $p = 0.029$), sugary beverages (6.5 ± 0.6 vs. 5.3 ± 0.2 , $p = 0.053$), and flavored milk (1.4 ± 0.3 vs. 0.7 ± 0.1 , $p = 0.015$), but a lower cheese consumption (0.6 ± 0.1 vs. 0.9 ± 0.1 , $p = 0.035$) (Table 2).

Puberty

In regard to pubertal development, boys with 'completed' beard growth had the most unfavorable OCS (4.5%, $p = 0.036$) (Table 2). The means of YHEI-TW scores were not significant difference among onset of puberty in the present study (data not shown).

Body fatness and Metabolic analytes

Adolescents with an unfavorable OCS had a higher BMI and a lower HDL cholesterol than did those with a better OCS (BMI: 21.9 ± 0.5 vs. 20.8 ± 0.2 , $p = 0.046$; HDL: 50.8 ± 1.9 vs. 55.6 ± 0.7 , $p = 0.022$) (Table 2).

Emotional disturbance

Figure 2 shows the SAED Z-scores of adolescents by dietary quality ($<$ or \geq YHEI-TW median). The median YHEI-TW for boys was 48.2, and 48.5 for girls.

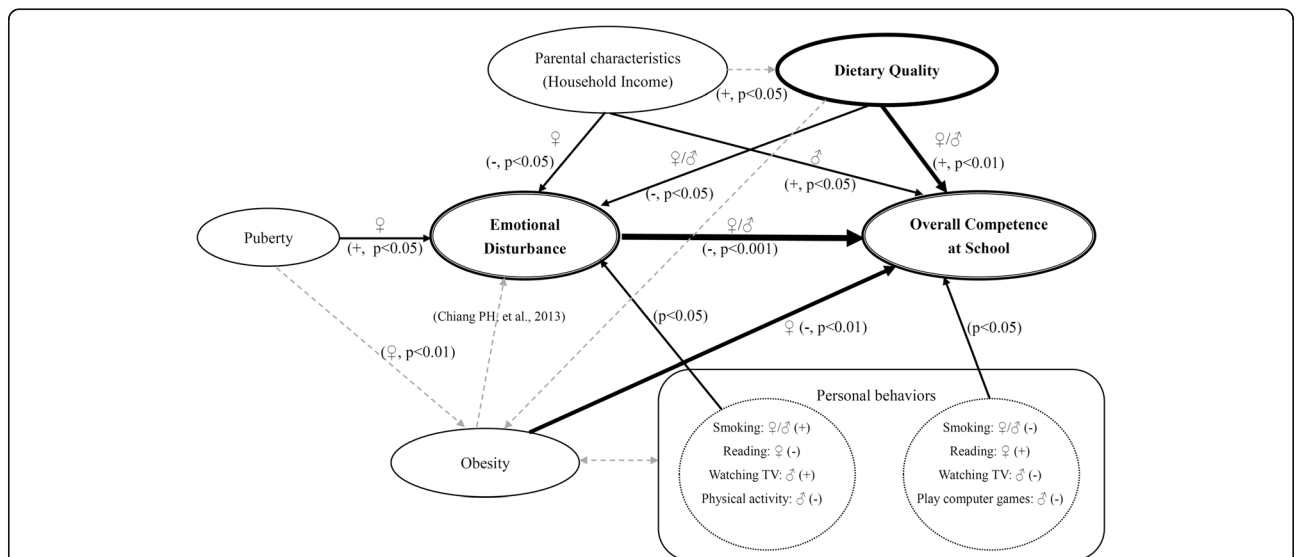


Fig. 1 Pathways to emotional disturbance and overall competence at school in Taiwanese adolescents. Where an association is significant, the level of significance is indicated with the relevant p value and in accordance with gender. The findings represented in this figure are those of the present report except for "obesity→emotional disturbance" which have been reported in Reference [14] for Taiwanese schoolchildren

Table 1 Basic characteristics of junior high school students by Overall Competence (OCS) (*N* = 1371)

Characteristics ^a	Overall	OCS ^b		<i>P</i> value
		Z-score ≤ 6	Z-score > 6	
Participants (%)	1371 (100)	98 (5.9)	1273 (94.1)	
Mean age in years (SE)	13.6 (0.1)	13.3 (0.1)	13.6 (0.1)	0.130
Gender (%)				0.042
Boys	51.7	61.3	51.1	
Girls	48.3	38.7	48.9	
Father's ethnicity (%)				0.777
Fukienese	76.6	71.9	76.9	
Hakka	13.0	15.8	12.8	
Mainlander	8.3	9.2	8.2	
Indigenes	2.2	3.2	2.1	
Father's educational level (%)				0.008
Secondary education and below	40.5	61.9	39.1	
University and above	59.5	38.1	60.9	
Mother's educational level (%)				0.018
Secondary education and below	33.4	47.5	32.5	
University and above	66.6	52.5	67.5	
Household income (%)				<0.001
0–30,000 NTD/month ^c	19.3	34.5	18.4	
30,000–50,000 NTD/month	21.7	24.1	21.6	
50,000–80,000 NTD/month	29.8	30.1	29.6	
> 80,000 NTD/month	29.2	11.2	30.3	
Ever smoking (%)				0.019
No	89.9	75.5	90.8	
Yes	10.1	24.5	9.19	
Drinking alcohol (%)				0.730
No	87.4	85.4	87.6	
Yes	12.6	14.6	12.4	
Read during weekdays (%)				0.010
0–1 (h/day)	45.6	67.2	44.3	
1–3	42.0	30.0	42.8	
≥ 3	12.4	2.9	13.0	
Watch TV during weekdays (%)				0.006
0–1 (h/day)	58.1	33.9	59.7	
1–3	33.7	53.2	32.5	
≥ 3	8.13	12.9	7.8	
Play computer games during weekdays (%)				0.064
0–1 (h/day)	62.3	48.8	63.2	
1–3	29.2	37.6	28.7	
≥ 3	8.45	13.6	8.1	
Moderate or heavy physical activity (%)				0.734
0–30 (min/day)	26.0	24.7	26.1	
≥ 30	74.0	75.3	73.9	

^aCategorical variables are presented as percentage (%), and continuous variables are presented as mean (SE)

^bStudents with an OCS Z-score less than or equal to 6 were considered to have unfavorable overall school performance

^c1 US dollars = 30 NTD

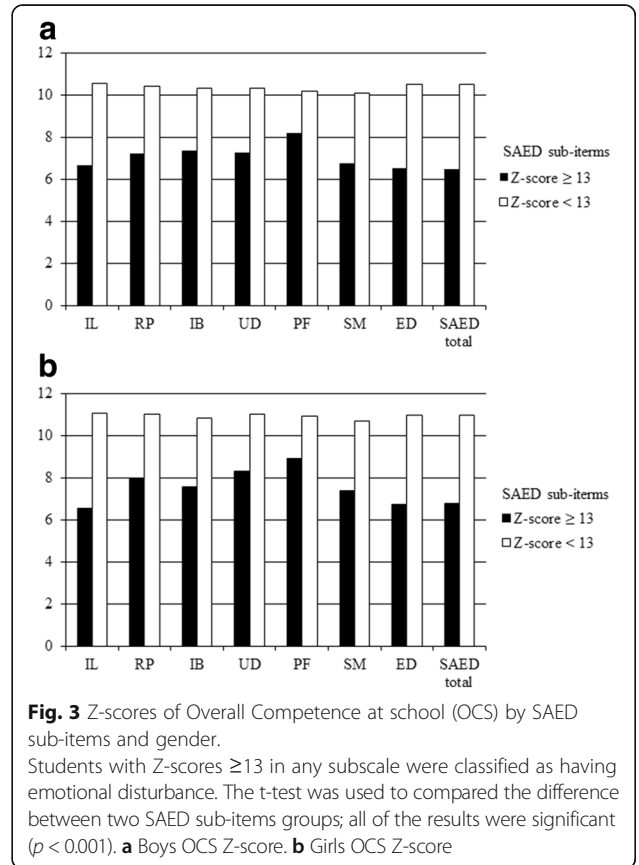
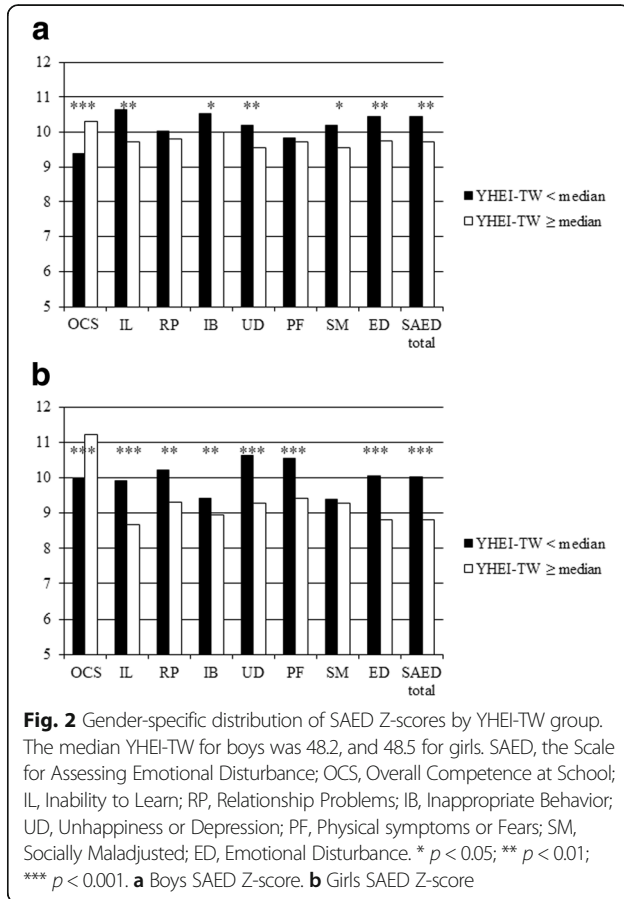
Table 2 Student food intakes, physical examination and metabolic analytes by Overall Competence (OCS)

	Overall	OCS ^a		<i>p</i> value
		Z-score ≤ 6	Z-score > 6	
Mean (SE) Dietary score (YHEI-TW)	48.1 (0.5)	44.0 (1.1)	48.4 (0.5)	<0.001
Mean (SE) Food consumption (times/week)				
Fast foods consumption	1.7 (0.1)	2.3 (0.3)	1.7 (0.1)	0.086
Fast foods from fast food chain store	0.5 (0.1)	0.7 (0.1)	0.4 (0.1)	0.029
Fast foods from non-fast food chain store	1.3 (0.1)	1.6 (0.3)	1.2 (0.1)	0.206
Sugary beverages consumption	5.4 (0.2)	6.5 (0.6)	5.3 (0.2)	0.053
Dairy products consumption				
Milk	3.5 (0.2)	3.0 (0.7)	3.6 (0.2)	0.499
Flavored milk	0.7 (0.1)	1.4 (0.3)	0.7 (0.1)	0.015
Yoghurt	0.5 (0.1)	0.7 (0.2)	0.5 (0.1)	0.342
Cheese	0.9 (0.1)	0.6 (0.1)	0.9 (0.1)	0.035
Development of puberty				
Menarche (girls only) (%)				0.929
Yes	94.0	93.5	94.0	
No	6.0	6.5	6.0	
Beard growth (boys only) (%)				0.036
Not yet	33.4	45.3	32.4	
At first	31.4	36.9	30.9	
In progress	33.8	13.2	35.4	
Completed	1.5	4.5	1.2	
Mean (SE) Body compositions				
Height (cm)	161 (0.3)	160 (1.2)	161 (0.3)	0.716
Weight (kg)	54.2 (0.5)	56.7 (1.7)	54.0 (0.5)	0.146
Body mass index (BMI, kg/m ²)	20.8 (0.2)	21.9 (0.5)	20.8 (0.2)	0.046
Triceps skin fold thickness (TSF, mm)	16.6 (0.4)	17.2 (0.9)	16.6 (0.4)	0.496
Mid Arm Muscle Circumference (MAMC, cm)	20.0 (0.2)	21.5 (1.2)	19.9 (0.2)	0.221
waist circumference (WC, cm)	74.2 (0.5)	76.2 (1.2)	74.1 (0.5)	0.114
Mean (SE) Blood pressure (mmHg)				
Systolic blood pressure (SBP)	105 (0.5)	106 (1.5)	105 (0.5)	0.520
Diastolic blood pressure (DBP)	60.5 (0.7)	61.5 (1.2)	60.4 (0.7)	0.301
Mean (SE) Plasma metabolic analytes				
Fasting glucose (mg/dL)	95.4 (0.4)	95.2 (0.9)	95.4 (0.4)	0.835
Total cholesterol (mg/dL)	158 (1.4)	155 (3.3)	159 (1.4)	0.191
Triglycerides (mg/dL)	71.4 (1.8)	78.3 (5.5)	71.0 (1.7)	0.150
HDL cholesterol (mg/dL)	55.3 (0.7)	50.8 (1.9)	55.6 (0.7)	0.022
LDL cholesterol (mg/dL)	88.8 (1.2)	87.9 (3.2)	88.8 (1.2)	0.761
Uric acid (mg/dL)	5.8 (0.1)	6.2 (0.2)	5.74 (0.1)	0.063

^aStudents with an OCS Z-score less than or equal to 6 were considered to have unfavorable overall school performance
YHEI-TW, Youth Healthy Eating Index-Taiwan

Whether boys or girls, those with better diet quality had higher overall competence at school ($p < 0.001$). Boys with poorer diet quality had higher IL, IB, UD, SM, ED and total SAED Z-scores compared to those

with better diet quality ($p < 0.05$). Among girls, those with poorer diet quality demonstrated more emotional disturbance (except SM) compared to those with better diet quality ($p < 0.01$).



Emotional disturbance and overall competence at school
 Figure 3 shows the significant difference between total SAED and its sub-types with OCS as Z-scores $<$ or ≥ 13 . Whether boys or girls, students with emotional disturbance (Z-score ≥ 13) had significantly lower OCS Z-scores. Students without emotional disturbance had a better overall competence at school than those with emotional disturbance ($p < 0.001$). There were significant inverse associations between OCS and SAED and its sub-items among boys and girls (Additional file 1). When these relationships for SAED itself and its sub-items were adjusted for dietary quality, the β coefficients in the range of -0.32 to -0.85 were marginally reduced by the order of 0.01 – 0.05 in boys and girls, but remained significant; this indicated that a contribution from dietary quality to ED-linked OCS was small (Additional file 1).

Predictive models for OCS

The multiple linear regression analysis for OCS Z-scores, with reference to YHEI-TW and relevant co-variates, is shown in Fig. 4. In conjunction with other co-variates, there was a significant positive association between OCS and YHEI-TW among boys ($\beta = 0.05$, $p < 0.01$) and girls ($\beta = 0.07$, $p < 0.001$). Boys with a household income of 50–

80,000 NTD/month had an OCS Z-score which was 1.02 greater than for those with $< 30,000$ NTD/ month ($p < 0.05$). Compared with not smoking, not watching TV, and not playing computer games 0–1 h/day during weekdays, boys who did had lower OCS Z-scores ($\beta = -1.26$ ($p < 0.05$), -0.76 ($p < 0.05$), and -0.93 ($p < 0.001$) respectively). For girls, ever smoking and obesity were associated with lower OCS Z-scores than their non-smoking and normal weight counterparts (β was -0.97 ($p < 0.05$) and -1.34 ($p < 0.01$) respectively). Girls who read ≥ 3 h/day during weekdays had higher OCS Z-scores than did those who read 0–1 h/day ($\beta = 1.23$, $p < 0.05$).

The R^2 for the complete model to predict OCS was 0.21 for boys and 0.22 for girls, explaining 21% and 22% of the variance respectively; without YHEI-TW in the model, R^2 was 0.18 and 0.16 (18% and 16% of the variance respectively) (Fig. 4).

Predictive models for SAED

Tables 3 and 4 show the multiple linear regressions for SAED subscales with reference to YHEI-TW and relevant co-variates. There was a significant inverse association between IL and YHEI-TW ($\beta = -0.05$, $p < 0.01$) among boys. In the multivariable models, boys who had ever smoked had higher IL ($\beta = 1.87$, $p < 0.05$), IB ($\beta = 1.88$, $p < 0.01$),

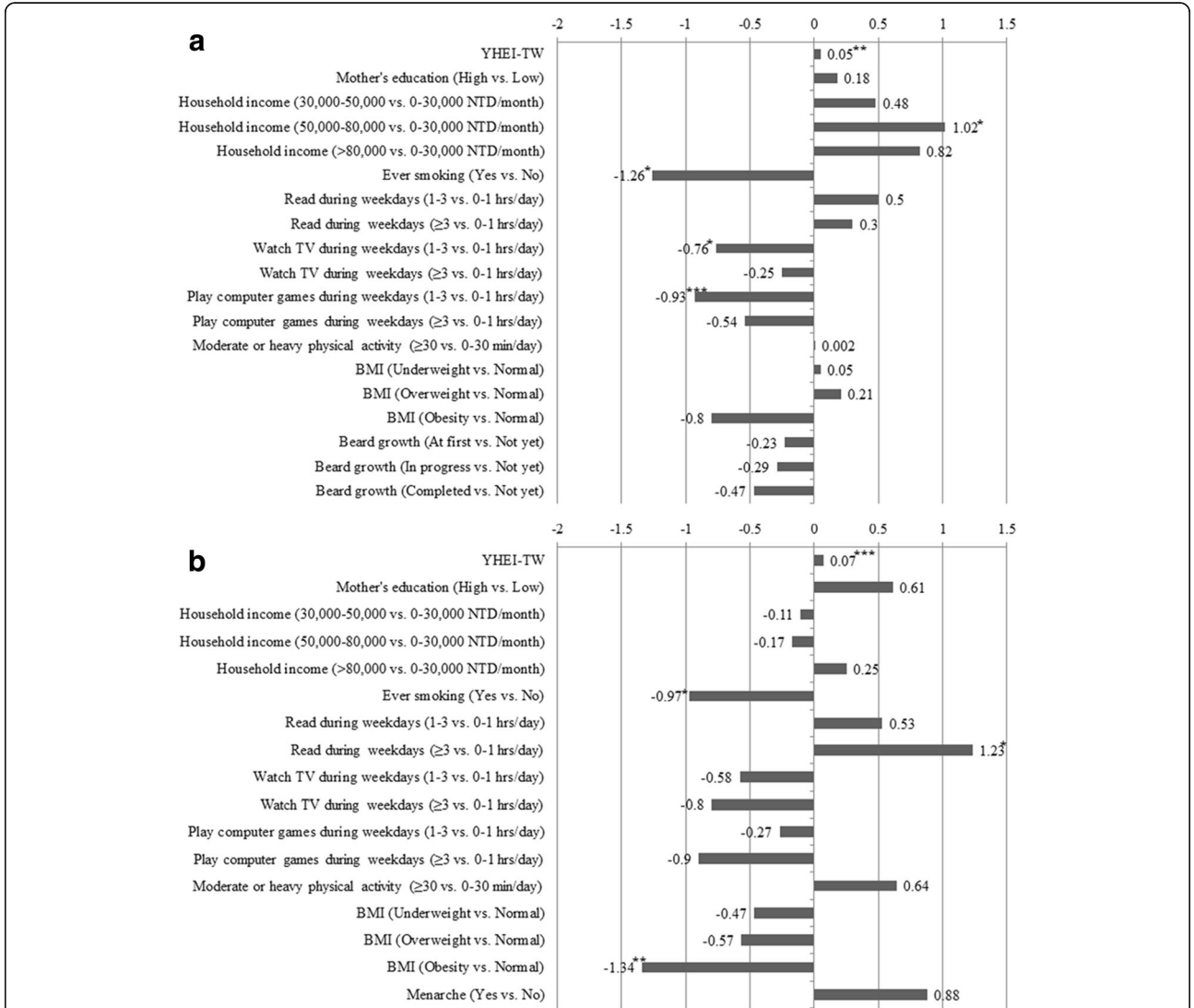


Fig. 4 Socio-demographic, behavioral, nutritional and pubertal β -coefficients from MLRs^a for the Overall Competence Z-score by gender. ^a MLRs, Multiple Linear Regressions. The R^2 for the complete model to predict OCS was 0.21 for boys and 0.22 for girls. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$. **a** Boys. **b** Girls

SM ($\beta = 2.15, p < 0.05$), ED ($\beta = 1.65, p < 0.05$), and SAED total ($\beta = 1.73, p < 0.05$) Z-scores. Compared with 0–1 h/day, boys who watched TV ≥ 3 h/day during weekdays were positively associated with PF ($\beta = 2.03, p < 0.01$) and ED ($\beta = 1.53, p < 0.05$) Z-scores, but negatively associated with the SM ($\beta = -0.99, p < 0.05$) Z-score. Boys who engaged in moderate or heavy physical activity (≥ 30 min/day) had lower PF Z-scores than did those who reported 0–30 min/day ($\beta = -0.75, p < 0.05$). Among girls, there were significant inverse associations between SAED subscale (except IB and SM) and YHEI-TW Z-scores. Girls' households with 50,000–80,000 NTD/month income had lower IB, ED, and SAED total Z-scores (β values were $-0.69 (p < 0.05)$, $-0.97 (p < 0.01)$, and $-0.95 (p < 0.01)$, respectively) compared with those from the lowest

household income group (0–30,000 NTD/month). Girls who had ever smoked had greater IL ($\beta = 1.62, p < 0.01$), IB ($\beta = 1.96, p < 0.01$), ED ($\beta = 1.78, p < 0.05$), and SAED total ($\beta = 1.78, p < 0.05$) Z-scores than those who never smoked. Unlike boys, there were significant negative associations between reading and IL, IB, SM, ED, and SAED total Z-scores among girls. In addition, girls who had entered menarche had higher PF ($\beta = 1.2, p < 0.01$) and SM ($\beta = 0.34, p < 0.05$) Z-scores than those who had not. The R^2 for the complete model to predict SAED was 0.13 for boys and 0.21 for girls, explaining 13.4% and 20.6% of the variance respectively; without YHEI-TW in the model, R^2 was 0.13 and 0.15 (12.9% and 15.0% of the variance). Dietary quality (YHEI-TW) is associated with overall competence at school (OCS) as shown in Figs. 1 and 4 ($\beta =$

Table 3 Socio-demographic, behavioral, nutritional and pubertal β -coefficients from MLRs^a with R-square for SAED sub-items^b in boys

Characteristics	SAED Z-score							
	IL	RP	IB	UD	PF	SM	ED	SAED total
YHEI-TW	-0.05**	-0.02	-0.01	-0.01	0.0002	-0.02	-0.03	-0.03
Mother's education (Ref: Low)								
High (University and above)	-0.36	0.45	0.25	0.01	-0.08	0.25	-0.002	0.01
Household income (Ref: 0–30,000 NTD/month) ^c								
30,000–50,000 NTD/month	-0.56	-0.40	-0.26	0.56	0.35	0.51	-0.21	-0.16
50,000–80,000 NTD/month	-0.92	-0.78	-1.13	-0.30	-0.06	-0.28	-0.91	-0.89
> 80,000 NTD/month	-0.67	-0.37	-0.55	-0.15	0.36	-0.53	-0.47	-0.48
Ever smoking (Ref: No)								
Yes	1.87*	0.22	1.88**	1.23	0.38	2.15*	1.65*	1.73*
Read during weekdays (Ref: 0–1 h/day)								
1–3 h/day	-0.26	-0.24	-0.59	-0.50	-0.12	-0.03	-0.44	-0.42
≥ 3 h/day	-0.22	-0.45	-0.49	-0.30	0.05	0.11	-0.36	-0.34
Watch TV during weekdays (Ref: 0–1 h/day)								
1–3 h/day	0.31	0.21	-0.40	0.45	0.05	-0.38	0.14	0.11
≥ 3 h/day	1.19	0.50	1.02	1.61	2.03**	-0.99*	1.53*	1.40
Play computer games during weekdays (Ref: 0–1 h/day)								
1–3 h/day	0.46	0.26	0.72	0.66	0.49	0.55	0.65	0.66
≥ 3 h/day	0.002	0.41	0.78	0.08	-0.71	-0.35	0.20	0.17
Moderate or heavy physical activity (Ref: 0–30 min/day)								
≥ 30 min/day	0.07	0.35	-0.40	0.08	-0.75*	-0.37	-0.14	-0.16
BMI (Ref: Normal weight)								
Underweight	0.08	0.10	-0.19	-0.22	-0.35	0.35	-0.11	-0.08
Overweight	-0.15	1.32	0.66	0.46	0.02	0.74	0.46	0.49
Obesity	0.88	0.50	-0.22	-0.06	-0.15	-0.38	0.34	0.30
Beard growth (Boys only) (Ref: Not yet)								
At first	-0.39	0.004	-0.09	0.07	0.04	-0.03	-0.16	-0.16
In progress	-0.62	-0.74	-0.63	-0.31	-0.43	-0.34	-0.70	-0.70
Completed	-0.02	-0.50	0.55	-0.70	-0.13	-0.16	-0.09	-0.10
R ² with YHEI-TW	0.175	0.049	0.124	0.097	0.083	0.123	0.133	0.134
R ² without YHEI-TW	0.159	0.047	0.124	0.096	0.083	0.119	0.128	0.129

^aMLRs, Multiple Linear Regressions^bThe Scale for Assessing Emotional Disturbance (SAED) sub-items including Inability to learn (IL), Relationship problems (RP), Inappropriate behavior (IB), Unhappiness or depression (UD), Physical symptoms or fears (PF), Socially maladjusted (SM), and Emotional Disturbance (ED)^c1 US dollars = 30 NTD* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

0.05, $p < 0.01$ for boys; 0.07 with $p < 0.001$ for girls). It is also associated with ED in boys and girls. In particular, there is a significant inverse association between IL and YHEI-TW ($\beta = -0.05$, $p < 0.01$) among boys (Table 3). Among girls, there are significant inverse associations between the SAED subscales (except IB and SM) and YHEI-TW Z-scores (β for IL = -0.05 ($p < 0.001$), for RP $\beta = -0.05$ ($p < 0.001$), for UD $\beta = -0.07$ ($p < 0.001$), for PF $\beta = -0.06$ ($p < 0.01$), for ED $\beta = -0.06$ ($p < 0.001$), and for SAED $\beta = -0.06$ ($p < 0.001$)) (Fig. 1 and Table 4).

Thus, dietary quality appears to be linked to OCS directly and also indirectly via ED. The possibility that it might have been linked indirectly through an association with obesity was not evident in the present study (regression Model for BMI on YHEI-TW, $\beta = 0.004$ for boys and -0.002 for girls, $p > 0.05$ (data not shown)).

In turn, dietary quality may have many determinants of the food system and choice. In this study, we only report household income as a surrogate for socio-economic status. It was positively correlated

Table 4 Socio-demographic, behavioral, nutritional and pubertal β -coefficients from MLRs^a with R-square for SAED sub-items^b in girls

Characteristics	SAED Z-score							
	IL	RP	IB	UD	PF	SM	ED	SAED total
YHEI-TW	-0.05***	-0.05***	-0.03	-0.07***	-0.06**	-0.004	-0.06***	-0.06***
Mother's education (Ref: Low)								
High (University and above)	-0.19	0.14	0.45	0.15	0.88	0.17	0.26	0.26
Household income (Ref: 0–30,000 NTD/month) ^c								
30,000–50,000 NTD/month	0.12	-0.51	-0.41	-0.66	-0.91	0.06	-0.44	-0.42
50,000–80,000 NTD/month	-0.59	-0.92	-0.69*	-0.91	-1.09	-0.33	-0.97**	-0.95**
> 80,000 NTD/month	0.01	0.02	-0.27	-0.35	-0.59	-0.17	-0.24	-0.24
Ever smoking (Ref: No)								
Yes	1.62**	0.45	1.96**	1.38	1.13	1.16	1.78*	1.78*
Read during weekdays (Ref: 0–1 h/day)								
1–3 h/day	-0.32	0.36	-0.39	0.34	-0.12	-0.07	-0.13	-0.13
≥ 3 h/day	-1.35**	-0.44	-0.73*	-0.17	-0.20	-0.38*	-0.91*	-0.90*
Watch TV during weekdays (Ref: 0–1 h/day)								
1–3 h/day	0.19	-0.38	0.25	-0.17	-0.40	0.16	-0.02	-0.01
≥ 3 h/day	0.33	-0.08	-0.20	0.01	-0.56	0.04	-0.03	-0.03
Play computer games during weekdays (Ref: 0–1 h/day)								
1–3 h/day	0.21	0.10	0.02	0.12	0.13	-0.16	0.15	0.14
≥ 3 h/day	0.96	1.19	1.66	0.92	0.81	0.10	1.40	1.35
Moderate or heavy physical activity (Ref: 0–30 min/day)								
≥ 30 min/day	-0.49	-0.54	-0.84	0.18	-0.49	-0.46	-0.59	-0.60
BMI (Ref: Normal weight)								
Underweight	0.61	-0.31	0.24	0.61	0.32	0.33	0.43	0.44
Overweight	0.78	0.44	0.15	0.68	-0.14	0.27	0.54	0.54
Obesity	0.38	1.21	0.43	0.62	0.34	0.01	0.67	0.65
Menarche (Girls only) (Ref: No)								
Yes	-0.23	0.50	0.63	0.85	1.20**	0.34*	0.55	0.55
R ² with YHEI-TW	0.207	0.122	0.173	0.152	0.143	0.079	0.207	0.206
R ² without YHEI-TW	0.167	0.094	0.160	0.092	0.091	0.078	0.150	0.150

^aMLRs, Multiple Linear Regressions

^bThe Scale for Assessing Emotional Disturbance (SAED) sub-items including Inability to learn (IL), Relationship problems (RP), Inappropriate behavior (IB), Unhappiness or depression (UD), Physical symptoms or fears (PF), Socially maladjusted (SM), and Emotional Disturbance (ED)

^c1 US dollars = 30 NTD

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

with YHEI-TW for boys and girls respectively (Fig. 1). Compared with those from lowest income group (0–30,000 NTD/month), students' household income over 80,000 NTD/month had higher YHEI-TW scores ($\beta = 5.45$ ($p < 0.001$) for boys, and 3.19 ($p < 0.05$) for girls) (data not shown).

Discussion

Unsatisfactory food intake is associated with the link between emotional disturbance and impaired school performance, as assessed by OCS, especially among girls. For both genders, socio-economic and behavioral factors including parenteral income, reading, screen viewing,

and smoking are modulators of this association. Puberty was a modifying factor in girls. Dietary quality is a relevant factor for health (ED) as well as educational (OCS) during early adolescence.

Emotional disturbance and overall competence at school (OCS)

For the various measures of emotional disturbance reflected in SAED, there were consistently negative associations with OCS. These findings confirm several published studies [38–41]. Even so, a number of factors may be contributory to this relationship. The focus of the present investigation is how dietary quality might affect

any such linkage. Linear regression for this association for boys and girls explains 44% and 42% of the variance, respectively (data not shown). There is a small contribution of dietary quality to OCS via ED evident in the β coefficients of the MLRs which are uniformly less when these models are adjusted for YHEI-TW. However, dietary quality in boys and girls is directly associated with OCS in our analysis. This link remains independent of other covariates on MLRs. Thus OCS is dependent on ED, YHEI-TW and various independent covariates, namely, in boys, household income (favorably, screen viewing (unfavorably)) along with smoking (unfavorably) and, in girls, weekday reading (favorably) and body fatness (unfavorably along with smoking (unfavorably)).

Dietary quality and pattern

The present study has found that better dietary quality is associated with better overall competence at school and lower emotional disturbance. This supports reports, like that among Australian adolescents, that 'Western' dietary patterns characterized by energy-dense take-away 'fast' foods, plentiful red and processed meat, soft drinks, and other deep-fried and refined foods scores are associated with poorer academic performance (especially in mathematics and reading) [42]. For 14-year olds, this dietary pattern is associated with diminished cognitive performance 3 years later, at age 17 [43]. On the other hand, fruit and vegetable intake has a positive association with school performance among adolescents, as found in the Palestinian Gaza Strip [44]. Insofar as dairy foods are concerned, there may be differences within the category since OCS was less with flavored milk and greater with cheese; since all dairy products were treated together in the YHEI-TW, this may have accounted for non-significant associations on multiple linear regression for dairy. There is evidence that vitamin K-2 as found in cheese may play a role in brain function [45–47]. Of particular interest, regular breakfast, in its own right, is associated with better school performance, suggesting a role for diurnal dietary pattern in brain function [8, 17].

Previous studies in Taiwan show a dose-response for food diversity scores and health outcomes in adults, but comparable data are not available for children [48]. There is a gradient across the range, so that the upper quartile probably constitutes a desirable goal for food diversity at all ages. UN system data for dietary diversity and household food security support this position [49, 50].

The present study adds to a growing literature on the utility of YHEI-TW in children of dominantly Chinese ancestry and culture in the evaluation of diet in child development. These include studies of birth weight, food patterns and school performance [13] and of intergenerational dietary interplay in communities [34].

Parental characteristics

In this study, adolescents who had a better OC in school had parents with higher educational achievements. Children's school performance has previously been found to be strongly and positively associated with parental education [51].

Where household incomes were less, OCS was correspondingly less good. Similarly, in the US, youths with serious emotional disturbance have been observed to come from lower income families than for those youths without disturbance [52].

Personal behaviors

Smoking, reading, watching TV, playing computer games, and limited physical activity in the present study were associated with less OCS and with emotional disturbance. Likewise, smoking among adolescents has been associated with poor school performance and less study time [53]. Although causality is difficult to ascertain, clustering of riskier behaviors among adolescents is found with mood disturbance and includes smoking, substance use and self-harm or suicidal attempts [54].

Who are the students at risk-puberty and gender?

Although it is a difficult life stage to evaluate, because of its variable and changing time of onset, it is one of the most socio-biologically critical developmental periods. It is known that school environments in Taiwan, including their food systems and recreational settings, are associated with pubertal development, although differentially in girls and boys [11]. In the present study, the peri-pubertal period is evidently one of emotional and educational vulnerability, notably in girls, judged by both the indices of learning and mood examined. After the menarche, PF and SM were increased. However, dietary quality is a mitigating factor and food intake an addressable behavior.

What are the factors of greatest concern for adolescents in development and schooling?

Of the potential independent risk factors for emotional disturbance or school performance (OCS or sub-scales), diet (YHEI-TW), socio-economic advantage (household income) and reading were favorable, while screen viewing, smoking, puberty and greater BMI were unfavorable. These findings closely correspond to a Korean study of health behaviors and academic performance in adolescents, especially for diet and smoking, except that it found physical activity to be significant [26]. In the present study, for girls, we found BMI to be independently associated with OCS, which may have captured physical activity information.

In an Australian study of children and adolescents 4–17 years of age, internet usage and electronic gaming was found to be a mental health risk [55]. There are likely to be different internet usage patterns in a dominantly

Chinese as opposed to multicultural, but mainly European, Australian society with different cultural restraints and expectations of young adolescents. The gender difference in Taiwan of internet associations would also suggest this in the present study. It is an area in which more detailed analysis is required.

Strengths and weaknesses

The adolescent population studied was representative of that in Taiwan, albeit dominantly Han Chinese with ancient and recent origins in mainland China. Indigenous were also studied and no differences in findings were apparent; this may represent a sampling limitation as previously observed, even though over-sampling of minorities, with SUDAAN adjustment for representativeness, has been undertaken in the present study [56]. Further cross-cultural extrapolation may be unwarranted, especially to other food cultures and educational systems. Other studies suggest limited generalizability to North-East Asian settings in general, but not dominantly European settings as in Australia, as discussed above. The cross-sectionality of the study begs the question of the medium to long-term health and performance outcomes of diet, along with other significant associated factors. As always with observational studies, residual confounding may be a problem in interpretation.

Implications for health and nutrition policy and practice

Population-wide and representative evidence is provided in this study for children of dominantly Chinese ancestry and culture that dietary quality, along with parental input and personal behaviors is associated with emotional status and school performance. Intervention studies in support of these findings would add confidence to policy and practice which sought to enhance school performance by diet. In the meantime, household, school and community encouragement for healthier dietary patterns should be a low risk-high benefit option.

Conclusions

The most supportable link of dietary quality to OCS is apparently direct rather than through ED to which it is also related in the present study. While ED is associated with OCS, and the intake of foods of limited nutritional value is seen with ED, the linkage of ED to OCS is minimally dependent on dietary quality. For both genders, socio-economic, parental education, reading or screen viewing, and smoking were associated with ED and OCS. These factors may modulate the association between ED and OCS. Thus, the ways by which diet may affect OCS as a basis of school performance are likely to be complex.

Abbreviation

ED: Emotional disturbance; IB: Inappropriate behavior; IL: Inability to learn; NAHSIT: Nutrition and health survey in Taiwan; OC: Overall competence; OCS: Overall competence at school; PF: Physical symptoms or fears; RP: Relationship problems; SAED: Scale for assessing emotional disturbance; SM: Social maladjustment; UD: Unhappiness or depression; YHEI-TW: Youth healthy eating index – Taiwan

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Authors' contributions

PHC and MLW designed the study. LYH performed the analyses. MSL provided assistance with interpretation. MLW and LYH wrote the paper. All authors critically read and approved the manuscript. The data were available to all authors.

Competing interests

The authors declare that they have no competing interests.

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Vegetarian-style dietary pattern during adolescence has long-term positive impact on bone from adolescence to young adulthood

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Abstract

Background: The amount of bone accrued during adolescence is an important determinant of later osteoporosis risk. Little is known about the influence of dietary patterns (DPs) on the bone during adolescence and their potential long-term implications into adulthood. We examined the role of adolescent DPs on adolescent and young adult bone and change in DPs from adolescence to young adulthood.

Methods: We recruited participants from the Saskatchewan Pediatric Bone Mineral Accrual Study (1991–2011). Data from 125 participants (53 females) for adolescent analysis (age 12.7 ± 2 years) and 115 participants (51 females) for adult analysis (age 28.2 ± 3 years) were included. Bone mineral content (BMC) and areal bone mineral density (aBMD) of total body (TB), femoral neck (FN) and lumbar spine (LS) were measured using dual-energy X-ray absorptiometry. Adolescent dietary intake data from multiple 24-h recalls were summarized into 25 food group intakes and were used in the principal component analysis to derive DPs during adolescence. Associations between adolescent DPs and adolescent or adult BMC/BMD were analyzed using multiple linear regression and multivariate analysis of covariance while adjusting for sex, age, the age of peak height velocity, height, weight, physical activity and total energy intake. Generalized estimating equations were used for tracking DPs.

Results: We derived five DPs including “Vegetarian-style”, “Western-like”, “High-fat, high-protein”, “Mixed” and “Snack” DPs. The “Vegetarian-style” DP was a positive independent predictor of adolescent TBBMC, and adult TBBMC, TBaBMD ($P < 0.05$). Mean adolescent TBaBMD and young adult TBBMC, TBaBMD, FNBMCM and FNaBMD were 5%, 8.5%, 6%, 10.6% and 9% higher, respectively, in third quartile of “Vegetarian-style” DP compared to first quartile ($P < 0.05$). We found a moderate tracking (0.47 – 0.63 , $P < 0.001$) in DP scores at individual levels from adolescence to adulthood. There were an upward trend in adherence to “Vegetarian-style” DP and an downward trend in adherence to “High-fat, high-protein” DP from adolescence to young adulthood ($P < 0.01$).

Conclusion: A “Vegetarian-style” DP rich in dark green vegetables, eggs, non-refined grains, 100% fruit juice, legumes/nuts/seeds, added fats, fruits and low-fat milk during adolescence is positively associated with bone health.

Keywords: Dietary patterns, Vegetarian, Adolescence, Bone mineral content, Bone mineral density, Young adulthood

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Background

Peak bone mass (PBM) attained by the end of adolescence is an early determinant of osteoporosis risk in older populations [1]. During adolescence, bone linear growth, and subsequent mineral deposition increase substantially [2]. The greatest rate of growth in height during this time is termed as peak height velocity (PHV). The PHV is considered as one of the main indicators of somatic maturation, the stage during which males and females are at a comparable sexual development milestone [3]. More than 39% of total body PBM is acquired during a 5-year period around PHV, and around 99% is attained by 6 years after attainment of PBM [4]. This suggests that modification of the factors that contribute to PBM attainment during adolescence might impact the risk of osteoporosis later in life [1].

Nutrition is an important modifiable factor, which could influence bone accrual, maintenance, and loss during one's lifetime [1, 5]. Diet is a complex combination of nutrients and dietary components that correlate or interact with each other. Even though the separate role of key nutrients, or foods, on bone health has been reported previously, these associations might be confounded by any change in the other dietary components. Dietary pattern (DP) approaches describe and quantify the whole diet and consider contributions from various dietary aspects [6]. Findings from DP studies could complement those from studies of single nutrients and foods on bone accrual and may be translated into public health recommendations, which better suit real world dietary habits.

In adults and elderly, several studies have investigated the association between DPs derived by an exploratory method, mainly factor analysis, and bone health [7–20]. However, little is known about the DPs influencing bone health during adolescence [21–24], and their potential long-term implications. Therefore, longitudinal studies that follow participants from adolescence to adulthood are of immense importance because they could bridge the current gap in knowledge.

The objectives of our study are: 1) to examine the association between adolescent DPs and adolescent and young adult bone measurements including total body (TB), femoral neck (FN) and lumbar spine (LS) bone mineral content (BMC) and areal bone mineral density (aBMD), and 2) to evaluate the stability of DPs from adolescence to young adulthood. We hypothesized that a “healthy” DP, with an emphasis on higher intake of fruits, vegetables would be beneficial for adolescence and young adulthood bone health; and DPs remain relatively stable over time from adolescence to young adulthood.

Methods

Participants

We recruited participants from the Saskatchewan Pediatric Bone Mineral Accrual Study (PBMAS) (1991–2011). The

mixed longitudinal design of the study has been described in detail elsewhere [3, 4, 25]. In brief, the PBMAS cohort consists of 251 individuals (133 girls and 118 boys; aged 8 to 15 years) recruited from two elementary schools in the city of Saskatoon between 1991 and 1993 who were subsequently followed with annual follow-ups until 2011. There were two four-year breaks in annual measurements: one between 1997 and 2002 and one between 2005 and 2010. The ages of the participants at the final follow-up were between 24 to 32 years. At each measurement occasion, participants underwent dual-energy X-ray absorptiometry (DXA) scans for bone and body composition. Anthropometry, dietary intake, and physical activity were also assessed at each measurement point.

For the present study, the first measurement within the age of $\text{PHV} \pm 2$ years was considered as the adolescent measurement. For most participants ($n = 105$), the data collected during 1992 or 1993 were included in the analysis as adolescent data. The data collected during 2010 or 2011 were included in the analysis as young adult data. We included data from 125 participants (age 12.7 ± 2 years, 53 females) for adolescent analysis (cross-sectional) and 115 participants (age 28.2 ± 3 years, 51 females) for adolescence to young adult analysis (longitudinal). All participants or their parents provided informed written consent. Ethics approval was obtained from the University of Saskatchewan and Royal Hospital advisory boards on ethics in human experimentation [25].

Dietary intake

The dietary intakes of participants were assessed using 24-h recalls. To determine accurate estimates of portion sizes, participants had access to pictures of foods. Adolescent dietary intakes were assessed by two to four (mostly three) 24-h recalls collected over a year and were analyzed using the Canadian compatible nutrition assessment software: NUTS Nutritional Assessment System, version 3.7 (Quilchena Consulting Ltd., Victoria, BC, 1988) to estimate the daily total energy and nutrient intakes. The average dietary intakes per day during the study year were stratified with the other annual measurements during the same year. To include in DP analysis, first, we converted quantities of all consumed foods and beverages into grams per day; then, all items were assigned into 25 pre-defined non-overlapping food groups, manually, based on similar nutrient content or culinary usage of them (Table 1). Young adult dietary intakes were assessed using one 24-h recall and estimates of total energy and nutrient intakes were obtained using Food Processor version 8.0 and its revisions (ESHA Research Inc., Salem, Ore, 2003).

Bone mineral content and areal density

Adolescent and young adult BMC and aBMD of TB, FN and LS (L1–L4) were measured using DXA (Hologic

Table 1 Food groupings used for principal component analysis to identify dietary patterns during adolescence

Food groups	Food items
Dark green vegetables	Asparagus, green beans, broccoli, lettuce, green pepper, seaweed, spinach, mixed greens, snow peas
Eggs	Eggs
Non-refined grains	Whole grains and partially whole grains (60%) mostly cereals, mixed granola/grain bar, cracker, oat flakes, wheat germ, whole wheat breads, puffed wheat, brown and wild rice, popcorn, barley
Fruit juice 100%	Apple cider, apple, lemon, lime, orange juice canned or bottled, unsweetened cranberry, etc.
Legumes, nuts and seeds	Beans (black, kidney, lima, navy, small white, soy), chickpeas, hummus, tofu, brazil nuts, coconut, almond, hazelnuts, walnuts, cashew, peanuts, mixed nuts, pecans, peanut butter, sunflower seeds
Added fats	Saturated fats such as butter, margarine, meatless bacon bits and coconut oil, and unsaturated fats such as vegetable oil, cooking oil, mayonnaise, olive oil, pesto
Fruits	All fresh and dried fruits, canned fruits (not sweetened), avocado, olives
Low-fat milk	1%, skim, rice beverage, soy beverage
Fruit drinks	Fruit juice (sweetened), fruit drinks, iced tea
Refined grains	Refined cereals, white bread, white rice, refined pasta, noodles, pop corns, pie crust, pizza pop
Cream	Sour cream, cream (10%, whipped or low fat)
Poultry	Chicken and turkey
Processed meats	Burger patties (beef, ham, chicken, etc.), sausages, bacon, canned meat, dry ribs, fried chicken, nugget
High-fat milk	2%, whole or almond milk
Tomato	Tomato and its products
Red meat	Beef, ham, pork, bison (ground, loin, rib, steak, stew, fried, pot roast, balls, loaf, chop)
Cheese	Cheddar, cream cheese, feta, gouda, mozzarella, parmesan, Swiss, cottage, ricotta, cheese sauce
Yogurt	Yogurt (plain, vanilla or fruit)
Desserts and sweets	Sweet baked products, milk desserts, jelly, chocolate, sugar, jam, syrups, honey and candies
Fish and seafood	Fish, shrimp, lobster, mussels, pickerel, prawns, scallops
Dressings, sauces, gravy	Gravy, dressings, Caesar, French, ranch, Italian, 1000 island, Alfredo, blue cheese, chip dip, Greek, honey garlic, white sauce, sandwich spread, tartar, teen, sundried tomato

Table 1 Food groupings used for principal component analysis to identify dietary patterns during adolescence (*Continued*)

Food groups	Food items
Vegetables, others	Carrots, snap beans, cabbage, cauliflower, celery, cucumber, garlic, mushroom, pepper, squash, bean sprouts, beets, onion, eggplant, radish, zucchini, potato, green peas, corn, sweet potato and soups
Chips & fries	Potato chips, fries, corn chips, nacho, hash brown
Soft drinks	Soft drinks (sugar-sweetened or diet)
Others	Salt, spices, seasonings, additives, pickles (dill, beet), low fat sauces (mustard, hot, soy, teriyaki), vinegar

QDR 2000, Hologic, Inc., Waltham, MA, USA) in the array mode; and analysis was conducted using enhanced global software version 7.1 [26]. To minimize operator-related variability in the scan analysis over the years, the same trained person analyzed all scans. The TB scans were analyzed using software version 5.67A and scans of the FN and LS were analyzed using software version 4.66A. The in vivo coefficients of variations, which represent short-term precision, were comparable to the values from other studies employing the QDR 2000 in the array mode (0.60, 0.91 and 0.61 for TB, FN, and LS BMC, respectively).

Physical activity

Physical activity was defined as sports, games, or dance that makes you breathe hard, makes your legs feel tired, or makes you sweat. The physical activity questionnaire (PAQ) was used to assess adolescent physical activity during spare time in the previous 7 days by rating nine items in elementary schools or eight items in high schools (excluding the item regarding activity at recess) scored on a five-point scale [27]. Six of these questions were related to scaling the level of different activities in physical education classes, recess, lunch, right after school, in the evenings and on the weekend. Other three questions were asking about the frequency of physical activity during each day, the number of hours spent for watching TV, and describing the whole week activity from low to very high activity levels [28]. The average score derived from each PAQ ranged from one to five, with higher scores indicating higher levels of physical activity. To assess young adult physical activity, PAQ was modified to a 7-item questionnaire including more age-relevant activities. The school-day structure of questions was replaced with a day section structure (i.e., morning, after lunch, before supper, evening) in the PAQ for adults [28]. The PAQ was administered three times a year during first 3 years of study and two times a year thereafter. The average PA scores derived from PAQs

collected during each year were aligned with the other annual measurements [26].

Anthropometry and age of PHV

Weight and stature were measured following standard protocols for each participant while wearing lightweight clothing and no shoes [25]. To control for somatic maturity, the age of PHV for each participant was estimated. The process for determining PHV has been described elsewhere [26]. In brief, whole-year height increase velocity was computed using serial measurements of height for each participant by age. Using a cubic spline procedure, a growth curve was fitted to each individual's annual height velocities (GraphPad Prism Version 3.00) and the age of PHV was determined from the estimated growth curve [26].

Statistical analysis

The DPs were identified using factor analysis via principal component analysis (PCA). The PCA aggregates the food groups into a smaller number of the distinct factors based on inter-correlation between them [6, 29]. To achieve a simpler structure with higher interpretability, orthogonal rotation (Varimax option) was applied. Overall, 11 factors were extracted using PCA with an eigenvalue > 1 accounting for 66% of the total variance in all food group intakes. Based on the breakpoint in scree plot, we retained 5 major factors (accounting for almost 40% of the total variance) for further evaluation and reran the analysis with a five-factor solution. Factor loadings represent the correlation between food groups and the factors (Table 2). The absolute value represents the strength of the correlation. A positive loading shows a direct association and a negative loading shows an inverse association between the food group intake and DP score. Food groups with a factor loading ≥ 0.35 or ≤ -0.35 were considered informative for interpretation of DPs in our study. Regression scores for each DP were calculated using the regression scores option in SPSS. Calculating regression scores enhances the validity of DP scores and reduces the probability of biased estimates of the true scores [30].

Descriptive statistics for all bone variables (TBBMC, TBaBMD, FNBM, FNaBMD, LSBMC, LSaBMD), and covariate variables (age, the age of PHV, height, weight, physical activity score and total energy intake) were presented as mean \pm SD in adolescence and young adulthood. We used independent Student's *t*-test to compare variables of interest between females and males. Multiple linear regression using stepwise procedure were conducted to evaluate associations between adolescence DP and adolescence bone measurements. To assess the long-term impact of DPs on the bone, we also ran the same modeling with adolescent

Table 2 Factor loading of food groups in five dietary patterns identified by principal component analysis during adolescence, in participants of Pediatric Bone Mineral Accrual Study (PBMAS), $n = 125^1$

	Factor Loadings for Dietary Patterns				
	Vegetarian-Style	Western-Like	High-Fat, High-Protein	Mixed	Snack
Dark green vegetables	0.64	0.02	-0.00	0.07	-0.22
Eggs	0.63	-0.18	0.23	-0.05	-0.15
Non-refined grains	0.54	-0.13	-0.11	0.10	0.20
Added fats	0.41	0.39	-0.03	-0.04	-0.00
Fruits	0.40	0.24	-0.16	0.13	0.23
Others	-0.28	0.03	0.08	0.08	0.04
Fruit drinks	0.00	0.73	-0.04	-0.03	0.04
Refined grains	0.06	0.66	0.21	-0.10	-0.03
Cream	-0.06	0.55	-0.01	0.13	-0.02
Poultry	-0.27	0.41	-0.04	-0.10	0.40
Processed meats	-0.05	0.35	-0.12	0.01	-0.09
High-fat milk	-0.12	-0.17	0.74	-0.04	0.18
Tomato	0.22	0.30	0.59	-0.14	-0.34
Red meat	-0.07	-0.05	0.52	0.14	-0.07
Low-fat milk	0.35	0.03	-0.48	-0.01	-0.16
Legumes, nuts, and seeds	0.45	0.11	0.47	-0.09	0.06
Cheese	0.03	0.12	0.06	0.72	-0.36
Yogurt	-0.11	0.04	-0.12	0.61	0.19
Desserts and sweets	-0.18	-0.05	0.23	0.59	0.08
Fish and seafood	0.24	-0.10	-0.08	0.52	-0.13
Fruit juice 100%	0.46	0.02	-0.04	0.49	0.18
Dressings, sauces, gravy	0.09	-0.30	0.24	0.08	0.64
Vegetables, others	-0.03	0.22	0.06	-0.03	0.58
Chips & fries	-0.03	-0.09	-0.02	0.00	0.40
Soft drinks	0.00	-0.02	-0.16	-0.20	0.20
% Of variance explained	9.2	8.5	7.8	7.7	6.7

¹Factor loadings ≥ 0.35 or ≤ -0.35 have been presented
The bold numbers represent the foods with significant positive or negative loading in each pattern

DP scores as predictor variables, and young adulthood bone measurements as outcome variables. All models were adjusted for sex, the age of PHV, age, height, weight, physical activity score and total energy intake. Covariates measured during adolescence and young

adulthood were used in the adolescence and young adulthood models, respectively.

Comparisons of the mean adolescence or young adult bone variables across the quartile categories of adolescent DP score were conducted via a multivariate analysis of covariance (MANCOVA) (with a Bonferroni adjustment for multiple comparisons) while adjusting for scores of the other four DPs (as continuous variables), sex, age of PHV, age, height, weight, physical activity score and total energy intake.

To evaluate the stability of DPs from adolescence to young adulthood, we calculated applied DP scores during adolescence and young adulthood, based on the factor loadings for 25 food groups in five DPs derived during adolescence. To control for the overall increase in consumption of food groups by age from adolescence to young adulthood, we computed the consumed amount (g) per 1000 kcal of total energy intake for each food group. Then, these energy-adjusted intakes were multiplied by their corresponding factor loading in each DP and were summed up as the DP score. We standardized adolescence and young adulthood DP scores for mean and standard deviation of adolescence DP scores in our sample. Then we calculated tracking coefficients using generalized estimating equations (GEE). Tracking coefficient represents how position of participants in a study population distribution is maintained from baseline to the last follow-up [31]. We regressed adolescence standardized DP scores (independent variable) against young adulthood standardized DP scores (dependent variable) while adjusting for chronological age as the time-dependent variable, and sex and age at adolescence as time-independent variables. The β coefficient of adolescence standardized DP scores takes values between 0 to 1, representing no tracking and strong tracking, respectively. The β coefficient for chronological age indicates the change in DP score as z-score or SD for each year increase in age.

The DP analysis and all other statistical analyses were performed using SPSS software, version 24.0 (SPSS, Chicago, IL, USA). $P < 0.05$ was considered significant.

Results

The characteristics of the study population during adolescence and young adulthood are shown in Table 3. Our estimated mean \pm SD follow-up period from adolescence to young adulthood was 15.5 ± 3.4 years. The first factor, labeled as “Vegetarian-style” DP, was rich in dark green vegetables, eggs, non-refined grains, 100% fruit juice, legumes, nuts and seeds, added fats, fruits and low-fat milk (including non-dairy milk). The second factor, a “Western-like” DP was associated with higher intakes of fruit drinks, refined grains, cream, poultry and processed meats. The most significant characteristic of the third factor, “high fat, high protein” DP, was high

Table 3 Descriptive characteristics during adolescence and young adulthood by sex¹

	Females	Males	Total
Adolescence	<i>n</i> = 53	<i>n</i> = 72	<i>n</i> = 125
Biologic age ² (year)	0.2 \pm 1.7	-0.1 \pm 1.8	0.0 \pm 1.7
Age (year)	12.0 \pm 1.8	13.2 \pm 1.8*	12.7 \pm 1.9
Age of PHV (year)	11.8 \pm 0.8	13.2 \pm 0.9	12.6 \pm 1.2
Physical activity (score)	2.9 \pm 0.7	3.0 \pm 0.6	3.0 \pm 0.7
Total energy intake (kcal/d)	1714 \pm 461	1978 \pm 615*	1867 \pm 569
Height (cm)	153 \pm 11	162 \pm 14**	158 \pm 13
Weight (kg)	46.0 \pm 14	52.4 \pm 14*	49.8 \pm 14
TBBMC (g)	1402 \pm 452	1751 \pm 612**	1604 \pm 575
TBaBMD (g/cm ²)	0.87 \pm 0.10	0.94 \pm 0.12*	0.91 \pm 0.11
FNbMC (g)	3.3 \pm 0.8	4.1 \pm 1.0**	3.8 \pm 1.0
FNbBMD (g/cm ²)	0.73 \pm 0.13	0.81 \pm 0.13*	0.77 \pm 0.13
LSBMC (g)	35.8 \pm 13.4	40.8 \pm 16.0	38.7 \pm 15.1
LAaBMD (g/cm ²)	0.76 \pm 0.15	0.75 \pm 0.14	0.76 \pm 0.14
Young adulthood	<i>n</i> = 51	<i>n</i> = 64	<i>n</i> = 115
Biologic age ² (year)	16.1 \pm 3.5	15.0 \pm 3.3	15.5 \pm 3.4
Age (year)	27.9 \pm 3.4	28.3 \pm 3.4	28.2 \pm 3.4
Physical activity (score)	2.3 \pm 0.6	2.3 \pm 0.7	2.3 \pm 0.6
Total energy intake (kcal/d)	1823 \pm 698	2823 \pm 1235**	2401 \pm 1151
Height (cm)	166 \pm 7	179 \pm 7**	174 \pm 9
Weight (kg)	70.7 \pm 16	87.0 \pm 14**	80.3 \pm 16
TBBMC (g)	2286 \pm 321	3020 \pm 413**	2706 \pm 523
TBaBMD (g/cm ²)	1.12 \pm 0.09	1.22 \pm 0.10**	1.18 \pm 0.11
FNbMC (g)	4.3 \pm 0.7	5.6 \pm 0.8**	5.0 \pm 0.9
FNbBMD (g/cm ²)	0.86 \pm 0.10	0.95 \pm 0.128**	0.91 \pm 0.12
LSBMC (g)	62.0 \pm 12.6	76.2 \pm 12.8**	70.3 \pm 14.5
LSaBMD (g/cm ²)	1.04 \pm 0.12	1.06 \pm 0.12	1.05 \pm 0.12

Abbreviations: aBMD areal bone mineral density, BMC bone mineral accrual, FN femoral neck, LS lumbar spine, PBMA5 the pediatric bone mineral accrual study, PHV the peak height velocity, TB total body

¹Values are Mean \pm SD. *P* values were obtained using independent samples Student's *t* test. *Different from females, $P < 0.01$. **Different from females, $P < 0.001$

²Biologic age is calculated as chronologic age minus the age of PHV

positive loadings for High-fat milk, tomato, red meat and legumes, nuts and seeds and a negative loading for low-fat milk. The fourth factor, a “Mixed” DP, was characterized by a high intake of yogurt, cheese, desserts and sweets, fish and seafood and 100% fruit juice. Dressings and sauces, vegetables (excluding dark green vegetables), chips and fries and poultry had high positive loadings and cheese had a negative loading in the fifth factor, labeled a “Snack” DP (Table 2).

After controlling for covariates (sex, age of PHV and adolescent age, height, weight, physical activity score and total energy intake), multiple linear regression

showed that the “Vegetarian-style” DP was a positive independent predictor of adolescent TBBMC ($\beta = 35.2$, $P = 0.025$; $R^2 = 0.84$) and young adult TBBMC ($\beta = 55.8$, $P = 0.021$; $R^2 = 0.78$), TBaBMD ($\beta = 0.016$, $P = 0.041$; $R^2 = 0.67$). No other adolescent DP was found to be an independent predictor for any of the adolescent or young adult bone variables.

Comparison of adolescent or young adult bone variables across adolescent DP score quartiles showed that, those in the third quartile of “Vegetarian-style” DP had 5.7%, 8.5%, 6%, 10.6% and 9% higher adolescent TBaBMD (Table 4), and young adult TBBMC, TBaBMD, FNBM and FNaBMD (Table 5), respectively, compared to their peers in the lowest quartile, after adjusting for covariates and other four DP scores as continuous variables.

Tracking coefficients for standardized scores of five DPs and change in the score by age from adolescence to young adulthood are presented in Table 6. The greater tracking coefficients show the higher stability of DPs at the individual level. Since DP scores have been standardized for the baseline DP scores, β coefficient for age variable represents the amount of change in z-score. Overall, energy-adjusted scores increased for “Vegetarian-style” and decreased for “High-fat, high-protein” DP, from adolescence to young adulthood (Table 6).

Discussion

In our prospective study, we found that a “Vegetarian-style” DP rich in dark green vegetables, eggs, non-refined grains, 100% fruit juice, legumes, nuts and seeds, added fats, fruits and low-fat milk during adolescence was associated positively with adolescent TBBMC and TBaBMD. We also found that participants who had higher adherence to the “Vegetarian-style” DP during adolescence had higher TBBMC, TBaBMD, FNBM and FNaBMD during young adulthood, average 15 years later. Tracking DP scores showed that participants moderately maintained their position in the study population distribution from adolescence to young adulthood, which means DPs were relatively stable over time. However, the overall adherence to “Vegetarian-style” DP increased from adolescence to young adulthood.

In the present study, the favorable effects of the “Vegetarian-style” DP were only observed in TB and FN bone measurements, but not in LS bone. This might be due to the different proportions of cortical and trabecular bone compartments in different skeletal sites. The trabecular bone is the predominant bone compartment in LS, while TB and FN mainly contain cortical bone [32, 33]. Trabecular bone is metabolically more active than cortical bone and might be influenced by everyday changes in hormone or environmental factors. Hence adaptations in bone might last longer in cortical compared to trabecular bone [34].

Our study is unique as it evaluated the long-term impact of adolescent DPs on young adult bone. To our knowledge, there are only four studies that evaluated the DPs during adolescence in association with bone health [21–24]. Even though three of these studies were similar to our study in their prospective design (follow-up period ranged from 22 months to 6 years) [21, 23, 24], identified DPs are not directly comparable, because of the differences in DP approaches, food groupings and dietary habits and other characteristics of the study population [3, 6]. However, our findings of a positive association between “Vegetarian-style” DP and bone measurements are in accordance with the results from two studies which used reduced-rank regression (RRR) to derive DPs. The RRR has the advantage of deriving DPs associated with bone variables such as BMD and BMC [21] or intermediate factors such as protein, calcium, and potassium [2], as response variables. In Korean girls (aged 9–11 years, $n = 198$), the RRR-derived “fruits, nuts, milk beverages, eggs, and grains” DP was associated positively and “egg and rice” DP was associated negatively with BMC gain after 22 months [21]. Also, a higher intake of low-fat dairy, whole grains, and vegetables, as components of a DP rich in protein, calcium and potassium in Australian adolescents (aged 14 years, $n = 1024$) was associated with higher BMD and BMC at age 20 years [24]. Overall, higher intakes of fruit and vegetables, milk and alternatives, nuts and grains were the common components in all DPs which determined to be beneficial for bone [2, 21]).

Our results are also in line with the findings from previous DP studies in adults and elderly populations suggesting that a high intake of fruit and vegetables, whole grains, poultry and fish, nuts and legumes and low-fat dairy products labeled as “healthy” DP is beneficial for bone health [7–10, 12–14, 16, 17]. Vegetables, fruits, and 100% fruit juices are rich in potassium, magnesium, vitamins C, K and folate and carotenoids [35]. Potassium and magnesium may contribute to acid-base balance [35] and calcium metabolism [36, 37] to prevent bone loss. Vitamin C, carotenoids, and other antioxidants may affect bone health through their antioxidant properties, which suppress osteoclast activity [38, 39]. Vitamin C also acts as a cofactor for osteoblast differentiation and collagen formation [38, 40]. Vitamin K also plays a role in bone matrix formation where mineralization happens [41]. Low-fat milk and its alternatives are the main contributors of calcium and magnesium in diet [42], which have a structural role in bone health [43]. Calcium from vegetable sources also has been shown to be positively effective in bone maintenance in older ages [44]. They are also a source of protein, vitamin D, vitamin B12, zinc and riboflavin [42]. An adequate protein intake is essential for bone matrix formation and maintenance. Eggs, legumes, nuts and seeds, as meat alternatives, are good

Table 4 Adolescence bone variables across the quartile groups of each dietary patterns derived during adolescence¹

	Dietary pattern score quartiles ²				<i>P</i> value
	Quartile1 (n = 31)	Quartile2 (n = 31)	Quartile3 (n = 31)	Quartile4 (n = 32)	
Vegetarian-style					
TBBMC	1555.34 ± 33.15	1579.43 ± 31.58	1649.63 ± 32.15	1634.61 ± 32.15	0.18
TBaBMD	0.88 ± 0.01 ^a	0.90 ± 0.01 ^{ab}	0.93 ± 0.01 ^b	0.91 ± 0.01 ^{ab}	0.025
FNBMCM	3.64 ± 0.01	3.69 ± 0.01	3.86 ± 0.01	3.79 ± 0.01	0.31
FNaBMD	0.75 ± 0.01	0.78 ± 0.01	0.80 ± 0.01	0.76 ± 0.01	0.22
LSBMC	37.08 ± 1.18	39.68 ± 1.18	39.03 ± 1.19	38.9 ± 1.19	0.52
LSaBMD	0.73 ± 0.01	0.77 ± 0.01	0.77 ± 0.01	0.75 ± 0.01	0.20
Western-like					
TBBMC	1612.62 ± 33.61	1623.64 ± 31.58	1594.61 ± 32.25	1588 ± 33.32	0.86
TBaBMD	0.91 ± 0.01	0.91 ± 0.01	0.91 ± 0.01	0.90 ± 0.01	0.74
FNBMCM	3.78 ± 0.11	3.68 ± 0.11	3.8 ± 0.12	3.8 ± 0.12	0.92
FNaBMD	0.79 ± 0.01	0.76 ± 0.01	0.77 ± 0.01	0.78 ± 0.01	0.82
LSBMC	39.21 ± 1.22	39.52 ± 1.14	38.18 ± 1.21	37.79 ± 1.21	0.73
LSaBMD	0.76 ± 0.01	0.76 ± 0.01	0.76 ± 0.01	0.75 ± 0.01	0.93
High-fat, high-protein					
TBBMC	1630.53 ± 32.15	1597.64 ± 32.14	1586.65 ± 32.15	1603.71 ± 34.28	0.82
TBaBMD	0.91 ± 0.01	0.90 ± 0.01	0.90 ± 0.01	0.91 ± 0.01	0.92
FNBMCM	3.79 ± 0.01	3.88 ± 0.01	3.67 ± 0.01	3.68 ± 0.01	0.40
FNaBMD	0.77 ± 0.01	0.79 ± 0.01	0.77 ± 0.01	0.77 ± 0.01	0.74
LSBMC	38.91 ± 1.19	38.91 ± 1.19	39.08 ± 1.28	37.79 ± 1.28	0.91
LSaBMD	0.74 ± 0.01	0.76 ± 0.01	0.77 ± 0.01	0.76 ± 0.01	0.77
Mixed					
TBBMC	1580.01 ± 32.38	1608 ± 30.28	1657 ± 30.73	1572 ± 32.75	0.22
TBaBMD	0.89 ± 0.01	0.91 ± 0.01	0.92 ± 0.01	0.90 ± 0.01	0.24
FNBMCM	3.79 ± 0.01	3.68 ± 0.01	3.88 ± 0.01	3.69 ± 0.01	0.41
FNaBMD	0.77 ± 0.01	0.77 ± 0.01	0.80 ± 0.01	0.76 ± 0.01	0.50
LSBMC	38.68 ± 1.21	37.77 ± 1.10	40.86 ± 1.10	37.31 ± 1.21	0.16
LSaBMD	0.75 ± 0.01	0.75 ± 0.01	0.78 ± 0.01	0.75 ± 0.01	0.37
Snack					
TBBMC	1587.11 ± 30.77	1639.27 ± 30.22	1590.44 ± 31.17	1601.11 ± 32.54	0.59
TBaBMD	0.90 ± 0.01	0.92 ± 0.01	0.90 ± 0.01	0.91 ± 0.01	0.37
FNBMCM	3.81 ± 0.09	3.85 ± 0.09	3.68 ± 0.09	3.88 ± 0.09	0.43
FNaBMD	0.79 ± 0.01	0.78 ± 0.01	0.75 ± 0.01	0.78 ± 0.01	0.31
LSBMC	38.09 ± 1.12	41.05 ± 1.12	37.31 ± 1.12	38.44 ± 1.22	0.12
LSaBMD	0.76 ± 0.01	0.79 ± 0.01	0.73 ± 0.01	0.75 ± 0.01	0.055

Abbreviations: *aBMD* areal bone mineral density, *BMC* bone mineral accretion, *FN* femoral neck, *LS* lumbar spine, *TB* total body

¹Values are Mean ± SE. Mean adolescence bone variables were adjusted for sex and adolescent age of peak height velocity, age, height, weight, physical activity score, total energy intake and other four dietary pattern scores as continuous variables and were compared across quartiles of adolescence dietary pattern scores using MANCOVA with Bonferroni adjustment for multiple comparisons. Labeled means in a row without a common superscript letter differ, *P* < 0.05

²Participants in Quartile four have the highest adherence to the DPs in adolescence

sources of protein [45]. Dietary fiber from non-refined grains and other plant sources might also have a beneficial impact on bone through decreasing glycemic load and inhibiting hyperinsulinemia which in turn prevents urinary calcium loss induced by insulin [46]. Added fats including,

mainly, butter, margarine, and mayonnaise as one of components of the “Vegetarian-style” DP might play a role in providing adequate dietary energy for adolescents during their growth spurt, when they are consumed along with other components of “Vegetarian-style” DP. Lower intake

Table 5 Young adulthood bone variables across the quartile groups of each dietary patterns derived during adolescence¹

	Dietary pattern score quartiles ²				P value
	Quartile1 (n = 29)	Quartile2 (n = 29)	Quartile3 (n = 29)	Quartile4 (n = 28)	
Vegetarian-style					
TBBMC	2592.38 ± 46.12 ^a	2693.36 ± 46.12 ^{a,b}	2813.68 ± 47.22 ^b	2709.64 ± 49.25 ^{a,b}	0.016
TBaBMD	1.14 ± 0.01 ^a	1.18 ± 0.01 ^{a,b}	1.21 ± 0.01 ^b	1.18 ± 0.01 ^{a,b}	0.017
FNBMCM	4.69 ± 0.12 ^a	5.02 ± 0.12 ^{a,b}	5.19 ± 0.12 ^b	5.08 ± 0.12 ^{a,b}	0.042
FNaBMD	0.87 ± 0.02 ^a	0.92 ± 0.02 ^{a,b}	0.95 ± 0.02 ^b	0.89 ± 0.02 ^{a,b}	0.020
LSBMC	66.27 ± 1.91	71.75 ± 1.91	72.17 ± 2.04	68.91 ± 2.04	0.14
LSaBMD	1.00 ± 0.02	1.06 ± 0.02	1.08 ± 0.02	1.04 ± 0.02	0.09
Western-like					
TBBMC	2742.45 ± 47.45	2688.48 ± 47.62	2745.88 ± 48.22	2629.84 ± 48.24	0.28
TBaBMD	1.18 ± 0.01	1.17 ± 0.01	1.20 ± 0.01	1.15 ± 0.01	0.24
FNBMCM	5.04 ± 0.11	4.91 ± 0.11	5.15 ± 0.12	4.90 ± 0.12	0.39
FNaBMD	0.91 ± 0.02	0.90 ± 0.02	0.93 ± 0.02	0.90 ± 0.02	0.71
LSBMC	70.10 ± 2.01	71.31 ± 1.90	71.34 ± 2.02	66.12 ± 2.02	0.25
LSaBMD	1.05 ± 0.02	1.05 ± 0.02	1.07 ± 0.02	1.01 ± 0.02	0.35
High-fat, high-protein					
TBBMC	2715.42 ± 48.68	2692.14 ± 50.25	2684.42 ± 48.58	2712.85 ± 47.85	0.96
TBaBMD	1.18 ± 0.01	1.18 ± 0.01	1.17 ± 0.01	1.18 ± 0.01	0.98
FNBMCM	5.02 ± 0.11	5.20 ± 0.11	4.74 ± 0.11	5.08 ± 0.12	0.07
FNaBMD	0.90 ± 0.02	0.93 ± 0.02	0.88 ± 0.02	0.92 ± 0.02	0.27
LSBMC	67.90 ± 2.01	72.40 ± 2.11	68.60 ± 2.04	70.0 ± 2.04	0.45
LSaBMD	1.02 ± 0.02	1.07 ± 0.02	1.04 ± 0.02	1.06 ± 0.02	0.41
Mixed					
TBBMC	2713.25 ± 48.32	2700.38 ± 48.32	2721.25 ± 48.32	2668 ± 49.12	0.88
TBaBMD	1.17 ± 0.01	1.19 ± 0.01	1.18 ± 0.01	1.17 ± 0.01	0.78
FNBMCM	5.11 ± 0.12	5.11 ± 0.12	4.90 ± 0.12	4.88 ± 0.12	0.28
FNaBMD	0.91 ± 0.02	0.93 ± 0.02	0.89 ± 0.02	0.89 ± 0.02	0.46
LSBMC	68.67 ± 2.02	70.18 ± 2.02	72.52 ± 2.02	67.52 ± 2.02	0.36
LSaBMD	1.04 ± 0.02	1.06 ± 0.02	1.07 ± 0.02	1.02 ± 0.02	0.50
Snack					
TBBMC	2673.32 ± 45.45	2780.77 ± 47.32	2652 ± 46.87	2699 ± 47.35	0.24
TBaBMD	1.17 ± 0.01	1.20 ± 0.01	1.17 ± 0.01	1.17 ± 0.01	0.58
FNBMCM	5.01 ± 0.12	5.01 ± 0.12	4.80 ± 0.13	5.07 ± 0.13	0.64
FNaBMD	0.92 ± 0.02	0.90 ± 0.02	0.88 ± 0.02	0.92 ± 0.02	0.41
LSBMC	68.22 ± 1.9	72.04 ± 2.02	68.11 ± 2.02	70.51 ± 2.02	0.45
LSaBMD	1.05 ± 0.02	1.07 ± 0.02	1.03 ± 0.02	1.04 ± 0.02	0.58

Abbreviations: *aBMD* areal bone mineral density, *BMC* bone mineral accrual, *FN* femoral neck, *LS* lumbar spine, *TB* total body

¹Values are Mean ± SE. Mean young adulthood bone variables were adjusted for sex and age of peak height velocity and young adult age, height, weight, physical activity score, total energy intake and other four adolescence dietary pattern scores as continuous variables and were compared across quartiles of adolescence dietary pattern scores using MANCOVA with Bonferroni adjustment for multiple comparisons. Labeled means in a row without a common superscript letter differ, $P < 0.05$

²Participants in Quartile 4 have the highest adherence to the DPs in adolescence

of meat seems to be beneficial, as this seems to be one of the key differences between “Vegetarian-style” DP and other four DPs. Taken together, the “Vegetarian-style” DP represents a combination of beneficial nutrients and

dietary components with potential synergic or interacting effects. Therefore no single nutrient or dietary components could be pointed out as the one responsible for the beneficial impact of the DP on bone.

Table 6 Tracking coefficients and change in score by age for dietary patterns derived during adolescence¹

	Tracking dietary patterns			Change in dietary pattern score		
	β (adolescence score)	95% CI	<i>P</i> value	β (age)	95% CI	<i>P</i> value
Vegetarian-style	0.59	0.48, 0.71	< 0.001	0.026	0.00, 0.04	0.008
Western-like	0.47	0.40, 0.53	< 0.001	-0.008	-0.029, 0.012	0.42
High-fat, high-protein	0.51	0.41, 0.60	< 0.001	-0.019	-0.034, -0.005	0.009
Mixed	0.54	0.39, 0.69	< 0.001	-0.003	-0.033, 0.028	0.85
Snack	0.63	0.55, 0.70	< 0.001	-0.003	-0.023, 0.018	0.80

Abbreviations: CI confidence intervals

¹Generalized estimating equations was used for modeling association between adolescence and adulthood standardized and energy-adjusted dietary pattern scores while controlling for sex, age, and age at adolescence; $n = 115$. Tracking coefficient (β coefficient for adolescent dietary pattern) shows how participants maintained their position in the study population distribution, between adolescence and young adulthood. Tracking coefficient for age represents z score change in dietary pattern score from adolescence to young adulthood

Our study has several strengths. This is the first study that evaluated DPs during adolescence in association with young adult bone health. In our sample, all participants during young adulthood had their PBM confirmed by a plateau in bone mineral accrual curve, representing a steady status of bone [4]. We also controlled for somatic maturity by including the age of PHV as a covariate in our models. Adolescent dietary intake data were collected using multiple, mostly three, 24-h recalls over a year for each participant, which is preferred to food frequency questionnaires [47], the method used by most previous studies. In addition, we analyzed the impact of the whole diet, instead of a single food or nutrient, on bone.

The main limitation of our study was the small sample size ($n = 125$ for adolescent analysis, and $n = 115$ for young adult analysis), which did not allow us to run the separate analysis for females and males or run other DP approaches such reduced-rank regression method. Small sample size also limited us from adding more covariates in the model such as young adult DPs, smoking status, oral contraceptive use or reproductive history (in females). Even though we did not control the models for young adult DPs, we assessed change in DPs from adolescence to young adulthood to overcome this limitation. Two further limitations of our study are reliance on only one 24-h recall in young adulthood and using two different nutrient assessment systems from adolescence to young adulthood. However, our focus was food group intake and these two systems were only used to measure total energy intake.

Conclusions

Our results suggest that a diverse and well-balanced DP, rich in dark green vegetables, eggs, non-refined grains, 100% fruit juice, legumes, nuts and seeds, added fats, fruits and low-fat milk during adolescence has a beneficial impact on bone health during adolescence and this positive impact on bone accrual can be carried into young adulthood. Further population-based studies are

needed to confirm our findings and generalize these results to other populations.

Abbreviations

aBMD: areal bone mineral density; BMC: Bone mineral content; DP: dietary pattern; DXA: dual-energy X-ray absorptiometry; FN: femoral neck; LS: lumbar spine; MANCOVA: multivariate analysis of covariance; PAQ: physical activity questionnaire; PBM: peak bone mass; PBMA: Pediatric Bone Mineral Accrual Study; PCA: principal component analysis; PHV: peak height velocity; TB: total body

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Authors' contributions

All authors contributed to the conception and design of the study. HV, SJW, ABJ and SK contributed to the research conduction and data collection. EZM analyzed the data, interpreted the results and wrote the first draft of the manuscript under HV's guidance. All authors contributed to interpretation of results and reviewing and revising the manuscript. All authors read and approved the final version of the paper. None of the authors revealed any conflict of interest regarding present study.

Competing interests

The authors declare that they have no competing interests.

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Relationship between dietary patterns and risk factors for cardiovascular disease in patients with type 2 diabetes mellitus

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Abstract

Background: While some dietary patterns are associated with the incidence of type 2 diabetes mellitus (T2DM) and cardiovascular disease (CVD), the relationship between dietary pattern and risk factors for CVD in patients with T2DM remains to be clarified. The aim of this study was to identify dietary patterns and investigate the relationship between dietary patterns and potential risk factors for CVD in patients with T2DM.

Methods: The study participants comprised 726 Japanese T2DM outpatients free of history of CVD. Life styles were analyzed using self-reported questionnaires. The relationship between dietary patterns, identified by factor analysis, and potential risk factors for CVD was investigated by linear and logistic regression analyses.

Results: Six dietary patterns were identified by factor analysis. Especially, three dietary patterns were associated with risk factors for CVD. The “Seaweeds, Vegetables, Soy products and Mushrooms” pattern, characterized by high consumption of seaweeds, soy products and mushrooms, was associated with lower use of diabetes medication and healthier lifestyles. The “Noodle and Soup” pattern, characterized by high consumption of noodle and soup was associated with higher body mass index, alanine aminotransferase, aspartate aminotransferase, γ -glutamyl transpeptidase and triglyceride levels. The “Fruit, Dairy products and Sweets” pattern was associated with lower γ -glutamyl transpeptidase levels, blood pressure, albuminuria and brachial-ankle pulse wave velocity.

Conclusions: The findings suggested that dietary patterns correlated with risk factors for CVD in T2DM patients.

Keywords: Dietary pattern, Type 2 diabetes mellitus, Risk factors for cardiovascular disease, Self-reported questionnaires

Background

The onset of type 2 diabetes mellitus (T2DM) is associated with numerous lifestyle problems. Indeed, multiple lifestyle modifications, in addition to pharmacological intervention for classical risk factors, can reduce both the incidence of T2DM [1] in non-T2DM population and the development of cardiovascular disease (CVD) in patients with T2DM [2, 3]. However, a recent clinical trial showed that life style intervention, with a special

focus on reduced calorie intake and increased physical activity, did not affect the rate of CVD in obese patients with T2DM [4]. In this regard, it is possible that not only caloric intake, but rather dietary composition, may need to be modified to achieve appropriate metabolism and prevent or delay CVD in patients with T2DM.

Recent studies showed that specific foods such as fruit and vegetables [5], nutritional supplements, such as magnesium and calcium [6–8], and quality of carbohydrate intake, such as high fibers, low glycemic index and whole grain intake [8–10], were associated with lower risk of T2DM. However, in real life, people do not consume certain foods or single nutrients, but rather mixed food that contains various nutrients. In this regard, the

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dietary pattern should be taken into consideration, because it reflects the complexity of dietary intake, where the food contents usually have interactive and synergistic effects and occasionally even antagonistic effects [11]. In fact, it was suggested that dietary patterns may be more predictive of disease risks compared to specific food- and nutrient-based approaches [11].

Recent studies demonstrated that healthy Asian dietary patterns characterized by high consumption of vegetables, fruits, seaweeds, bonefishes, potatoes and/or soy foods were inversely associated with incidence of T2DM [12–14], metabolic syndrome [15], atherosclerosis [16] and related CVD [17] in non-T2DM general population, while western dietary pattern was associated with high incidence of T2DM [10, 18, 19] and metabolic syndrome [20]. Although it was demonstrated that the consumption of fruits, vegetable foods and meat was higher in patients with T2DM than non-T2DM subjects [21], only a few studies demonstrated that Korean Healthy diet pattern characterized by whole grains, legumes, vegetables and fruits was inversely associated with lipid metabolism [22], and vegetable and fish pattern was associated with better renal function in patients with T2DM [23]. Thus, there seems to be some gaps in our understanding of the relationship between dietary patterns and risk factors for CVD, especially arterial stiffness, in patients with T2DM. The aim of this cross-sectional study was to assess the above relationship in Japanese patients with T2DM free of history of CVD

Research design and methods

Subjects

The subjects of this cohort study were recruited from the Diabetes Outpatient Clinic of Juntendo University (Tokyo, Japan), Naka Memorial Clinic (Naka, Japan), and Secomedic Hospital (Funabashi, Japan) as reported previously [24]. Briefly, the inclusion criteria were as follows: 1) T2DM patients, 2) ≥ 25 years of age and < 70 years of age (regardless of gender), and 3) signing consent form for participation in the study. The following exclusion criteria were also applied: 1) type 1 or secondary diabetes, 2) presence of severe infectious disease, before or after surgery, or severe trauma, 3) history of myocardial infarction, angina pectoris, cerebral stroke, or cerebral infarction, 4) chronic renal failure requiring hemodialysis, 5) liver cirrhosis, 6) moderate or severe heart failure (NYHA/New York Heart Association stage III or higher), 7) active malignancy, 8) pregnant, lactating, or possibly pregnant women, or those planning to become pregnant during the study period, 9) patients judged as ineligible by the clinical investigators.

A total of 1,032 consecutive subjects were screened between June 2013 and January 2014. Among them, 906 patients who met the above eligibility criteria were invited to participate in the present study. After providing

information on the purpose and procedures of the study, 736 patients with T2DM accepted the invitation and were enrolled in this study. The study was approved by the Institutional Review Board of Juntendo University Hospital and conducted in accordance with the principles described in the Declaration of Helsinki. All patients provided written informed consent prior to participation. The study was registered on the University Hospital Medical Information Network Clinical Trials Registry (UMIN000010932).

Questionnaire survey

Questionnaire survey was conducted using valid and reliable self-administered questionnaires described previously [24]. Briefly, dietary habits during the preceding month were assessed with the validated, Brief, self-administered Diet History Questionnaire (BDHQ). The BDHQ is a 4-page structured questionnaire that asks about consumption and frequency of selected foods to estimate the dietary intake of 56 food and beverage items with specified serving size described in terms of consumption in general Japanese populations [25].

We also used the Morning Evening Questionnaire (MEQ) [26], which is a self-assessment questionnaire developed primarily for screening candidates for sleep-related experiments to evaluate morningness and eveningness in individuals. A high MEQ score represents morning type.

The Pittsburg Sleep Quality Index (PSQI) [27] is a self-administered questionnaire designed to evaluate sleep quality and consists of 18 items that in turn are comprised of 7 components, which include subjective sleep quality, sleep duration, sleep onset, habitual sleep efficiency, sleep disturbances, use of sleeping medications, and daytime dysfunction, with each weighted equally on a 0–3 scale, to be summed to yield the global PSQI score ranging from 0 to 21, where the higher the scores, the worse the sleep quality. The PSQI has a high test-retest reliability and a good validity [28].

The participating patients also completed the BDI (Beck Depression inventory)-II, which is a 21-item questionnaire that assesses hopelessness, irritability, cognition, guilt, fatigue, weight loss, and sexual interest, representing depression-related symptoms in adults and adolescents [29]. A high BDI-II score represents depressive state.

Physical activity level was assessed with the International Physical Activity Questionnaire (IPAQ) that comprises four simple questions on physical activity [30]. The IPAQ results are expressed as metabolic equivalent scores ($\text{METs}\cdot\text{hour}\cdot\text{week}^{-1}$).

Workers were defined as full-time employees or shift workers by a question in the questionnaire, as described previously [24]. The subjects were also divided into non-smokers, former smokers or current smokers, as described previously [24].

Blood and urine tests

Blood samples were obtained at visits to the Outpatients Clinic after overnight fast. Liver and renal function tests, lipids, HbA1c (National Glycohemoglobin Standardization Program), and glucose were measured with standard techniques. UAE was measured by the latex agglutination assay using a spot urine sample. The estimated glomerular filtration rate (eGFR) was calculated by the formula: $eGFR \text{ (ml/min per } 1.73 \text{ m}^2) = 194 \times \text{Age}^{-0.287} \times \text{serum creatinine}^{-0.1094}$ ($\times 0.739$ for females), as described previously [24].

Measurement of baPWV

baPWV was measured using an automatic waveform analyzer (BP-203RPE; Colin Medical Technology, Komaki, Japan), as described previously [24, 31]. Briefly, recording was performed with the patients in the supine position after resting for five minutes. Occlusion and monitoring cuffs were placed snugly around both areas in the upper and lower extremities. The pressure waveforms were then recorded simultaneously from the brachial arteries by the oscillometric method. All scans were automatically conducted by well-trained investigators who were blinded to the clinical information. The validity and reproducibility of baPWV measurements have been confirmed to be considerably high [32].

Statistical analysis

Results are presented as mean \pm SD or median (interquartile range: 25 % to 75 %) for continuous variables or number (proportion) of patients for categorical variables. Some parameters were logarithmically transformed to approximate normal distribution. We used factor analysis with varimax rotation to reduce the complexity of dietary patterns of patients with T2DM based on the results of BDHQ. Factors with an eigenvalue >1.25 were retained [33]. Individual food items with a factor loading of $>|0.4|$ are highlighted as composing that factor for simplicity. The factor scores for each dietary pattern and for each subject were calculated by summing each dietary pattern score weighted by their factor loadings. The estimated factor scores were categorized into quintiles. Trend association across the quintile was evaluated by linear regression analysis for continuous variables or logistic regression analysis for categorical variables. We developed three models to evaluate the trend adjusted for age and gender or adjusted for age, gender, and body mass index (BMI), MEQ, PSQI, BDI-II, current smoking and physical activity. The model for eGFR was adjusted for BMI, MEQ, PSQI, BDI-II, current smoking and physical activity. Statistical tests were two-sided with 5 % significant level. All analyses were performed using the SAS software version 9.3 (SAS Institute, Cary, NC).

Results

Among 736 patients, 10 patients did not complete the questionnaires, and were thus excluded from analysis.

The study participants comprised 726 Japanese patients with T2DM who were being treated on an outpatient basis. Table 1 lists the characteristics of the study subjects. The mean age was 57.8 ± 8.6 years, 62.9 % male and HbA1c was 7.0 ± 1.0 %.

Factor analysis with varimax rotation identified six lifestyle patterns (Table 2). Factor 1, with high loading for seaweeds, vegetables, soy products and mushroom, was labeled the “Seaweeds, Vegetables, Soy products and Mushrooms” pattern. Factor 2, which was characterized by fish, potatoes, meat and fats and oils, was labeled “Fish and Meat” pattern. Factor 3 seemed to load consumption of noodle and soup and thus it was named “Noodle and Soup” pattern. Factor 4 seemed to be characterized by meat, fats

Table 1 Patients characteristics ($n = 726$)

Demographic data	
Age (years)	57.8 \pm 8.6
Gender (male)	456 (62.9)
Estimated duration of diabetes (years)	9.9 \pm 7.2
Body mass index (kg/m ²)	24.6 \pm 4.1
HbA1c (%)	7.0 \pm 1.0
Fasting blood glucose (mg/dl)	134 \pm 31
Systolic blood pressure (mmHg)	127 \pm 14
Diastolic blood pressure (mmHg)	77 \pm 11
Total cholesterol (mg/dL)	185 \pm 28
High-density lipoprotein-cholesterol (mg/dL)	59 \pm 14
Triglyceride (mg/dL)	100 [70, 152]
Aspartate aminotransferase (U/L)	21 [18, 27]
Alanine aminotransferase (U/L)	22 [16, 33]
γ -glutamyl transpeptidase (U/L)	25 [17, 39]
Uric Acid (mg/dl)	5.5 \pm 1.2
Estimated glomerular filtration rate (ml/min/ 1.73 m ²)	78 \pm 18
Urinary albumin excretion (mg/g creatinine)	10 [6, 23]
brachial-ankle pulse wave velocity (cm/s)	1543 \pm 279
Morningness-Eveningness Questionnaire	57.4 \pm 7.3
Pittsburg Sleep Quality Index	5.1 \pm 3.0
Beck Depression inventory -II	9.9 \pm 7.6
Energy intake (kcal/day)	1713 \pm 582
Physical activity (Mets/h/week)	42.8 \pm 70.5
Sleep duration (hours)	6.4 \pm 1.2
Current smoker (yes)	174 (24.0)
Alcohol (g/day)	12.3 \pm 21.5
Treatment modality (n/%)	
Diabetes medication (yes)	620 (85.5)
Hypertension medication (yes)	346 (47.7)
Hyperlipidemia medication (yes)	442 (61.0)

Data are mean \pm SD or number (percentage) of patients

Table 2 Food items and factor analysis with varimax rotation

Components and item labels	Factor 1	Factor 2	Factor 3	Factor 4	Factor 5	Factor 6
Rice	-0.07	0.07	0.00	0.06	-0.15	0.80
Noodle	0.07	0.14	0.96	0.04	0.02	0.05
Breads	0.07	-0.07	0.24	0.36	0.42	-0.15
Miso soup	0.18	0.02	0.08	-0.06	0.09	0.81
Dairy products	0.27	-0.07	-0.01	0.17	0.45	0.06
Meats	0.03	0.52	0.06	0.52	-0.09	0.02
Processed meats	0.12	0.02	0.01	0.66	0.16	-0.03
Fish and shellfish	0.32	0.63	0.17	0.16	-0.03	0.05
Processed fish	0.23	0.60	0.10	0.16	0.07	0.14
Eggs	0.20	0.32	0.01	0.41	0.03	-0.01
Soy products	0.63	0.10	0.13	0.13	0.13	0.08
Green & dark yellow vegetables	0.74	0.07	-0.11	0.29	0.06	-0.07
White vegetables	0.73	0.25	0.11	0.13	0.00	-0.07
Pickled vegetables	0.51	0.18	-0.03	-0.07	0.04	0.10
Fruit and vegetable Juices	0.04	0.16	-0.01	-0.01	0.02	-0.09
Fruit	0.21	0.35	-0.01	-0.03	0.56	-0.05
Sugary foods	0.15	0.51	0.00	-0.05	0.20	0.21
Mushrooms	0.55	0.41	0.01	0.01	0.13	-0.14
Seaweeds	0.70	0.06	0.07	0.05	-0.02	0.10
Potatoes	0.18	0.62	0.01	0.03	0.21	-0.07
Sweets	-0.03	0.21	0.13	0.17	0.62	0.06
Fats and oils	-0.07	0.49	0.22	0.64	-0.08	0.02
Alcohol	0.01	0.02	0.20	0.17	-0.53	0.15
Tea	0.04	0.06	0.03	0.13	0.34	0.11
Coffee	0.09	0.02	0.04	0.14	0.14	0.01
Soft drinks	-0.05	-0.09	0.21	0.19	0.07	0.03
Seasonings	0.32	0.05	-0.09	0.60	0.03	0.06
Soup	0.08	0.11	0.96	0.02	0.01	0.04
Contribution	19 %	8 %	6 %	6 %	5 %	4 %

Individual food items with a factor loading of >|0.4| are shown in bold

and oils, seasonings and eggs, and was named “Meat, Fats and Oils, Seasonings and Eggs” pattern. Factor 5 seemed to be characterized by sweet, fruit and dairy products and was labeled “Fruit, Dairy products and Sweets” pattern. Factor 6 seemed to be characterized by rice and miso soups, and was named “Rice and Miso soups” pattern. Overall, these six diet patterns could account for 48 % of the variance in food intake.

The characteristics across quintile of dietary pattern are shown in Tables 3, 4, 5 and 6. Table 3 shows the characteristics of the study subjects according to the quintiles of “Seaweeds, Vegetables, Soy products and Mushrooms” pattern scores in age and gender adjusted model. Subjects with a higher score for this pattern were older, more morning type, higher consumption of food, higher physical activity and less depressive status. While

HbA1c levels were comparable between the lowest quintile and the highest quintile, the lower prevalence of diabetes medication was found in the highest quintile in age- and gender-adjusted model. Subjects with a higher score for this pattern had lower aspartate aminotransferase (AST), γ -glutamyl transpeptidase (γ -GTP) and UAE values although these findings disappeared in multivariable adjusted model. Subjects with a higher score for “Fish and Meat” pattern were likely to be more female and higher consumption of food intake (Table 3). On the other hand, there were no significant trend in risk factors for CVD among quintiles in both age and gender, and multivariable adjusted model (Table 5). Table 3 also shows the characteristics of the study subjects according to the quintiles of “Noodle and Soup” pattern scores. Subjects with a higher score for this pattern were likely

Table 3 Characteristics according to quintile categories based on dietary patterns

Variable	Seaweeds, vegetables, soy products and mushrooms			Fish and meat			Noodle and soups		
	Quintile 1	Quintile 5	Model 1	Quintile 1	Quintile 5	Model 1	Quintile 1	Quintile 5	Model 1
Age (years)	53.9 ± 8.9	59.5 ± 8.2	-	58.3 ± 8.6	57.9 ± 8.9	-	59.1 ± 7.9	57.6 ± 8.7	-
Gender (male)	92 (63.4)	83 (57.2)	-	108 (74.5)	86 (59.3)	-	83 (44.4)	133 (85.3)	-
Body mass index (kg/m ²)	25.8 ± 4.0	24.1 ± 4.2	-1.64	24.7 ± 3.8	24.8 ± 4.3	0.40	23.9 ± 3.9	25.1 ± 4.1	3.04**
Estimated duration of diabetes (years)	9.5 ± 6.8	9.4 ± 6.4	-1.44	9.9 ± 6.8	10.4 ± 6.9	1.00	9.7 ± 7.3	9.5 ± 7.0	0.00
MEQ	55.3 ± 8.0	58.7 ± 6.8	2.55***	57.6 ± 7.5	57.6 ± 7.4	-0.11	57.9 ± 7.4	57.5 ± 7.1	-1.21
PSQI	5.8 ± 3.8	4.8 ± 2.6	-1.53	5.0 ± 3.1	5.5 ± 3.4	1.35	5.1 ± 3.4	5.1 ± 2.8	1.03
BDI-II	11.4 ± 7.5	9.1 ± 7.4	-2.18*	10.0 ± 7.5	10.8 ± 8.0	0.71	9.8 ± 8.1	9.6 ± 7.9	0.47
Energy intake (kcal/day)	1614 ± 655	2000 ± 546	6.43***	1621 ± 506	2154 ± 658	10.90***	1542 ± 502	2055 ± 665	7.55
Current smoker (%)	34 (23.4)	27 (18.6)	-0.28	41 (28.3)	27 (18.6)	-0.64	42 (22.5)	46(29.5)	0.14
Physical activity (kcal/day)	37.2 ± 65.0	51.7 ± 84.1	2.17*	44.5 ± 65.1	46.2 ± 85.6	1.14	40.3 ± 67.9	51.8 ± 95.2	1.73
Worker (yes)	114 (78.6)	92 (63.4)	-1.22	105 (72.4)	105 (72.4)	1.31	132(70.6)	118 (75.6)	-0.84
Sleep duration (hours)	6.4 ± 1.4	6.5 ± 1.2	-1.15	6.5 ± 1.3	6.4 ± 1.3	-0.14	6.4 ± 1.3	6.4 ± 1.1	-0.27
Diabetes medication (yes)	130 (89.7)	114 (78.6)	-2.50*	122 (84.1)	120 (82.8)	-0.33	155 (82.9)	132 (84.6)	-0.21
Hypertension medication (yes)	74 (51.0)	62 (42.8)	-1.84	71 (49.0)	78 (53.8)	1.60	85 (45.5)	74 (47.4)	-0.03
Hyperlipidemia medication (yes)	90 (62.1)	87 (60.0)	-0.93	89 (61.4)	86 (59.3)	-1.10	106 (56.7)	86 (55.1)	1.37

Data are mean ± SD, median [range: 25 % to 75 %] or number of subjects (percentage) before adjustment. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

Model 1: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age and gender. Standardized regression coefficients are shown. *BDI* beck depression inventory, *MEQ* morningness-eveningness questionnaire, *PSQI*: Pittsburg sleep quality index

Table 4 Characteristics according to quintile categories in each dietary pattern

Variable	Meat, fats and oils, seasonings and eggs			Fruit, dairy products and sweets			Rice and miso soups		
	Quintile 1	Quintile 5	Model 1	Quintile 1	Quintile 5	Model 1	Quintile 1	Quintile 5	Model 1
Age (years)	60.6 ± 6.7	54.9 ± 8.9	-	56.6 ± 9.1	58.7 ± 8.2	-	57.5 ± 8.8	58.3 ± 8.6	-
Gender (male)	104 (55.3)	132 (72.9)	-	134 (82.7)	109(55.9)	-	106 (55.5)	137 (76.5)	-
Body mass index (kg/m ²)	24.3 ± 3.9	25.3 ± 4.5	-0.11	24.4 ± 3.8	24.6 ± 4.2	1.20	25.2 ± 4.3	24.4 ± 3.8	-1.80
Estimated duration of diabetes (years)	10.0 ± 7.4	9.5 ± 6.5	0.47	9.4 ± 7.0	10.2 ± 7.8	0.76	9.5 ± 6.7	10.2 ± 7.0	0.83
MEQ	58.9 ± 7.4	56.5 ± 7.4	-2.14*	58.6 ± 7.8	56.3 ± 7.2	-3.36***	57.1 ± 7.9	56.9 ± 7.5	-0.91
PSQI	4.5 ± 2.6	5.3 ± 3.1	2.00*	5.1 ± 3.0	5.2 ± 3.1	0.02	5.2 ± 2.9	5.0 ± 2.4	-0.06
BDI-II	9.6 ± 8.1	10.0 ± 6.8	0.65	9.7 ± 7.1	10.6 ± 8.6	1.24	10.3 ± 7.9	10.4 ± 8.5	0.98
Energy intake (kcal/day)	1440 ± 479	2118 ± 651	12.94***	1781 ± 618	1909 ± 626	4.14***	1418 ± 456	2047 ± 568	11.01***
Current smoker (%)	39 (20.7)	50 (27.6)	-0.03	55 (34.0)	32 (16.4)	-2.54*	46 (24.1)	42 (23.5)	-1.00
Physical activity (kcal/day)	39.3 ± 65.3	39.1 ± 73.5	0.13	46.4 ± 78.9	45.5 ± 80.7	-0.45	41.3 ± 62.8	47.8 ± 84.4	1.08
Worker (yes)	128 (68.1)	150(82.9)	0.11	134 (82.7)	128 (65.6)	-2.51*	131 (68.6)	138 (77.1)	1.91
Sleep duration (hours)	6.7 ± 1.2	6.3 ± 1.2	-1.88	6.5 ± 1.3	6.5 ± 1.1	-0.02	6.5 ± 1.1	6.4 ± 1.2	-0.55
Diabetes medication (yes)	164(87.2)	151 (83.4)	-0.58	140 (86.4)	164 (84.1)	-1.12	167 (87.4)	153 (85.5)	-0.80
Hypertension medication (yes)	92 (48.9)	82 (45.3)	-0.75	95 (58.6)	82 (42.1)	-2.86**	94 (49.2)	95 (53.1)	0.01
Hyperlipidemia medication (yes)	122(64.9)	99 (54.7)	-0.35	78 (48.1)	131 (67.2)	2.86**	118 (61.8)	95 (53.1)	-1.14

Data are mean ± SD, median [range: 25 % to 75 %] or number of subjects (percentages) before adjustment. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

Model 1: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age and gender. Standardized regression coefficients are shown. See Table 3 for abbreviations

Table 5 Cardio-renal-metabolic parameters according to quintile categories in each dietary pattern

Variable	Seaweeds, vegetables, soy products and mushrooms				Fish and meat				Noodle and soup			
	Quintile 1	Quintile 5	Model 1	Model 2	Quintile 1	Quintile 5	Model 1	Model 2	Quintile 1	Quintile 5	Model 1	Model 2
	AST (U/L)	22 [19, 29]	22 [17, 26]	-2.07*	-1.71	21 [18, 27]	23 [19, 28]	1.14	0.98	21 [17, 25]	23 [18, 29]	3.25**
ALT (U/L)	24 [17, 41]	22 [16, 33]	-1.52	-0.80	23 [16, 34]	25 [17, 34]	0.94	0.69	19 [15, 30]	26 [18, 39]	4.17***	3.29*
γ-GTP (U/L)	28 [18, 48]	22 [15, 36]	-2.46*	-1.95	25 [18, 44]	25 [18, 38]	0.13	-0.01	22 [16, 30]	30 [21, 50]	3.62***	2.75**
Uric Acid (mg/dl)	5.5 ± 1.2	5.4 ± 1.2	0.12	0.51	5.6 ± 1.2	5.4 ± 1.2	0.75	0.63	5.2 ± 1.2	5.8 ± 1.2	0.85	0.27
eGFR (ml/min/ 1.73 m ²)	81 ± 18	77 ± 16	-	0.17	77 ± 18	78 ± 17	-	0.08	78 ± 19	79 ± 17	-	-0.39
Total cholesterol (mg/dl)	190 ± 27	186 ± 29	-1.64	-1.70	184 ± 30	187 ± 27	0.16	0.09	188 ± 30	185 ± 27	0.39	0.22
HDL-C (mg/dl)	59 ± 14	62 ± 14	1.08	0.60	58 ± 13	59 ± 14	-0.03	-0.07	62 ± 15	57 ± 13	-1.39	-0.59
Triglycerides (mg/dl)	101 [71, 163]	96 [64, 144]	-1.74	-1.06	94 [66, 144]	112 [67, 152]	0.88	0.78	89 [64, 135]	116 [78, 164]	2.82**	1.73
Fasting blood glucose (mg/dl)	137 ± 34	131 ± 27	0.01	0.49	133 ± 32	138 ± 35	1.01	0.81	132 ± 31	137 ± 30	0.72	0.43
HbA1c	7.1 ± 1.1	6.9 ± 1.1	-0.48	-0.04	6.9 ± 0.9	7.1 ± 1.1	1.02	0.95	6.9 ± 1.0	7.0 ± 1.0	1.66	1.21
Systolic BP (mmHg)	128 ± 13	127 ± 15	0.12	0.83	127 ± 14	126 ± 15	0.09	-0.10	126 ± 15	128 ± 13	1.83	0.92
Diastolic BP (mmHg)	79 ± 10	75 ± 10	-1.00	-0.53	78 ± 13	76 ± 11	-0.19	-0.33	75 ± 14	78 ± 10	0.99	0.27
UAE (mg/g creatinine)	10 [6, 21]	10 [5, 17]	-2.12*	-1.74	10 [6, 24]	10 [7, 23]	-0.80	-0.88	11 [6, 30]	9 [6, 19]	-0.39	-1.18
baPWV (cm/s)	1528 ± 294	1556 ± 262	-1.62	-1.53	1536 ± 258	1535 ± 248	0.79	0.72	1549 ± 288	1536 ± 262	-0.91	-0.94

Data are mean ± SD, median [range: 25 % to 75 %] or number of subjects (percentage) before adjustment. *P < 0.05, **P < 0.01, ***P < 0.001

Model 1: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age and gender. Model 2: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age, gender, BMI, morningness-eveningness questionnaire, Pittsburg Sleep Quality Index, Beck Depression Inventory, current smoking, and physical activity. Standardized regression coefficients are shown. ALT alanine aminotransferase, AST aspartate aminotransferase, baPWV brachial-ankle pulse wave velocity, BP blood pressure, eGFR estimated glomerular filtration rate, HDL-C high-density lipoprotein-cholesterol, UAE urinary albumin excretion, γ-GTP γ-glutamyl transpeptidase

Table 6 Cardio-renal-metabolic parameters according to quintile categories in each dietary pattern

Variable	Meat, fats and oils, seasonings and eggs					Fruit, dairy products and sweets					Rice and miso soups				
	Quintile 1	Quintile 5	Model 1	Model 2		Quintile 1	Quintile 5	Model 1	Model 2		Quintile 1	Quintile 5	Model 1	Model 2	
AST (U/L)	22 [19, 27]	21 [17, 26]	-1.14	-1.15		22 [18, 28]	21 [18, 27]	0.49	0.09		21 [17, 27]	22 [18, 27]	1.05	1.45	
ALT (U/L)	21 [16, 31]	24 [17, 35]	-0.40	-0.49		22 [16, 33]	23 [17, 34]	2.58*	2.10*		22 [15, 35]	24 [17, 34]	0.92	1.48	
γ-GTP (U/L)	25 [16, 36]	27 [17, 46]	-1.19	-1.33		32 [20, 56]	24 [17, 36]	-3.51***	-4.20***		26 [17, 38]	26 [19, 42]	0.11	0.69	
Uric Acid (mg/dl)	5.4 ± 1.2	5.5 ± 1.2	-2.27*	-2.31*		5.8 ± 1.3	5.3 ± 1.2	-0.58	-1.16		5.4 ± 1.3	5.6 ± 1.1	0.23	0.46	
eGFR (ml/min/1.73 m ²)	75 ± 15	80 ± 19	-	1.24		78 ± 17	79 ± 19	-	-0.56		79 ± 19	77 ± 17	-	-0.87	
Total cholesterol (mg/dl)	185 ± 26	188 ± 30	0.79	0.83		185 ± 27	185 ± 29	-1.04	-1.11		188 ± 29	183 ± 29	-0.26	-0.09	
HDL-C (mg/dl)	59 ± 14	59 ± 16	1.25	1.35		61 ± 14	60 ± 14	-2.87**	-2.65**		59 ± 15	58 ± 13	-0.50	-1.05	
Triglycerides (mg/dl)	98 [68, 144]	112 [72, 157]	-0.14	-0.27		112 [70, 158]	98 [71, 143]	-0.45	-0.99		99 [71, 148]	100 [70, 158]	-0.07	0.70	
Fasting blood glucose (mg/dl)	130 ± 30	137 ± 33	0.93	0.64		136 ± 30	130 ± 31	-1.22	-1.74		135 ± 33	136 ± 31	0.28	0.21	
HbA1c	6.8 ± 0.9	7.0 ± 1.0	1.55	1.25		6.8 ± 1.0	6.9 ± 0.9	0.56	0.12		7.0 ± 1.1	7.0 ± 0.9	0.65	0.79	
Systolic BP (mmHg)	126 ± 15	127 ± 13	0.37	0.22		128 ± 13	125 ± 14	-2.65**	-3.01**		128 ± 15	127 ± 13	-0.47	0.10	
Diastolic BP (mmHg)	76 ± 10	79 ± 13	-0.37	-0.44		80 ± 11	76 ± 12	-1.89	-2.31*		77 ± 11	78 ± 13	1.19	1.61	
UAE (mg/g creatinine)	10 [6, 24]	10 [5, 24]	-0.17	-0.28		12 [7, 36]	9 [6, 18]	-3.20**	-3.44***		10 [6, 23]	11 [6, 23]	-1.23	-0.77	
baPWV (cm/s)	1577 ± 282	1508 ± 265	-0.07	-0.33		1574 ± 314	1530 ± 259	-3.04**	-3.14**		1536 ± 256	1556 ± 277	0.18	0.15	

Data are mean ± SD, median (range: 25 % to 75 %) or number of subjects (proportion) before adjustment. **P* < 0.05, ***P* < 0.01, ****P* < 0.001

Model 1: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age and gender. Model 2: Trend estimation for linear trends across quintiles is based on linear regression analysis for continuous variables or logistic regression analysis for categorical variables adjusted for age, gender, BMI, morningness-eveningness questionnaire, Pittsburgh Sleep Quality Index, Beck Depression Inventory, current smoking, and physical activity. Standardized regression coefficients are shown. See Table 5 for abbreviations

to be males with higher BMI and consumption of food intake. Furthermore, patients with a higher score of this pattern had significantly higher AST, alanine aminotransferase (ALT) levels and γ -GTP compared to those with a lower score in both age- and gender-adjusted model, and multivariate adjusted model (Table 5). Furthermore, patients with a higher score of this pattern had significantly higher triglyceride levels compared to those with a lower score in the age- and gender-adjusted model.

Table 4 shows the characteristics of the study subjects according to the quintiles of “Meat, Fats and oils, Seasonings and Eggs” pattern scores in age- and gender-adjusted model. Subjects with a higher score for this pattern were younger males with lower score of MEQ, higher score of PSQI and higher consumption of food intake. On the other hand, there were no significant trend in risk factors for CVD except uric acid in both age- and gender-adjusted model and multivariable adjusted model (Table 6). Table 4 also displays the characteristics of the study subjects according to the quintiles of “Fruit, Dairy products and Sweets” pattern scores. This pattern was also characterized by lower consumption of alcohol. Subjects with a higher score for this pattern were more likely non-worker, non-smoker females with higher consumption of food intake, with lower score of MEQ. Lower use of hypertension medication and higher use of hyperlipidemia medication were found in the highest quintile. Regarding risk factors for CVD, patients with higher a score of this pattern had lower γ -GTP level, HDL level, systolic BP, UAE and baPWV but slightly higher ALT level compared to those with a lower score in age- and gender-adjusted model, and multivariate adjusted model (Table 6). Table 4 shows the characteristics of the study subjects according to the quintiles of “Rice and Miso soups” pattern scores. Subjects with a higher score for this pattern were higher consumption of food while there was no significant trend in risk factors for CVD.

Discussion

Among the six dietary patterns classified in this study, the “Seaweeds, Vegetables, Soy products and Mushrooms” pattern was associated with lower use of diabetes medications and healthier lifestyles. “Noodle and soup” pattern was associated with higher BMI, higher AST, ALT, γ -GTP and triglyceride levels. “Fruit, Dairy products and Sweets” pattern was associated with lower γ -GTP levels, BP, UAE and baPWV. On the other hand, the remaining three dietary patterns did not negatively affect risk factors for CVD.

Subjects with a higher score of “Seaweeds, Vegetables, Soy products and Mushrooms” pattern had healthier lifestyles, such as more morning type, less depressive symptoms and higher physical activity. Even after adjustment

for those factors, the “Seaweeds, Vegetables, Soy products and Mushroom” pattern was associated with lower use of diabetes medication (data not shown), while there was no significant trend of HbA1c among their quintile categories. It is possible that the “Seaweeds, Vegetables, Soy products and Mushrooms” pattern positively affect glucose metabolism even in patients with T2DM, since a dietary pattern characterized by high consumption of vegetables, soy products and seaweed was reported to be inversely associated with lower incidence of T2DM [12–14] in the general population. Fiber [8], antioxidant vitamins [34], magnesium [6, 8] and phytoestrogens [35], which were abundant in those foods, have been reported to improve glucose metabolism and reduce insulin resistance. In addition, one previous study demonstrated that a healthy Japanese dietary pattern characterized by high consumption of vegetables, fruit, mushrooms and soy products was associated with fewer depressive symptoms in municipal employees [36]. Consistent with this finding, the almost similar type of “Seaweeds, Vegetables, Soy products and Mushrooms” pattern defined in this study was also associated with lower prevalence of depressive symptoms in patients with T2DM. Generally, vegetables and mushrooms contain vitamins and folate, which may reduce the prevalence of depression. In fact, it was demonstrated that antioxidant vitamins may protect neuropsychiatric disorders [37]. Similarly, folate intake was reported to be reduce depressive symptoms through metabolism of monoamines like serotonin and homocysteine in the brain [38]. Taken together, it seems that “Seaweeds, Vegetables, Soy products and Mushroom” dietary pattern is beneficial for patients with T2DM.

The “Fish and Meat” pattern was not associated with risk factors for CVD in this study. It was demonstrated that similar dietary pattern was also associated with the prevalence of the metabolic syndrome in Western populations [39] but not in Japanese workers [40]. These distinct results may be associated with relatively lower intake of meat and higher consumption of fish, vegetable and fruit in Japanese people compared to those in Western populations.

A higher score of “Noodle and Soup” pattern was associated with obesity characterized by high BMI, high AST, ALT, γ -GTP and triglyceride levels. Noodles including Japanese noodles, Chinese style noodles and pasta are one of the most favorite dishes in Japan. Higher intake of noodles, which contains high carbohydrate, may lead to obesity and fatty liver. Higher intake of oil included in the soup may be also associated with obesity and fatty liver.

We found that “Meat, Fats and Oils, Seasonings and Eggs” pattern represented by high intake of meat, fats and oils, seasonings and eggs was not related with risk factors for CVD, except high uric acid levels. On the other hand, a recent study reported that a similar dietary

pattern, named high-fat pattern, was inversely associated with HbA1c levels in Japanese non-T2DM population [41]. The difference between our study and the above could be related to a number of differences among subjects, such as T2DM or non-T2DM, obesity, fat-derived energy intake and other dietary components. While frequent intake of meat, processed meat and eggs negatively affect lipid metabolism, “Meat, Fats and Oils, Seasonings and Eggs” pattern was not associated with lipid metabolism in this study. This may be related to the relatively higher intake of vegetables in addition to frequent intake of meat, processed meat and eggs. Indeed, it was reported that vegetable-rich diet seems to reduce cholesterol concentrations and other cardiovascular risk factors in patients with T2DM as effectively as in patients with non-T2DM [42].

The “Fruit, Dairy products and Sweets” pattern with less frequent consumption of alcohol and cigarette seemed to be preferred by females. Especially, this pattern was associated with lower BP, UAE and baPWV, even after adjustment for multivariate factors. These data may be reasonable because frequent dietary fruit intake was shown to be associated with lower BP and reduced atherosclerotic changes [43]. Also, previous studies demonstrated favorable preventive effects of dairy product on the incidence of hypertension, atherosclerosis and T2DM [44]. Antioxidant nutrients, such as vitamin C and E, carotene and dietary fiber are abundant in fruit. Similarly, dairy products contain calcium, magnesium, potassium, and vitamin D. These nutrients may have beneficial roles on risk factors for CVD in this pattern despite the co-presence of unhealthy diet pattern such as higher sweet consumption.

Recent studies showed that high consumption of rice contributed to the onset of T2DM in Chinese [9] and Japanese women, but not Japanese men [45]. While the mechanism by which frequent intake of rice increases the risk of T2DM remains largely unknown, the high glycemic index of white rice may affect glucose metabolism [46]. However, the “Rice and Soups” pattern was not associated with glycemic control in our patients with T2DM. Considering that subjects with high scores of this pattern showed higher consumption of fiber (data not shown), they may consume brown rice, which contains more dietary fiber, vitamin and minerals compared with white rice or fiber-rich foods with rice.

The present study has certain limitations. First, the cross-sectional design does not allow inference of a causal relationships between dietary patterns and risk factors for CVD. In addition, we could not confirm that the participating subjects adhered to the same dietary pattern throughout life. Especially, dietary patterns probably change depending on many factors such as status of glycemic control (good glycemic control vs. poor glycemic control) and estimated duration of T2DM (shorter

duration vs. longer duration). This may cause reverse causality. Thus, it is not reasonable to conclude that our data could be generalized to a wider range of population, even in Japanese patients with T2DM. Second, we evaluated dietary pattern by self-reported questionnaires, though this method has been widely used in many studies. The results may be influenced by social desirability and recall bias. In addition, we evaluated only 56 food and beverage items. This could be associated with incomplete list of dietary patterns and an underestimation of energy intake. Finally, our results may be affected by several limiting features of factor analysis. It is not possible for investigators to avoid making judgmental decision at their discretion [47]. The results are dependent on the criterion to determine the number of factors to be retained and, the methods for rotation of factor axes and for labeling the dietary pattern. Therefore, our data should be interpreted with caution. For example, other remaining dietary patterns or other remaining food items in each dietary pattern may be associated with risk factors for CVD.

Conclusion

Our study showed the relationship between dietary patterns and risk factors for CVD in T2DM patients without history of CVD. Thus, dietary pattern could be a potentially important therapeutic target to achieve appropriate metabolic control and prevent the onset of future CVD in patients with T2DM.

Abbreviations

ALT: alanine aminotransferase; AST: aspartate aminotransferase; BaPWV: brachial-ankle pulse wave velocity; BDI: beck depression inventory; BDHQ: brief, self-administered diet history questionnaire; BMI: body mass index; BP: blood pressure; CVD: cardiovascular disease; eGFR: estimated glomerular filtration rate; γ -GTP: γ -glutamyl transpeptidase; HDL: high-density lipoprotein-cholesterol; IPAQ: International physical activity questionnaire; MEQ: morningness-eveningness questionnaire; PSQI: Pittsburg sleep quality index; T2DM: type 2 diabetes mellitus; UAE: urinary albumin excretion.

Competing interests

T.M. received research grand from Manpei Suzuki Diabetes Foundation. H.W. received lecture fees from Boehringer Ingelheim, Sanofi-Aventis, Ono Pharmaceutical Co., Novo Nordisk Pharma, Novartis Pharmaceuticals, Eli Lilly, Sanwakagaku Kenkyusho, Daiichi Sankyo Inc., Takeda Pharmaceutical Co., MSD, Dainippon Sumitomo Pharm., Kowa Co. and research funds from Boehringer Ingelheim, Pfizer, Mochida Pharmaceutical Co., Sanofi-Aventis, Novo Nordisk Pharma, Novartis Pharmaceuticals, Sanwakagaku Kenkyusho, Terumo Corp. Eli Lilly, Mitsubishi Tanabe Pharma, Daiichi Sankyo Inc., Takeda Pharmaceutical Co., MSD, Shionogi, Pharma, Dainippon Sumitomo Pharma, Kissei Pharma, and Astrazeneca.

Authors' contributions

All authors contribute to the study design and were involved at all stages of manuscript development. YO and TM mainly drafted the manuscript. MG, a statistician, contributed to analysis of research data. All authors were also involved in analysis and interpretation of data, reviewed/edited the manuscript and approved the final manuscript. HW is the principal guarantors of this work and has full access to all study data and takes responsibility for the integrity of the data and accuracy of data analysis. All authors have read and agreed to the publication of the manuscript.

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Stability-based validation of dietary patterns obtained by cluster analysis

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Abstract

Background: Cluster analysis is a data-driven method used to create clusters of individuals sharing similar dietary habits. However, this method requires specific choices from the user which have an influence on the results. Therefore, there is a need of an objective methodology helping researchers in their decisions during cluster analysis. The objective of this study was to use such a methodology based on stability of clustering solutions to select the most appropriate clustering method and number of clusters for describing dietary patterns in the NESCAV study (*Nutrition, Environment and Cardiovascular Health*), a large population-based cross-sectional study in the Greater Region ($N = 2298$).

Methods: Clustering solutions were obtained with K-means, K-medians and Ward's method and a number of clusters varying from 2 to 6. Their stability was assessed with three indices: adjusted Rand index, Cramer's V and misclassification rate.

Results: The most stable solution was obtained with K-means method and a number of clusters equal to 3. The "Convenient" cluster characterized by the consumption of convenient foods was the most prevalent with 46% of the population having this dietary behaviour. In addition, a "Prudent" and a "Non-Prudent" patterns associated respectively with healthy and non-healthy dietary habits were adopted by 25% and 29% of the population. The "Convenient" and "Non-Prudent" clusters were associated with higher cardiovascular risk whereas the "Prudent" pattern was associated with a decreased cardiovascular risk. Associations with others factors showed that the choice of a specific dietary pattern is part of a wider lifestyle profile.

Conclusion: This study is of interest for both researchers and public health professionals. From a methodological standpoint, we showed that using stability of clustering solutions could help researchers in their choices. From a public health perspective, this study showed the need of targeted health promotion campaigns describing the benefits of healthy dietary patterns.

Keywords: Dietary patterns, Cluster analysis, Stability

Background

In recent years, the dietary patterns (DP) approach has been used extensively to describe overall eating patterns in populations. In the literature, the most famous methods for computing dietary patterns are cluster analysis (CA) and principal component analysis (PCA). However, both methods describe diet in quite different ways. In PCA, continuous factors are defined based on

correlations between dietary intakes and each individual has a score for all derived factors [1]. However, an individual's DP is difficult to interpret as it is described by a score on several factors [2]. On the other hand, cluster analysis separates individuals into mutually exclusive groups (clusters) based on similarities between their diets. Compared to factors, individual DP are easier to interpret since individuals are assigned to one cluster only.

One major challenge in using cluster analysis is that the obtained solution strongly depends upon the choices made by the investigator. Among them, the choice of the clustering method and the optimal number of clusters are

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particularly important [3]. Indeed, since different clustering methods make different assumptions about the structure of the data, the choice of the method should be done according to the group structure expected. However, researchers do not have any prior knowledge about the structure of the clusters and their number. As a result, it appears that researchers run different clustering methods with different number of clusters and tend to present the best interpretable solution [1, 2, 4]. Obviously, this solution may not be the best representative of dietary patterns in a population. As an alternative, some studies used indices measuring distances between clusters [3, 5–7]. However, since those indices assume a group structure, their use should be avoided when group structure is unknown [8].

Consequently, researchers need a method allowing objective selection of the most appropriate clustering method and number of clusters for describing their data. Lange et al. introduced an objective criterion to compare different clustering solutions and to choose the most appropriate [8]. This criterion measures the goodness of clustering solutions by assessing their stability. A stable clustering solution should be similar to solutions computed on other data sets drawn from the same source. The idea is that clustering solutions exhibiting higher stability are likely to be more appropriate for describing the data.

Therefore, the primary objective of this study was to test such objective procedure to select the optimal clustering method and the number of clusters describing dietary patterns, based on data from the interregional, cross-sectional population-based NESCaV study (Nutrition, Environment and Cardiovascular Health). For simplicity, we decided to limit its application to traditional clustering methods used in the field of dietary pattern analysis, namely K-means, K-medians and Ward's minimum variance. Secondly, description of the selected clustering solution and relationships with nutrients intakes, socio-demographic, lifestyle and cardiovascular risk factors (CVRF) were presented. Finally, a comparison was also made with PCA factors.

Methods

Details concerning the NESCAV study have been presented previously [9–11]. Briefly, it is the first cross-border cardiovascular health population-based study, based on a stratified random sample of 3133 subjects, aged 18–69 years, recruited from three neighboring regions, namely Grand-Duchy of Luxembourg, Wallonia in Belgium, and Lorraine in France, constituting an important segment of the Greater Region population. Periods of recruitment were 2007 to 2008 for Grand-Duchy of Luxembourg and 2010 to 2011 for Wallonia and Lorraine. Pregnant women, people living in institutions,

subjects outside the age range 18–69 years and those deceased before recruitment were excluded [10]. Sample sizes were computed in order to be able to estimate prevalence of cardiovascular risk factor with a level of confidence of 95% and a precision of 1%.

A 134-food frequency questionnaire (FFQ) was used to assess dietary intakes. Description and validation of this questionnaire have been detailed elsewhere [12, 13]. To facilitate the analysis, the 134 food items were merged into 45 broader food groups according to their similarities (unpublished observations). Daily food intakes were computed as the product of daily frequency of consumption and the amount consumed. Considered cardiovascular risk factors (CVRF) were body mass index (BMI, kg/m²), waist to hip ratio (WHR), systolic blood pressure (SBP, mmHg), diastolic blood pressure (DBP, mmHg), fasting plasma glucose (FPG, mg/dl), glycated haemoglobin (HbA1c, %), low-density lipoprotein cholesterol (LDL, mg/dl), high-density lipoprotein cholesterol (HDL, mg/dl) and triglycerides (TG, mg/dl). Information on treatment for hypertension, diabetes and dyslipidaemia was also gathered. Collected lifestyle behaviours were smoking status and level of physical activity expressed as weekly energy expenditure in metabolic equivalent task minutes per week (METs min/week), based on self-reported data from the International Physical Activity Questionnaire (IPAQ) [14, 15]. Specific inclusion criteria for this particular study were also defined. Flowchart of participants who met inclusion criteria were described (see Additional file 1: Figure S1). First, 138 participants with non-reliable reporting in the FFQ and outlying values on nutrient intakes were excluded. Then, since relationships between dietary habits and CVRF may be biased by participants who had a serious cardiovascular event ($n = 327$) and/or who are under diet ($n = 312$), those individuals were excluded. In addition, participants who were not fasting at time of blood collection ($n = 58$) were also discarded. Thus, the final sample entailed 2298 individuals.

The protocol of the study was approved by the following institutional review boards: Comité National d'Éthique de Recherche (Grand-Duchy of Luxembourg), Comité de Protection des Personnes Est-III (Lorraine), Comité d'Éthique Hospitalo-Facultaire Universitaire de Liège (Wallonia) and Ethik-Kommission Ärztekammer des Saarlandes (Saarland). All participants provided written informed consent.

Statistical analysis

Transformation of the data

Firstly, food groups and nutrient intake were adjusted for energy intake using the residuals methods of Willet and Stampfer [16]. Secondly, since extreme values may have a significant effect on clustering solutions, extreme intakes above six standard deviations were truncated

[17]. Of the 103,410 available intakes, only 294 (0.28%) were truncated. Thirdly, since food intakes with large scales tend to have a larger effect on clustering solutions, food intakes were standardized by subtracting the minimum intake and then dividing by the range [3, 18].

Formalization of cluster analysis

Let $X = (X_1, \dots, X_n)$ be the dataset of $n = 2298$ individuals to be clustered where X_i is a 45-dimensional vector containing the 45 standardized food group intakes of the i -th individual. A clustering algorithm A with a predefined number of cluster k constructs a solution Y of the data set X into k clusters ($Y := A_k(X)$). This solution Y is represented by an n -dimensional vector of labels $Y = (Y_1, \dots, Y_n)$ where $Y_i = v$ if the i -th individual is assigned to cluster v ($v \in \{1, \dots, k\}$).

The measure of stability

Cluster stability exploits the fact that when multiple datasets are sampled from the same distribution, the clustering algorithm is expected to behave in the same way and produce similar results. Based on this idea, Lange et al. introduced a stability measure computed on the comparison of solutions obtained on different datasets drawn from the same source [8]. This stability measure was then compared across clustering methods and numbers of clusters to select the model associated with the most stable solution. Since this concept and its use in practice were previously described in detail [8], the method is only summarized below.

Briefly, considering a solution $Y := A_k(X)$, the method consists in assessing its stability by randomly splitting the data X into two independent half sets X_{tr} (training dataset) and X_{te} (test dataset), and comparing the solutions obtained for these halves ($Y_{tr} := A_k(X_{tr})$ and $Y_{te} := A_k(X_{te})$). However, since dataset X_{tr} and X_{te} are disjoint, clustering solutions are not directly comparable. To make these solutions comparable, a solution transfer mechanism allows extension of the clustering solution Y_{tr} of the dataset X_{tr} to the dataset X_{te} . Technically, the training dataset (X_{tr}, Y_{tr}) is used to construct a classifier ϕ which is then used to predict label of individuals from the test sample X_{te} . Consequently, the two clustering solutions $A_k(X_{tr})$ and $A_k(X_{te})$ are made comparable by comparing $\phi(X_{te})$ and $A_k(X_{te})$. The stability measure between the two solutions is then computed as the empirical misclassification rate [8]. Lower misclassification rates indicate higher stability.

In order to reduce the effect of random splitting, the algorithm was repeated 20 times and the estimates of stability for a given solution were computed as the average of the 20 corresponding estimates. The highest estimate of stability indicates the optimal clustering method and number of clusters. Clustering methods considered

were the Ward's minimum variance, K-means and K-medians and number of clusters k varying from 2 to 6. Since K-means and K-medians may return a local optimum, algorithms were always run 1000 times with different random starting seeds, and the solution that had the minimum total within-cluster sum of squares distances was selected. Concerning the choice of the classifier ϕ , since we want to measure the stability of clustering solutions, the influence of the classifier should be minimized. For this purpose, Lange suggested choosing a classifier using the same clustering method's grouping principle [8]. Therefore, K-nearest-means classifier was used when K-means and Ward's methods were assessed whereas the K-nearest-medians classifier was used for the K-medians algorithm. Moreover, as a sensitivity analysis for assessing the impact of the stability indices used, others measures, namely Cramer's V and Adjusted Rand index (ARI) were also computed. Contrary to the misclassification rate, higher values on Cramer's V and ARI indicate higher stability.

Description of dietary patterns

According to the stability indices values, the optimal clustering method for describing dietary patterns in our dataset was K-means with a number of clusters equal to 3. Clusters were described with mean of daily food intakes relative to corresponding overall mean intake. Cluster names were assigned based on food groups with high consumption. Clusters were also presented according to nutrient intake, socio-demographic and lifestyle factors. Continuous variables were presented as mean \pm standard deviation (SD). Since most of the variables describing food and nutrient intake were not normally distributed, differences across clusters were evaluated using Kruskal-Wallis test. Categorical variables were presented as percentages (%) and differences were tested by the Chi-square test. A multinomial logistic regression was run to assess the relationships between clusters (dependent variables) and all socio-demographics and lifestyle characteristics as independent variables. Finally, separate multivariable-adjusted regression models for each CVRF (dependent variables) were also used to assess relationships with clusters (independent variables). Models were adjusted for gender, age, educational level, smoking status and the level of physical activity and medication use for the corresponding CVRF. Interaction between DP and gender were tested and if significant, results were stratified by gender. In order to take into account the sampling design of the study, individuals were weighted by the reciprocal of the probability of selection. All analyses were conducted with SAS version 9.4 (SAS Institute Inc., Cary, NC, USA). Ward's method was performed with the procedure PROC CLUSTER and K-means and K-medians with the

procedure PROC FASTCLUS. P -values < 0.05 were considered as significant.

Comparison with PCA-DP

Continuous dietary patterns were also computed with PCA method. PCA-DP scores were calculated as a sum of the food intake variables weighted by the loadings generated by the method. Food groups with absolute loadings values superior to 0.2 were considered as contributing highly to the pattern [2]. According to the elbow method, three dietary patterns were selected. Both methods PCA and cluster analysis were compared by comparing means of PCA-DP across clusters with the Kruskal-Wallis test.

Results

Choice of clustering method and number of clusters

Figure 1 presents the distribution of the three stability indices across clustering methods and number of clusters. Distributions were described with box-plots and average values computed on 20 repetitions of the algorithm. Regardless of stability indices and number of clusters, more stable solutions were obtained with K-means. In addition, the most stable solution was obtained with 3 clusters. Therefore, dietary patterns were computed with K-means algorithm and a predefined number of clusters equal to three.

Dietary patterns

The description of each cluster is given in Table 1. Clusters were described with mean of daily food intakes relative to corresponding overall mean intake. The cluster labelled “**Prudent**” was characterized by high intakes of brown bread, fruits, oleaginous fruits, dried fruits, soups, vegetables, pulses, preserved vegetables, offal, fish, smoked and canned fish, shellfish and mussels, dairy products, soya products, olive oil, oil-rich in omega 3 or 6, water and tea. In contrast, individuals in this cluster had low intakes of white bread, pastries, rice and pasta, fried foods, lean and fatty meat, processed smoked meat, processed meat, ready meals, minarine and margarine, fresh cream and dressing, sugar and sweets, salty biscuits, soft drinks, diet soft drinks, beer and aperitifs and spirits. Concerning the “**Non-Prudent**” cluster, individuals in this cluster consumed less cereals, rice/pasta, fruits, oleaginous fruits, dried fruits, vegetables, pulses, preserved vegetables, fish, smoked and canned fish, dairy products, soya products, olive oil and oil-rich in omega 3 or 6, light fresh cream and dressings, sugar and sweets, water, fruit or vegetable juice and tea. In contrast, the “**Non-Prudent**” cluster had high intakes of white bread, potatoes, fried foods, lean and fatty meat, offal, processed meat, shellfish and mussels, minarine and margarine, fresh cream and dressings, coffee, diet soft drinks, beer

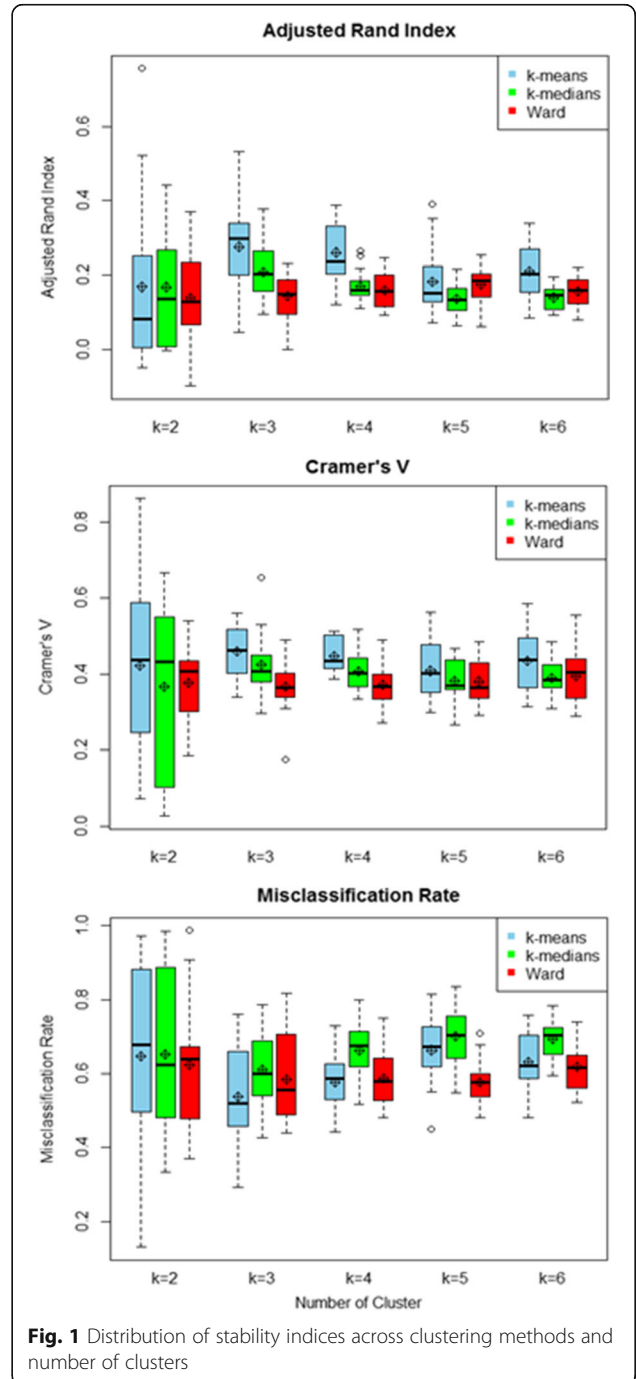


Fig. 1 Distribution of stability indices across clustering methods and number of clusters

and wine. Finally, the “**Convenient**” cluster was characterized by consumption of convenient fast foods that require little preparation like cereals, pastries, rice and pasta, preserved vegetables, smoked and canned fish, ready meals, high-fat dairy products, soya products, fresh cream and dressings, sugar and sweets, salty biscuits, fruit or vegetable juice, soft drinks and aperitifs and spirits. In contrast, individuals in this cluster had low consumption of brown bread, potatoes, oleaginous

Table 1 Description of clusters according to daily food intakes relative to corresponding overall mean intake (Mean (SD))

Food groups	Clusters			p-value
	Convenient (n = 1005;46%)	Prudent (n = 718;29%)	Non-Prudent (n = 575;25%)	
White bread	-2.9 (27.6)^{ab}	1.4 (41.6) ^a	3.4 (36.4)^b	0.003
Brown bread	-9.6 (50.4)^a	6.4 (69.4) ^b	8.7 (76.1)^{ab}	0.003
Cereals	19.5 (104.6)^a	-0.2 (95.9) ^b	-33.9 (43)^c	<0.0001
Pastries	5.6 (29.8)^a	-6.1 (27.2)^b	-2.2 (27.8) ^c	<0.0001
Potatoes	-12.2 (39.3)^a	0.6 (54.2) ^b	20.6 (55.8)^c	<0.0001
Rice pasta	7.6 (47.5)^a	-5.1 (45.8)^b	-6.9 (38.4)^b	<0.0001
Fried foods	2.2 (27.7) ^a	-10.3 (21.2)^b	9.1 (38.7)^c	<0.0001
Fruits	-10.6 (30.2) ^a	26.2 (63.4)^b	-14.2 (29.5)^c	<0.0001
Oleaginous fruits	-6.2 (40.6)^a	13.9 (71.3)^b	-6.3 (45)^a	<0.0001
Dried fruits	-27.7 (69.6) ^a	63.4 (235.2)^b	-30.7 (73.8)^c	<0.0001
Soups	-16.1 (40.2)^a	23.2 (71.4)^b	-0.8 (53.9) ^c	<0.0001
Vegetables	-15.1 (30.3)^a	30.9 (59.8)^b	-12.1 (36.3)^a	<0.0001
Pulses	-7.6 (37.7)^a	13.2 (56.3)^b	-3.3 (56.5)^a	<0.0001
Preserved vegetables	-3.4 (67)^a	12.1 (99.6)^a	-9.2 (56.8)^b	0.017
Lean meat	-0.9 (28.7) ^a	-6 (30.6)^b	8.9 (31.6)^c	<0.0001
Fatty meat	4.4 (34.5) ^a	-16.1 (30.9)^b	12.3 (44.9)^c	<0.0001
Offals	-14.7 (50.4)^a	16.3 (99.9)^b	5.4 (96.6)^a	0.006
Processed smoked meat	-3.6 (37.7) ^a	-7.1 (43.3)^b	15.2 (57.6)^c	<0.0001
Processed meat	2.5 (37.1) ^a	-12.7 (30.6)^b	11.4 (49.3)^c	<0.0001
Fish	-11.1 (35.3)^a	21.4 (56.1)^b	-7.4 (40.7)^a	<0.0001
Smoked and canned fish	-2.9 (56.1)^a	11.4 (83.4)^a	-9.1 (51.9)^b	<0.0001
Shellfish and mussels	-8.4 (56)^a	6.4 (71.4)^b	6.8 (64.6)^b	<0.0001
Eggs	-0.7 (42.5) ^a	-0.4 (45.6) ^a	1.7 (45.2) ^a	0.559
Ready meal	9.6 (31.9)^a	-10.2 (24.2)^b	-4.1 (25.5) ^c	<0.0001
High-fat dairy products	2.3 (34.4)^a	2.1 (38.4)^a	-6.7 (32.7)^b	<0.0001
Low-fat dairy products	-4.92 (62.8) ^a	18.2 (84.9)^b	-14.2 (57.3)^c	<0.0001
Soya products	-24.7 (233.9)^a	83.1 (519)^a	-60.6 (45)^b	<0.0001
Butter and low fat butter	-8.1 (40.3) ^a	2.2 (55.7) ^a	11.5 (70.3) ^a	0.080
Minarine and margarine	-11.8 (53.1) ^a	-21.1 (54.4)^b	47.1 (117.8)^c	<0.0001
Olive oil	-5.4 (49) ^a	22.7 (76.4)^b	-18.8 (40.7)^c	<0.0001
Oil rich in omega6	-12.2 (53.3) ^a	27.7 (101)^b	-13.3 (54.7)^c	<0.0001
Oil rich in omega3	-6 (47.3)^a	8.3 (69.6)^b	0.1 (56.5) ^{ab}	0.013
fresh creamand dressing	7.2 (43.5)^a	-13 (32.9)^b	3.6 (37.4)^a	<0.0001
Light fresh cream and dressing	4.2 (77.1)^a	-4.4 (75.8)^b	-1.9 (69)^b	<0.0001
Sugar and sweets	5.7 (27.1)^a	-4.6 (24.5)^b	-4.1 (25.1)^b	<0.0001
Salty biscuits	9.4 (65.5)^a	-13.5 (38.3)^b	0.4 (60.6) ^c	<0.0001
Water	-2.1 (58.5) ^a	14.8 (65.2)^b	-14.8 (58.6)^c	<0.0001
Coffee	-23.1 (44.4)^a	-10.5 (52.8) ^b	53.6 (85.8)^c	<0.0001
Fruit or vegetable juice	13.9 (67.8)^a	-4.7 (50.3) ^b	-18.4 (38.5)^c	<0.0001
Soft drinks	15.6 (63.9)^a	-18.9 (23)^b	-3.9 (48.5) ^c	<0.0001
Diet soft drinks	7.6 (192.1)^a	-35.5 (108.2)^b	31 (249.9)^a	<0.0001

Table 1 Description of clusters according to daily food intakes relative to corresponding overall mean intake (Mean (SD)) (Continued)

Beer	-4.6 (35.8) ^a	-12.6 (28.5)^b	23.8 (82.7)^c	<0.0001
Wine	-19.7 (54.6)^a	-2.9 (80.6) ^b	38.1 (134.9)^c	<0.0001
Aperitifs and spirits	-2.6 (41.5)^a	-3.5 (52.5)^b	8.9 (63.2)^a	<0.0001
Tea	-53.6 (93.3) ^a	135.2 (259.2)^b	-75.2 (68)^c	<0.0001

Legend: ^{abc}Means with same letters are not significantly different from each other; means that are in **bold** face are highest; means that are **underlined and bold** are lowest

fruits, soups, vegetables, pulses, offal, fish, shellfish and mussels, oil-rich in omega 3, coffee and wine.

The distribution of dietary patterns is also described in Table 1. The “Convenient” pattern was the most prevalent with 46% of the population assigned to this cluster. The remaining two clusters were smaller with 25% and 29% of the population belonging respectively to the “Non-Prudent” and “Prudent” cluster.

The description of dietary patterns according to nutrient intake is presented in Table 2. “Prudent” cluster was characterized by high intakes of all micronutrients, carbohydrates, total fiber and plant protein. In contrast, this cluster was associated with low intakes of alcohol, animal protein, added sugar and dietary cholesterol. Concerning fat profile, individuals in this cluster have higher MUFA: SFA (Ratio of monounsaturated fat to

saturated fat) and PUFA: SFA (Ratio of polyunsaturated fat to saturated fat). On the opposite, “Non-Prudent” cluster had the highest intakes of alcohol, animal protein, and dietary cholesterol. It was also characterized by low intakes of carbohydrates, total fibre, added sugar, fat and all micronutrients and low MUFA: SFA and PUFA: SFA ratios. The “Convenient” pattern was associated with high intakes of carbohydrates, added sugar and fat and low intakes of alcohol, total fiber, plant and animal protein, β -carotene, vitamin E and iron.

Association of DP with sociodemographic and lifestyle characteristics

The associations of DP with sociodemographic and lifestyle characteristics are shown in Table 3. “Non-Prudent” and “Convenient” clusters were compared to the “Prudent”

Table 2 Description of clusters according to nutrient intakes relative to corresponding overall mean intake (Mean (SD))

Nutrient	Clusters			p-value
	Convenient	Prudent	Non-Prudent	
Macro-nutrients				
Alcohol	-25.3 (89.0)^a	-19.6 (111.2)^a	69.4 (188.1)^b	<0.0001
Carbohydrates	2.2 (16.6)^a	1.1 (20.5)^a	-5.2 (18.3)^b	<0.0001
Total fiber	-9.3 (21.5)^a	19.3 (32.5)^b	-7.9 (26.4)^a	<0.0001
Plant protein	-5.5 (22.0)^a	8.3 (28.9)^b	-0.6 (26.1) ^c	<0.0001
Animal protein	-1.9 (29.7)^a	-1.4 (35.1)^a	5.1 (31.3)^b	<0.0001
Added sugar	27.6 (73.1)^a	-24.9 (52.1)^b	-17.0 (60.9)^b	<0.0001
Fat	0.9 (17.0)^a	-0.2 (21.7)^{ab}	-1.3 (19.7)^b	0.03
Cholesterol	0.9 (26.2) ^a	-4.8 (34.1)^b	4.4 (26.2)^c	<0.0001
Monounsaturated fat	-0.4 (21.5)^a	3.4 (27.4)^a	-3.6 (20.5)^b	0.0001
Polyunsaturated fat	-6.1 (31.7)^a	13.2 (51.3)^b	-5.9 (29.4)^a	<0.0001
Saturated fat	0.9 (13.0)^a	-2.91 (15.9)^b	2.1 (12.8)^a	<0.0001
Ratio of monounsaturated fat to saturated fat	-2.1 (36.0) ^a	8.2 (49.5)^b	-6.5 (34.1)^c	<0.0001
Ratio of polyunsaturated fat to saturated fat	-8.3 (44.7)^a	19.4 (79.4)^b	-9.7 (39.9)^a	<0.0001
Micro-nutrients				
β -caroten	-17.5 (56.1)^a	39.9 (106.5)^b	-19.2 (58.5)^a	<0.0001
Vitamin C	-8.3 (47.6) ^a	31.4 (94.6)^b	-24.6 (43.8)^c	<0.0001
Vitamin E	-4.7 (34.3)^a	8.6 (43.2)^b	-2.4 (38.3)^a	<0.0001
Iron	-3.2 (16.5)^a	4.6 (19.3)^b	-0.3 (18.2) ^c	<0.0001
Vitamin D	-8.7 (93.8) ^a	25.0 (101.4)^b	-15.9 (64.8)^c	<0.0001
Calcium	-2.5 (26.5) ^a	13.3 (37.3)^b	-12.4 (28.0)^c	<0.0001

^{abc}Means with same letters are not significantly different from each other; means that are in **bold** face are **highest**; means that are **underlined and bold** are **lowest**

Table 3 Associations of clusters with sociodemographic and lifestyle characteristics (Mean(SD); Percentage) and odds-ratios)

Sociodemographic and lifestyle characteristics		Cluster			p-value	Odds ratio	
		Convenient	Prudent	Non-Prudent		Convenient vs Prudent	Non-Prudent vs Prudent
Age (years)	(n = 2298)	36.9 (0.3)^a	49.3 (0.5)^b	48.9 (0.6) ^c	<0.0001	0.92 [0.91;0.93]	1.00 [0.98;1.01]
Energy expenditure per week (MET/100)	(n = 2298)	29.2 (1.21)^{ac}	33.6 (1.8)^b	32.4(1.6) ^c	0.0075	0.993 [0.988;0.998]	0.996 [0.99;1.002]
Gender (%)	Men (n = 1158)	52.2%	35.9%	66.0%	<0.0001	2.2 [1.6;3.1]	4.2 [2.9;5.9]
	Women (n = 1140)	47.9%	64.1%	34.0%			
Educational level (%)	Primary (n = 331)	7.2%	10.1%	10.5%	<0.0001	1.3 [0.9;2.1]	2.9 [1.4;3.8]
	Secondary (n = 1127)	46.4%	42.8%	57.6%			
	Tertiary (n = 818)	46.4%	41.1%	32.0%			
Smokers (%)	Smokers (n = 484)	21.6%	15.3%	36.9%	<0.0001	1 [0.6;1.6]	3 [1.9;4.7]
	Non smokers (n = 1814)	78.4%	84.7%	63.1%			
Region (%)	Luxembourg (n = 1071)	26.3%	23.0%	19.1%	<0.0001	1.7 [1.2;2.4]	2.1 [1.3;3.4]
	Wallonia (n = 750)	38.3%	26.0%	60.0%			
	Lorraine (n = 477)	35.5%	50.9%	20.9%			

Legend: ^{abc} Means with same letters are not significantly different from each other; figures that are in **bold** face are **highest**; figures that are **underlined and bold** are **lowest**

cluster which was considered as the reference. Older subjects were less likely to adopt a “Convenient” pattern (OR = 0.92 [0.91; 0.93]). Indeed, individuals in the “Convenient” cluster were much younger (36.9 years) than those in the “Prudent” (49.3 years) and “Non-Prudent” (48.9 years) cluster. Men were also more likely to adopt a “Convenient” (OR = 2.2 [1.6; 3.1]) or “Non-Prudent” (OR = 4.2 [2.9; 5.9]) patterns rather than a “Prudent” one. Likewise, individuals with less education were also more likely to adopt a “Non-Prudent” pattern. Concerning the region, compared to Lorraine, individuals living in Luxembourg were more likely to adopt a “Convenient” (OR = 1.7 [1.2; 2.4]) or a “Non-Prudent” (OR = 2.1 [1.3; 3.4]) pattern. The difference was even larger when comparing with individuals living Wallonia with a net preference for the “Non-Prudent” (OR = 7.1 [4.5; 11.4]) and “Convenient” (OR = 2.7 [1.8; 3.9]) pattern. In details, 41% of individuals living in Lorraine adopted a “Prudent” pattern whereas they were only 28.7% in Luxembourg and 19.1% in Wallonia. On the opposite, only 14% of individuals in Lorraine adopted a “Non-Prudent” pattern whereas they were 19.9% in Luxembourg and 36.7% in Wallonia. Concerning lifestyle factors, smokers were more likely to adopt a “Non-Prudent” (OR = 3 [1.9; 4.7]) pattern. Regarding physical activity, individual in the “Convenient” cluster

were engaged in significantly less physical activity (OR = 0.993 [0.988; 0.998]).

Association of DP with CVRF

Multivariate-adjusted β -coefficients for CVRF according to DP are displayed in Table 4. Compared to the “Prudent” pattern, higher BMI was noticed in individuals who adopted the “Convenient” and the “Non-Prudent” pattern whereas higher WHR was only observed in men having adopted the “Non-Prudent” pattern. “Non-Prudent” and “Convenient” patterns also showed higher SBP and DBP values. Concerning diabetes, “Convenient” and especially “Non-Prudent” patterns were significantly associated with higher FPG but not HbA1c. Regarding cholesterol levels, “Non-Prudent” cluster was associated with higher LDL and HDL in men only. Further adjustment of treatment did not change the results.

Comparison of dietary patterns obtained with PCA and K-means

Continuous dietary patterns were computed using the PCA method. According to the scree-plot, three dietary patterns were selected. The percentage of variance explained and loadings of food groups on DP are presented in Table 5. The three PCA-patterns accounted for 7.1%

Table 4 Association of clusters with cardiovascular risk factors (β -coefficients (Standard error) of cluster on CVRF)

	Model	Cluster	
		Convenient	Non-Prudent
		β (standard error)	
BMI	M1	0.49 (0.24)*	1.20 (0.26)**
WHR	M1 men	0.01 (0.01)	0.02 (0.01)*
	M1 women	0.01 (0.005)	-0.002 (0.01)
SBP	M1	2.58 (0.79)*	4.41 (0.87)**
	M2	2.10 (0.77)*	4.04 (0.85)**
DBP	M1	1.54 (0.55)*	3.15 (0.61)**
	M2	1.29 (0.55)*	2.95 (0.60)**
FPG	M1	1.77 (0.88)*	3.10 (0.97)*
	M2	1.46 (0.78)	2.30 (0.86)*
Hba1c	M1	0.01 (0.02)	0.03 (0.03)
	M2	0.01 (0.02)	0.01 (0.02)
HDL	M1 men	-0.78 (1.09)	3.57 (1.10)*
	M1 women	0.07 (1.17)	-1.59 (1.44)
	M2 men	-0.82 (1.09)	3.62 (1.10)*
	M2 women	-0.11 (1.17)	-1.75 (1.44)
LDL	M1 men	1.18 (2.70)	6.63 (2.72)*
	M1 women	-2.77 (2.22)	-4.59 (2.73)
	M2 men	1.02 (2.69)	6.81 (2.71)*
	M2 women	-2.64 (2.22)	-4.47 (2.73)
TG	M1	-1.77 (4.34)	2.91 (4.78)
	M2	-1.25 (4.34)	2.94 (4.77)

Prudent cluster is the reference category

M1 : adjusted on gender, age, educational level, smoking status, physical activity

M2 : M1 + treatment for the studied CVRF

* $p < 0.05$

** $p < 0.0001$

(3.1%, 2.1% and 1.9% respectively) of the total variance in food intakes. The first pattern was labelled “Prudent” as it was characterized by high intakes of fruits, oleaginous and dried fruits, soups, vegetables, pulses, fish, low-fat dairy products, soya products, olive oil, oil-rich in omega 6, water and tea and low intakes of fried foods, lean and fatty meat, processed meat, ready meals, minarine and margarine, fresh cream and dressing, salty biscuits, soft drinks, diet soft drinks and beer. The second PCA-pattern was named “Animal protein and alcohol” since it was positively associated with vegetables, pulses, all kinds of meat and fish and alcohol beverages and negatively associated with sugar and sweets, high-fat dairy products, pastries and cereals. The third pattern was labelled “Convenient” since this pattern was positively correlated with convenient foods that require little preparation like brown bread, cereals, rice, pasta, smoked and canned fish, shellfish and mussels, ready meals, low-fat dairy products, soya products, fresh cream and dressings,

salty biscuits, fruit or vegetable juice. Moreover, it was also negatively correlated with white bread, potatoes and butter. Comparison of dietary patterns obtained through PCA and K-means are shown in Fig. 2. The three clusters were similar to the three continuous dietary patterns obtained through PCA. Indeed, the PCA-Prudent DP was highest in the “Prudent” cluster, the PCA-animal protein and alcohol DP was highest in the “Non-Prudent” cluster and the PCA-convenient DP was highest in the “Convenient” cluster.

Discussion

The main objective of this study was to test a method allowing the objective selection of the most appropriate model among different clustering methods and numbers of clusters in the field of “dietary pattern analysis.” The idea was to assess stability of different clustering solutions and choose the most stable solution as the most appropriate for describing the data. According to this method, three dietary patterns obtained with K-means algorithm were obtained. The “Non-Prudent” and “Convenient” patterns associated respectively with non-healthy food choices and convenient foods were both associated with a higher cardiovascular risk compared to the “Prudent” cluster characterized by healthier dietary habits and lower cardiovascular risk.

Among the clustering method considered in this article, K-means clearly showed more stable solutions regardless of the number of clusters. However, it is highly likely that other more sophisticated methods would have been more appropriate [3]. Indeed, clustering methods considered in this study were really simple and others methods with higher flexibility regarding cluster’s characteristics are more likely to identify real complex structure. In addition, although K-means was found as the most appropriate method for describing dietary patterns in adults living in the Greater region, it may not be the case with other datasets. Indeed, group structures from other populations are likely to be different. Therefore, this should be explored in additional datasets across different populations.

In the field of dietary pattern analysis, we are aware of only two studies comparing different clustering methods. Like us, Lo Siou et al. assessed stability of solutions obtained with different clustering methods and number of clusters and also showed that K-means was the most appropriate method [3]. Contrary to our results, stability decreased with the number of clusters and therefore they were not able to identify an optimal number of clusters with this method. In addition, as proposed by Lange [8], the authors also used a classifier to transfer the solution obtained on one sample to another. However, the classifier should use the same clustering method’s grouping principle. In accordance with Lange,

Table 5 Factor loadings and explained variation of dietary patterns obtained with PCA

Food groups	Dietary patterns		
	Prudent	Animal protein and alcohol	Convenient
White bread	0.02	0.01	-0.70
Brown bread	0.16	0.00	0.20
Cereals	0.13	-0.27	0.40
Pastries	-0.16	-0.29	0.05
Potatoes	0.00	0.12	-0.28
Rice pasta	-0.08	0.08	0.21
Fried foods	-0.39	0.13	-0.09
Fruits	0.51	-0.09	0.02
Oleaginous fruits	0.23	0.09	0.14
Dried fruits	0.35	-0.02	0.06
Soups	0.25	0.09	-0.11
Vegetables	0.56	0.25	0.19
Pulses	0.27	0.21	-0.06
Preserved vegetables	0.05	0.14	-0.12
Lean meat	-0.23	0.38	-0.08
Fatty meat	-0.45	0.26	0.09
Offal's	0.15	0.29	-0.11
Processed smoked meat	-0.11	0.26	0.06
Processed meat	-0.36	0.27	0.08
Fish	0.43	0.39	0.16
Smoked and canned fish	0.17	0.37	0.24
Shellfish and mussels	0.06	0.47	0.22
Eggs	-0.05	0.12	-0.02
Ready meal	-0.38	0.08	0.41
High-fat dairy products	0.15	-0.23	-0.11
Low-fat dairy products	0.26	-0.04	0.20
Soya products	0.20	-0.11	0.26
Butter and low fat butter	0.01	-0.02	-0.30
Minarine and margarine	-0.26	0.04	-0.07
Olive oil	0.35	0.05	0.16
Oil rich in omega6	0.30	0.03	-0.13
Oil rich in omega3	0.15	-0.01	-0.15
Fresh cream and dressing	-0.38	-0.03	0.24
Light fresh cream and dressing	-0.03	0.05	0.31
Sugar and sweets	-0.11	-0.49	0.08
Salty biscuits	-0.34	0.06	0.20
Water	0.24	0.08	0.15
Coffee	-0.07	0.06	-0.17
Fruit or vegetable juice	-0.01	-0.15	0.29
Soft drinks	-0.43	-0.18	0.08
Diet soft drinks	-0.20	0.05	0.13
Beer	-0.26	0.34	-0.03

Table 5 Factor loadings and explained variation of dietary patterns obtained with PCA (*Continued*)

Wine	0.03	0.38	-0.07
Aperitifs and spirits	-0.15	0.34	-0.09
Tea	0.36	-0.10	-0.02
Explained variation in food groups, %	3.1%	2.1%	1.9%

Loading values superior to 0.2 or inferior to -0.2 were in bold

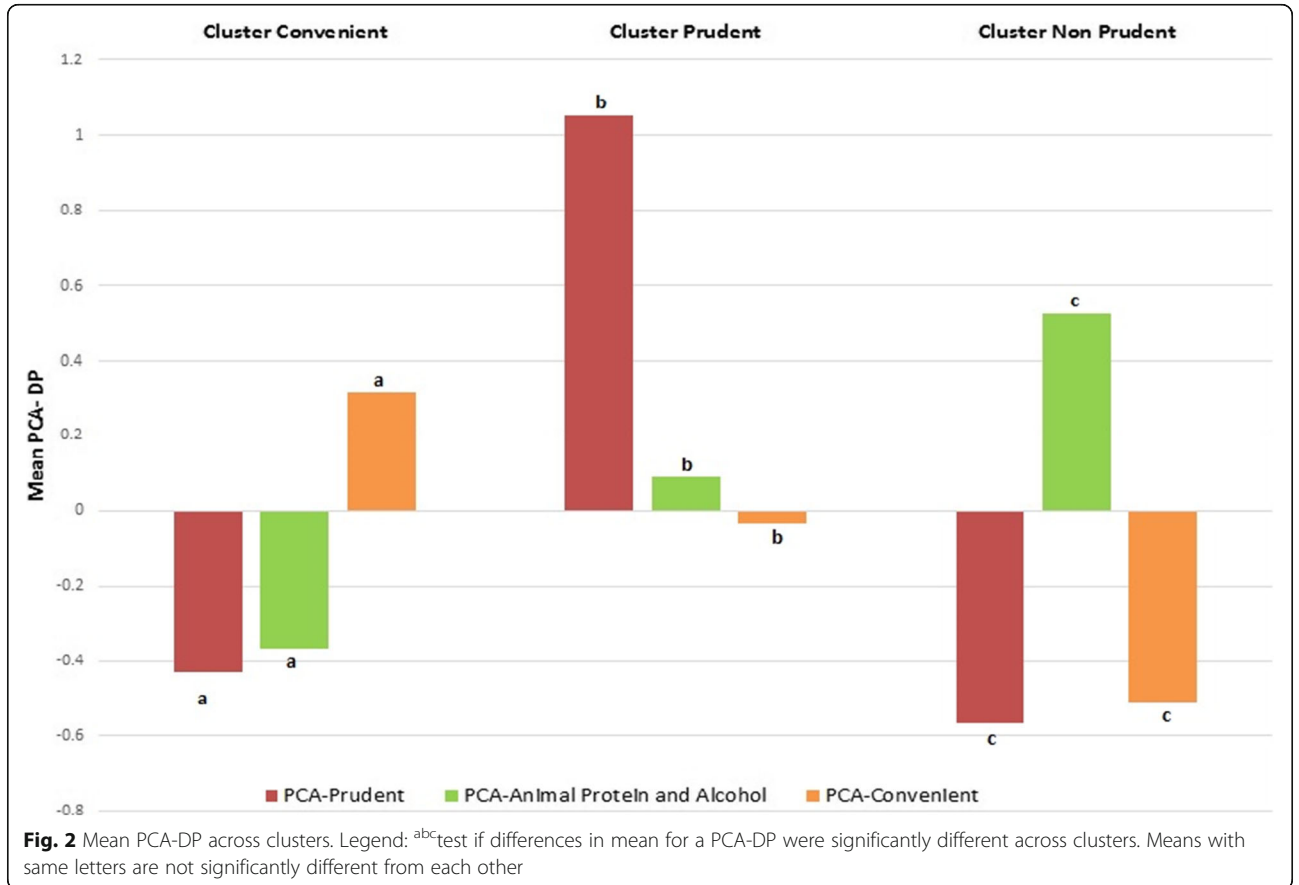
we used the nearest-means classifier for K-means and Ward's method and the nearest-medians classifier for K-medians. However, Lo Siou et al. used the nearest-neighbour classifier for K-means and Ward's method. In order to assess the effect of using a not optimal classifier, we compared stability indices computed on our data with optimal classifiers and the not optimal nearest-neighbour classifier. All stability indices were lower when the not optimal nearest-neighbour classifier was used (see Additional file 2: Figure S2). Therefore, stability values computed in the paper of Lo Siou et al. may have been underestimated.

In another study, Greve et al. use an inappropriate manner for choosing the optimal number of clusters [19]. Indeed, they selected as the optimal number of cluster the number maximizing the agreement between different clustering methods. However, agreement between methods is conditioned by the capability of methods to identify cluster's structure. Indeed, if a method is not able to distinguish clusters, it will never agree with another method even for the correct number of clusters. Therefore, although it is reassuring to have good agreement between solutions obtained with different algorithms, agreement should not be used for choosing the optimal number of clusters.

Comparison with others studies

In accordance with the literature [2, 20], we also derived a "Prudent" dietary pattern characterized by plenty of plant foods and fish and a preference for vegetable oils and low-fat dairy products. In contrast, similar to Western DP described in others studies [2, 20], we also derived a "Non-Prudent" pattern characterized by intakes of red and processed meats, high fat content foods, refined grains, soft drinks and alcoholic beverages [21, 22]. However, contrary to most Western-DP described in the literature [2, 20], our "Non-Prudent" pattern was not associated with intakes of sweets and sugar.

Similar to some studies [4, 23, 24], we also found a cluster characterized by consumption of convenient fast foods. It showed high intakes of convenient unhealthy foods like pastries, ready meals, high-fat dairy products, fresh cream and dressings, sugar and sweets, salty biscuits, soft drinks and aperitifs and spirits. However, it was also characterized by high intakes of convenient



healthy foods like cereals, preserved vegetables, smoked and canned fish, soya products, fruit or vegetable juice. Regarding nutrients, this pattern was associated with high intakes of carbohydrates, added sugar and fat.

The size of DP showed that the “Convenient” pattern was the most prevalent with 46% of the population assigned to this cluster. The “Prudent” and “Non-Prudent” patterns were adopted by 29% and 25% of the population respectively. However, striking differences were noticed across regions. Although, the “Convenient” pattern was the most adopted in all regions, the “Prudent” pattern was more frequent in Lorraine (41%) than in Luxembourg (28.7%) and Wallonia (19.1%). In sum, only a small part of the population has healthy dietary habits and this part is even smaller in Luxembourg and Wallonia. The adoption of a “Convenient” pattern may be due to the fact that people have less and less time for preparing and cooking foods and thus choose to consume prepared foods.

In line with others studies, we also found significant associations between dietary patterns and sociodemographic and lifestyle characteristics. We found that the “Convenient” pattern was more likely to be adopted by men and younger people [23]. Since the Luxembourg population is made up of more young active working people, this might explain the larger size of the “Convenient” cluster in

Luxembourg compared to Wallonia and Lorraine [25]. In addition, as also shown by other studies [4], women and individuals with higher education were more likely to adopt a “Prudent” pattern. Moreover, in accordance with others studies [2, 4], we also found that people who choose unhealthy dietary habits are less likely to be engaged in healthy behaviours like doing physical activities and not smoking. It shows that the choice of a dietary pattern is in fact part of a larger pattern of lifestyle.

Concerning association with CVRF, we found that “Convenient” and “Non-Prudent” patterns were associated with higher BMI, WHR, SBP, DBP and FPG [4, 23, 26]. Moreover, the “Non-Prudent” pattern was also associated with higher HDL and LDL levels in men only. It is in accordance with others studies which also found that a cluster dominated by alcohol was directly associated with HDL [27–29]. The fact that the association was significant in men only might be explained by different level of alcohol consumption between men and women. Indeed, when clusters were described by gender, we observed that the “Non-Prudent” cluster was characterized by high intakes of alcohol in men but not in women (data not shown). Another explanation could be a different effect of diet on plasma lipids between men and women, possibly due to hormonal and sex differences in

cholesterol metabolism [2, 30, 31]. Moreover, the genetic variation in lipoprotein metabolism may also have an effect [32].

Comparison between PCA and cluster analysis

Despite clear differences in approaches and interpretation, PCA and cluster analysis gave similar results. A “Prudent” DP was identified with both methods. Indeed, a “Prudent” and “Non-Prudent” cluster with respectively high and low values on PCA-Prudent DP were found. Likewise, a convenient cluster was made of individuals with high values on PCA-convenient DP. Concerning PCA-Animal protein and alcohol pattern, we did not observe a cluster of individuals with only high intakes of meat, fish and alcohol. However, since this DP is characterized by high intakes of foods (meat and alcohol) usually consumed in a “Non-Prudent” pattern, it was significantly higher in the “Non-Prudent” cluster. Those results are in line with others studies, which also found differences in mean PCA-DP across clusters [33–35].

Although results between both methods were similar, they describe diet in different ways. Indeed, PCA aims to determine DP explaining variation in a set of food groups whereas cluster analysis aims to identify groups of people with different food intakes. Moreover, the format of DP is also different. An individual’s dietary pattern is described through his/her membership to a group in cluster analysis whereas in PCA-DP the subject is described with his/her scores on all computed DP. Therefore, the choice of a method depends on both the desired format of the outcome but also hypothesis and aims of the study. Advantages of PCA are that it may be easier to perform as it requires less subjective researchers’ decisions. However, findings from cluster analysis are easier to interpret because an individual is assigned to one cluster only whereas PCA-DP do not refer to identifiable groups within the population, and hence do not give an indication of the prevalence of a particular type of diet [35]. On the other hand, continuous factors determined by PCA may be advantageous when relationships between DP and others variables are assessed since a gradient is formed between individuals with low, medium or high values on factors. Moreover, they do not require the use of a reference category [26]. As other authors have suggested, unless the choice of one method is justified, it is advisable to use both factor and cluster analysis in order have complementary insights [36].

Strength and limitations

The main strength of this study was the use of an objective procedure to select the most appropriate clustering method and number of clusters. Compared to other internal validity indices, the stability measure has the

advantage to be model free and not being optimized by any clustering method. Moreover, comparison of cluster solution and PCA-derived factors were also made. Further, this study used a recent and homogeneous design of data collection including three large randomly selected samples from three neighbour regions. Shortcomings of this study were that considered clustering methods were all heuristic-based and make basic assumptions on group structure. The reason is that since the main objective of this study was to test the objective procedure, we decided to limit its application to traditional clustering methods used in the field of dietary pattern analysis [2]. Therefore, we will also consider more sophisticated methods in the future. In addition, although the method allows distinguishing between stable and spurious clustering solutions, stability is not the only aspect of a good solution. Indeed, a stable clustering solution may still be meaningless if it does not discriminate useful subset of the overall data [37]. However, unstable solutions should not be interpreted and thus stability is an indispensable requirement [37]. For this reason, the interpretation and criticism of the clustering solution by the researcher and comparison with results obtained with PCA are still important. In addition, many others subjective decisions have still been made that are likely to influence the final solution, namely the pooling of different food items into specific food groups, the quantification of the input variables, the adjustment for total energy intake and the method of standardization. However, the robustness of the chosen solution and the consistency of the results with PCA-DP gave confidence in our results. Other limitations are the cross-sectional design of the study and the probable measurement error linked with the FFQ. Finally, although we identified dietary pattern associated with disease risk, we still do not know if this effect comes from certain component only or is the product of the addition or interaction of several food groups.

Conclusion

In summary, we used an objective methodology based on the stability of clustering solutions allowing selection of the most appropriate clustering method and number of cluster for describing dietary patterns in a population. Three main dietary patterns were identified in the Greater region. A “Convenient” and a “Non-Prudent” pattern associated with a higher cardiovascular risk and a “Prudent” pattern associated with a decreased cardiovascular risk. Those results flag the need for targeted public health initiatives promoting the benefit of a prudent dietary pattern and other healthy behaviours to relevant subgroups like men, young and less educated people, at interregional level.

Abbreviations

ARI: Adjusted rand index; BMI: Body mass index; CA: Cluster analysis; CVRF: Cardiovascular risk factors; DBP: Diastolic blood pressure; DP: Dietary patterns; FFQ: Food frequency questionnaire; FPG: Fasting plasma glucose; HbA1c: Glycated hemoglobin; HDL: plasma high density cholesterol; LDL: Plasma low density cholesterol; MUFA: Monounsaturated fat; OR: Odds-ratio; PCA: Principal component analysis; PUFA: Polyunsaturated fat; RRR: Reduced rank regression; SBP: Systolic blood pressure; SD: Standard deviation; SFA: Saturated fat; TC: Plasma total cholesterol; TG: Triglycerides; WHR: Waist to hip ratio

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Availability of data and materials

The data that support the findings of this study cannot be made publicly available for ethical and legal reasons. In fact, we are not authorized to share our NESCaV dataset with external researchers, as this condition had not been requested in the informed consent signed by the participants in 2007–2011. In addition, the NESCaV project is an interregional study that has been run in three different neighbor regions (Wallonia, Lorraine and Luxembourg). The current collaboration convention does not allow sharing the data with another party. However, any aggregated data in tables can be provided in case of request.

Authors' contributions

NS, SL, AS, SS, AH, MG, AFD, AAlbert defined the methodology of this study. NS, SL, AS performed the statistical analysis. NS wrote the original draft. NS, SL, AAlkerwi, AS, FZ, SS, AFD, AAlbert, MG reviewed the draft. All authors read and approved the final manuscript.

Competing interests

The authors declare no conflict of interest.

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Several grain dietary patterns are associated with better diet quality and improved shortfall nutrient intakes in US children and adolescents: a study focusing on the 2015–2020 Dietary Guidelines for Americans

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Abstract

Background: The present study identified the most commonly consumed grain food patterns in US children and adolescents (2–18 years-old; $N = 8,367$) relative to those not consuming grains and compared diet quality and nutrient intakes, with focus on 2015–2020 Dietary Guidelines for Americans (2015–2020 DGA) shortfall nutrients.

Methods: Cluster analysis using data from the National Health and Nutrition Examination Survey 2005–2010, identified 8 unique grain food patterns: a) no consumption of main grain groups, b) cakes, cookies and pies, c) yeast bread and rolls, d) cereals, e) pasta, cooked cereals and rice, f) crackers and salty snacks, g) pancakes, waffles and French toast and other grains, and h) quick breads.

Results: Energy intake was higher for all grain cluster patterns examined, except ‘cereals’, compared to no grains. Children and adolescents in the ‘yeast bread and rolls’, ‘cereals’, ‘pasta, cooked cereals and rice’, and ‘crackers and salty snacks’ patterns had a higher diet quality relative to no grains (all $p < 0.01$). Energy adjusted (EA) dietary fiber intake was greater in five of the seven grain patterns, ranging from 1.8 – 2.8 g more per day (all $p < 0.01$), as compared to those consuming no grains. All grain patterns, except cakes, cookies and pies had higher EA daily folate relative to children in the no grains pattern (all $p < 0.0001$). EA total fat was lower in ‘cereals’, ‘pasta, cooked cereals and rice’, and ‘pancakes, waffles, French toast and other grains’ in comparison to the no grains food pattern (all $p < 0.01$). EA magnesium intakes were greater in children and adolescents consuming ‘yeast bread and rolls’, ‘pasta, cooked cereals and rice’, and ‘quick breads’, while EA iron was higher in all grain patterns relative to no grains (all $p < 0.01$). EA vitamin D intake was higher only in children consuming ‘cereals’ vs. no grain group ($p < 0.0001$). There were no significant differences in total or added sugar intake across all grain clusters as compared to no grains.

Conclusions: Consumption of several, but not all, grain food patterns in children and adolescents were associated with improved 2015–2020 DGA shortfall nutrient intakes and diet quality as compared to those consuming no grains.

Keywords: NHANES, Grains, Children, Adolescents, Nutrient intakes, Diet quality

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Background

The 2015–2020 Dietary Guidelines for Americans (2015–2020 DGA) policy report states that several nutrients are under-consumed relative to requirement levels set by the Institute of Medicine (IOM). These have been characterized as shortfall nutrients and include vitamin A, vitamin D, vitamin E, vitamin C, folate, calcium, magnesium, fiber, and potassium. For adolescent, premenopausal females and women who are pregnant, iron is also deemed an under-consumed nutrient of public health concern largely due to increased risk of iron-deficiency in these populations. Of the shortfall nutrients, calcium, vitamin D, fiber, and potassium also are classified as nutrients of public health concern because their under-consumption has been linked in the scientific literature to adverse health outcomes [1]. The report further identified that a healthy dietary pattern is higher in fruits, vegetables, whole grains, low- and non-fat dairy, seafood, legumes, and nuts; and lower in red and processed meat, sugar-sweetened foods and beverages and refined grains. However, a variety of grain-based food products, of which include refined/enriched grains, are sources for several shortfall nutrients identified by the DGA, including dietary fiber, folate, iron, and magnesium [1]. With mandatory folic acid fortification commencing in 1998 by the Food and Drug Administration [2], specific grain foods became leading sources for folate; breads, rolls, and crackers are the largest contributor of total folate to the US diet, contributing nearly 16% of total intake, which exceeds contribution of folate from vegetables [3]. Similarly, using data from the National Health and Nutrition Examination Survey (NHANES) 2003–2006, researchers have reported that fortification of grain foods substantially contributes nutrient adequacy for U.S. children and adolescents aged 2–18 years-old, without excessive intakes for most vitamins and minerals [4].

While certain grain food products are contributors of nutrients to limit in the diet, including added sugar, total and saturated fat [5, 6], grain foods also contribute positive nutrients to the diet, including dietary fiber, iron, magnesium, and B vitamins (thiamin, riboflavin, niacin and folate). Food sources of energy and nutrients data in children showed that while three of the top ten ranking foods for calorie contribution to the diet were grain-based foods, the top ten ranking food sources of dietary fiber included six grain-based products, collectively contributing 40% of total daily dietary fiber intake [4]. Others have argued that while three of the top ten sources of energy provided no nutritional value, the remaining sources of energy, including milk, beef, poultry, cheese and baked goods are significant contributors of nutrients of concern and other essential nutrients, postulating the premise that elimination of these foods from food patterns could potential have inadvertent effects on diet quality in the US population [6].

Recent NHANES data in adults showed that some, but not all, grain food patterns were associated with better nutrient intakes, improved diet quality and beneficial obesity-related parameters [7]. While 2015–2020 DGA identify several healthy dietary food patterns, and encourage increased whole grain consumption and reduced refined grain intake, at present, there are no data that evaluate the association of different grain food patterns on nutrient intakes and diet quality outcomes in children and adolescents. Further, some popular diet plans encourage diet patterns that omit gluten-containing or grain-based foods (e.g. Paleolithic diet) as healthier patterns [8, 9]. As such, the objective of the current analyses was to isolate the most commonly consumed grain food patterns in U.S. children and adolescents and compare nutrient intakes and diet quality of those consuming various grain food patterns to those not consuming grain foods using data from the National Health and Nutrition Examination Survey (NHANES) 2005–2010. The hypothesis for the present analysis was that certain grain food patterns are associated with improved diet quality and can significantly contribute nutrients, including shortfall nutrients, while concurrently lowering nutrients to limit in the diet.

Methods

Data were obtained from What We Eat in America, the dietary intake component of NHANES. NHANES is a government-directed program led by the Center for Disease Control and Prevention in collaboration with US Department of Agriculture. Written informed consent was obtained for all participants or proxies, and the survey protocol was approved by the Research Ethics Review Board at the National Center for Health Statistics. **The distribution of the civilian non-institutionalized US population, as well as response rate percentages and population totals in NHANES 2005–2010 data by age and gender, can be viewed at www.cdc.gov/nchs/nhanes/response_rates_cps.htm.** Data from the current NHANES are released every two years and for the current analyses, we used three data releases, namely 2005–2006, 2007–2008, and 2009–2010 [10, 11].

The dietary intake data were obtained from an in-person 24-hour dietary recall (Day 1) by trained specialists using the Automated Multiple-Pass Method [12] as a means to reduce bias in reporting energy and nutrient intakes in the Mobile Examination Center. The Multiple-Pass Method consisted of five steps: (1) the quick list, which included an uninterrupted list of foods and beverages consumed by the subject; (2) the forgotten foods list, which queried the subject on categories of foods that have been documented as frequently forgotten; (3) a time and occasion where foods were consumed; (4) the detail cycle, which elicited descriptions of foods and amounts consumed with the

aid of an interactive Food Model Booklet and measuring guides; and (5) final probe review. USDA's Food and Nutrient Database for Dietary Studies, 3.0, 4.1, and 5.0 was used to code dietary intake data and calculate nutrient intakes [13–15].

Cluster analysis was used to develop patterns of grain consumption—a statistical procedure that analyses large data sets to identify various patterns while trying to maximize differences among the patterns. **Cluster analysis allows for the focus on a specifically defined aspect (i.e., grain food consumption) and then forces maximal differences in clusters for assessments. Cluster analysis also allows for group comparisons rather than factor analysis which are generally associations.** The USDA food coding system was used to define categories of grain foods [15]. Grain foods intake patterns were identified using SAS 9.2 (SAS Institute, Cary, NC, 2013) PROC CLUSTER using a single 24-hour dietary recall in NHANES 2005–2010. **SUDAAN v.11.0 (Research Triangle Institute; Raleigh, NC) was used to adjust analyses for sampling weights and the sampling units and strata information as provided by NHANES.** Clusters were developed based on the percentage of calories consumed from the grain products as the centroid for each cluster. Grains from flour and dry mixes, mixed dishes, and meat substitutes were not included in development of grain clusters. Cluster analyses provides the ability to focus on a particular defined aspect (e.g. calories from grains) and then forces maximal differences in clusters for assessment. For these analyses, the USDA grains products main categories were used to identify the grain cluster patterns of intake (see Table 1).

All main grain food codes fit into one and only one of the grain foods groupings. The patterns identified by the cluster analysis were then identified by percent calories within each grain food grouping (only groups that contributed 5% or more of calories were used to define the clusters) at the centroid of each cluster. Using this method resulted in seven readily identifiable grain food patterns and a no consumption of main grain groups (i.e., no grains group); creating eight unique patterns of **grain food** consumption. With grain food cluster patterns identified, and using the output from the cluster procedure, each subject was then placed in the cluster that matched most closely to the pattern of calories across the food categories.

Adjusted **least-square means** \pm SE values for subjects were determined in each cluster using PROC REGRESS and LOGREGRESS in SUDAAN 11.0 **for dietary intakes and diet quality [Healthy Eating Index (HEI)-2010]** with various sets of covariates. Covariates for analyses of energy intake, HEI-2010 and HEI sub-components [16] were age, gender, and ethnicity. **The poverty income ratio (PIR) grouped into three categories (<1.25, 1.25–**

Table 1 Grain cluster pattern based on percentage of calories from grains in children and adolescents 2–18 years-old of age using data from NHANES 2005–2010

Cluster Number	Grain Foods Pattern	Description
0	No Grains	4.0% of the population
1	Cakes, Cookies and Pies	(5.1% of the population) with approximately 92% of grains coming from this grain group)
2	Yeast Breads and Rolls	(33.8% of the population) with over 68% of grains coming from this grain group;
3	Cereals	(4.0% of the population) with over 95% of grains coming from this grain group;
4	Pasta, Cooked Cereals and Rice	(4.9% of the population) with over 67% of grains coming from this grain group;
5	Crackers and Salty Snacks	(26.1% of the population) with over 53% of grains coming from this grain group;
6	Pancakes, Waffles, French Toast and Other Grains	(9.4% of the population) with over 51% of grains coming from this grain group and approximately 23% of grains coming from yeast bread and rolls.
7	Quick Breads	(12.8% of the population) with approximately 57% of grains coming from this group.

3.49, and >3.49) and physical activity (sedentary, moderate or vigorous based on questionnaire responses), current smoking status, alcohol intake (g/d), and energy intake for nutrient-related variables (with the exception of energy intake itself or HEI-2010) also served as covariates. The PIR values reflected the federally established poverty criteria, thus a PIR of <1.25 equated to below 125% of poverty, while higher values represented the subject was from a higher income status. The HEI-2010 provides a measure of diet quality and measures conformance to federal dietary guidance and has been predominantly used to monitor dietary practices of the US population and the low-income sub-population. Nutrient intakes were also adjusted for energy intakes. The main comparison of interest was to compare results between the no consumption of main grain groups (cluster 0) and all other clusters. A conservative *P*-value of $p < 0.01$ was set for significance.

Results

Eight grain clusters were identified, one of which included isolating a group of children and adolescents that did not consume any of the identified grains (4.0% of the population). The eight clusters are defined as outlined in Table 1, namely: 1) no consumption of main grain groups, 2) cakes, cookies and pies 3) yeast breads and

rolls, 4) cereals, 5) pasta, cooked cereal and rice, 6) crackers and salty snacks, 7) pancakes, waffles, French toast, and 8) quick breads.

Energy and nutrient intakes

Energy intake was significantly higher for children and adolescents in all grain pattern clusters, with the exception of 'cereals' ($p = 0.089$), when compared to the no grains group. The higher energy intake ranged from 416 – 524 kcal/d with 'pancakes, waffles, French toast and other grains' and 'quick breads' clusters representing the greatest increase in kcal/day (Table 2).

Energy adjusted nutrient intakes in the eight grain food patterns are presented in Table 2. When examining nutrients of concern, as outlined by the 2015–2010 DGA [1], no differences in calcium intake was observed in children all of the grain clusters compared to those not consuming grain foods, while dietary fiber was higher in children consuming 'yeast breads and rolls,' 'cereals,' 'pasta, cooked cereals and rice,' 'crackers and salty snacks,' and 'quick breads,' ranging from 1.8 – 2.8 g/day greater daily fiber than children and adolescents in the no grains group.

In terms of nutrients (i.e., vitamins and minerals) present naturally or added to grain foods, via either enrichment or fortification practices, nutrient intakes were higher for those in certain grain clusters. Iron intake was greater across all seven grain clusters examined, thus demonstrating the relevance of both the naturally occurring and added iron in contributing to this 2015–2020 DGA shortfall nutrient. Daily vitamin D (D2 + D3) was significantly greater only in children and adolescents consuming a 'cereals' grain pattern, while no significant differences were observed with potassium intakes in any of the grain clusters as compared to those not consuming grain food products. Intakes of thiamin were significantly higher for children and adolescents consuming all grain clusters, except for 'cakes, cookies and pies,' while daily intakes of riboflavin were significantly greater all grain patterns, with the exception of 'cakes, cookies and pies,' 'pasta, cooked cereals and rice' and 'crackers and salty snacks' when compared to those not consuming grain foods. Similarly, folate was higher (76 – 411 $\mu\text{g}/\text{d}$; all $p < 0.0001$) in those in all grain food clusters, except 'cakes, cookies and pies,' relative to the no grains cluster. Zinc intake was higher only in children and adolescents consuming 'yeast breads and rolls,' 'cereals' and 'pasta, cooked cereals and rice' and significantly lower in those consuming 'cakes, cookies and pies' compared to those in the no grains cluster. Magnesium intakes were greater in children and adolescents consuming 'yeast bread and rolls,' 'pasta, cooked cereals and rice,' and 'quick breads' relative to the no grains group (Table 2).

Regarding nutrients to limit, daily saturated fat intake was significantly lower in all grain patterns examined,

with the exception of 'yeast breads and rolls' and 'quick breads,' compared to those not consuming grain foods, with a range of difference in saturated fat ranging from 1.5 – 4.8 g less per day. Daily sodium intake was only lower (approximately 350 mg/day) in children and adolescents consuming 'cakes, cookies and pies' compared to the no grains cluster pattern. There were no significant differences in total and added sugar intake across all grain clusters as compared to the no grain cluster (Table 2).

Diet quality assessment

Diet quality, as measured by USDA's HEI-2010 is depicted in Table 3. Four of the grain clusters had significantly greater scores when compared to the no grains cluster. Specifically, those in the 'pasta, cooked cereals and rice' had the greatest score at 50.6 ± 1.0 , while children and adolescents consuming 'yeast breads and rolls,' 'cereals' and 'crackers and salty snacks' had scores of 46.1 ± 0.5 , 48.5 ± 1.2 , and 46.0 ± 0.4 , respectively (all $p < 0.001$) compared to the no grains cluster (42.7 ± 0.9).

When examining the subcomponents of HEI-2010 (Table 3), children and adolescents in the 'crackers, salty snacks,' 'pancakes, waffles, French toast and other grains' and 'quick breads' had significantly lower total vegetable scores than subjects in the no grains pattern. Children and adolescents in all grain clusters examined had significantly greater scores for whole grains as compared to those not consuming grain foods, which indicated higher consumption of whole grains (see Table 3). Children and adolescents in the 'cakes, cookies and pies' grain cluster were the only cluster to show significantly higher scores for sodium intake relative to individuals not consuming grains. The lower HEI-2010 sub-component scores were more than offset with increased scores for those in the 'yeast breads and rolls,' 'cereal,' 'pasta, cooked cereals and rice' and 'crackers and salty snacks' clusters for total fruit, whole fruit and whole grains as compared to those not consuming grain foods. Additionally, children and adolescents consuming 'yeast breads and rolls,' 'pasta, cooked cereals, and rice,' 'crackers and salty snacks' and 'quick breads' had significantly higher scores for greens and beans, while the 'cereals' cluster showed higher dairy scores in comparison to children and adolescents in the no grains pattern. The significantly greater score for empty calories in the 'pasta, cooked cereals, and rice' cluster translates as fewer calories from solid fats, alcohol and added sugars), while those consuming 'cakes, cookies and pies' ingested more calories from solid fats, alcohol and added sugars relative to the no grains dietary pattern.

Discussion

This is the first study that has identified various grain food patterns in US children and adolescents with

Table 2 Adjusted mean (SE) nutrient and energy intake for all grain clusters using NHANES 2005–2010, 2–18 years of age

Energy or Nutrient	No Grains			Cakes, Cookies & Pies			Yeast Breads and Rolls			Cereals			Pasta, Cooked Cereals & Rice			Crackers & Salty Snacks			Pancakes, Waffles, French Toast and Other Grains			Quick Breads				
	Cluster 0		Cluster 1		Cluster 2		Cluster 3		Cluster 4		Cluster 5		Cluster 6		Cluster 7		Cluster 6		Cluster 7		Cluster 6		Cluster 7			
	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	LSM	SE	P	
Energy (kcal)	1497	90	1931	65	0.0009	1961	22	<0.0001	1694	65	0.0887	1913	62	0.0005	1946	29	<0.0001	2021	39	<0.0001	2021	36	<0.0001	2021	36	<0.0001
Carbohydrate (g)	245	6.0	268	3.4	0.0016	256	1.2	0.0679	269	4.2	0.0012	272	3.4	0.0004	262	1.4	0.0046	265	2.2	0.0014	255	2.1	0.1542	255	2.1	0.1542
Total sugars (g)	134	6.7	149	3.6	0.0594	130	1.4	0.6016	139	4.9	0.5190	130	4.4	0.6484	128	1.7	0.3738	130	2.3	0.6479	124	1.9	0.1674	124	1.9	0.1674
Added sugars (tsp eq)	22	2.0	25	0.5	0.1791	20	0.3	0.2535	21	1.0	0.7195	19	1.1	0.1331	20	0.4	0.2152	20	0.6	0.3609	18	0.4	0.0874	18	0.4	0.0874
Protein (g)	72	2.2	59	1.1	<0.0001	72	0.5	0.7474	70	1.5	0.2823	72	1.7	0.9931	65	0.6	0.0004	67	1.3	0.0301	68	0.9	0.0685	68	0.9	0.0685
Total fat (g)	76	1.9	72	1.2	0.0958	71	0.4	0.0296	67	1.5	0.0004	64	1.3	<0.0001	72	0.5	0.0727	70	0.8	0.0022	74	0.8	0.4128	74	0.8	0.4128
Total monounsaturated fatty acids (g)	27	0.8	28	0.6	0.7623	26	0.2	0.0648	24	0.7	0.0003	23	0.5	<0.0001	26	0.3	0.1789	26	0.4	0.0958	27	0.4	0.7836	27	0.4	0.7836
Total saturated fatty acids (g)	27	0.7	25	0.5	0.0080	26	0.2	0.0313	25	0.6	0.0049	22	0.7	<0.0001	25	0.2	0.0001	24	0.5	0.0001	26	0.4	0.0311	26	0.4	0.0311
Cholesterol (mg)	261	23.5	184	8.0	0.0018	229	4.5	0.1745	198	9.4	0.0163	211	8.1	0.0771	189	4.2	0.0074	240	9.7	0.4133	233	9.9	0.2342	233	9.9	0.2342
Dietary fiber (g)	11	0.5	12	0.4	0.4815	13	0.2	0.0007	13	0.6	0.0052	13	0.5	<0.0001	13	0.2	0.0006	12	0.3	0.1014	14	0.3	<0.0001	14	0.3	<0.0001
Calcium (mg)	962	53.5	863	28.2	0.1005	1054	12.6	0.0898	1092	31.5	0.0388	964	31.0	0.9737	968	13.6	0.8987	1056	32.4	0.1635	1043	19.1	0.1526	1043	19.1	0.1526
Magnesium (mg)	208	8.7	204	5.0	0.6863	232	2.1	0.0089	231	4.9	0.0158	257	6.7	<0.0001	230	2.3	0.0141	209	2.9	0.8743	237	4.5	0.0009	237	4.5	0.0009
Iron (mg)	10.6	0.3	11.8	0.3	0.0097	14.17	0.15	<0.0001	19.03	0.67	<0.0001	15.45	0.39	<0.0001	14.16	0.21	<0.0001	13.34	0.23	<0.0001	13.44	0.25	<0.0001	13.44	0.25	<0.0001
Zinc (mg)	9.4	0.3	8.2	0.2	0.0016	10.97	0.16	0.0001	12.74	0.41	<0.0001	11.00	0.37	0.0050	10.12	0.12	0.0322	9.23	0.22	0.7165	9.93	0.19	0.2085	9.93	0.19	0.2085
Sodium (mg)	2996	87.24	2645	44.20	0.0018	3137	23.94	0.1432	3004	71.10	0.9403	3429	150.37	0.0107	3066	38.87	0.4346	3129	45.06	0.2079	3118	55.97	0.2002	3118	55.97	0.2002
Potassium (mg)	2148	91.60	2021	69.94	0.2406	2243	21.46	0.3103	2333	46.88	0.0663	2365	51.12	0.0385	2070	23.24	0.3637	2044	33.81	0.3037	2209	39.34	0.5443	2209	39.34	0.5443
Folate, DFE (µg)	361	12.60	393	13.48	0.0694	526	10.60	<0.0001	772	36.39	<0.0001	636	29.86	<0.0001	523	11.21	<0.0001	438	9.85	<0.0001	498	12.04	<0.0001	498	12.04	<0.0001
Riboflavin (Vitamin B2) (mg)	1.8	0.1	1.8	0.0	0.9836	2.1	0.03	0.0001	2.6	0.04	<0.0001	2.0	0.05	0.0171	2.0	0.03	0.0154	2.1	0.04	0.0014	2.1	0.05	0.0031	2.1	0.05	0.0031
Thiamin (Vitamin B1) (mg)	1.3	0.0	1.3	0.1	0.7019	1.6	0.02	<0.0001	1.9	0.04	<0.0001	1.7	0.04	<0.0001	1.5	0.03	0.0003	1.5	0.03	0.0024	1.5	0.03	<0.0001	1.5	0.03	<0.0001
Total choline (mg)	266	14.4	215	5.5	0.0007	260	3.4	0.6977	245	7.7	0.2363	264	6.9	0.9240	225	2.7	0.0053	260	7.4	0.6825	256	5.8	0.5145	256	5.8	0.5145
Vitamin A, RAE (µg)	481	34.5	536	29.2	0.1948	599	9.8	0.0016	736	21.5	<0.0001	666	39.2	0.0008	551	12.2	0.0592	650	21.7	0.0001	578	18.6	0.0192	578	18.6	0.0192
Vitamin B12 (µg)	4.2	0.2	3.8	0.1	0.1470	5.3	0.1	0.0001	6.8	0.3	<0.0001	4.7	0.2	0.1237	4.7	0.1	0.0246	4.9	0.1	0.0255	4.8	0.2	0.0529	4.8	0.2	0.0529
Vitamin B6 (mg)	1.4	0.1	1.4	0.0	0.7590	1.7	0.03	0.0004	2.2	0.1	<0.0001	1.9	0.1	<0.0001	1.6	0.03	0.0059	1.7	0.04	0.0031	1.7	0.1	0.0045	1.7	0.1	0.0045

Table 2 Adjusted mean (SE) nutrient and energy intake for all grain clusters using NHANES 2005–2010, 2–18 years of age (Continued)

Vitamin C (mg)	700	5.0	78.0	6.0	0.2829	80.0	2.0	0.0690	83.9	6.1	0.0575	103.6	9.0	0.0025	80.0	2.6	0.0846	72.3	3.1	0.7126	78.7	3.0	0.1833
Vitamin D (D2 + D3) (µg)	5.0	0.5	4.7	0.3	0.5028	6.0	0.2	0.0753	7.6	0.4	<0.0001	6.0	0.2	0.1363	5.3	0.1	0.5640	5.3	0.3	0.6946	5.8	0.2	0.1939
Vitamin E as alpha-tocopherol (mg)	5.8	0.3	5.6	0.2	0.6583	5.9	0.2	0.6465	5.1	0.1	0.0198	5.9	0.4	0.7239	6.2	0.1	0.1437	5.4	0.1	0.1511	6.1	0.2	0.3859
Vitamin K (µg)	52.7	4.0	57.4	5.4	0.4934	58.2	2.6	0.3003	52.2	5.5	0.9396	76.7	9.8	0.0277	52.4	1.9	0.9567	55.9	3.3	0.5779	55.4	1.7	0.5403

NHANES 2005–2010, N = 8,367

LSM: least square mean; SE: standard error; P = p value of difference as compared to cluster 0 (No grains)

Covariates include age, gender, ethnicity, poverty income ratio, physical activity, current smoking status, alcohol and for all variables except Energy, the covariate of energy (kcal)

Table 3 Adjusted mean (SE) Total healthy eating index-2010 (HEI) and component scores for all grain clusters using NHANES 2005–2010, 2–18 years of age

HEI+2010 Component	No Grains			Cakes, Cookies & Pies			Yeast Breads and Rolls			Cereals			Pasta, Cooked Cereals & Rice			Crackers & Salty Snacks			Pancakes, Waffles, French Toast and Other Grains			Quick Breads		
	Cluster 0			Cluster 1			Cluster 2			Cluster 3			Cluster 4			Cluster 5			Cluster 6			Cluster 7		
	LSM	SE	P	LSM	SE	P	LSM	SE	P	LSM	SE	P	LSM	SE	P	LSM	SE	P	LSM	SE	P	LSM	SE	P
Total Vegetables	2.52	0.13	2.13	0.10	0.0327	2.16	0.05	0.0121	2.44	0.16	0.7002	2.56	0.17	0.8395	1.93	0.06	0.0001	1.74	0.10	<0.0001	2.06	0.07	0.0024	
Greens and Beans	0.30	0.07	0.50	0.10	0.0784	0.62	0.05	0.0002	0.61	0.16	0.0617	1.32	0.20	<0.0001	0.54	0.04	0.0064	0.52	0.09	0.0808	0.77	0.08	0.0004	
Total Fruit	1.97	0.11	2.28	0.14	0.0468	2.58	0.07	<0.0001	2.51	0.17	0.0039	2.72	0.16	0.0007	2.55	0.06	<0.0001	2.60	0.12	0.0002	2.57	0.10	0.0003	
Whole Fruit	1.72	0.17	1.96	0.19	0.3720	2.31	0.08	0.0004	2.38	0.23	0.0073	2.51	0.18	0.0081	2.21	0.07	0.0038	2.33	0.14	0.0079	2.25	0.09	0.0087	
Whole Grains	0.26	0.06	0.57	0.10	0.0033	2.11	0.08	<0.0001	2.24	0.17	<0.0001	2.58	0.28	<0.0001	2.36	0.12	<0.0001	1.44	0.15	<0.0001	1.66	0.12	<0.0001	
Dairy	6.84	0.26	6.53	0.25	0.3773	7.20	0.09	0.2028	8.09	0.29	0.0029	6.78	0.22	0.8751	6.76	0.11	0.7361	6.89	0.21	0.8796	7.12	0.16	0.3282	
Total Protein Foods	3.65	0.14	3.03	0.14	0.0002	3.74	0.05	0.4854	3.38	0.16	0.1844	3.66	0.15	0.9260	3.36	0.05	0.0363	3.59	0.09	0.7878	3.47	0.08	0.3256	
Seafood and Plant Protein	0.98	0.13	1.10	0.16	0.4806	1.46	0.08	<0.0001	0.98	0.18	0.9839	1.45	0.21	0.1112	1.37	0.06	0.0161	1.14	0.09	0.2582	1.47	0.09	0.0018	
Fatty Acid Ratio	3.31	0.23	3.84	0.23	0.1020	3.30	0.08	0.9556	3.06	0.34	0.5560	4.15	0.31	0.0378	4.34	0.11	0.0001	3.74	0.18	0.1540	3.81	0.16	0.1028	
Sodium	4.98	0.34	6.78	0.23	0.0002	4.69	0.09	0.4418	4.98	0.35	1.0000	3.97	0.34	0.0330	5.00	0.14	0.9503	4.69	0.20	0.4555	4.93	0.18	0.8815	
Refined Grains	6.78	0.30	5.72	0.23	0.0054	5.40	0.12	0.0001	7.02	0.33	0.5979	6.55	0.26	0.5711	4.95	0.08	<0.0001	4.63	0.21	<0.0001	4.20	0.16	<0.0001	
SofAAS Calories	9.42	0.58	6.46	0.41	0.0003	10.50	0.21	0.0992	10.80	0.63	0.1216	12.33	0.54	0.0004	10.65	0.16	0.0250	10.19	0.36	0.2374	10.00	0.34	0.3755	
HEI+2010 Total Score	42.72	0.85	40.90	0.92	0.1926	46.06	0.48	0.0003	48.48	1.19	0.0005	50.58	1.02	<0.0001	46.02	0.44	0.0009	43.49	0.60	0.4102	44.33	0.65	0.1534	

NHANES 2005–2010, N = 8,367

LSM: least square mean, SE: standard error; P = p: value of difference as compared to cluster 0 (no grains)

SofAAS: solid fat, alcohol, added sugars

Covariates include age, gender, ethnicity, poverty income ratio, physical activity, current smoking status, and alcohol

reported associations between grain pattern consumption, energy and nutrient intakes and diet quality. The current data support that a variety of grain food patterns, including those recommended by dietary guidance and those that focus on enriched and fortified grain staples, are associated with greater nutrient intakes, including higher consumption of shortfall nutrients and nutrients of public health concern as identified by the 2015–2020 DGA [1], in comparison to an alternative dietary pattern that does not emphasize grain-based foods in children and adolescents. The findings from the present study are aligned with recently published data in American adults, where consumption of specific grain foods were associated with greater nutrient intakes, including greater consumption of shortfall nutrients and nutrients of public health concern. Several, but not all grain food patterns, were associated with improved diet quality compared to adults not consuming main grain groups. Adults consuming pasta, cooked cereals and rice also had lower body weights and smaller waist circumferences when compared to individuals not consuming grain foods [7].

Several nutrients contributed by grain foods naturally or via fortification/enrichment, including folate, calcium, magnesium, fiber and iron are under consumed relative to IOM nutrition standards [17]. Dietary patterns that encourage nutrient-dense grain foods, with the concept of limiting sodium, total fat and sugar, may help shift population consumption in children and adolescents toward recommended intake levels for several shortfall nutrients identified by 2015 DGAC [17]. Additionally, creating positive habits including nutrient-dense dietary patterns that include whole and enriched grain consumption in earlier years may benefit health outcomes into adulthood [18, 19]. Indeed, the current research in children and adolescents provides a sound rationale to support more specific dietary guidance for American children and adolescents about grain consumption rather than simply having two broad categories of recommended intakes that revolve around refined/enriched and whole grains. The current data illustrates how various enriched grain products contribute to daily nutrient intakes and overall diet quality. For example, we observed that children and adolescents consuming ‘yeast breads and rolls’, ‘cereals’, ‘pasta, cooked cereals and rice’, and ‘crackers and salty snacks’ grain patterns had a significantly higher diet quality, as measured by USDA’s HEI-2010 and dietary fiber intake was significantly greater in five of the eight patterns, ranging from 1.8 – 2.8 g more daily fiber, as compared to those consuming no grain foods. It is rationale to suggest that these daily increases in dietary fiber can have a meaningful impact on public health initiatives by helping to minimize gaps in fiber consumption in children and

adolescents. In fact, a recent study evaluating ten-year trends in fiber intakes using NHANES data from 2001–2010 in children and adolescents reported mean fiber intake to be 13.2 ± 0.1 g/day [20]. Thus, dietary fiber intake levels in children and adolescents continue to fall short of meeting dietary guidance based on recommendations set forth by the Institute of Medicine where fiber Adequate Intake in children 1 – 8 years and children and adolescents 9–18 years is set at 19 – 25 g/day and 26 – 38 g/day, respectively [21].

Collaborative efforts from the American Heart Association, American College of Cardiology and The Obesity Society state that nearly one-third of children and youth are overweight or obese, further exacerbating poor health profiles and increasing risks for chronic diseases and their co-morbidities [22, 23]. In the current analyses, total fat intake was lower in ‘cereals’ and ‘pasta, cooked cereals and rice’, and daily saturated fat intake was lower in many of the grain patterns examined, in comparison to the no grains food pattern. The range of saturated fat lowering per day translates to meaningful reductions when considering the U.S. Food and Drug Administration’s Daily Value (DV) for saturated fat; the mean lowering of saturated fat ranged from 1.5 – 4.8 g per day which represents 7.5 – 24% of the DV for adults and children ≥ 4 years of age consuming 2000 kcal/day. Taken collectively, some grain food patterns, comprised of both whole and enriched grains, can be beneficial in children and adolescents when considering dietary guidance and health outcomes.

Our results are aligned with previous observational findings that considered sources of nutrients in the US diet. When identifying the top food sources of nutrients, including both intrinsic and added to foods via fortification, results showed that grain foods represented the top five ranking food sources for folate, such that ready-to-eat cereals, yeast breads and rolls, pizza, pasta and crackers, popcorn, pretzels, and chips contributed 56.7 and 54.4% of folate to the diet of children and adolescents, respectively. Results were similar another shortfall nutrient, such that grain foods represented the top five food sources for iron in the diet of US children and adolescents, with ready-to eat cereals, yeast breads, pizza, cakes, cookies, and pies, and crackers, popcorn, pretzels, and chips cumulatively contributing 52.1 and 48.7% of iron [24].

The 2015–2020 DGA and 2015 Dietary Guidelines Advisory Committee (2015 DGAC) report further states ‘of the shortfall nutrients, calcium, vitamin D, fiber, and potassium also are classified as nutrients of public health concern because their under consumption has been linked in the scientific literature to adverse health outcomes’ [21], a principle carried forward from the DGA 2010 policy document [25]. The 2015 DGAC [17] also reports that “if whole grains were consumed in the amounts recommended in the recommended food patterns, whole grains would provide

substantial percentages of several key nutrients, such as about 32% of dietary fiber, 42% of iron, 35% of folate, 29% of magnesium and 16% of vitamin A". While these nutrients levels represent significant contributions from whole grains, whole grain consumption alone can still leave a gap between consumption and recommendation levels. The 2005 DGAC reported that refined grains contribute substantial levels of key nutrients to food patterns, naming folate, iron, calcium, dietary fiber, thiamin, riboflavin and niacin [26], thus demonstrating the importance of consuming both enriched and whole grains. The committee further acknowledged that including only three ounce equivalents of whole grains daily with no refined grains in recommended food patterns would lower intake of many of key nutrients and potentially place specific populations at risk for nutrient inadequacy [26]; an argument which led the 2015 DGAC to conclude that consumption of whole grains with no substitutions would result in nutrient shortfalls [17]. The current analysis provides data linking different grain food patterns with nutrient intakes and concurrently we observe the adverse nutrient- and health-related outcomes when grain foods as a whole are eliminated from the diet. In many of the grain patterns examined, we see a better overall nutrient intake profile, which demonstrates the important dietary contributions made by different grain foods and emphasizes the importance of consuming a balance of whole grains, enriched and fortified grain products. Indeed, while some of the grain food clusters contributed nutrients to limit in the diet as identified by the 2015–2020 DGA [1], including saturated fat, added sugars, and sodium, several of the grain food patterns were associated with lower intakes of these nutrients and improved shortfall nutrients and diet quality. Such findings provide a rationale for more specific, evidence-based dietary guidance around grain consumption.

There are several limitations to the present analysis that deserve recognition. Data for energy and nutrient intakes, including values reported for diet quality, were obtained using 24-hour dietary recalls, which rely on study participant memory. While validated procedures are used to collect the data, recalled information may be inaccurate and biased from misreporting or memory challenges [27]. In addition, the current evidence, being observational, cannot establish a causal link between the different grain foods patterns examined and improvements in nutrient intakes and diet quality. However, a large strength of the current work stems from the use of NHANES, which is a large continuous survey that examines a nationally representative sample of about 5,000 individuals yearly by highly-trained medical personnel. Additionally, numerous covariates were used to adjust the data in an attempt to remove potential confounding scenarios. However, residual confounding may still exist and may explain some of the results reported. Lastly, we identified a small percentage of the population with no

consumption of the main grain groups investigated, which served as the comparison group. While the comparison group was relevant for research purposes, it only represented 4% of the population, suggesting that further research is required.

Conclusions

Several grain food dietary patterns in U.S. children and adolescents are associated with greater nutrient intakes, including greater consumption of shortfall nutrients and nutrients of public health concern as identified by the 2015–2020 DGA. Improved diet quality, as measured by USDA's HEI-2010 was also linked to consumption of specific grain food patterns, including 'pasta, cooked cereals and rice, 'cereals,' 'yeast breads and rolls' and 'crackers and salty snacks,' when compared to those children and adolescents not consuming grain food dietary pattern. Improved diet quality was due not only to the contribution of nutrients inherent in the grain, but also to those added through enrichment and fortification practices and those provided by natural food pairings such as cereal and dairy foods (i.e., milk). Overall, while some grain food patterns were associated with elevated sodium and added sugar, the present data also support that several grain food patterns can serve as part of a healthy dietary food pattern in children and adolescents, that accounts for 2015–2020 DGA dietary recommendations to reduce total fat, saturated fat and added sugar consumption, while concurrently increasing intake of shortfall nutrients and/or nutrients of concern, including iron, magnesium, dietary fiber, vitamin D, potassium and folate.

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Authors' contributions

YP and JMJ collaborated on the intellectual conception and interpretation of the research. VLF directed the intellectual conception and design of the research, conducted the analyses and provided interpretation. YP drafted the manuscript and all authors approved the final manuscript version of the present research.

Competing interests

YP as Vice President of Nutritional Strategies Inc. provides food, nutrition and regulatory affairs consulting services for numerous food and beverage companies and food-related associations and collaborates with VLF on NHANES analyses; JMJ as Professor Emerita of Food and Nutrition at St. Catherine University provides nutrition science research consulting to food companies and not-for-profit organizations. VLF as Senior Vice President of Nutrition Impact, LLC provides food and nutrition consulting services for numerous food and beverage companies. VLF also conducts analyses of NHANES data for members of the food industry.

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Food choice motives including sustainability during purchasing are associated with a healthy dietary pattern in French adults

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Abstract

Background: Sustainability has become a greater concern among consumers that may influence their dietary intake. Only a few studies investigated the relationship between sustainable food choice motives and diet and they focused on specific food groups.

Objective: This cross-sectional study aimed to assess the associations between food choice motives during purchasing, with a focus on sustainability, and dietary patterns in a large sample of French adults.

Design: Food choice motives were collected in 31,842 adults from the NutriNet-Santé study, using a validated 63 items questionnaire gathered into 9 dimension scores: ethics and environment, traditional and local production, taste, price, environmental limitation (i.e. not buying a food for environmental concerns), health, convenience, innovation and absence of contaminants. Dietary intake was assessed using at least three web-based 24-h food records. Three dietary patterns were obtained through factor analysis using principal component analysis. The associations between food choice motive dimension scores and dietary patterns were assessed using linear regression models, stratifying by sex.

Results: Individuals were more likely to have a “healthy diet” when they were more concerned by not buying a food for environmental concerns (only for 3rd tertile versus 1st tertile $\beta_{\text{women}}=0.18$, 95% CI=0.15–0.20, $\beta_{\text{men}}=0.20$ 95% CI=(0.15–0.25)), ethics and environment (women only, $\beta=0.05$, 95% CI=0.02–0.08), absence of contaminants (women only, $\beta=0.05$, 95% CI=0.01–0.07), local production (women only, $\beta=0.08$, 95% CI=0.04–0.11), health (women only) and innovation (men only), and when they were less concerned by price. Individuals were also less likely to have traditional or western diets when they gave importance to food choice motive dimensions related to sustainability.

Conclusion: Individuals, especially women, having higher concerns about food sustainability dimensions such as ethics and environment and local production, appear to have a healthier diet. Further longitudinal studies are required to better understand how sustainable concerns may influence long-term nutritional quality of the diet.

Keywords: Sustainability, Food choice motives, Diet, Dietary patterns

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Background

Food sustainability has become a critical political issue in societies as well as a matter of public health. Sustainable diets were defined by the Food and Agriculture Organization (FAO) as “diets protective and respectful of biodiversity and ecosystems, culturally acceptable, accessible, economically fair and affordable; nutritionally adequate, safe and healthy; while optimizing natural and human resources” [1]. Considering that sustainable diets could be health promoting, scientific communities in USA [2], Brazil [3], UK [4] and some Northern countries from Europe [5], have recently proposed dietary guidelines taking sustainability into account. In fact, it has been previously reported that some current diets, such as a western diet rich in high fat, high sugar processed foods and poor in fruit and vegetables, has negative effects on both health and environment [6]. Consumers can be considered as the main stakeholders in nutritional public health policies [7]. Public health strategies aiming at encouraging healthy and environmentally friendly food choices need to better understand consumer motives when purchasing. However, little is known about the relationship between their food choice motives including those related to sustainability and dietary intake. Previous studies suggest that the motivation to behave sustainably is frequently found among consumers, while its translation into actual sustainable food choices and consumptions seems more difficult [8–13]. Previous researches about dietary behaviors have indicated that food choice motives may play a mediating role between personal norms and values and dietary behaviors [14, 15]. Indeed some types of concerns (e.g.: health, environment, etc.) may be explained by a combination of values that could influence dietary behaviors such as purchases and food choices and thus diet quality [15].

Previous studies about food choice motives conducted in industrialized countries identified price [16–19], health [17, 19, 20], sensory appeal [19, 21], mood during purchasing [19], attitude toward foods [22] convenience [16, 17, 19, 23–25] and ethical concerns [16, 17, 19, 23–25] as main motives influencing consumers choice. Although sustainability is a rising concern in consumers, to date, only a few studies [23, 25–29] have investigated food choice motives covering all the dimensions of sustainability as defined by the FAO [1]. Those studies investigated motives such as environmental, animal welfare, local production and they mainly focused on organic products [26–29] or specific food groups [23, 25]. For example, a study conducted in Finland reported that health and ethical concerns were associated with a higher consumption of fruit and vegetables and a lower consumption of energy dense foods [23]. Another study, conducted among young adults in the USA, reported that a positive attitude toward organically grown and local food was associated with a higher consumption of

fruit and vegetables, and a lower consumption of sugar-sweetened beverages [29]. Finally, another study conducted in six European countries did not report any association between food choice motives related to sustainability and consumption of traditional foods, except for France [25].

To the best of our knowledge, the relationship between sustainable food choice motives and the overall diet such as dietary patterns has not been reported yet. Dietary patterns have the two advantages of reflecting the complexity of the dietary habits and overall food intake [30] and to enhance the promotion of healthy food habits [31]. In addition, specific dimensions of sustainable food choice motives such as health in the context of sustainability were rarely reported in previous studies [19, 25].

This cross-sectional study aimed to investigate the relationships between food choice motives including sustainability during purchasing, and dietary patterns in a large sample of French adults from the NutriNet-Santé Study.

Material and methods

Subjects were participants in the NutriNet-Santé Study, a large web-based prospective observational cohort launched in France in May 2009 with a scheduled follow-up of 10 years. Participants were Internet-using adult volunteers from the general population aged 18 years or more. The study was designed to investigate determinants of dietary behaviours and nutritional status, as well as the relationships between nutrition and health. The design, methods and rationale of this study have been previously described [32]. Briefly, participants had to fill in an initial set of questionnaires assessing dietary intake, physical activity, anthropometry, lifestyle and socio-economic conditions along with health status to be included in the cohort. Each month, they are invited to fill out other questionnaires related to determinants of food behaviour and various nutritional and health status issues.

This study was conducted according to guidelines laid down in the Declaration of Helsinki, and all procedures were approved by the Institutional Review Board of the French Institute for Health and Medical Research (IRB Inserm n° 0000388FWA00005831) and the Commission Nationale Informatique et Libertés (CNIL n° 908,450 and n° 909,216). Written electronic informed consent to participate in the study was obtained from all subjects.

Data collection

Assessment of food choice motives including sustainability

As no study has simultaneously and thoroughly explored all dimensions of sustainability as defined by FAO [1], in consumer food-buying motives, in particular social

dimension, a new questionnaire including all aspects of sustainability has been developed [33].

In September 2013, 122,091 subjects living in the French metropolitan area were invited to complete this optional validated questionnaire on food choice motives on the “Nutrinet-Santé” website (<https://www.etude-nutrinet-sante.fr/>). The development and validation of the questionnaire have been previously described [33]. Briefly, the final questionnaire included the 63 most relevant items, and was divided into 9 dimensions scores obtained by first-order analysis: ethics and environment (17 items, e.g.: production waste, impact on earth’s resources, respect for working conditions), traditional and local production (12 items, e.g.: proximity of production, support for small-scale producers), taste (4 items), price (6 items), environmental limitations (4 items, e.g.: not buying meat for environmental reasons), health (6 items, e.g.: health impact, nutritional composition), convenience (4 items, e.g.: cooking convenience), innovation (4 items, e.g.: original of innovative product, innovative fabrication/conservation process) and absence of contaminants (5 items, e.g.: additives, exposure to chemicals). Four intercorrelated dimensions (ethics and environment, local and traditional production, health and absence of contaminants) formed a second-order factor interpreted as healthy and environmentally friendly consumption, corresponding to a sustainability dimension in food choices for consumers [33]. The other five uncorrelated dimensions do not directly relate to sustainable food choice motives.

Participants were first asked whether they were in charge of food supply in their household or not. Then, the questionnaire was divided into two main sections: one for general aspects of food purchasing and another one for purchasing of specific food groups (meat, fish, fruit and vegetable and dairy products). Participants were asked whether they were buying these products or not. If they were buying them, they answered all questions concerning their motives during purchasing. For each item, subjects were asked to rate on a 4-point Likert scale from “I strongly disagree” to “I strongly agree” (e.g.: “When I purchase [meat/fish/fruits and vegetables/dairy products], I take into account its impact on the environment: Strongly disagree / Disagree / Agree / Strongly agree / Undecided”). If they were not buying products from a food group, they had to answer specific questions on reasons for not buying them (e.g., “I avoid purchasing [meat/fish/fruits and vegetables/dairy products] for environmental issues: Strongly disagree / Disagree / Agree / Strongly agree / Undecided”).

To control the quality of the data collected through such a questionnaire, feasibility, internal validity and reliability were assessed in 637 randomly selected subjects participating in the Nutrinet-Santé cohort study [33]. Feasibility was measured by assessing specific questions

on the perceived complexity and difficulty of filling in the questionnaire, and whether the questionnaire was too long and redundant, using the same 4-point Likert scale from « I strongly disagree » to « I strongly agree » [33]. The feasibility assessment revealed that only one third of the sample found the questionnaire redundant before it was shortened. The shorter version used for this study may be even more feasible.

The underlying structure of the questionnaire was determined by exploratory factor analysis and then internally validated by confirmatory factor analysis. Reliability was also assessed by internal consistency of selected dimensions and test–retest repeatability. The model demonstrated excellent internal validity (adjusted goodness of fit index = 0.97; standardized root mean square residuals = 0.07) and satisfactory reliability (internal consistency = 0.96, test–retest repeatability coefficient ranged between 0.31 and 0.68 over a mean 4-week period).

Also, to improve quality of data collected, controls were implemented in the web-based questionnaire to avoid missing values implying that individuals had to fill in every question. In addition, at the end of the questionnaire, participants had access to all questions and given answers to check if their answers were right and had the possibility to modify them eventually.

Dietary intake assessment

Dietary data were collected using web-based 24 h dietary records. At enrolment and yearly thereafter, participants were invited to provide three 24 h records (1 weekend day and 2 weekdays) [32]. These records were randomly assigned over a two-week period. The dietary record is completed via an interactive interface and designed for self-administration on the Internet [34]. The web-based dietary assessment method relies on a meal-based approach, recording all foods and beverages (type and quantity) consumed at breakfast, lunch, dinner and all other eating occasions. First, participants fill in the names of all food items eaten. Then, they estimate portion sizes for each reported food and beverage item according to standard measurements (e.g. home containers, grams displayed on the package) or using photographs available via the interactive interface. These photographs, taken from a validated picture booklet [35], represent more than 250 foods (corresponding to 1000 generic foods) served in seven different portion sizes. The values for energy were estimated using a published nutrient database [36] and completed for recent market foods and recipes. The accuracy of web-based 24 h dietary records has been assessed by comparing to interviews by trained dietitians [34] and against 24 h urinary biomarkers [37, 38].

Participants in our sample were included if they had completed at least three 24 h dietary records during the

two years before the questionnaire on food choice motives (September 2011–September 2013). For each participant, daily mean quantities of the food group (in grams for solid food or cL for beverages) were calculated from 24 h records, weighted according to the day (week or weekend). Diet-underreporting participants were identified by the method proposed by Black [39]. Briefly, basal metabolic rate (BMR) was estimated by Schofield eqs. [40] according to sex, age, weight and height collected at enrolment in the study. BMR was compared to energy intake taking into account the physical activity level [39]. Foods were classified according to the information provided in the French Nutrition and Health Program (Programme National Nutrition Santé) guides [41]. Twenty-five food groups were created: fruit, vegetables, legumes, potatoes and other tubers, refined starchy foods, whole starchy foods, cereals (non fatty), fish and seafood, meat, eggs, processed meat, cheese, dairy products low in sugar, cream based deserts, butter and other added animal fats, vegetable oils, margarine, salad dressing and other dressings, salty snacks, cereals (sweet and fatty) sweet and fatty foods (pastries, biscuits, cookies, chocolate), sweet products (honey, jam, candy), sugary drinks, non-alcoholic beverages and alcoholic beverages.

Statistical analysis

The present analyses focused on participants included in the NutriNet-Santé cohort study, included between May 2009 and October 2013, who completed the food choice motive questionnaires, who completed at least three 24 h dietary records, who are not diet-underreporting participants and with no missing socioeconomic data.

Dietary patterns analysis

To create dietary patterns, factor analysis using principal component analysis was performed on the correlation matrix of the 25 food groups. Three principal components representing three independent dietary patterns were identified according to their eigenvalues, interpretability and percentage of variance explained. Varimax rotation was performed to improve the interpretability of the factor loadings [42]. Component scores of dietary patterns were then adjusted for energy using the residual method described by Willett and Stampfer [43]. Mean intakes of 25 food groups and products were described according to the quartiles of dietary pattern factor scores to allow better interpretation of the food intake associated with each one the dietary pattern obtained.

Statistical analyses

Sociodemographic characteristics of the included and excluded samples were compared using χ^2 tests and *t*-tests. Since each factor consisted of different number of items (from 17 to 4 items), all factors were linearly

transformed into values ranging from 0 (no concern) to 10 (strong concern) to standardize ratings. Those quantitative score variables were later converted into qualitative variable to ease interpretation of the results. Cut-offs, were either tertiles or median, depending on the distribution of the score. The association between the nine food choice motives dimension scores and the three dietary pattern factor scores were assessed using ANCOVA models. Each factor of dietary pattern identified was used as a dependent variable. Because of a statistically significant interaction between sex and the food choices motives, the analyses were stratified by sex. First, an univariable analysis was performed for each dietary pattern, to select food choice motive dimensions that were significantly associated with dietary pattern ($p < 0.05$, data not shown). Then, a multivariable analysis assessed the association between dimensions of food motives and each dietary pattern. This analysis included the retained food choice motives dimensions in univariable models, adjusted for energy intake, age and education. Mean scores of the nine dimension scores of food choice motives were also computed by sex to describe their ranking in stratified analyses. Mean dimension scores were compared between men and women using *t*-tests.

All tests of significance were two-sided, and a *P* value < 0.05 was considered significant. All statistical analyses were performed using SAS software (version 9.3, SAS Institute Inc.).

Results

Characteristics of the sample

From the initial 122,091 subjects who received the questionnaire measuring food choice motives regarding sustainable foods, a total of 46,958 answered the questionnaire. Then, 15,113 subjects were excluded because of missing data on food intake (including 6650 underreporting) and 3 had missing data for age and education. The final sample was composed by 31,842 subjects (25,217 women and 6625 men).

As shown in Table 1, in both sexes, the sample of included subjects had a higher proportion of individuals with higher education, compared with excluded subjects. The proportion of women living with a partner was higher in the included sample as well as those living in an urban area of 20,000 to 200,000 inhabitants. The mean age was also lower in included subjects than in excluded subjects.

Description of food choice motive dimensions

Food choice motive dimensions ranked the same in men and women (Table 2). The highest mean scores were found for taste dimension, motives regarding health and absence of contaminants, followed by local and traditional production, price, ethics and environment and

Table 1 Characteristics of the included and excluded subjects (*n* = 45,155, Nutrinet-Santé study, 2013)

	Men (<i>n</i> = 9499)				<i>p</i> ^a	Women (<i>n</i> = 35,656)				<i>p</i> ^a
	Excluded (<i>n</i> = 2874)		Included (<i>n</i> = 6625)			Excluded (<i>n</i> = 10,439)		Included (<i>n</i> = 25,217)		
Age (mean SD)	54.4	13.3	51.4	13.9	<0.001	47.1	14.1	44.8	14.1	<0.001
Educational level (<i>n</i> %)					<0.001					<0.001
Primary	110	3.8	183	2.8		361	3.5	529	2.1	
Secondary	1070	37.2	2065	31.2		3756	36.0	7266	28.8	
Higher education	1685	58.6	4361	65.8		6263	60.0	17,302	68.6	
Missing data	9	0.3	16	0.2		59	0.6	120	0.5	
Marital status (<i>n</i> %)					0.78					0.001
Single, divorced, separated or widowed	578	20.1	1311	19.8		3002	28.8	6717	26.6	
Living with a partner	2289	79.6	5300	80.0		7420	71.1	18,456	73.2	
Missing data	7	0.2	14	0.2		17	0.2	44	0.2	
Size of the residential city (<i>n</i> %)					0.63					0.015
Rural	584	20.3	1395	21.1		2299	22.0	5519	21.9	
Paris area	542	18.9	1227	18.5		1952	18.7	4396	17.4	
Urban, 20,000–200,000 inhabitants	518	18.0	1253	18.9		1902	18.2	4660	18.5	
Urban, < 20,000 inhabitants	461	16.0	988	14.9		1551	14.9	3737	14.8	
Urban, > 200,000 inhabitants	762	26.5	1748	26.4		2694	25.8	6833	27.1	
Missing data	7	0.2	14	0.2		41	0.4	72	0.3	

^a: *p*-value for t-test for age and for chi² for every other categorical variables
SD Standard-deviation

convenience. The lowest scores observed were for innovation and environmental limitations. Women had higher scores for every dimension except for innovation and environmental limitations.

Description of dietary patterns

Three dietary patterns explaining 24.9% of the total variance were derived (Table 3). The first dietary pattern was labelled healthy, it had the highest factor loadings for fruit, vegetables, legumes, whole starchy foods, fish

and seafood, eggs, vegetable oils, non-alcoholic beverages and lowest factor loadings for cream based desserts, sweet and fatty food (pastries, biscuits, cookies and chocolate) and sugary drinks. The second dietary pattern was labelled traditional, it had the highest factor loadings for potatoes and other tubers, non-fatty cereals, meat, cheese, butter and other added animal fats, margarine and sweet products (honey, jam, candy) and the lowest factor loadings for fatty and sweet cereals. The third dietary pattern was labelled Western, it had the highest factor loadings for processed meat, cheese, salad dressings, salty snacks, sweet and fatty food, sugary drinks and alcoholic beverages and the lowest factor loadings for dairy products low in sugar and margarine. Mean daily intakes for food groups, according to quartiles of component scores obtained by FA-PCA, are presented in Additional file 1: Table S1.

Table 2 Mean food choice dimension scores in the sample, sorted from high to low (*n* = 31,842, Nutrinet-Santé study, 2013)

	Women		Men		<i>p</i> ¹
	Mean	SD	Mean	SD	
Taste	9.0	0.9	8.8	0.9	<0.001
Health	7.6	1.2	7.4	1.1	<0.001
Absence of contaminants	7.5	1.5	7.4	1.3	<0.001
Local and traditional production	7.4	1.0	7.2	0.8	<0.001
Price	7.4	1.1	7.1	1.1	<0.001
Ethics and environment	5.7	1.0	5.5	0.8	<0.001
Convenience	5.5	1.6	5.2	1.5	<0.001
Innovation	3.5	1.4	3.6	1.3	<0.001
Environmental limitations	2.8	2.2	2.7	2.2	0.22

¹*p*-value for t-test
s-d: standard-deviation

Associations between food choice motive dimension and dietary patterns

After univariable analyses, convenience dimension for the three dietary patterns and price dimension for the Western dietary pattern were excluded for multivariable analyses.

In both sexes, individuals with higher concern for environmental limitations (not buying specific food for environmental reasons), and those with lower concerns for price, were more likely to have a healthy dietary pattern

Table 3 Dietary patterns obtained by factor analysis using principal component analysis of daily food intakes in the Nutrinet-Santé sample ($n = 31,842$, Nutrinet-Santé study, 2013)

	Healthy dietary pattern	Traditional dietary pattern	Western dietary pattern
Fruit	0.44	0.07	-0.21
Vegetables	0.58	0.20	-0.28
Legumes	0.27	-0.09	0.05
Potatoes and other tubers	0.04	0.42	0.00
Refined starchy food	-0.08	-0.06	0.18
Whole starchy food	0.60	-0.27	-0.01
Cereals, non fatty	-0.22	0.71	0.01
Fish and seafood	0.31	-0.07	0.02
Meat	-0.20	0.29	0.02
Eggs	0.14	0.05	-0.06
Processed meat	-0.11	0.24	0.40
Cheese	0.09	0.36	0.34
Dairy products, low in sugar	-0.13	0.05	-0.49
Cream based deserts	-0.20	-0.04	0.03
Butter and other added animal fats	0.08	0.43	-0.05
Vegetable oils	0.38	0.11	0.11
Margarine	0.04	0.24	-0.20
Salad dressings and other dressings	-0.02	0.10	0.28
Salty snacks	0.00	-0.04	0.48
Cereals, sweet and fatty	-0.01	-0.28	-0.07
Sweet and fatty foods (pastries, biscuits, cookies, chocolate)	-0.23	-0.07	0.37
Sweet products (honey, jam, candy)	0.13	0.38	0.02
Sugary drinks	-0.29	-0.10	0.30
Non-alcoholic beverages	0.44	0.01	0.04
Alcoholic beverages	0.12	0.22	0.53
variance explained (%)	8.8	8.2	7.9

(tertile 3 vs 1 only) (Tables 4 and 5). Women with higher concern for ethics and environment, those with higher interest in traditional and local production, health, and those with higher concern for absence of contaminants were more likely to have a healthy dietary pattern as well (Tables 4 and 5). Men with higher concern for innovation were also more likely to have a healthy dietary pattern. In both sexes, individuals with lower concerns for environmental limitations were more likely to have a traditional dietary pattern. In addition, women with moderate concern for health (tertile 2 vs 1 only), men with moderate concern for the absence of contaminants (tertile 2 vs 1 only), and men with lower concern for innovation, were more likely to have a traditional dietary pattern. Finally, in both sexes, individuals with lower concerns for health (in men, tertile 2 vs 1 only) were more likely to have a western dietary pattern. In addition, women with higher concern for taste (tertile 3 vs 1 only) and those with lower concern for health, and

men with lower concern for environmental limitations, were more likely to have a western dietary pattern.

Discussion

To the best of our knowledge, this is the first study to investigate associations between sustainable food choice motives and dietary patterns. Individuals, and in particular women, having higher concerns about food sustainability motives such as ethics and environment, local and traditional production and health appear to have a healthier diet. Higher concern for taste was also associated with the traditional dietary pattern (in women) reflecting less healthy dietary habits.

Findings from previous studies support our results even if they focused on specific food groups instead of dietary patterns. They showed an association between sustainable food choice motives and fruit and vegetables [23, 29], lower consumption of high-fat high sugar food [29] and higher consumption of traditional food [25].

Table 4 Associations between dietary patterns and food choice motives dimension scores ($n = 31,842$, Nutrinet-Santé study, 2013)

	Healthy ^a				Traditional ^a			
	Women		Men		Women		Men	
	β	95% CI	β	95% CI	β	95% CI	β	95% CI
ethics and environment								
2nd tertile of score vs. 1st tertile	0.047	[0.018; 0.076]	-0.002	[-0.061; 0.058]	0.009	[-0.015; 0.034]	-0.007	[-0.065; 0.050]
3rd tertile of score vs. 1st tertile	0.033	[0.001; 0.065]	-0.007	[-0.077; 0.064]	0.025	[-0.002; 0.052]	-0.037	[-0.106; 0.030]
traditional and local production								
2nd tertile of score vs. 1st tertile	0.080	[0.051; 0.109]	0.013	[-0.046; 0.073]	0.008	[-0.016; 0.033]	0.007	[-0.050; 0.066]
3rd tertile of score vs. 1st tertile	0.081	[0.049; 0.112]	-0.019	[-0.089; 0.052]	-0.008	[-0.035; 0.018]	-0.009	[-0.078; 0.059]
taste								
2nd tertile of score vs. 1st tertile	0.016	[-0.010; 0.043]	0.041	[-0.018; 0.100]	-0.001	[-0.024; 0.020]	0.038	[-0.018; 0.096]
3rd tertile of score vs. 1st tertile	0.022	[-0.006; 0.050]	0.011	[-0.060; 0.083]	-0.011	[-0.035; 0.012]	-0.002	[-0.072; 0.067]
price								
2nd tertile of score vs. 1st tertile	-0.015	[-0.043; 0.013]	-0.025	[-0.086; 0.036]	-0.001	[-0.025; 0.020]	0.010	[-0.048; 0.069]
3rd tertile of score vs. 1st tertile	-0.040	[-0.067; -0.013]	-0.074	[-0.141; -0.007]	0.004	[-0.019; 0.027]	-0.014	[-0.079; 0.051]
health								
2nd tertile of score vs. 1st tertile	0.042	[0.014; 0.071]	0.003	[-0.059; 0.065]	0.027	[0.002; 0.052]	0.011	[-0.049; 0.072]
3rd tertile of score vs. 1st tertile	0.069	[0.040; 0.099]	0.067	[0.001; 0.135]	0.003	[-0.022; 0.029]	-0.059	[-0.125; 0.006]
absence of contaminants								
2nd tertile of score vs. 1st tertile	0.046	[0.017; 0.074]	0.017	[-0.044; 0.079]	-0.001	[-0.026; 0.023]	0.084	[0.024; 0.144]
3rd tertile of score vs. 1st tertile	0.038	[0.008; 0.069]	0.028	[-0.040; 0.095]	-0.019	[-0.045; 0.007]	-0.013	[-0.079; 0.052]
environmental limitations (above median vs. under median)	0.175	[0.153; 0.198]	0.202	[0.149; 0.255]	-0.087	[-0.107; -0.068]	-0.130	[-0.181; -0.078]
innovation (above median vs. under median)	-0.010	[-0.033; 0.013]	0.063	[0.010; 0.116]	-0.011	[-0.031; 0.008]	-0.061	[-0.113; -0.009]

^a: parameters estimated with multivariable linear regression models, 8 food choice dimension scores adjusted for age, education and total energy intake; in bold: statistically significant

β : linear regression coefficient estimate; 95% CI = Confidence interval

The positive association between sustainable food choice motives and a healthy diet may be explained by a combination of both egoistic and altruistic values that could influence food behaviour and diet [26, 44]. Indeed, egoistic motives, such as health, have been reported as better predictors of the purchase of foods [26] compared with altruistic motives.

In general, self-perception regarding health or ethics concerns can influence food motives that in turn will have an effect on dietary intake. A review on determinants of healthy eating reported that individuals defining themselves as health and environmental conscious, or animal friendly, for example, may have healthier dietary habits [45]. Another study showed that food choice motives play a mediating role in the relationship between health concerns of developing diseases, and healthy eating attitudes [14]. In particular, the latter study highlighted that food choice motives regarding natural content and ethical concerns may have a mediating role between health concern and healthy eating attitude.

In our study, food choice motives regarding ethics and environment and environmental limitations specifically, were positively associated with a healthy dietary pattern and negatively associated with a western dietary pattern. In addition, the environmental limitation dimension was negatively associated with the traditional dietary pattern. Our results are suggesting that individuals that have a healthy diet may be more concerned by the environmental impact of their diet, independently from health concerns. Individuals having concerns for environment may not have conflicting concerns with health. Indeed, in another sample from the Nutrinet-Santé study, we reported that having environmental concerns is not contradictory with adherence to nutritional guidelines (data not shown).

Personal norms, especially those regarding ethics in food production and protection of the environment may induce healthy eating [45], explaining the association with healthy dietary habits. Previous work from de Boer et al. [15] showed that ethical and environmental concerns may relate to personal values and value-related

Table 5 Associations between dietary patterns and food choice motives dimension scores ($n = 31,842$, Nutrinet-Santé study, 2013)

	Western ^b			
	Women		Men	
	β	95% CI	β	95% CI
ethics and environment				
2nd tertile of score vs. 1st tertile	0.014	[-0.013; 0.041]	0.052	[-0.009; 0.114]
3rd tertile of score vs. 1st tertile	-0.014	[-0.043; 0.017]	0.001	[-0.071; 0.073]
traditional and local production				
2nd tertile of score vs. 1st tertile	-0.009	[-0.036; 0.017]	0.040	[-0.021; 0.103]
3rd tertile of score vs. 1st tertile	0.012	[-0.017; 0.042]	0.064	[-0.009; 0.014]
taste				
2nd tertile of score vs. 1st tertile	0.007	[-0.017; 0.033]	0.002	[-0.059; 0.063]
3rd tertile of score vs. 1st tertile	0.037	[0.011; 0.064]	0.046	[-0.028; 0.119]
health				
2nd tertile of score	-0.051	[-0.078; -0.023]	-0.114	[-0.178; -0.049]
3rd tertile of score	-0.142	[-0.170; -0.114]	-0.145	[-0.214; 0.075]
absence of contaminants				
2nd tertile of score	0.005	[-0.021; 0.033]	0.019	[-0.045; 0.083]
3rd tertile of score	-0.006	[-0.034; 0.023]	-0.001	[-0.070; 0.069]
environmental limitations (above median vs under median)	0.011	[-0.010; 0.032]	-0.081	[-0.136; -0.026]
innovation (above median vs under median)	-0.001	[-0.021; 0.033]	0.045	[-0.010; 0.099]

^b: parameters estimated with multivariable linear regression models, 7 food choice dimensions scores + age, education and total energy intake; in bold: statistically significant

β : linear regression coefficient estimate; 95% CI = Confidence interval; 95% CI = Confidence interval

attitudes in a prevention motivational system, influencing food choice motives, and then, food intakes. According to the Higgin's regulatory focus theory [46, 47], this motivational system is implying a higher level of concerns with safety and fulfilment of responsibilities, as well as concerns for security and avoidance of negative outcome. Following those theories, personal values such as ethics and environment protection may act as preventive psychological determinants of a healthier food behavior.

The traditional and local production dimension was also associated with a healthy dietary pattern. This dimension of food choice motives contains various concerns including proximity of production especially (for fruit and vegetables), artisanal or traditional production, support for small-scale producers (and cooperatives) or seasonality. Previous studies about food choice motives never assessed a dimension gathering those concerns.

Previous studies focusing on consumers' motives for buying local food highlighted that both organic and freshly locally grown characteristics of the products were important concerns [48, 49]. Indeed, individuals buying local food may have a particular interest in the seasonality and the origin of the products they buy, two personal values that may be on the motivational pathway to healthy eating attitudes [15]. This food choice motives

dimension was not associated with the traditional dietary pattern. A possible explanation is that in our questionnaire, the traditional and local dimension was also representing altruistic values (e.g.: promoting local producers). These altruistic values may be associated with healthier diet [15] instead of traditional and less healthy dietary patterns.

Health dimension was positively associated with both healthy and traditional dietary patterns whereas it was inversely associated with a western dietary pattern. It has been shown that health as a food choice motive was positively correlated with better adherence to healthy nutritional guidelines [20]. The health concern, mediated by attitudes and personal values regarding the prevention of diseases or other health conditions, may be associated with healthier food choices as previously described [14].

The association between the absence of contaminants motive and a healthy dietary pattern observed in our study has not been previously assessed in the literature. This motive was also associated with a traditional dietary pattern in our study. A comparative study conducted across six European countries including France, investigated the relationship between food choice motives and consumption of traditional food [25]. In this study, natural content was a concern for consumers of traditional

products [25]. Higher concerns for natural content [50] and natural products [14] were also previously related to higher consumptions of healthy food such as fruit and vegetables.

An association between the taste dimension and the western pattern in women was observed. Previous work that investigated food choice motives also reported that taste highly ranked among other dimensions of motives [19, 25, 27, 51]. Taste and food preferences (e.g.: fat, salt, sweet) have been reported as strong predictors of food intake [52–55]. The small variation of the taste dimension score within this sample may partially explain the absence of any other statistically significant association. This may be also explained by the conceptual model proposed by De Boer et al. [15] implying that food choice motives influence taste-related attitudes and not directly food choices.

Lower concern for price, independently from other dimensions, is associated with healthy food intake. Previous study reported that food price is an obvious determinant of food choices [19] and a food choice motive positively associated with healthy eating [14]. However, to the best of our knowledge, lower concerns for price were never reported associated with healthy dietary patterns in previous studies. Individuals with healthier diet have higher incomes in the Nutrinet-Santé study (data not shown) and, they may therefore feel less concerned by price. This may explain the inverse relationships between price motive and healthy dietary pattern.

The innovation dimension was poorly associated with any of the dietary patterns, except with a healthy dietary pattern in men. The fact that individuals having those concerns have higher incomes, higher educational level and thus, healthier diets (data not shown), may explain this significant association with a healthy dietary pattern in men, similarly to price concerns.

Our results may not be generalizable to the general population. First, our study sample includes a greater proportion of women and participants with higher education. Those characteristics that have been previously reported as demographic predictors of greater concerns for health and sustainability [29, 56, 57]. Indeed, a previous study among older adults reported that individuals with higher income and higher level of educations were more likely to report health related motives [56]. Another studies highlighted that women were more likely to exhibit higher concerns for the environment, whereas individuals with lower levels of educations are less environmentally sensitive [57]. Women seemed to also report higher importance for local production and sustainable foods in a previous study among young adults [29]. Thus, individuals with greater concerns for health and sustainability may be over-represented in this sample.

However, it may be difficult to estimate how it could have biased our study sample, as no data from studies using random samples in the general population are available. To prevent this bias, statistical models were stratified by sex and adjusted for education.

Secondly, a previous study assessed the representativity of the Nutrinet-Santé cohort study comparing the distribution of sociodemographic and economic characteristics to statistics from the French census data [58]. Notable differences were reported concerning gender and educational level. Thus, women and individuals with higher level of education are over-represented in our sample from the Nutrinet-Santé study, as they are more likely to participate in voluntary-based health and epidemiological studies in many epidemiological fields [58]. A high interest in nutrition could also lead to this over-representation [58, 59].

Finally, a comparison study about dietary intake was conducted comparing the Nutrinet-Santé study cohort to a representative sample of the French population [60]. The authors reported a low magnitude of differences in food intakes between those studies, except for fruit and vegetables.

Food consumptions were self-reported using a self-administrated web-based tool, implying methodological constraints. However, a validation study [61] concluded that compared to interview with dietitian, web-based self-reported food intake seem to be valid and feasible method. A strength of this study is to provide an accurate estimation of overall dietary intakes. Indeed, dietary data used to derive dietary patterns were collected through a validated [37] interactive web-based self-reported dietary record tool. Moreover, the three non-consecutive-day dietary records are recommended methods in large epidemiological studies [62], especially because it allows a good estimation of usual diet [63]. Additionally, we used a data-driven method that enables the description of global dietary patterns instead of focusing on specific food items. This method enhanced a broader description of food habits within the sample that were later associated with food choice motives. Within our study sample, we were able to cover a good variety of food habits, and related dietary patterns, similar to previous studies using these methods (for example: opposite nutritional qualities of healthy and western diets [64, 65]).

Food choice motives were assessed using a validated questionnaire specifically designed for the French population [33]. As food choice motives were self-reported some difficulties may have appeared when participants completed it by themselves [33]. As the answers from the questionnaire were based on self-reporting, the reliability and validity of the questionnaire could be questioned. However, reliability tests were performed [33]

and showed that both internal consistency for each factor and repeatability for most of the items were satisfying. In addition, the model demonstrated excellent internal validity. However, external validity may be limited because this questionnaire was developed in a French cultural setting and cross-cultural adaptations may be required before submitting it to other cultures [24]. To our knowledge, this is the first study using such a validated food choice motives questionnaire with a specific focus on sustainability. Indeed, additionally to an increasing number of items investigated compared to previous studies, this questionnaire also covered new themes such as local production and environmental limitations for example.

Conclusion

In some countries, public health experts are already promoting sustainable diets that could allow reaching a better nutritional quality of the diet, but little is known about determinants of consumers' choices concerning sustainability. Our results support the idea that sustainable food motives during purchases are related to healthier dietary patterns. We also highlighted that sustainable concerns may influence dietary intake of individuals, and thus should not be neglected in the promotion of healthy dietary habits. Further longitudinal observational studies are also required to better understand how sustainable concerns may influence long term nutritional quality of diets.

Abbreviations

BMR: Basal metabolic rate; FAO: Food and Agriculture Organization; FA-PCA: Factor analysis using principal component analysis

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Authors' contributions

AB, MC, PS designed research. MC, PS and SH conducted research. AB analyzed data or performed statistical analysis. AB, MC and PS wrote paper. AB had primary responsibility for final content. AB, MC and BJ conducted the review of the literature. All the authors were involved in the interpretation of the results and the critical review of the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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Serum phospholipid fatty acids, dietary patterns and type 2 diabetes among urban Ghanaians

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Abstract

Background: Previously, a “purchase” pattern (rich in vegetable oil, manufactured foods, red meat and poultry, fruits, and vegetables) was identified among adults in urban Ghana and was inversely associated with T2D, while a “traditional” pattern (rich in fish, palm oil, plantain, green-leafy vegetables, beans, garden egg, fermented maize products,) increased the odds of T2D. To investigate, if specific fatty acids (FAs), partly reflecting the intakes of certain food groups and cooking methods, might explain the observed diet-disease relationships, serum phospholipid fatty acid profiles were characterized and their relationships with blood lipids that are common risk factors for T2D were analyzed.

Methods: The relative proportions of 28 FAs (%) in 653 Ghanaians without T2D were measured by gas chromatography. In a cross-sectional analysis, the associations of FAs with dietary patterns and with serum lipids that are likely involved in T2D development were investigated. The FAs distributions across dietary pattern scores were examined. Standardized beta coefficients (β) were calculated for the associations of dietary pattern scores (per 1 standard deviation (SD) increase) with FAs. Across the tertiles of selected diet-related FAs, adjusted means of serum triglycerides, cholesterol, HDL-cholesterol and LDL-cholesterol were calculated.

Results: In this mainly female (76%), middle-aged (mean age: 46.4, SD: 15.3 years) and predominately overweight study population (mean body mass index: 25.8, SD: 5.4 kg/m²), saturated FAs (SFAs) contributed 52% to total serum FAs, n-6 polyunsaturated FAs (PUFAs) 27%, monounsaturated FAs 12%, n-3 PUFAs 9% and trans FAs (TFAs) <1%. The “purchase” pattern was related to lower proportions of n-3 PUFAs (β per 1 score SD: -0.25 , $p < 0.0001$), but higher proportions of linoleic acid (LA) (β per 1 score SD: 0.24 , $p < 0.0001$). The “traditional” pattern was characterized by lower proportions of arachidic acid (β per 1 score SD: -0.10 , $p = 0.001$). LA was inversely associated with triglycerides, but positively with HDL-cholesterol and LDL-cholesterol.

Conclusions: In this Ghanaian population, serum FA profiles reflected the intake of key components of dietary patterns, such as fish and vegetable oil. FAs from manufactured foods (SFAs) and deep-fried meals (TFAs) did not contribute to the observed associations between dietary patterns and T2D. Still, LA might partly explain the health-beneficial effect of the “purchase” pattern.

Keywords: Type 2 diabetes, Dietary patterns, Fatty acids, Cross-sectional analysis, Lipid metabolism

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Background

Diabetes mellitus is a global health challenge, affecting 415 million people worldwide [1], the majority being patients with type 2 diabetes (T2D). Next to the Middle-East, sub-Saharan Africa is facing the second-highest growth rates of T2D globally [1]. Already, the prevalence of T2D in urban Ghana (10%) equals the figure seen among the adult European population [2]. Population aging and rapid urbanization that contributes to dietary changes and reduced physical activity are among the causes for this development in sub-Saharan Africa [3].

Therefore, we previously evaluated the importance of dietary patterns for T2D among adults living in urban Ghana [4]. By means of Principal Component Analysis (PCA), two dietary patterns were identified that showed distinct T2D-associations. The “purchase” pattern was characterized by high factor loadings of sweets, rice, meat, fruits and vegetables and reduced the odds of T2D by 59% per 1 standard deviation (SD) increase of the pattern score. The “traditional” pattern was characterized by plantain, cassava, green leafy vegetables, fish, fermented maize products and palm oil and increased the odds of T2D by 56% [4]. The role of food groups was evaluated to understand these unexpected associations. Sweets and soft drinks were rarely consumed; types of meat comprised mainly goat, sheep and bush meat; and fruits and vegetable consumptions were frequent, probably contributing to the inverse effect of the “purchase” pattern. The preference of carbohydrate-dense, satiating staples may partly explain the direct association of the “traditional” pattern with T2D [4].

Additionally, specific nutrients and metabolites, including serum phospholipid fatty acids (FAs) might contribute to the observed relationships. They constitute an objective measurement of the intake of specific dietary fats and thus, are valuable instruments to examine diet-disease relationships [5]. For fish-derived FAs, moderate correlations ($r = 0.13$ – 0.71) with blood lipid fractions were seen [6], while some specific odd-chained saturated FAs (SFAs) partly represent the intake of dairy fat ($r = 0.23$ – 0.62). Trans FAs (TFAs) correlate with the consumption of refined oils ($r = 0.29$ – 0.63), but can also stem from dairy [6]. However, some FAs, including palmitic acid, stearic acid and n-6 polyunsaturated FAs (PUFAs) are rather unsuitable markers of dietary fat, because their concentrations can be influenced by endogenous metabolism [7].

On the background of economic transition and rapid urbanization in Ghana, we suspect that a change in traditional food preparation from steaming towards deep-frying occurred. Consequently, TFAs should be increased in serum phospholipids. The abundant use of palm oil will lead to higher serum SFAs with possible detrimental effects on intermediate biomarkers of T2D [8].

Therefore, the aim of this study was to investigate the contribution of serum phospholipid FAs to the observed associations between dietary patterns and T2D. Specifically, the objectives were to i) characterize the serum phospholipid FA profiles, ii) to analyze the associations between dietary patterns and selected FAs serving as markers for dietary fat intake, and iii) to investigate the relationships of these FAs with blood lipids that are common risk factors for T2D.

Methods

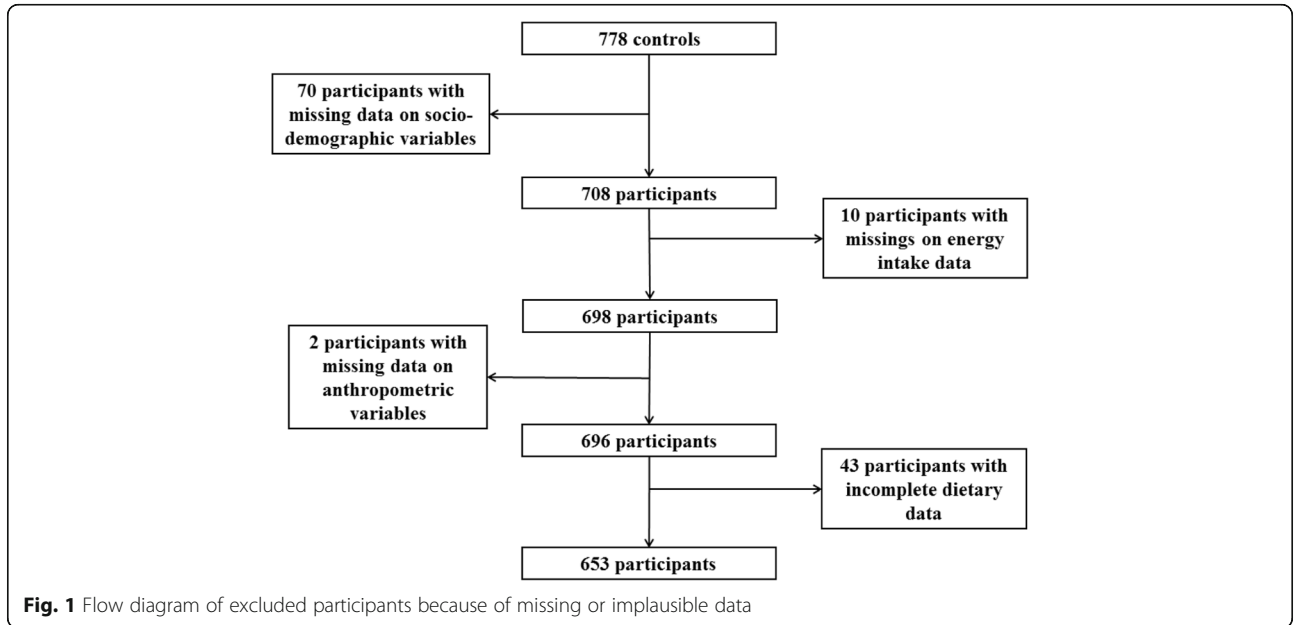
Study design and study population

The Kumasi Diabetes and Hypertension (KDH) study was conducted as a non-matched hospital-based case-control study in urban Ghana between August 2007 and June 2008 [9]. In brief, patients from the diabetes center and the hypertension clinic were recruited, and preliminary controls came from friends, neighbors and parishioners, outpatients and hospital staff.

The study protocol conformed to the principles embodied in the Declaration of Helsinki and was reviewed and approved by the Ethics Committee of the School of Medical Sciences, University of Science and Technology, Kumasi. All participants gave informed written consent before they participated in the study. On the examination day, after 10-h over-night fast, venous blood samples were collected. Following breakfast, a personal interview was conducted on dietary intake, demographics, socio-economic status, medical history and lifestyle; and anthropometric measurements were taken. T2D was defined as fasting plasma glucose >7.0 mmol/L or as documented intake of anti-diabetic medication. Accordingly, there were 688 T2D cases and 778 controls without T2D. The latter were used for the present analysis, because diabetes status and anti-diabetic drugs can impact on FA metabolism [10]. Further 125 participants were excluded, because of missing data on dietary intake, demographics, socio-economics or anthropometrics. The final sample size was 653 (Fig. 1).

Serum fatty acids and biochemical analyses

The proportions of the most commonly measured FAs in epidemiological studies [11] were analyzed in serum phospholipids. Fasting serum phospholipids reflect the dietary intake of FAs during the past weeks and are not influenced by recent FA intake [6]. The details of FA analysis are presented in Additional file 1. In brief, FAs were extracted from serum samples with tert-butyl methyl ether/methanol, followed by a solid phase separation, hydrolysis and methylation with trimethyl sulfonium hydroxide. The FA methyl esters were separated by their retention time in the gas chromatograph with a 100 m capillary column (HP-88) and detected by flame ionization. The 28 FAs were identified by standard



substances and quantified as area percentage of each FA relative to the total area of all detected FAs.

Fasting glucose was measured in full venous blood in mmol/L by on-site photometry (Glucose 201⁺ Analyzer, HemoCue, Germany); inter-assay coefficients of variation ranged between 1.7% and 6.1%. Serum triglycerides and high-density lipoprotein (HDL)-cholesterol were measured by colorimetric assays (ABX Pentra400, Horiba Medical, Germany). The inter-assay coefficients of variation were 4.5% and 1.8%, respectively. Low-density lipoprotein (LDL)-cholesterol was calculated according to the Friedewald equation [12].

Dietary assessment

In face-to-face interviews, trained study personnel applied a Ghana-specific food frequency questionnaire (FFQ) to capture the usual food intake of all participants over the last 12 months and to ensure, that the influence of daily and seasonal variation was minimized. The FFQ comprised 51 food items. According to their culinary use and similarities in their nutrient profile, these items were collapsed in the following 10 categories: starchy roots and tubers; cereals and cereal products; animal products; legumes, nuts and seeds; fruits; vegetables; fats and oils; salt and spices; sweets; and beverages (Additional file 2). The weekly intake frequencies were captured by six categories: never, seldom (<time per week), 1–2 times per week, 3–4 times per week, 5–6 times per week, and daily.

Assessments of covariates

In personal interviews, we obtained demographic and socio-economic data: age, sex, education (none, primary,

secondary, tertiary, other), literacy (not able, able with difficulties, able), occupation (subsistence farmer, commercial farmer, casual laborer, artisan, trader, business men, public servant, unemployed, other), presence of 11 household assets, and number of people living in the household. A socio-economic status (SES) sum score was constructed comprising the three major domains education, occupation and income. Details are explained in Additional file 3. Medical history included own and family history of diabetes and the use of lipid-lowering drugs. Smoking status and self-reported physical activity (work-related, transportation-related, leisure-time physical activity) were documented. Daily energy expenditure (kcal/day) was calculated as the sum of metabolic equivalents corresponding to activity intensity as metabolic equivalents (MET-hours) × body weight (kg) × duration (min).

Anthropometric data were obtained by trained personnel (all devices SECA, Germany). Weight (kg) was measured with a person scale, height (cm) with a stadiometer and waist circumference (cm) and hip circumference (cm) with a measuring tape. Body Mass Index (BMI) was calculated as $\text{weight}/(\text{height})^2$ (kg/m^2) and waist-to-hip ratio (WHR) as waist circumference/hip circumference.

The common proxy markers education, occupation and income were used to construct a SES sum score ranging from 0 to 10 points. First, a new variable was constructed by combining the information on education and literacy. This new variable with four characteristics covered information about having formal education and being able to write and read; points from 0 to 3 were given. Occupation, originally a variable with nine characteristics, was condensed to a new variable with five

characteristics, given the points 0 to 4. Due to differences in household structures and inflation rates of the local currency, income was assessed using a list of 11 household assets. An income score ranging from 0 to 12 points was constructed based on these assets and the number of people living in the household. The income score was divided into quartiles, given the points 0 to 3. To create the overall SES sum score, the points of education, occupation and the income score were summed up to a score ranging from 0 to 10 points.

Statistical analysis

General characteristics of the study population and the proportions of single serum phospholipid FAs are presented for normally distributed metric variables as mean \pm standard deviation, for non-normally distributed variables as median with interquartile range, and for categorical variables as percentage. Summarized proportions for the following major FA groups were calculated: SFAs, mono-unsaturated FAs (MUFAs), n-3 PUFAs, n-6 PUFAs, and TFAs. For comparisons between groups, Mann-Whitney-U test was applied for continuous variables and χ^2 -test was used for categorical variables.

Dietary patterns were constructed applying PCA for participants who had no T2D but FA measurements ($n = 653$), to evaluate the internal validity of previously identified dietary patterns. Details of the PCA analysis in the KDH study have previously been reported [4]. In brief, the 51 food items were collapsed into 33 food groups (Additional file 2) and were subjected to PCA using the PROC FACTOR procedure in SAS with an orthogonal rotation. The following criteria were applied to extract the optimal number of factors: eigenvalue >1 ,

scree plot, and plausibility of the components. Standardized food intake weighted by factor loadings was summed to be able to rank the participants according to their adherence to each dietary pattern.

The distribution of general characteristics and the FA profile were examined across tertiles of the dietary pattern scores using χ^2 -test and trend test. For the associations of dietary patterns with FAs, Box-Cox-transformed FAs were calculated as median with interquartile range across pattern score tertiles. For those FAs that showed a significant trend across tertiles, linear regression models were fitted and adjusted for age, sex, family history of diabetes, SES sum score, energy intake, energy expenditure and WHR. For the associations of FAs with diabetes-related biomarkers, adjusted means and 95% confidence intervals (CI) of serum triglycerides, HDL-cholesterol and LDL-cholesterol were calculated across FA tertiles using the same set of adjustment variables. As a sensitivity analysis, multivariate linear regression models were calculated to analyze the associations of serum phospholipid FAs with fat-containing foods, characteristic of the respective dietary patterns.

Results

Study population

General characteristics of the study population are shown in Table 1. The majority was female, middle-aged and of low socio-economic status. One-fourth reported a family history of diabetes and less than 2% took lipid-lowering drugs. Smoking was prevalent in only 4% of all participants and largely restricted to men. Daily energy expenditure was 10% higher in men than in women. Mean BMI in women was higher than in men, while the

Table 1 General characteristics of the 653 Ghanaian participants

Characteristics	Total	Men	Women
n (%)	653	156 (23.9)	497 (76.1)
Age (years)	46.4 \pm 15.3	46.0 \pm 15.5	46.6 \pm 15.3
FPG (mmol/l)	4.56 \pm 0.71	4.58 \pm 0.81	4.55 \pm 0.67
Triglycerides (mmol/l)	1.18 (0.74)	1.12 (0.72)	1.19 (0.77)
LDL-cholesterol (mmol/l)	4.02 (1.69)	3.73 (1.99)	4.11 (1.62) ^a
HDL-cholesterol (mmol/l)	1.40 \pm 0.39	1.30 \pm 0.39	1.42 \pm 0.39 ^a
SES sum score	6.86 \pm 2.38	7.02 \pm 1.80	6.81 \pm 2.54 ^a
Daily energy intake (kcal/day)	1911 \pm 657	2215 \pm 678	1816 \pm 621 ^a
Daily energy expenditure (kcal/day)	1270 (776)	1372 (876)	1243 (779) ^a
BMI (kg/m ²)	25.8 \pm 5.36	23.3 \pm 3.81	26.6 \pm 5.52 ^a
Waist:hip ratio	0.86 (0.10)	0.88 (0.10)	0.86 (0.10) ^a
Family history of diabetes (% yes)	25.3	19.9	27.0
Lipid-lowering drugs (% yes)	1.84	3.21	1.41
Smoking (% current or quit)	4.4	17.3	0.4 ^a

Data were shown as means \pm standard deviation for normally distributed metric variables, median (interquartile range) for metric variables without normal distribution and percentage of participants for categorical variables; ^a p -value ≤ 0.05 for significant differences between male and female participants

WHR was lower. The concentrations of triglycerides were similar across gender, whereas LDL-cholesterol and HDL-cholesterol were significantly higher in women.

Fatty acids profile

As presented in Fig. 2, SFAs contributed the highest proportion to total serum FA concentration with 52%, followed by PUFAs (36%), MUFAs (12%) and TFAs (<1%). In the group of SFAs, palmitic acid (16:0) and stearic acid (18:0) showed the highest proportions. In the group of MUFAs, oleic acid (18:1n-9) yielded the highest proportion, followed by vaccenic acid (18:1n-7). Eicosapentaenoic acid (20:5n-3) (EPA) and docosahexaenoic acid (22:6n-3) (DHA) made up almost 8%, and therefore highly contributed to n-3 PUFAs. The highest proportion in the group of n-6 PUFAs was observed for linoleic acid (18:2n-6) (LA), followed by arachidonic (20:4n-6) and dihomo- γ -linolenic acid (20:3n-6). Within the group of TFAs, the highest contribution was seen for linolelaidic acid (18:2n-6 t).

Exploratory dietary patterns

By PCA, we identified virtually identical principal components as previously published (Table 2) [4]. The first component explained 14.5% and the second 8.0% of the total variance in food intake. Thus, sweets, soft drinks, hot chocolate, rice, margarine, vegetable oil, fruits and vegetables, meat, poultry, milk, eggs and plantain showed high factor loadings ($\geq |0.30|$) on the first

pattern score. This component was labeled “purchase” pattern. For the second pattern score, palm oil, fish, cassava, fermented maize products, plantain, green-leafy vegetables, garden egg and beans yielded high factor loadings (AF 4). This component was labeled “traditional” pattern.

Regarding the characteristics across the dietary patterns (Table 3), participants in the highest tertile compared to lower tertiles of the “purchase” pattern were significantly younger, had a higher SES, lower daily energy expenditure, lower WHR and nominally decreased fasting glucose. In the highest tertile of the “purchase” pattern, triglycerides were significantly lower than in the first two tertiles; the same trend was observed for LDL-cholesterol. For the “traditional” pattern, participants in the highest tertile compared to lower tertiles were significantly older, of lower SES, had higher daily energy intake, higher WHR, and nominally higher triglycerides and LDL-cholesterol (Table 3). For HDL-cholesterol and fasting glucose, no significant trends were observed.

Dietary patterns and fatty acids profile

The distributions of serum phospholipid FAs across the tertiles of the “purchase” and the “traditional” pattern scores are shown in Table 4.

For the “purchase” pattern, there was no significant association with SFAs, except for lower proportions of heptadecanoic acid in higher tertiles, but this was not statistically significant after multiple adjustment for

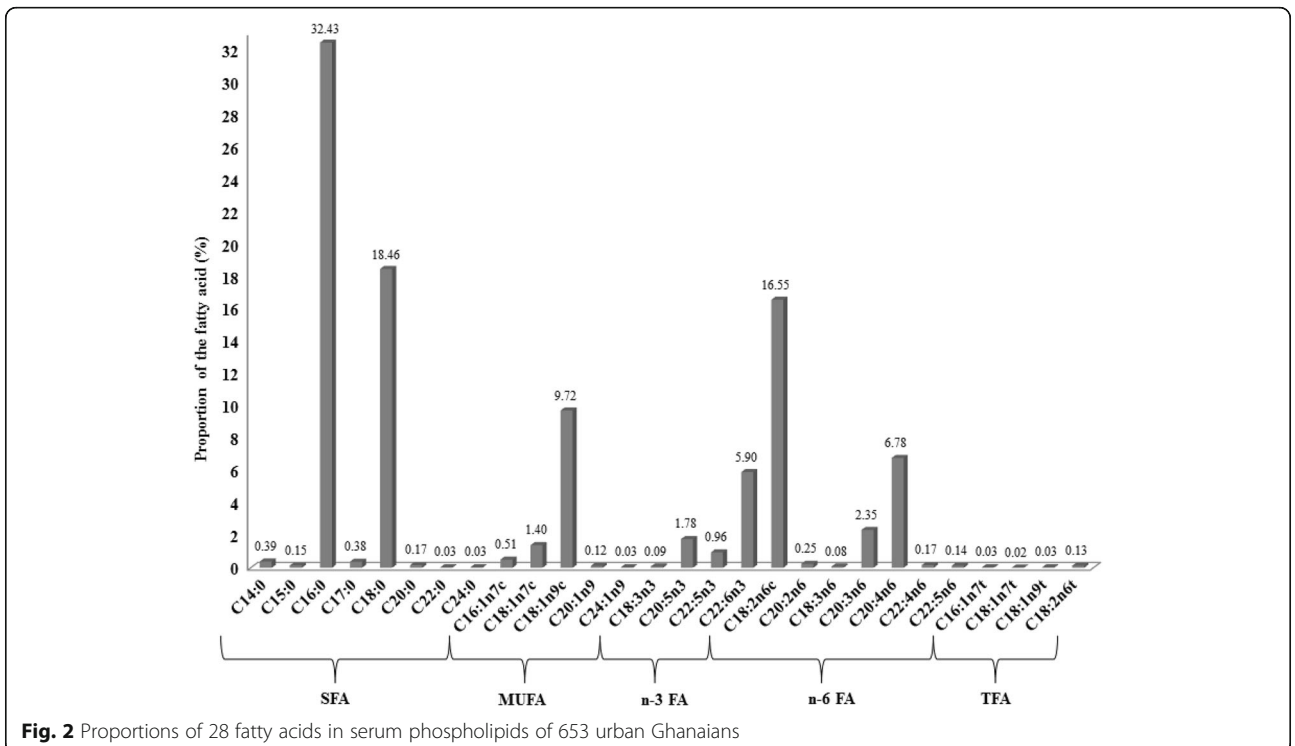


Fig. 2 Proportions of 28 fatty acids in serum phospholipids of 653 urban Ghanaians

Table 2 Rotated factor loadings for the two dietary patterns in the Kumasi Diabetes and Hypertension Study

Food items	"purchase" pattern	"traditional" pattern
Sweets	0.61	-0.14
Juice	0.60	-0.16
Rice	0.55	0.06
Soft drinks	0.52	0.03
Lettuce	0.52	0.14
Carrot	0.51	0.13
Margarine	0.50	0.15
Milk	0.50	-0.06
Vegetable oil	0.49	-0.12
Fruits	0.49	0.39
Milo (chocolate drink)	0.49	0.03
Red meat	0.48	-0.07
Cucumber	0.46	0.09
Eggs	0.45	-0.06
Poultry	0.39	0.08
Groundnut	0.33	0.27
Porridge	0.31	0.06
Bread	0.27	0.26
Agushie (pumpkin seeds)	0.25	0.23
Coffee	0.22	0.10
Palm oil	0.17	0.50
Fish	-0.14	0.49
Green leafy vegetables	0.23	0.48
Cassava	-0.24	0.48
Banku (fermented maize product)	0.13	0.47
Plantain	-0.33	0.46
Garden egg (aubergine)	-0.01	0.46
Beans	0.22	0.45
Cocoyam	-0.12	0.36
Crab	0.03	0.32
Okra	0.24	0.31
Millet	-0.06	0.30
Yam	0.06	0.21

Food groups with factor loadings > 0.30, which are mainly characterizing the dietary pattern are captured in bold

potential confounders (β per 1 score-SD increase: -0.06; SE: 0.05; $p = 0.16$). For MUFAs, lower proportions were observed for palmitoleic (16:1n-7), oleic and vaccenic acid across the "purchase" pattern tertiles. The n-3 PUFAs EPA and DHA, were significantly lower; this was still discernible after multiple adjustment (EPA [β : -0.24; SE: 0.05; $p < 0.0001$]; DHA [β : -0.25; SE: 0.04; $p < 0.0001$]). Within n-6 PUFAs, significantly higher proportions were observed for LA, which remained after

adjustments (β : 0.24; SE: 0.04; $p < 0.0001$). While dihomo- γ -linolenic and arachidonic acid were lower across tertiles of the "purchase" pattern, no further n-6 PUFAs were significantly associated. In a sensitivity analysis, the positive association of the "purchase" pattern with LA was confirmed for the characteristic fat-containing foods margarine (β : 0.81; SE: 0.22; $p < 0.0003$), vegetable oil (β : 0.60; SE: 0.23; $p < 0.01$) and groundnut (β : 1.21; SE: 0.21; $p < 0.0001$).

Across tertiles of the "traditional" pattern, significant lower proportions of arachidic acid were discernible and remained after adjustment (β : -0.10; SE: 0.03; $p = 0.001$). EPA, docosapentaenoic acid (DPA), and DHA proportions were nominally higher across the tertiles of the "traditional" pattern. In the group of n-6 PUFAs, significantly higher proportions were observed for eicosadienoic acid, whereas proportions tended to be higher for arachidonic acid and lower for LA (Table 4). Also, in a sensitivity analysis, we verified the inverse association of the "traditional pattern" with LA for the characteristic fat-containing food items cassava (β : -0.95; SE: 0.22; $p < 0.0001$) and plantain (β : -1.09; SE: 0.22; $p < 0.0001$). After adjustment for respective confounders, no significant association remained for n-3 and n-6 PUFAs with the "traditional" pattern. Proportions of TFAs neither differed across tertiles of the "purchase" nor of the "traditional" pattern (Table 4).

Fatty acids profile and blood lipids

For the associations of selected diet-related FAs with blood lipids, adjusted means of triglycerides, HDL-cholesterol and LDL-cholesterol across FA tertiles are presented in Table 5. For triglycerides, significantly lower concentrations across tertiles of eicosanoic acid, EPA and LA and higher concentrations across tertiles of DHA were observed. For HDL-cholesterol, positive associations were seen for EPA, DPA and LA. For LDL-cholesterol, lower concentrations across tertiles of the arachidic acid were observed, although not significant. Across the tertiles of the n-3 PUFAs and LA, the association with LDL-cholesterol was positive, however, not significant for EPA. These results were virtually identical after exclusion of participants on lipid-lowering drugs (2%).

Discussion

Previously reported associations of exploratory dietary patterns with T2D were partly unexpected and largely unexplained by key foods of the dietary patterns [4]. We hypothesized that food preparation and specific dietary fats might be responsible. Thus, we characterized the serum phospholipid FAs profile and investigated the relationships of FAs with dietary patterns and with selected intermediate biomarkers of T2D. In this urban

Table 3 General characteristics of the 653 Ghanaian participants by tertiles of exploratory dietary patterns in 653 urban Ghanaians

Characteristics	"purchase" pattern score			p for trend	"traditional" pattern score			p for trend
	Tertile 1	Tertile 2	Tertile 3		Tertile 1	Tertile 2	Tertile 3	
N	218	217	218		219	213	221	
Age (years)	54.9 ± 13.2	47.7 ± 13.1	36.8 ± 14.0	<.0001	42.7 ± 16.4	47.3 ± 14.7	49.4 ± 14.2	<.0001
Sex (% male)	24.3	21.2	26.2	0.47	20.1	22.5	28.6	0.10
Diabetes family history (% yes)	24.3	25.4	26.2	0.91	29.7	23.0	23.2	0.19
Lipid-lowering drugs (% yes)	4.13	1.38	0	0.005	3.65	0.94	0.91	0.05
Prevalence of Hypertension	155	108	70	<.0001	88	123	122	0.0004
SES sum score	5.47 ± 2.58	7.20 ± 2.17	7.92 ± 1.59	<.0001	7.29 ± 2.23	6.75 ± 2.41	6.55 ± 2.45	0.002
Smoking (% current or quit)	6.9	2.8	3.7	0.09	3.6	4.7	5.0	0.77
Daily energy intake (kcal/d)	1934 ± 641	1898 ± 649	1902 ± 682	0.65	1756 ± 651	1883 ± 604	2097 ± 668	<.0001
Daily energy expenditure (kcal/d)	1342 (1104)	1289 (766)	1198 (632)	0.005	1237 (769)	1299 (716)	1267 (867)	0.67
Body Mass Index (kg/m ²)	25.6 ± 5.22	26.6 ± 5.66	25.2 ± 5.09	0.23	25.6 ± 5.58	26.0 ± 5.28	25.8 ± 5.22	0.81
Waist-to-hip ratio	0.88 (0.10)	0.87 (0.08)	0.83 (0.11)	<.0001	0.85 (0.12)	0.87 (0.11)	0.87 (0.08)	0.0012
Fasting plasma glucose (mmol/l)	4.58 ± 0.70	4.57 ± 0.72	4.52 ± 0.71	0.39	4.51 ± 0.71	4.63 ± 0.77	4.52 ± 0.64	0.87
Triglycerides (mmol/l)	1.30 (0.91)	1.20 (0.64)	1.06 (0.63)	0.0001	1.07 (0.71)	1.21 (0.71)	1.24 (0.79)	0.06
HDL-cholesterol (mmol/l)	1.36 ± 0.43	1.42 ± 0.37	1.41 ± 0.37	0.19	1.41 ± 0.39	1.38 ± 0.39	1.40 ± 0.39	0.60
LDL-cholesterol (mmol/l)	4.19 (1.63)	3.97 (1.70)	3.87 (1.79)	0.10	3.97 (1.81)	3.96 (1.66)	4.11 (1.64)	0.99

Data were shown as means ± standard deviation for normally distributed metric variables, median (interquartile range) for metric variables for non-normal distribution and as percentage for categorical variables
HDL high-density lipoprotein, LDL low-density lipoprotein, SES socio-economic status

Table 4 Distributions of 28 serum phospholipid fatty acids across tertiles of exploratory dietary patterns in 653 urban Ghanaians

Fatty acids (% of total fatty acids)	"purchase" pattern score			"traditional" pattern score			p for trend
	Tertile 1	Tertile 2	Tertile 3	Tertile 1	Tertile 2	Tertile 3	
Saturated fatty acids	52.1 (2.68)	51.9 (2.78)	51.8 (2.15)	52.1 (2.61)	51.8 (2.63)	51.8 (2.54)	0.01
C14:0	0.39 (0.13)	0.39 (0.13)	0.39 (0.13)	0.38 (0.13)	0.40 (0.15)	0.39 (0.12)	0.90
C15:0	0.15 (0.05)	0.15 (0.05)	0.15 (0.05)	0.14 (0.05)	0.15 (0.06)	0.15 (0.04)	0.45
C16:0	32.3 (2.03)	32.4 (1.99)	32.5 (1.89)	32.5 (1.94)	32.3 (2.05)	32.4 (1.83)	0.44
C17:0	0.41 (0.14)	0.38 (0.11)	0.37 (0.10)	0.38 (0.13)	0.39 (0.13)	0.38 (0.10)	0.45
C18:0	18.6 (2.23)	18.3 (2.28)	18.5 (2.29)	18.7 (2.08)	18.2 (2.45)	18.4 (2.04)	0.15
C20:0	0.18 (0.09)	0.17 (0.08)	0.17 (0.07)	0.19 (0.09)	0.17 (0.08)	0.17 (0.06)	0.01
C22:0	0.03 (0.03)	0.03 (0.02)	0.03 (0.02)	0.02 (0.02)	0.03 (0.03)	0.03 (0.02)	0.07
C24:0	0.02 (0.01)	0.03 (0.01)	0.03 (0.01)	0.02 (0.01)	0.02 (0.01)	0.03 (0.01)	0.07
Mono-unsaturated fatty acids	12.1 (2.07)	12.0 (2.15)	11.6 (1.70)	11.7 (1.97)	11.9 (1.88)	12.0 (2.02)	0.14
C16:1n7 ^c	0.57 (0.33)	0.50 (0.32)	0.46 (0.29)	0.49 (0.33)	0.51 (0.32)	0.52 (0.31)	0.88
C18:1n9 ^c	9.83 (1.81)	9.77 (1.73)	9.63 (1.68)	9.59 (1.80)	9.67 (1.66)	9.88 (1.77)	0.25
C18:1n7 ^c	1.46 (0.46)	1.39 (0.43)	1.36 (0.39)	1.39 (0.50)	1.41 (0.38)	1.42 (0.42)	0.91
C20:1n9	0.12 (0.05)	0.13 (0.04)	0.13 (0.03)	0.12 (0.03)	0.13 (0.04)	0.12 (0.04)	0.97
C24:1n9	0.03 (0.03)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.31
n-3 poly-unsaturated fatty acids	9.47 (3.36)	8.81 (2.76)	7.96 (3.03)	8.36 (3.11)	8.75 (3.00)	9.09 (2.82)	0.50
C18:3n3	0.09 (0.05)	0.09 (0.04)	0.09 (0.03)	0.08 (0.03)	0.09 (0.05)	0.09 (0.04)	0.07
C20:5n3	2.01 (1.28)	1.80 (1.15)	1.55 (1.13)	1.65 (1.25)	1.90 (1.16)	1.83 (1.22)	0.93
C22:5n3	1.07 (0.41)	0.94 (0.39)	0.87 (0.38)	0.90 (0.43)	0.97 (0.43)	0.99 (0.37)	0.42
C22:6n3	6.40 (2.13)	5.93 (1.75)	5.22 (1.83)	5.73 (2.13)	5.83 (1.84)	6.05 (1.81)	0.50
n-6 poly-unsaturated fatty acids	25.4 (3.97)	26.7 (3.63)	28.0 (3.82)	26.8 (3.78)	26.3 (4.95)	26.7 (3.46)	0.46
C18:2n6 ^c	14.8 (4.02)	16.3 (3.92)	18.1 (3.31)	16.8 (4.32)	16.1 (4.95)	16.5 (3.73)	0.82
C18:3n6	0.08 (0.04)	0.08 (0.05)	0.08 (0.04)	0.08 (0.04)	0.08 (0.04)	0.08 (0.05)	0.25
C20:2n6	0.26 (0.10)	0.26 (0.10)	0.25 (0.09)	0.24 (0.11)	0.25 (0.10)	0.27 (0.09)	0.02
C20:3n6	2.38 (1.04)	2.44 (0.89)	2.20 (0.93)	2.28 (1.01)	2.36 (1.00)	2.45 (0.94)	0.07
C20:4n6	6.83 (1.93)	6.75 (1.84)	6.74 (1.66)	6.69 (1.94)	6.76 (1.74)	6.88 (1.56)	0.50
C22:4n6	0.17 (0.08)	0.17 (0.09)	0.17 (0.08)	0.16 (0.08)	0.17 (0.08)	0.17 (0.08)	0.23
C22:5n6	0.15 (0.08)	0.14 (0.07)	0.14 (0.07)	0.14 (0.08)	0.14 (0.07)	0.15 (0.07)	0.45
Trans fatty acids	0.22 (0.09)	0.22 (0.06)	0.22 (0.07)	0.22 (0.08)	0.22 (0.07)	0.22 (0.06)	0.96
C16:1n7 ^b	0.03 (0.03)	0.03 (0.03)	0.03 (0.03)	0.02 (0.03)	0.03 (0.03)	0.03 (0.02)	0.25
C18:1n7 ^b	0.02 (0.02)	0.02 (0.02)	0.02 (0.02)	0.02 (0.02)	0.02 (0.02)	0.02 (0.02)	0.50
C18:1n9 ^b	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.03 (0.02)	0.23
C18:2n6 ^b	0.13 (0.05)	0.13 (0.05)	0.13 (0.05)	0.13 (0.06)	0.13 (0.05)	0.12 (0.05)	0.45

Data are shown as median (interquartile range). Fatty acids were Box-Cox-transformed and trend tests were corrected for multiple testing by False-Discovery-Rate

^acis-configuration

^btrans-configuration

Table 5 Distributions of serum lipid concentrations across tertiles of selected diet-related serum phospholipid fatty acids

Fatty acids (% of total fatty acids)	Serum lipids (mmol/L)	Fatty acid tertile 1 adjusted mean of serum lipids (95% CI)	Fatty acid tertile 2 adjusted mean of serum lipids (95% CI)	Fatty acid tertile 3 adjusted mean of serum lipids (95% CI)	<i>p</i> for trend
20:0 (median)		0.13	0.18	0.28	
Triglycerides		1.32 (1.25; 1.40)	1.16 (1.11; 1.22)	1.12 (1.05; 1.17)	0.0003
HDL-cholesterol		1.41 (1.36; 1.46)	1.41 (1.36; 1.46)	1.36 (1.31; 1.42)	0.20
LDL-cholesterol		4.14 (3.97; 4.32)	3.94 (3.78; 4.14)	3.71 (3.56; 3.90)	0.0003
20:5n-3 (median)		1.04	1.79	3.15	
Triglycerides		1.23 (1.17; 1.30)	1.23 (1.17; 1.30)	1.13 (1.06; 1.19)	0.01
HDL-cholesterol		1.35 (1.30; 1.40)	1.40 (1.35; 1.46)	1.44 (1.38; 1.49)	0.02
LDL-cholesterol		3.86 (3.67; 4.01)	3.90 (3.74; 4.10)	4.06 (3.86; 4.22)	0.12
22:6n-3 (median)		4.43	5.87	7.69	
Triglycerides		1.08 (1.03; 1.15)	1.20 (1.14; 1.26)	1.31 (1.25; 1.39)	<.0001
HDL-cholesterol		1.39 (1.33; 1.44)	1.40 (1.35; 1.45)	1.40 (1.34; 1.45)	0.79
LDL-cholesterol		3.78 (3.63; 3.97)	3.90 (3.74; 4.06)	4.10 (3.90; 4.26)	0.02
18:2n-6 (median)		13.1	16.5	19.9	
Triglycerides		1.31 (1.23; 1.38)	1.20 (1.13; 1.26)	1.09 (1.04; 1.16)	<.0001
HDL-cholesterol		1.35 (1.30; 1.40)	1.38 (1.33; 1.43)	1.46 (1.40; 1.51)	0.01
LDL-cholesterol		3.74 (3.56; 3.90)	3.90 (3.74; 4.10)	4.14 (3.97; 4.35)	0.01

Means and 95% confidence intervals (CI) of serum lipid concentrations were adjusted for age, sex, diabetes family history, SES sum score, smoking, daily energy intake, daily energy expenditure and WHR

Ghanaian study population, the FAs profile reflected key foods of the “purchase” pattern: lower n-3 PUFA proportions indicated lower fish intake, and higher LA possibly reflected vegetable oil intake. Overall, the proportions of SFAs and TFAs argue against a major role of food processing and adversely increased SFAs intake for the dietary pattern-diabetes relationships. Still, LA was associated with a favorable profile of serum lipids. This might explain some of the inverse association of the “purchase” pattern with T2D.

Fatty acids profile

Lately, the recent epidemiologic literature covering the importance of circulating blood fatty acids has been reviewed [13]. Taken together, 13 observational studies with at least 100 healthy adults were published. The number of FAs under investigation differed greatly and focused largely on n-3 and n-6 PUFAs. None of them were from sub-Saharan Africa, but two studies described FAs among African-American individuals [14, 15]. More recently, Forouhi and colleagues added substantial findings from the European Prospective Investigation into Cancer and Nutrition (EPIC)-InterAct cohort and nine longitudinal studies among Caucasians for the relationships between PUFAs and T2D risk [16]. In comparison to Caucasians, the proportions were higher for SFAs, similar for MUFAs, higher for n-3 PUFAs, and lower for LA in the present study [13–16]. Still, comparability is constrained

because FAs composition was measured in differential compartments, including adipose tissue [6], erythrocyte membranes [17] or serum free FAs [18].

Compared to African-American individuals, n-6 PUFAs appeared to be lower in the present study population [14, 15]. The differences between Caucasians and black US citizens are attributed to genetically determined activities of converting enzymes [15]. For n-3 PUFAs, the proportions were similar to those reported among blacks in a multi-ethnic cohort [14].

As to the few data from sub-Saharan Africa, proportions of serum phospholipid SFAs and MUFAs in the present analysis were similar to those in a small cross-sectional study among middle-aged Nigerians [19]. Compared to our study population, n-3 PUFAs (EPA 0.4% and DHA 3.1%) were remarkably lower in this indigenous population adhering to a dairy-based diet. TFA proportions were similar to those in our study [19]. Similar results for phospholipid FAs were seen in a study conducted among adolescent girls in Mozambique who rely on a maize- and rice-based diet [20]. Among Kenyan Massai [21], proportions of SFAs and n-3 PUFAs in lipids of red blood cells were lower than in the present study; MUFAs and n-6 PUFAs were higher.

Dietary patterns, fatty acids profile and blood lipids

The “traditional” pattern, which was related to higher risk of T2D, was inversely associated with arachidic acid. In a European-wide study, arachidic acid was positively

correlated with the intake of nuts and seeds, margarine, dairy products and poultry and inversely associated with T2D [22]. In fact, we and others observed an inverse association of arachidic acid with serum triglycerides [23]. Possibly, higher circulating concentrations of very long-chain FAs, including arachidic, behenic and lignoceric acid, compete with palmitic acid for the integration in respective ceramides and therefore might decelerate insulin resistance and β -cell dysfunction that are linked to ceramides with a high content of palmitic acid [24]. While the lack of association between arachidic acid and HDL-cholesterol in our study accords with recent findings [23], we could not confirm the positive association of arachidic acid with LDL-cholesterol [23]. The significant direct association of the “purchase” pattern and its fat-containing components with LA could possibly explain the inverse association of this pattern with T2D. We observed a more favorable lipid profile of lower triglycerides and higher HDL-cholesterol in the highest LA tertile. Indeed, in EPIC-InterAct, an inverse association of LA with T2D was discernible [16], possibly conveyed by cholesterol-lowering effects of LA-rich vegetable oils [25]. Yet, in our study population, LDL-cholesterol increased also across the tertiles of LA, arguing against a general cholesterol-lowering effect of LA. Moreover, controversy remains about the health impact of LA, which can also act as a precursor of pro-inflammatory metabolites and oxidized lipoproteins [26].

The “purchase” pattern was furthermore inversely associated with EPA and DHA proportions. Evidence for a risk reduction of T2D by dietary n-3 PUFAs are so far inconclusive [27]. Heterogeneous results may possibly stem from contaminated fish oil (supplements) [28]. In our study, EPA was positively associated with HDL-cholesterol and inversely with triglycerides, while results for LDL-cholesterol were not significant. DHA was positively associated with triglycerides and LDL-cholesterol, which is partly in line with observations from RCTs, suggesting a triglyceride-lowering effect [29, 30].

Only few studies investigated the role of FAs profiles for T2D among populations in sub-Saharan Africa. Thus, our findings contribute essentially to the knowledge in this field. Owing to the cross-sectional observational design of the present analysis, reverse causation might partly explain the deviation of our results from the findings in intervention studies. Nevertheless, the likelihood of reverse causation was minimized, because we have excluded participants with T2D and those who took lipid-lowering medication to account for diabetes-related changes of and interferences with lipid metabolism. We acknowledge that the metabolic profile of this specific study population might not be representative and hence, not generalizable to the Ghanaian population. Residual and unmeasured confounding may have distorted our findings,

but regression models were adjusted for a large variety of relevant confounders. While the Ghana-specific FFQ has not been validated yet, it provides culture-specific dietary information.

FAs were expressed as percentages of total measured FAs from gas chromatography. Thus, we cannot interpret individual FAs independently of other FAs and imprecise estimates of FAs in very small proportions (e.g. TFAs) are likely [13]. Still, flame ionization detection enables to directly measure FA proportions and is a well-established measurement method. Other biological compartments with a slow turnover might better reflect the habitual fat intake, such as adipose tissue. However, the time frame of fat intake covered by the FFQ overlaps with the period reflected in fasting serum phospholipid fatty acids [6, 7]. Therefore, we consider the choice of the latter as a good compromise to investigate the associations between dietary intake and fatty acid composition.

Conclusions

In conclusion, in this urban Ghanaian population, neither serum SFAs nor TFAs seemed to be responsible for the direct association of the “traditional” pattern with T2D. Alternative explanations for this relationship need to be explored. With regard to the “purchase” pattern, the association of LA with blood lipids was health-beneficial, possibly contributing to the inverse association of this pattern with T2D.

Abbreviations

BMI: Body Mass Index; CI: Confidence interval; DHA: Docosahexaenoic acid; DPA: Docosapentaenoic acid; EPA: Eicosapentaenoic acid; FA: Fatty acid; HDL: High-density lipoprotein; LA: Linoleic acid; LDL: Low-density lipoprotein; MUFA: monounsaturated fatty acid; PUFA: Polyunsaturated fatty acid; SES: Socio-economic status; SFA: Saturated fatty acid; T2D: Type 2 diabetes; TFA: Trans fatty acid; WHR: Waist-to-hip ratio

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ID, GBA, and FPM conceived and designed the study. ID, FBM, and GBA were responsible for recruitment, interviews and examinations of study participants. FJ developed the SES sum score. FJ, ID and MBS developed the statistical analysis plan and interpreted the data. FJ and ID wrote the manuscript with contributions of all authors. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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PERMISSIONS

All chapters in this book were first published in NUTRITION, by BioMed Central; hereby published with permission under the Creative Commons Attribution License or equivalent. Every chapter published in this book has been scrutinized by our experts. Their significance has been extensively debated. The topics covered herein carry significant findings which will fuel the growth of the discipline. They may even be implemented as practical applications or may be referred to as a beginning point for another development.

The contributors of this book come from diverse backgrounds, making this book a truly international effort. This book will bring forth new frontiers with its revolutionizing research information and detailed analysis of the nascent developments around the world.

We would like to thank all the contributing authors for lending their expertise to make the book truly unique. They have played a crucial role in the development of this book. Without their invaluable contributions this book wouldn't have been possible. They have made vital efforts to compile up to date information on the varied aspects of this subject to make this book a valuable addition to the collection of many professionals and students.

This book was conceptualized with the vision of imparting up-to-date information and advanced data in this field. To ensure the same, a matchless editorial board was set up. Every individual on the board went through rigorous rounds of assessment to prove their worth. After which they invested a large part of their time researching and compiling the most relevant data for our readers.

The editorial board has been involved in producing this book since its inception. They have spent rigorous hours researching and exploring the diverse topics which have resulted in the successful publishing of this book. They have passed on their knowledge of decades through this book. To expedite this challenging task, the publisher supported the team at every step. A small team of assistant editors was also appointed to further simplify the editing procedure and attain best results for the readers.

Apart from the editorial board, the designing team has also invested a significant amount of their time in understanding the subject and creating the most relevant covers. They scrutinized every image to scout for the most suitable representation of the subject and create an appropriate cover for the book.

The publishing team has been an ardent support to the editorial, designing and production team. Their endless efforts to recruit the best for this project, has resulted in the accomplishment of this book. They are a veteran in the field of academics and their pool of knowledge is as vast as their experience in printing. Their expertise and guidance has proved useful at every step. Their uncompromising quality standards have made this book an exceptional effort. Their encouragement from time to time has been an inspiration for everyone.

The publisher and the editorial board hope that this book will prove to be a valuable piece of knowledge for researchers, students, practitioners and scholars across the globe.

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